

## Annual Report for Calendar 2016

**Mr. Angus S King Jr. (King, Angus)**

Filed 05/15/2017 @ 1:40 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Pension	MAINE PUBLIC EMPLOYEES RETIREMENT SYSTEM AUGUSTA, ME	\$31,006.00
2	Self	Commissions	TRUST U/W/O ROGER C KLINE FBO DARTMOUTH COLLEGE NEW YORK, NY	\$16,519.00
3	Self	Commissions	TRUST U/W/O ROGER C KLINE FBO MATTHEW KLINE NEW YORK, NY	\$10,976.00
4	Spouse	Self-Employment Income	WAYFINDER SCHOOLS CAMDEN, ME	> \$1,000
5	Spouse	Self-Employment Income	KNOX COUNTY HOMELESS COALITION ROCKLAND, ME	> \$1,000
6	Self	Retirement	CHAS SCHWAB & CO IRA ROLLOVER #1 SAN FRANCISCO, CA	\$9,892.00
7	Self	Retirement	CHAS SCHWAB & CO IRA ROLLOVER #2 SAN FRANCISCO, CA	\$9,657.00

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### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	<b>POTTER ST BRUNSWICK</b> <i>Description:</i> RESIDENCE AND 2 RENTAL UNITS (BRUNSWICK, ME)	Real Estate Residential	Joint	\$250,001 - \$500,000	Rent/Royalties,	\$5,001 - \$15,000
2	<b>ANANIA &amp; ASSOCIATES INVESTMENT CO</b> <i>Company:</i> ANANIA & ASSOCIATES INVESTMENT CO LLC (PORTLAND, ME) <i>Description:</i> VENTURE CAPITAL CORP <i>Filer comment:</i> .46 NON-VOTING CLASS B MEMBERSHIP (SEE SUB-ASSETS LISTED BELOW)	Business Entity Limited Liability Company (LLC)	Self			
2.1	<b>SUB-ASSET: MEGA INDUSTRIES LLC</b> <i>Company:</i> MEGA INDUSTRIES LLC (GORHAM, ME) <i>Description:</i> MICROWAVE TECHNOLOGY	Business Entity Limited Liability Company (LLC)	Self	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
2.2	<b>SUB-ASSET: mWAVE INDUSTRIES LLC</b> <i>Company:</i> MWAVE INDUSTRIES LLC (GORHAM, ME) <i>Description:</i> MICROWAVE ANTENNA MANUFACTURER	Business Entity Limited Liability Company (LLC)	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
2.3	<b>SUB-ASSET: MCL REALTY LLC</b> <i>Company:</i> MCL REALTY LLC (WINDHAM, ME) <i>Description:</i> INDUSTRIAL REAL ESTATE HOLDING	Business Entity Limited Liability Company (LLC)	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
2.4	<b>SUB-ASSET: GROW-TECH LLC</b> <i>Company:</i> GROW-TECH LLC (PORTLAND, ME) <i>Description:</i> HORTICULTURAL TECHNOLOGY	Business Entity Limited Liability Company (LLC)	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2.5	<b>SUB-ASSET: BIOVATION LLC</b> <i>Company:</i> BIOVATION LLC (BOOTHBAY, ME) <i>Description:</i> INFECTION CONTROL TECHNOLOGY <i>Filer comment:</i> COMPANY DISSOLVED 5/2016, NO SALE, NO INCOME	Business Entity Limited Liability Company (LLC)	Self	None (or less than \$1,001)	None,	None (or less than \$201)
2.6	<b>SUB-ASSET: BIOVATION REALTY LLC</b> <i>Company:</i> BIOVATION REALTY LLC (BOOTHBAY, ME) <i>Description:</i> INDUSTRIAL REAL ESTATE HOLDING <i>Filer comment:</i> REAL ESTATE HOLDING SOLD 5/2016, NO GAIN ON SALE	Business Entity Limited Liability Company (LLC)	Self	None (or less than \$1,001)	None,	None (or less than \$201)
2.7	<b>SUB-ASSET: LIGHTHOUSE IMAGING LLC</b> <i>Company:</i> LIGHTHOUSE IMAGING LLC (PORTLAND, ME) <i>Description:</i> MEDICAL OPTICS ENGINEERING	Business Entity Limited Liability Company (LLC)	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
2.8	<b>SUB-ASSET: TRAK TEC LLC</b> <i>Company:</i> TRAK TEC LLC (WINDHAM, ME) <i>Description:</i> PRESSURE SENSITIVE LABEL MANUFACTURER	Business Entity Limited Liability Company (LLC)	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
2.9	<b>SUB-ASSET: ANANIA EUROPE UG</b> <i>Company:</i> ANANIA EUROPE UG (SANDHAUSEN, GERMANY) <i>Description:</i> STRATEGIC MARKETING	Business Entity General Partnership	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
2.10	<b>SUB-ASSET: SYNERGISTIC SOFTWARE SOLUTIONS LLC</b> <i>Company:</i> SYNERGISTIC SOFTWARE SOLUTIONS LLC (EDINA, MN) <i>Description:</i> MANUFACTURING SOFTWARE DEVELOPER	Business Entity Limited Liability Company (LLC)	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2.11	<b>SUB-ASSET: ELMET TECHNOLOGIES</b> <i>Company:</i> ELMET TECHNOLOGIES (LEWISTON, ME) <i>Description:</i> SPECIALIZED METALS MANUFACTURING	Business Entity Limited Liability Company (LLC)	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3	<b>TD BANK NA AGGREGATE ACCOUNTS</b> (BRUNSWICK, ME) <i>Type:</i> Checking,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
4	<b>PRU - Prudential Financial, Inc. (NYSE)</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5	<b>PRUDENTIAL INSURANCE CO WHOLE LIFE POLICY</b> <i>Provider:</i> PRUDENTIAL INSURANCE CO	Life Insurance Whole	Self	\$15,001 - \$50,000	Dividends, Interest,	\$201 - \$1,000
6	<b>PRUDENTIAL INSURANCE APPRECIABLE LIFE POLICY</b>	Life Insurance Variable	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7	<b>MAR - Marriott International, Inc. (NASDAQ)</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
8	<b>HST - Host Hotels &amp; Resorts, Inc. (NYSE)</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
9	<b>VAC - Marriott Vacations Worldwide Corp.</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10	<b>SCHWAB ONE ACCOUNT</b>	Brokerage/Managed Account	Self			
10.1	<b>GLD - SPDR Gold Shares</b>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
10.2	<b>HLEMX - Harding Loevner Emerging Markets Advisor (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.3	<b>SDV SX - Sit Dividend Growth S (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
10.4	<b>TBGVX - Tweedy, Browne Global Value (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
10.5	<b>FSRRX - Fidelity Strategic Real Return (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.6	<b>PRSNX - T. Rowe Price Strategic Income (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
10.7	<b>CHARLES SCHWAB (PORTLAND, ME)</b> Type: Money Market Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
10.8	<b>RPIFX - T. Rowe Price Instl Floating Rate (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
11	<b>US TRUST REVOCABLE TRUST</b>	Trust General (Trust)	Self			
11.1	<b>BANK OF AMERICA, NA (PORTLAND, ME)</b> Type: Brokerage Sweep Account,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
11.2	<b>MAINE TAX EXEMPT CTF</b>	Common Trust Fund of a Bank	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
12	<b>SCHWAB REVOCABLE TRUST</b>	Trust General (Trust)	Self			

