

Financial Disclosures

Annual Report for Calendar 2016

The Honorable Benjamin L Cardin (Cardin, Benjamin L.)

Filed 05/15/2017 @ 6:56 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Pension	State of Maryland Annapolis, Md	\$6,018.00
2	Spouse	Other (MRD - IRA)	IRA, Wells Fargo Advisors Baltimore, Md	> \$1,000
3	Self	Other (MRD - IRA)	IRA - Wells Fargo Advisors Baltimore, Maryland	\$34,675.00

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	LBSAX - Columbia Dividend Income A (NASDAQ)	Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000

eFD: Home
<https://efdsearch.senate.gov/search/home/>
 Mutual Fund
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2	CHTRX - Invesco Charter A (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
3	Congressional Credit Union (Washington, DC) Type: Checking, Savings,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
4	Wells Fargo Advisors (Baltimore, Md) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
5	Met Life Total Control Account (New York, NY) Type: Savings,	Bank Deposit	Self	None (or less than \$1,001)	Interest,	None (or less than \$201)
6	SBI - Western Asset Intermediate Muni (AMEX)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
7	DDF - Delaware Investments Dividend & Income Fund, Inc. (NYSE)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
8	Wells Fargo Advisors (Baltimore, Md) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9	LBSAX - Columbia Dividend Income A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
10	CVTCX - Calamos Growth & Income C (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
11	CPOAX - Morgan Stanley Multi Cap Growth A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000 hide me	Excepted Investment Fund,	\$2,501 - \$5,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
12	<u>AEAFX</u> - American Funds EuroPacific Gr F1 (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
13	<u>TWTIX</u> - American Century IntermTrm Tx-Fr Bd Inv (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14	<u>BEGFX</u> - American Century International Bd Inv (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
15	<u>BGRFX</u> - Baron Growth Retail (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
16	<u>WBIGX</u> - William Blair International Growth N (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
17	<u>AFIFX</u> - American Funds Fundamental Invs F1 (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
18	<u>GFAFX</u> - American Funds Growth Fund of Amer F1 (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
19	<u>HCAIX</u> - Harbor Capital Appreciation Inv (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
20	<u>PAVLX</u> - T. Rowe Price Value Adv (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
21	<u>RYPRX - Royce Premier Invmt (NASDAQ)</u>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
22	Meyer M. Cardin Trust <i>Filer comment: A trust established by filer's father for the benefit of filer and spouse during their lifetime with remainderman interest to filer's children</i>	Trust General (Trust)	Joint			
22.1	Prince George's Co., Md. Bond <i>Rate/Coupon: 5%</i> <i>Matures: 7/15/16</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$201 - \$1,000
22.2	Baltimore Co., Md. Bond <i>Rate/Coupon: 2.25%</i> <i>Matures: 8/1/29</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
22.3	Baltimore Co., Md. Bond <i>Rate/Coupon: 4%</i> <i>Matures: 2/1/31</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
22.4	Montgomery Co., Md. Bond <i>Rate/Coupon: 4%</i> <i>Matures: 7/1/28</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
22.5	Montgomery Co., Md. Bond <i>Rate/Coupon: 4%</i> <i>Matures: 8/1/20</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
22.6	Carroll Co., Md. Bond <i>Rate/Coupon: 4.25%</i> <i>Matures: 11/1/22</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
22.7	Washington Co., Md. Bond <i>Rate/Coupon: 3.5%</i> <i>Matures: 1/1/23</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
22.8	Washington Co., Md. Bond <i>Rate/Coupon: 4%</i> <i>Matures: 7/1/28</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
22.9	Worcester Co., Md. Bond <i>Rate/Coupon: 4%</i> <i>Matures: 10/1/19</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
22.10	Howard Co., Md. Bond <i>Rate/Coupon: 4%</i> <i>Matures: 2/15/37</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
22.11	Anne Arundel Co., Md. Bond <i>Rate/Coupon: 3.25%</i> <i>Matures: 4/1/31</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
22.12	Anne Arundel Co., Md. Bond <i>Rate/Coupon: 4%</i> <i>Matures: 4/1/26</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
22.13	Charles Co., Md. Bond <i>Rate/Coupon: 5.375%</i> <i>Matures: 2/1/24</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
22.14	Frederick Co., Md. Bond <i>Rate/Coupon: 4%</i> <i>Matures: 8/1/29</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
22.15	Cecil Co., Md. Bond <i>Rate/Coupon: 4%</i> <i>Matures: 11/1/22</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
22.16	Wells Fargo Advisors (Baltimore, Md.) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
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<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
22.17 First Trust Select DSIP	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
22.18 Invesco Global 45 Dividend 2016 C	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
22.19 Invesco Global 45 Dividend 2016 4C	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
22.20 Invesco Global 45 Dividend Fund 2016 1	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
23 Minnesota Life Variable Life Ins <i>Filer comment: Values represent cash value. Investments directed by agent.</i>	Life Insurance Variable	Self			
23.1 Advantus Bond	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
