



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Barbara Lee  
**Status:** Member  
**State/District:** CA13

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 05/8/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ Sun America Secure Value Account		\$15,001 - \$50,000	None	<input type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Allocation Portfolio		\$50,001 - \$100,000	None	<input type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerican Dynamic Strategy Portfolio		\$50,001 - \$100,000	None	<input type="checkbox"/>
Book contract with Rowman & Littlefield Publishers, Inc. (Approved by Committee.)  DESCRIPTION: Book contract		Undetermined	None	<input type="checkbox"/>
Charles Schwab Checking and Money Market Accounts		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
East West Bank Accounts, Oakland, CA		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Fidelity SEP IRA ⇒ Delaware SMID Cap Growth Class C Fund		\$1 - \$1,000	None	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
Fidelity SEP IRA ⇒ Fidelity Freedom 2020 Fund		\$1,001 - \$15,000	None	<input type="checkbox"/>
Fidelity SEP IRA ⇒ Mass Investors Growth Fund		\$1 - \$1,000	None	<input type="checkbox"/>
Healthcare Trust of America, Inc.		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ American Funds Growth Portfolio		\$1,001 - \$15,000	None	<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Clearbridge Aggressive Growth Portfolio		\$1,001 - \$15,000	None	<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Goldman Sachs Mid Cap Value Portfolio		\$1,001 - \$15,000	None	<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Invesco Small Cap Growth Portfolio		\$1,001 - \$15,000	None	<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Met Life Annuity Fund General Account		\$15,001 - \$50,000	None	<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ MetLife Asset Allocation 100 Portfolio		\$1,001 - \$15,000	None	<input type="checkbox"/>
Wells Fargo Bank Checking Account		\$1,001 - \$15,000	None	<input type="checkbox"/>
Wright-Patman Congressional Credit Union Checking and Savings Accounts		\$1 - \$1,000	None	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

### **SCHEDULE B: TRANSACTIONS**

None disclosed.

### **SCHEDULE C: EARNED INCOME**

None disclosed.

### **SCHEDULE D: LIABILITIES**

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
	Credit Union Mortgage Association	January 2011	Mortgage on DC Condo Residence	\$250,001 - \$500,000
	Wright-Patman Congressional Federal Credit Union, DC	June 2012	Loan and line of credit	\$15,001 - \$50,000
	Wells Fargo Bank	July 2012	Mortgage on Palm Desert, CA Condo Residence	\$100,001 - \$250,000

## **SCHEDULE E: POSITIONS**

<b>Position</b>	<b>Name of Organization</b>
Member, Contressional Advisory Council	The Faith and Politics Institute (Nonprofit Public Benefit Corporation)
Board Member	WILL/WAND (Nonprofit Public Benefit Corporation)
Board Member	Project Vote Smart (Nonprofit Public Benefit Corporation)
Trustee	Ghana Children's Fund (a project of the East Bay Community Foundation)
Board Member	ProgressiveCaucus.org (Nonprofit Public Benefit Corporation)
Member, Honorary Board of Advisors	National Student Leadership Conference (Nonprofit Public Benefit Corporation)
Member, Congressional Advisory Board	The Humpty Dumpty Institute (Nonprofit Public Benefit Corporation)
Member, Advisory Council	Dellums Institute (Nonprofit Public Benefit Corporation)
Member, Advisory Board	Grand Lake Gardens Senior Living Community
Member, Advisory Board	Swords to Plowshares (Nonprofit Public Benefit Corporation)
Member, Congressional Council	Women's Information Network (WIN)(Nonprofit Public Benefit Corporation)
Trustee	American Baptist Seminary of the West
Board Member	Stiles Hall (Nonprofit Public Benefit Corporation)

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Progressive Caucus	02/4/2016	02/6/2016	Washington DC - Baltimore MD - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Attended Progressive Congress Strategy Summit 2016.							
Peace Advocacy Coalition with Tanner Center at Univ. of Utah	03/23/2016	03/24/2016	Washington DC - Salt Lake City, UT - Oakland, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Presented comments at public forum held at the Tanner Human Rights Center at the University of Utah.							
Aspen Institute Congressional Program	03/28/2016	04/4/2016	Washington DC - Beijing, China - Nanjing, China - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
COMMENTS: Attended conference in China "Co-Existing with a Rising China: U.S. Economic, Security and Environmental Challenges." Financial support provided by Carnegie Corporation of New York, The Henry Luce Foundation, Democracy Fund, Rockefeller Brothers Fund, and The MacArthur Foundation.							
Center for Strategic and International Studies	05/27/2016	06/3/2016	Washington DC - Accra and Tamale, Ghana - San Francisco, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Participated in delegation to Ghana on behalf of CSIS Global Health Policy Center Task Force on Women's and Family Health.							
Maher Live, Inc. (Real Time with Bill Maher)	07/1/2016	07/2/2016	San Francisco, CA - Los Angeles, CA - Not applicable	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Appeared in episode of "Real Time with Bill Maher" to discuss legislative work.							
Christ Second Baptist Church	08/27/2016	08/27/2016	Oakland, CA - Long Beach, CA - Oakland, CA	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Keynote speaker at symposium titled "Moving from Message to Manifestation: The Impact of Social Movements in the African American Community."							
Washington Office on Latin America	12/17/2016	12/20/2016	New York City, NY - Havana, Cuba - San Francisco, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Participated in US delegation to Cuba titled "Race and Inequality, in Cuba and the United States." Attended meetings with US and Cuban officials relating to building UC-Cuba relations.							

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- AIG Polaris Choice IV Variable Annuity (formerly SunAmerica)
- Fidelity SEP IRA  
LOCATION: US
- Met Life Variable Annuity Fund

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Barbara Lee , 05/8/2017