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
12.1	<b><u>VWIUX</u> - Vanguard Interm-Term Tx- Ex Adm (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
12.2	<b><u>GATEX</u> - Gateway A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
12.3	<b><u>SWXXX</u> - Schwab Tax-Exempt Money Fund Sw (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
12.4	<b><u>VFIAX</u> - Vanguard 500 Index Admiral (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$100,001 - \$1,000,000
13	<b>SCHWAB IRA ROLLOVER #1</b>	Retirement Plans IRA	Self			
13.1	<b><u>SWZXX</u> - Schwab Advisor Cash Reserves Pr (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.2	<b><u>FFRIX</u> - Fidelity Advisor Floating Rate Hi Inc I (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.3	<b><u>CSJ</u> - iShares 1-3 Year Credit Bond</b>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.4	<b><u>IWO</u> - iShares Russell 2000 Growth</b>	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.5	<b><u>IWN</u> - iShares Russell 2000 Value</b>	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.6	<b><u>IVE</u> - iShares S&amp;P 500 Value (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000  hide me	None,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13.7	<b><u>IVW</u> - iShares S&amp;P 500 Growth (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.8	<b><u>IJJ</u> - iShares S&amp;P Mid-Cap 400 Value (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.9	<b><u>IJK</u> - iShares S&amp;P Mid-Cap 400 Growth (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.10	<b><u>IGIFX</u> - American Funds Intl Gr and Inc F1 (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.11	<b><u>JAMCX</u> - JPMorgan Mid Cap Value A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.12	<b><u>OAKEX</u> - Oakmark International Small Cap I (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.13	<b><u>OAKIX</u> - Oakmark International I (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.14	<b>JP MORGAN CHASE &amp; CO CORP BOND</b> <i>Rate/Coupon: 4.40%</i> <i>Matures: 07/22/2020</i>	Corporate Securities Corporate Bond	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.15	<b>BERKSHIRE HATHAWAY INC CORP BOND</b> <i>Rate/Coupon: 3.75%</i> <i>Matures: 08/15/2021</i>	Corporate Securities Corporate Bond	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.16	<b><u>WBFFX</u> - American Funds Capital World Bond F1 (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13.17	<b><u>TPINX</u> - Templeton Global Bond A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.18	<b><u>JSOAX</u> - JPMorgan Strategic Income Opps A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.19	<b><u>NEFZX</u> - Loomis Sayles Strategic Income A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
13.20	<b><u>PTTAX</u> - PIMCO Total Return A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.21	<b><u>SGENX</u> - First Eagle Global A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.22	<b><u>FSTAX</u> - Fidelity Advisor Strategic Income A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.23	<b><u>CNSAX</u> - Invesco Convertible Securities A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13.24	<b><u>DODFX</u> - Dodge &amp; Cox International Stock (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.25	<b><u>EAAFx</u> - Wells Fargo Advantage Asset Alloc A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
13.26	<b>US TREASURY NOTE 1.875% MATURES 8/31/2022</b> <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13.27	<b>US TREASURY NOTE 1.75% MATURES 1/31/2023</b> <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
14	<b>SCHWAB IRA ROLLOVER #2</b>	Retirement Plans IRA	Self			
14.1	<b><u>HLEMX</u> - Harding Loevner Emerging Markets Advisor (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14.2	<b><u>GLD</u> - SPDR Gold Shares</b>	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
14.3	<b><u>TBGVX</u> - Tweedy, Browne Global Value (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14.4	<b><u>WPSGX</u> - AB Concentrated Growth Advisor (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
14.5	<b><u>FSRRX</u> - Fidelity Strategic Real Return (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
14.6	<b><u>PRSNX</u> - T. Rowe Price Strategic Income (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14.7	<b>CHARLES SCHWAB (PORTLAND, ME)</b> <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
14.8	<b><u>RPIFX</u> - T. Rowe Price Instl Floating Rate (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14.9	<b><u>JENIX</u> - Jensen Quality Growth I</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000 hide me	None,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
15	<b>SCHWAB ONE ACCT - MJH</b>	Brokerage/Managed Account	Spouse			
15.1	<b><u>ECL</u> - Ecolab Inc. (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