23.2 Ivy VIP Int Core	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
23.3 Advantus Index 400 MidCap	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
23.4 Advantus Real Estate Security	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
23.5	Templeton Development Market Security	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
23.6	<u>IFVEX</u> - G Fidelity VIP Equity-Income Po (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
23.7	SFT Ivy Growth Fund	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
23.8	SFT Ivy Small Growth Fund	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
23.9	Ivy VIP High Income Fund	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
23.10	Oppenheimer Int Growth	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
23.11	Guaranteed Principal Account (Minnesota Life)	Mutual Funds Stable Value Fund/Unit Investment Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
24	USF & G Life Insurance Co. - Cash Value <i>Provider: USF & G Life Insurance Co.</i>	Life Insurance Whole	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
25	Venture BG, LLC <i>Company:</i> Venture BG, LLC (Baltimore, Md) <i>Description:</i> Real Estate Filer comment: See "Attachments and Comments"; evaluation based on cap rate of rental income less debt; income as reflected K1.	Business Entity Limited Liability Company (LLC)	Spouse	\$100,001 - - \$250,000	Rent/Royalties, Interest, Other, (Distribution)	\$2,501 - \$5,000 Other \$1,200.00
26	Maryland College Investment Plan <i>Institution:</i> College Savings Plans of Maryland	Education Savings Plans 529 College Savings Plan	Self			
26.1	Portfolio 2018	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
26.2	Portfolio 2021	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
27	Maryland College Investment Plan <i>Institution:</i> College Savings Plans of Maryland	Education Savings Plans 529 College Savings Plan	Spouse			
27.1	Portfolio 2021	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
27.1	Portfolio 2018	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
28	TIAA-Cref Retirement Plan - TIAA Traditional (Annuity) <i>Provider:</i> TIAA - Cref <i>Filer comment:</i> TIAA Traditional converted to Annuity on 11/7/13. No income reported in Section 2 since less than \$1000.	Annuity Fixed	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
29	IRA - Wells Fargo Advisors <i>Filer comment: Includes rollover from TIAA - Cref Retirement Plan and Deferred Compensation Plan</i>	Retirement Plans IRA	Spouse			
29.1	<u>XLE</u> - Energy Select Sector SPDR	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.2	<u>XLF</u> - Financial Select Sector SPDR	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.3	<u>XLI</u> - Industrial Select Sector SPDR	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.4	<u>AGZ</u> - iShares Agency Bond	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.5	<u>PFF</u> - iShares US Preferred Stock	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.6	<u>IEF</u> - iShares 7-10 Year Treasury Bond	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.7	<u>EMB</u> - iShares JPMorgan USD Emerg Markets Bond	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.8	<u>MBB</u> - iShares MBS	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
29.9	<u>TIP</u> - iShares TIPS Bond	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.10	<u>PCY</u> - PowerShares Emerging Mkts Sovereign Debt	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.11	<u>XLK</u> - Technology Select Sector SPDR	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.12	<u>XLP</u> - Consumer Staples Select Sector SPDR	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.13	<u>XLB</u> - Materials Select Sector SPDR	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.14	<u>XLV</u> - Health Care Select Sector SPDR	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.15	<u>XLY</u> - Consumer Discret Select Sector SPDR	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.16	<u>JNK</u> - SPDR Barclays High Yield Bond	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.17	<u>VEA</u> - Vanguard FTSE Developed Markets ETF	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
29.18	<u>VCIT</u> - Vanguard Interm-Tm Corp Bd Idx ETF	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.19	<u>VWO</u> - Vanguard Emerging Markets Stock Idx ETF	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.20	<u>VNQ</u> - Vanguard REIT Index ETF	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.21	Wells Fargo Advisors (Baltimore, Md) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
29.22	Israel Bond Rate/Coupon: 1.83 Matures: 2/1/17	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
29.23	<u>IJH</u> - iShares Core S&P Mid-Cap	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.24	<u>IJR</u> - iShares Core S&P Small-Cap	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.25	<u>AGG</u> - iShares Core US Aggregate Bond	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
29.26	<u>XLRE</u> - Real Estate Select Sector SPDR	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
30	Israel Bond <i>Rate/Coupon: 3.9963%</i> <i>Matures: 4/1/18</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Other, (OID)	Other \$99.00
31	Israel Bond <i>Rate/Coupon: 4%</i> <i>Matures: 1/1/20</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
32	IRA - Wells Fargo Advisors	Retirement Plans IRA	Self			
32.1	<u>PRTNX</u> - PIMCO Real Return A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
32.2	Wells Fargo Advisors (Baltimore, Md) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
32.3	Israel Bond <i>Rate/Coupon: 1.83</i> <i>Matures: 2/1/17</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
