

**I. Officer Financial Disclosure Statement for January 1 to December 31, 2016**

Officer full legal name: Timothy M. O'Brien

Office held: : Auditor

Business address: 201 W. Colfax Ave Denver, CO

Business email address: Timothy.M.O'Brien@denvergov.org

Business phone: 720 913 5010

**II. Disclosure with Respect to Any Jointly Held Financial Interest with Spouse.**

List the name of each business in which you or your spouse owned any securities or other interest above 1% or \$5,000.00. Dollar amounts not required

**Business Name(s):** **Person(s) Holding Interest**

Business Name(s)	Person(s) Holding Interest
<u>SEE ATTACHMENT</u>	

**III. Disclosure with Respect to Taxable or Non-Taxable Income or Profits.**

List any sources of taxable or non-taxable income received including profits from the sale of property or investments that exceeded \$2,000.00. Note: Dollar amounts not required.

**Source of Income(s):**

CITY AND COUNTY DENVER  
DIRECTOR FEES

**IV. Disclosure with Respect to Gifts Received.**

List the names of any sources of any gifts as defined in Sections and Code of Ethic, Section 2-60(4), you received in excess of \$25.00. This includes but not limited to meals or tickets accepted. Note: Gifts from immediate family are not required to be listed

**Name of Source(s):**

MIZEL INSTITUTE - CITIZEN OF THE WEST DINNER (2)  
CONTRACTORS ASSN  
DENVER LIBRARY  
MEP ALLIANCE

**V. Disclosure with Respect to Compensated Lobbying.**

Besides the City and County of Denver, list the names of persons or employers for whom you performed compensated lobbying.

**Person or Employer Name(s):**

NONE

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**VI. Disclosure with Respect to Trust.**

List the names of the creator, beneficiaries, or ownership of any private trust for your benefit and a description of the assets held by the trust when the value exceeds \$5,000.00. Dollar amounts not required.

**Person (s) Name**

NONE

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**VII. Disclosure with Respect to Real Property.**

List all real property or interest that owned including any options to purchase property in the State during this reporting period. Provide location and commonly known name, if applicable. Provide whether the real property was owned outright or held in whole or in part under a corporation or partnership. Personal or family members home addresses not required.

Property Type	Location and Name	Owned or held in whole or in part a corporation or partnership?
Home		
<input type="checkbox"/> Residential <input type="checkbox"/> Commercial		<input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Residential <input type="checkbox"/> Commercial	<u>NONE</u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Residential <input type="checkbox"/> Commercial		<input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Residential <input type="checkbox"/> Commercial		<input type="checkbox"/> Yes <input type="checkbox"/> No

**VIII. Disclosure with Respect to Assets Transferred.**

List the transfer of any assets with a value in excess of \$5,000.00 to an immediate family member within the last three years previous.

Type of Asset	Tranferred to Whom	Year Transferred
<u>NONE</u>		

**IX. Disclosure with Respect to Creditors.**

List the name of each creditor owed an amount in excess of \$5,000.00.  
Dollar amounts not required.

**Creditor Name(s):**

                    CENTAR                      
\_\_\_\_\_  
\_\_\_\_\_


**X.**

List the names of any current employer and any employer during the this reporting period.

**Employer Name(s):**

                    CITY AND COUNTY OF DENVER                      
\_\_\_\_\_  
\_\_\_\_\_

Attest that the information represented herein is true, correct and complete, to the best of my knowledge.

  
\_\_\_\_\_  
Officer Signature

Date of Statement: 1/27/2017

Name: Timothy M. O'Brien

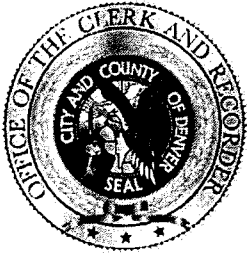
Attachment to Denver Financial Disclosure Statement

For the period January 1, to December 31, 2016

ASSETS:

Who is the person with this financial interest?

Johnson & Johnson	Spouse and self
Parnasus Mid Cap Fund	Spouse
Microsoft Corp	Spouse and self
Amazon	self
Remax	self
Fitbit	self
Vanguard International Index	Self
Vanguard Large Cap Index	Self
Vanguard Small Cap Index	Self
Powershares QQQ	Self
Apple	Self
Paypal	Self
Wells Fargo Bank	Spouse and self
PERAAvantage US Large Cap Stk	Self
American Express	Self
Berkshire Hathaway B	Spouse and self
Royce Value Plus Fund	Spouse
Third Avenue Value Fund	Spouse
Dodge and Cox Income Fund	Spouse
Charles Schwab and Co Inc	Spouse and self
T Rowe Price Small Cap Value Fund	Self
Turner Small Cap Growth Fund	Self
Vanguard Inflation Protected Securities Fund	Self
American Century Equity Income Fund	Self
American Century Small Co Fund	Self
Vanguard Intermediate Bond	Spouse
T Rowe Price Emerging Markets Fund	Self
Schwab S & P 500 Index Fund	Self
Google Inc	Self
Ebay Inc	Self
State Street S & P Mid Cap Index Fund	Spouse
Invesco	Spouse
Invesco VK Value Opportunities Fund	Spouse
Invesco VK Growth and Income I	Spouse
Invesco VK American Franchise Inst	Spouse
Invesco Diversified Dividend Instl	Spouse
Invesco Small Cap Growth I	Spouse



**DEBRA JOHNSON**  
CLERK AND RECORDER | PUBLIC TRUSTEE

**City Clerk Department**  
201 W. Colfax Ave., Dept. 101 | Denver, CO 80202  
(720) 865-8400 | [www.DenverClerkandRecorder.org](http://www.DenverClerkandRecorder.org)

## Officer Financial Disclosure Statement

In accordance with DRMC §2-70 through 2-74, Financial Disclosure,

- Every elected or appointed city officer is required to file an annual financial disclosure statement by ~~August 1<sup>st</sup>~~. *January 31, 2017*
- City Officers are: Mayor, Auditor, Clerk and Recorder, Members of City Council, Manager of Public Works, Manager of Parks and Recreation, Manager of Finance, Manager of Environmental Health, Manager of General Services, Manager of Safety, City Attorney, Manager of Human Services, Manager of Aviation, Manager of Community Planning and Development, Mayor's Chief of Staff.
- The report shall provide financial information regarding social or business interactions of the officer and the officer's family during the January 1 to December 31 period of the prior year.
- Answer each item below. State "None" where appropriate.
- Do not leave any spaces blank.
- Attach additional pages as necessary.
- Submit completed and signed report in person or by email to:

Office of City Clerk  
Wellington E. Webb Municipal Office Building  
201 W. Colfax Ave., Dept. 101  
Denver, CO 80202  
[cityclerk@denvergov.org](mailto:cityclerk@denvergov.org)

RECEIVED  
CLERK & RECORDER  
CITY & COUNTY DENVER  
2017 JAN 27 PM 1:39

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CITY & COUNTY DENVER  
2017 FEB - 2 PM 1:39