15.2	<b><u>DIS</u> - The Walt Disney Company (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.3	<b><u>NKE</u> - Nike, Inc. (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.4	<b><u>TJX</u> - The TJX Companies, Inc. (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.5	<b><u>TGT</u> - Target Corp. (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.6	<b><u>KMB</u> - Kimberly-Clark Corporation (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.7	<b><u>MKC</u> - McCormick &amp; Company, Incorporated (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.8	<b><u>PEP</u> - Pepsico, Inc. (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.9	<b><u>PG</u> - The Procter &amp; Gamble Company (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.10	<b><u>XOM</u> - Exxon Mobil Corporation (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.11	<b><u>SLB</u> - Schlumberger Limited (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
15.12	<b><u>AXP</u> - American Express Company (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
15.13	<b>CB - Chubb Limited</b> <i>Filer comment: MANDATORY MERGER OF CHUBB CORPORATION INTO CHUBB LTD JAN 2016</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$5,001 - \$15,000
15.14	<b>CME - CME Group Inc. (NASDAQ)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.15	<b>IYF - iShares US Financials</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
15.16	<b>USB - U.S. Bancorp (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.17	<b>GILD - Gilead Sciences Inc. (NASDAQ)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.18	<b>JNJ - Johnson &amp; Johnson (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.19	<b>NVS - Novartis AG (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.20	<b>TEVA - Teva Pharmaceutical Industries Limited (NYSE)</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
15.21	<b>WAT - Waters Corporation (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
15.22	<b>MMM - 3M Company (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.23	<b>GE - General Electric Company (NYSE)</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
15.24	<b>NSC - Norfolk Southern Corporation (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
15.25	<b><u>UPS</u> - United Parcel Service, Inc. (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.26	<b><u>UTX</u> - United Technologies Corp. (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.27	<b><u>AAPL</u> - Apple Inc. (NASDAQ)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.28	<b><u>CSCO</u> - Cisco Systems, Inc. (NASDAQ)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.29	<b><u>EMC</u> - EMC Corporation (NYSE)</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
15.30	<b><u>IBM</u> - International Business Machines Corporation (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.31	<b><u>ORCL</u> - Oracle Corporation (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
15.32	<b><u>WTR</u> - Aqua America Inc. (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
15.33	<b><u>NEE</u> - NextEra Energy, Inc. (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
15.34	<b><u>SRE</u> - Sempra Energy (NYSE)</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
15.35	<b><u>VIMSX</u> - Vanguard Mid Cap Index Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
15.36	<b><u>HAINX</u> - Harbor International Institutional (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
15.37	<b><u>EFA</u> - iShares MSCI EAFE</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
15.38	<b><u>MGIA</u>X - MFS International Value A (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
15.39	<b><u>OAKI</u>X - Oakmark International I (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
15.40	<b><u>EEM</u> - iShares MSCI Emerging Markets</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
15.41	<b><u>EAAI</u>X - Wells Fargo Advantage Asset Alloc Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
15.42	<b><u>GTEY</u>X - Gateway Y (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
15.43	<b><u>TPIN</u>X - Templeton Global Bond A (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
15.44	<b><u>FSIC</u>X - Fidelity Strategic Income (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
15.45	<b>MUNI BOND CAPE ELIZABETH ME</b> <i>Rate/Coupon: 2.50%</i> <i>Matures: 10/15/2017</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.46	<b>MAINE ST HSG AUTH BOND</b> <i>Rate/Coupon: 3.45%</i> <i>Matures: 11/15/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
15.47	<b>STATE OF MAINE STREET BOND</b> <i>Rate/Coupon: 3.00%</i> <i>Matures: 06/01/2018</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.48	<b>MAINE MUNI BOND BANK</b> <i>Rate/Coupon: 4.00%</i> <i>Matures: 11/01/2018</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
15.49	<b>MAINE MUNI BOND BANK</b> <i>Rate/Coupon: 3.00%</i> <i>Matures: 11/01/2018</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.50	<b>MUNI BOND YORK ME</b> <i>Rate/Coupon: 2.75%</i> <i>Matures: 03/01/2019</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
15.51	<b>MUNI BOND KENNEBUNK ME</b> <i>Rate/Coupon: 3.00%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
15.52	<b>MAINE ST TURNPIKE AUTH BOND</b> <i>Rate/Coupon: 3.00%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.53	<b>MAINE ST HSG AUTH BOND</b> <i>Rate/Coupon: 3.90%</i> <i>Matures: 11/15/2021</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
15.54	<b>MAINE HEALTH &amp; HIGH BOND</b> <i>Rate/Coupon: 4.50%</i> <i>Matures: 07/01/2022</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
15.55	<b>MAINE HEALTH &amp; HIGH BOND</b> <i>Rate/Coupon: 3.00%</i> <i>Matures: 07/01/2023</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