32.4	<u>IGOV</u> - iShares International Treasury Bond (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.5	<u>IEF</u> - iShares 7-10 Year Treasury Bond (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.6	<u>SHY</u> - iShares 1-3 Year Treasury Bond (NYSEArca)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
32.7	<u>CIU</u> - iShares Intermediate Credit Bond (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.8	<u>CSJ</u> - iShares 1-3 Year Credit Bond (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.9	<u>TLT</u> - iShares 20+ Year Treasury Bond (NYSEArca)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
32.10	<u>EMB</u> - iShares JPMorgan USD Emerg Markets Bond (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.11	<u>IJJ</u> - iShares S&P Mid-Cap 400 Value (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.12	<u>IJK</u> - iShares S&P Mid-Cap 400 Growth (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.13	<u>IYW</u> - iShares S&P 500 Growth (NYSEArca)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
32.14	<u>IVE</u> - iShares S&P 500 Value (NYSEArca)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
32.15	<u>DBC</u> - PowerShares DB Commodity Tracking ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
32.16	<u>JNK</u> - SPDR Barclays High Yield Bond ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
32.17	<u>VEA</u> - Vanguard FTSE Developed Markets ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
32.18	<u>VWO</u> - Vanguard FTSE Emerging Markets ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.19	<u>VNO</u> - Vanguard REIT ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.20	<u>VNQI</u> - Vanguard Global ex-US Real Estate ETF	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.21	<u>IJH</u> - iShares Core S&P Mid-Cap	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
32.22	<u>IJR</u> - iShares Core S&P Small-Cap	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
32.23	<u>AGG</u> - iShares Core US Aggregate Bond	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
33	Lansdowne Station Self Storage, LLC <i>Company:</i> Lansdowne Station Self Storage, LLC (Baltimore, Md) <i>Description:</i> Real Estate <i>Filer comment:</i> See "Attachments and Comments"; evaluation based on cap rate of rental income less debt; Income as reflected on K1	Business Entity Limited Liability Company (LLC)	Spouse	\$15,001 - \$50,000	Rent/Royalties, Interest, Other, (Distribution)	\$5,001 - \$15,000 Other \$8,400.00
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Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Self	<u>CVTCX</u>	Calamos Growth & Income C	Sale (Partial)	06/23/2016	\$15,001 - \$50,000	--
2	Self	<u>DDF</u>	Delaware Investments Dividend and Income Fund, Inc	Sale (Full)	10/11/2016	\$15,001 - \$50,000	--
3	Self	<u>CVTCX</u>	Calamos Growth & Income C	Purchase	12/15/2016	\$1,001 - \$15,000	distribution reinvestment
4	Self	<u>LBSAX</u>	Columbia Dividend Income A	Purchase	12/14/2016	\$1,001 - \$15,000	distribution reinvestment
5	Self	<u>CPOAX</u>	Morgan Stanley Multi Cap Growth A	Purchase	12/15/2016	\$1,001 - \$15,000	distribution reinvestment
6	Joint	<u>CHTRX</u>	Invesco Charter A	Purchase	12/13/2016	\$1,001 - \$15,000	distribution reinvestment
7	Joint	<u>LBSAX</u>	Columbia Dividend Income A	Purchase	12/14/2016	\$1,001 - \$15,000	distribution reinvestment
8	Self	--	Maryland 529 College Fund Portfolio 2018	Purchase	11/23/2016	\$1,001 - \$15,000	--
9	Self	--	Maryland 529 College Fund Portfolio 2021	Purchase	11/23/2016	\$1,001 - \$15,000	--
10	Spouse	--	Maryland 529 College Fund Portfolio 2018	Purchase	11/23/2016	\$1,001 - \$15,000	--
11	Spouse	--	Maryland 529 College Fund Portfolio 2021	Purchase	11/23/2016	\$1,001 - \$15,000	--
12	Joint	--	Meyer M. Cardin Trust - Invesco Global 45 Dividend Fund 2016 1	Purchase	01/07/2016	\$15,001 - \$50,000	--
13	Joint	--	Meyer M. Cardin Trust - Invesco Global 45 Dividend 2016 C	Purchase	07/25/2016	\$15,001 - \$50,000	--
14	Joint	--	Meyer M. Cardin Trust - Invesco Global 45 Dividend 15-3	Sale (Full)	hide me 10/03/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
15	Joint	--	Meyer M. Cardin Trust - Invesco Global 45 Dividend 2016 4C	Purchase	10/07/2016	\$1,001 - \$15,000	--
16	Spouse	<u>AGZ</u>	iShares Agency Bond	Sale (Partial)	04/07/2016	\$1,001 - \$15,000	IRA
17	Spouse	<u>IJT</u>	iShares S&P Small-Cap 600 Growth	Sale (Partial)	04/07/2016	\$1,001 - \$15,000	IRA
18	Spouse	<u>IJT</u>	iShares S&P Small-Cap 600 Growth	Sale (Full)	10/06/2016	\$1,001 - \$15,000	IRA
19	Spouse	<u>IJS</u>	iShares S&P Small-Cap 600 Value	Sale (Partial)	04/07/2016	\$1,001 - \$15,000	IRA
20	Spouse	<u>IJS</u>	iShares S&P Small-Cap 600 Value	Sale (Full)	10/06/2016	\$1,001 - \$15,000	IRA
21	Spouse	<u>PFF</u>	iShares US Preferred Stock	Sale (Partial)	04/07/2016	\$1,001 - \$15,000	IRA
22	Spouse	<u>IEF</u>	iShares 7-10 Year Treasury Bond	Purchase	01/07/2016	\$1,001 - \$15,000	IRA
23	Spouse	<u>SHY</u>	iShares 1-3 Year Treasury Bond	Sale (Full)	01/07/2016	\$1,001 - \$15,000	IRA
24	Spouse	<u>TLT</u>	iShares Trust - iShares 20+ Year Treasury Bond ETF	Purchase	01/07/2016	\$1,001 - \$15,000	IRA
25	Spouse	<u>TLT</u>	iShares Trust - iShares 20+ Year Treasury Bond ETF	Sale (Partial)	04/07/2016	\$1,001 - \$15,000	IRA
26	Spouse	<u>TLT</u>	iShares Trust - iShares 20+ Year Treasury Bond ETF	Sale (Full)	07/07/2016	\$1,001 - \$15,000	IRA
27	Spouse	<u>MBB</u>	iShares MBS	Sale (Partial)	07/07/2016	\$1,001 - \$15,000	IRA
28	Spouse	<u>MBB</u>	iShares MBS	Purchase	04/07/2016	\$1,001 - \$15,000	IRA
29	Spouse	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value	Sale (Full)	10/06/2016	\$1,001 - \$15,000	IRA
30	Spouse	<u>IJK</u>	iShares S&P Mid-Cap 400 Growth	Sale (Full)	10/06/2016	\$1,001 - \$15,000	IRA
31	Spouse	<u>JNK</u>	SPDR Blmbg Barclays High Yield Bd ETF	Sale (Partial)	10/06/2016 hide me	\$1,001 - \$15,000	IRA