15.56	<b>MAINE MUNI BOND BANK</b> <i>Rate/Coupon: 2.00%</i> <i>Matures: 11/01/2023</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
15.57	<b>MAINE MUNI BOND BANK</b> <i>Rate/Coupon: 3.00%</i> <i>Matures: 11/01/2024</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	None,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
15.58	<b>MAINE ST HSG AUTH BOND</b> <i>Rate/Coupon: 4.00%</i> <i>Matures: 11/15/2028</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.59	<b><u>SWZXX</u> - Schwab Advisor Cash Reserves Pr (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
15.60	<b>MAINE MUNI BOND BANK</b> <i>Rate/Coupon: 3.00%</i> <i>Matures: 09/01/2026</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.61	<b>MAINE ST TPK AUTH SP BOND</b> <i>Rate/Coupon: 3.00%</i> <i>Matures: 07/01/2026</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.62	<b>MUNI BOND ORONO ME</b> <i>Rate/Coupon: 3.00%</i> <i>Matures: 11/01/2024</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.63	<b><u>UNH</u> - UnitedHealth Group Incorporated (NYSE)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15.64	<b>MUNI BOND ELLSWORTH ME GO</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 12/01/2024</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.65	<b>MAINE STATE HSG AUTH REV</b> <i>Rate/Coupon: 2.60%</i> <i>Matures: 11/15/2022</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.66	<b>MAINE HEALTH &amp; HIGHER ED REV BOND</b> <i>Rate/Coupon: 3.25%</i> <i>Matures: 07/01/2027</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.67	<b>MAINE HEALTH &amp; HIGHER ED REV BOND</b> <i>Rate/Coupon: 3.25%</i> <i>Matures: 07/01/2027</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15.68	<b>REGIONAL SCHOOL UNIT NO 5 ME</b> <i>Rate/Coupon: 2.50%</i> <i>Matures: 11/01/2026</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
15.69	<b><u>VWSUX</u> - Vanguard Short-Term Tx- Ex Adm</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
15.70	<b>MAINE STATE HSG AUTH REV</b> <i>Rate/Coupon: 1.95</i> <i>Matures: 11/15/2023</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
16	<b>SCHWAB IRA ROLLOVER - MJH</b>	Retirement Plans IRA	Spouse			
16.1	<b><u>OAKIX</u> - Oakmark International I (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16.2	<b><u>PAAIX</u> - PIMCO All Asset Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
16.3	<b><u>GVI</u> - iShares Intm Government/Credit Bond</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16.4	<b><u>CSJ</u> - iShares 1-3 Year Credit Bond</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16.5	<b><u>TPINX</u> - Templeton Global Bond A (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16.6	<b><u>SWZXX</u> - Schwab Advisor Cash Reserves Pr (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16.7	<b><u>ODVYX</u> - Oppenheimer Developing Markets Y (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16.8	<b><u>IJR</u> - iShares Core S&amp;P Small-Cap</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
16.9	<b><u>AGG</u> - iShares Core US Aggregate Bond</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16.10	<b><u>SCHM</u> - Schwab US Mid-Cap ETF</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16.11	<b><u>SPY</u> - SPDR S&amp;P 500 ETF</b>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
16.12	<b><u>GATEX</u> - Gateway A</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
16.13	<b><u>EAAFX</u> - Wells Fargo Asset Allocation A</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
17	<b>SCHWAB ONE ACCT - MPH</b>	Brokerage/Managed Account	Child			
17.1	<b><u>VFINX</u> - Vanguard 500 Index Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
17.2	<b><u>VIG</u> - Vanguard Dividend Appreciation ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
17.3	<b><u>VIMSX</u> - Vanguard Mid Cap Index Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
17.4	<b><u>TPINX</u> - Templeton Global Bond A (NASDAQ)</b>	Mutual Funds Mutual Fund	Child	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
17.5	<b><u>PTLDX</u> - PIMCO Low Duration Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000 hide me	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
17.6	<b><u>SWZXX</u> - Schwab Advisor Cash Reserves Pr (NASDAQ)</b>	Mutual Funds Mutual Fund	Child	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
17.7	<b><u>SCHA</u> - Schwab US Small-Cap ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Funds/Notes	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
17.8	<b><u>SCHZ</u> - Schwab US Aggregate Bond ETF</b>	Mutual Funds Exchange Traded Funds/Notes	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
18	<b>A WOMAN, A PART LLC</b> <i>Company: A WOMAN, A PART LLC (ULSTER PARK, NY) Description: FILM PRODUCTION COMPANY Filer comment: .20 NON-VOTING CLASS B MEMBERSHIP SHARE</i>	Business Entity Limited Liability Company (LLC)	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/19/2016	Spouse	--	CHUBB CORPORATION - CB	Exchange	\$1,001 - \$15,000	MANDATORY MERGER TO CHUBB LTD
2	01/19/2016	Spouse	CB	Chubb Limited	Exchange	\$1,001 - \$15,000	MANDATORY MERGER FROM CHUBB CORPORATION
3	02/11/2016	Spouse	--	MAINE STATE HSG AUTH REV <i>Rate/Coupon: 1.95% Matures: 11/15/2023</i>	Purchase	\$1,001 - \$15,000	SETTLE: 3/21/16
4	02/22/2016	Spouse	--	MAINE ST HSG AUTH M <i>Rate/Coupon: 3.45% Matures: 11/15/2017</i>	Sale (Full)	\$1,001 - \$15,000	"CALLED" REDEMPTION

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
5	06/10/2016	Spouse	--	MAINE STATE HSG AUTHORITY <i>Rate/Coupon: 3.90%</i> <i>Matures: 11/15/2021</i>	Sale (Full)	\$1,001 - \$15,000	"CALLED" REDEMPTION
6	07/14/2016	Spouse	EMC	EMC Corporation	Sale (Full)	\$1,001 - \$15,000	--
7	10/19/2016	Spouse	GE	General Electric Company	Sale (Full)	\$1,001 - \$15,000	--
8	10/19/2016	Spouse	TEVA	Teva Pharmaceutical Industries Limited	Sale (Full)	\$1,001 - \$15,000	--
9	11/15/2016	Spouse	--	MAINE STATE HSG AUTHORITY <i>Rate/Coupon: 4.00%</i> <i>Matures: 11/15/2028</i>	Sale (Partial)	\$1,001 - \$15,000	PARTIAL CALL REDEMPTION

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	<u>VWSUX</u>	Vanguard Short-Term Tx-Ex Adm	Purchase	01/27/2016	\$50,001 - \$100,000	--
2	Spouse	<u>GATEX</u>	Gateway A	Purchase	01/27/2016	\$1,001 - \$15,000	--
3	Spouse	<u>PAAIX</u>	PIMCO All Asset Instl	Sale (Full)	01/27/2016	\$1,001 - \$15,000	--
4	Self	<u>NEFZX</u>	Loomis Sayles Strategic Income A	Sale (Full)	01/27/2016	\$1,001 - \$15,000	--
5	Self	--	US TREASURY NOTE	Purchase	01/28/2016	\$1,001 - \$15,000	--
6	Self	<u>VWIUX</u>	Vanguard Interm-Term Tx-Ex Adm	Sale (Partial)	02/22/2016	\$50,001 - \$100,000	--
7	Self	<u>VWIUX</u>	Vanguard Interm-Term Tx-Ex Adm	Sale (Partial)	04/12/2016	\$50,001 - \$100,000	--
8	Spouse	<u>SCHM</u>	Schwab US Mid-Cap ETF	Purchase	04/21/2016	\$1,001 - \$15,000	--