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
32	Spouse	<u>IJH</u>	iShares Core S&P Mid-Cap	Purchase	10/06/2016	\$1,001 - \$15,000	IRA
33	Spouse	<u>IJR</u>	iShares Core S&P Small-Cap	Purchase	10/06/2016	\$1,001 - \$15,000	IRA
34	Spouse	<u>AGG</u>	iShares Core US Aggregate Bond	Purchase	07/07/2016	\$1,001 - \$15,000	IRA
35	Spouse	<u>AGG</u>	iShares Core US Aggregate Bond	Purchase	10/06/2016	\$1,001 - \$15,000	IRA
36	Spouse	<u>XLRE</u>	Real Estate Select Sector SPDR	Purchase	09/22/2016	\$1,001 - \$15,000	IRA
37	Spouse	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Sale (Partial)	04/07/2016	\$1,001 - \$15,000	IRA
38	Spouse	<u>VCIT</u>	Vanguard Interm-Term Corp Bd ETF	Purchase	04/07/2016	\$1,001 - \$15,000	IRA
39	Spouse	<u>VCIT</u>	Vanguard Interm-Term Corp Bd ETF	Sale (Partial)	07/07/2016	\$1,001 - \$15,000	IRA
40	Spouse	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Sale (Partial)	01/07/2016	\$1,001 - \$15,000	IRA
41	Spouse	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	IRA
42	Spouse	<u>VNQ</u>	Vanguard REIT ETF	Purchase	01/07/2016	\$1,001 - \$15,000	IRA
43	Spouse	<u>VNQ</u>	Vanguard REIT ETF	Purchase	04/07/2016	\$1,001 - \$15,000	IRA
44	Self	--	Invesco Closed End Strategy Sr Loan & Limited	Sale (Full)	07/20/2016	\$1,001 - \$15,000	IRA
45	Self	<u>IJT</u>	iShares S&P Small-Cap 600 Growth	Sale (Full)	08/22/2016	\$15,001 - \$50,000	IRA
46	Self	<u>IJS</u>	iShares S&P Small-Cap 600 Value	Sale (Full)	08/22/2016	\$15,001 - \$50,000	IRA
47	Self	<u>IEF</u>	iShares 7-10 Year Treasury Bond	Sale (Partial)	08/22/2016	\$15,001 - \$50,000	IRA
48	Self	<u>SHY</u>	iShares 1-3 Year Treasury Bond	Sale (Partial)	08/22/2016 hide me	\$1,001 - \$15,000	IRA