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
9	Spouse	<u>EAAFX</u>	Wells Fargo Asset Allocation A	Purchase	04/21/2016	\$1,001 - \$15,000	--
10	Self	--	MAINE TAX EXEMPT CTF	Sale (Partial)	05/11/2016	\$15,001 - \$50,000	--
11	Spouse	<u>GATEX</u>	Gateway A	Purchase	07/25/2016	\$1,001 - \$15,000	--
12	Self	<u>VFIAX</u>	Vanguard 500 Index Admiral	Sale (Partial)	09/09/2016	\$50,001 - \$100,000	--
13	Spouse	<u>TPINX</u>	Templeton Global Bond A	Sale (Partial)	10/19/2016	\$1,001 - \$15,000	--
14	Self	<u>TBGVX</u>	Tweedy, Browne Global Value	Sale (Partial)	10/27/2016	\$1,001 - \$15,000	--
15	Self	<u>WPSGX</u>	AB Concentrated Growth Advisor	Sale (Partial)	11/30/2016	\$15,001 - \$50,000	--
16	Self	<u>TBGVX</u>	Tweedy, Browne Global Value	Sale (Partial)	11/30/2016	\$1,001 - \$15,000	--
17	Self	<u>JENIX</u>	Jensen Quality Growth I	Purchase	11/30/2016	\$15,001 - \$50,000	--
18	Self	<u>VFIAX</u>	Vanguard 500 Index Admiral	Sale (Partial)	12/09/2016	\$50,001 - \$100,000	--
19	Self	--	ANANIA & ASSOCIATES SUB-ASSET: BIOVATION REALTY LLC	Sale (Full)	05/20/2016	\$1,001 - \$15,000	--

### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

### Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

### Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

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#	Incurred	Debtor	Type	Points	Rate		Amount	Creditor	Comments
					(Term)				
1	2011	Joint	Mortgage	0	3.50%		\$100,001	TD BANK, NA LEWISTON, ME	COMBINED MORTGAGE ON RENTAL & PERSONAL RESIDENCE
					(15 YRS)	-	\$250,000		
2	1995	Joint	Mortgage	0	3-3.25%		\$100,001	JP MORGAN CHASE BANK, NA COLUMBUS, OH	ADJUSTABLE RATE MORTGAGE ON SEASONAL RESIDENCE
					(30 YRS)	-	\$250,000		
3	2015	Child	Other (TUITION PAYMENTS)	-	N/A%		\$15,001 - \$50,000	AMERICAN UNIVERSITY WASHINGTON, DC	TUITION FEES PAID IN FULL BY END OF REPORTING PERIOD.
					(TIMELY PD IN FULL)				

## Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2009 to present	Trustee	TRUST U/W/O ROGER KLINE FBO MATTHEW KLINE NEW YORK, NY	Other (TESTAMENTARY TRUST)	APPOINTMENT AS TRUSTEE UNDER THE LAST WILL & TESTAMENT OF ROGER C KLINE FOR THE BENEFIT OF MATTHEW KLINE IN SURROGATE'S COURT, NEW YORK COUNTY.
2	Nov 2012 to present	Trustee	TRUST UNDER CLAUSE SEVENTH OF WILL OF ROGER C KLINE FOR DARTMOUTH COLLEGE NEW YORK, NY	Other (TESTAMENTARY TRUST)	APPOINTMENT AS TRUSTEE UNDER THE LAST WILL AND TESTAMENT OF ROGER C KLINE FOR THE BENEFIT OF DARTMOUTH COLLEGE IN SURROGATE'S COURT, NEW YORK COUNTY
3	Nov 2012 to present	Trustee	TRUST UNDER CLAUSE NINTH OF WILL OF ROGER C KLINE - GENERATION SKIPPING TRUST NEW YORK, NY	Other (TESTAMENTARY TRUST)	APPOINTMENT AS TRUSTEE UNDER THE LAST WILL AND TESTAMENT OF ROGER C KLINE - GENERATION SKIPPING TRUST IN SURROGATE'S COURT, NEW YORK COUNTY.

## Part 9. Agreements

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Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Mar 2009	TRUST UNDER THE WILL OF ROGER C KLINE FOR BENEFIT OF MATTHEW KLINE NEW YORK, NY	Compensation Agreement	APPOINTMENT AS TRUSTEE U/W/O ROGER C KLINE FBO MATTHEW KLINE IN SURROGATE'S COURT, NEW YORK COUNTY. ENTITLED TO TRUSTEE COMMISSIONS FOR SERVICES RENDERED DURING 2016 PURSUANT TO NEW YORK STATUTE. AMOUNT OF \$9,716 REMAINS UNPAID AS OF DECEMBER 31, 2016.
2	Nov 2012	TRUST UNDER CLAUSE NINTH OF WILL OF ROGER C KLINE- GENERATION SKIPPING TRUST NEW YORK, NY	Compensation Agreement	APPOINTMENT AS TRUSTEE U/W/O ROGER C KLINE - GENERATION SKIPPING TRUST IN SURROGATE'S COURT, NEW YORK COUNTY. ENTITLED TO TRUSTEE COMMISSIONS FOR SERVICES RENDERED DURING 2016 PURSUANT TO NEW YORK STATUTE. AMOUNT OF \$2082 REMAINS UNPAID AS OF DECEMBER 31, 2016. BALANCE OF COMMISSIONS WAIVED IN AMOUNT OF \$15,175.
3	Nov 2012	TRUST UNDER CLAUSE SEVENTH OF WILL OF ROGER C KLINE FOR DARTMOUTH COLLEGE NEW YORK, NY	Compensation Agreement	APPOINTMENT AS TRUSTEE U/W/O ROGER C KLINE FBO DARTMOUTH COLLEGE IN SURROGATE'S COURT, NEW YORK COUNTY. ENTITLED TO TRUSTEE COMMISSIONS FOR SERVICES RENDERED DURING 2016 PURSUANT TO NEW YORK STATUTE. AMOUNT OF \$15,968 REMAINS UNPAID AS OF DECEMBER 31, 2016.
4	Jan 1995	MAINE STATE RETIREMENT SYSTEM AUGUSTA, ME	Continuing participation in an employee benefit plan	CONTINUING MONTHLY PENSION BENEFITS AS A RESULT OF STATE GOVERNMENT SERVICE AS ELECTED GOVERNOR FROM 1995-2003.

### Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? This is not my first report.

### Attachments & Comments

No attachments added.  
No comments added.

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