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
49	Self	<u>CIU</u>	iShares Intermediate Credit Bond	Sale (Partial)	08/22/2016	\$50,001 - \$100,000	IRA
50	Self	<u>CSJ</u>	iShares 1-3 Year Credit Bond	Sale (Partial)	08/26/2016	\$1,001 - \$15,000	IRA
51	Self	<u>TLT</u>	iShares Trust - iShares 20+ Year Treasury Bond ETF	Sale (Partial)	08/22/2016	\$1,001 - \$15,000	IRA
52	Self	<u>LOD</u>	iShares iBoxx \$ Invst Grade Crp Bond	Sale (Full)	08/22/2016	\$15,001 - \$50,000	IRA
53	Self	<u>IJH</u>	iShares Core S&P Mid-Cap	Purchase	08/22/2016	\$15,001 - \$50,000	IRA
54	Self	<u>IJR</u>	iShares Core S&P Small-Cap	Purchase	08/22/2016	\$50,001 - \$100,000	IRA
55	Self	<u>IJR</u>	iShares Core S&P Small-Cap	Sale (Partial)	08/26/2016	\$1,001 - \$15,000	IRA
56	Self	<u>IJR</u>	iShares Core S&P Small-Cap	Sale (Partial)	12/16/2016	\$1,001 - \$15,000	IRA
57	Self	<u>AGG</u>	iShares Core US Aggregate Bond	Purchase	08/22/2016	\$100,001 - \$250,000	IRA
58	Self	<u>AGG</u>	iShares Core US Aggregate Bond	Purchase	12/13/2016	\$1,001 - \$15,000	IRA
59	Self	<u>AGG</u>	iShares Core US Aggregate Bond	Sale (Partial)	08/26/2016	\$1,001 - \$15,000	IRA
60	Self	<u>AGG</u>	iShares Core US Aggregate Bond	Sale (Partial)	08/31/2016	\$1,001 - \$15,000	IRA
61	Self	<u>EMB</u>	iShares JPMorgan USD Emerg Markets Bond	Sale (Partial)	08/26/2016	\$1,001 - \$15,000	IRA
62	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value	Sale (Partial)	08/22/2016	\$1,001 - \$15,000	IRA
63	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value	Sale (Partial)	12/16/2016	\$1,001 - \$15,000	IRA
64	Self	<u>IJK</u>	iShares S&P Mid-Cap 400 Growth	Sale (Partial)	08/22/2016	\$1,001 - \$15,000	IRA

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
65	Self	<u>IVW</u>	iShares S&P 500 Growth	Sale (Partial)	08/22/2016	\$1,001 - \$15,000	IRA
66	Self	<u>IVW</u>	iShares S&P 500 Growth	Sale (Partial)	08/26/2016	\$1,001 - \$15,000	IRA
67	Self	<u>IVW</u>	iShares S&P 500 Growth	Sale (Partial)	08/31/2016	\$1,001 - \$15,000	IRA
68	Self	<u>IVE</u>	iShares S&P 500 Value	Sale (Partial)	08/22/2016	\$1,001 - \$15,000	IRA
69	Self	<u>IVE</u>	iShares S&P 500 Value	Sale (Partial)	08/26/2016	\$1,001 - \$15,000	IRA
70	Self	<u>IVE</u>	iShares S&P 500 Value	Sale (Partial)	08/31/2016	\$1,001 - \$15,000	IRA
71	Self	<u>DBC</u>	PowerShares DB Commodity Tracking ETF	Purchase	08/26/2016	\$1,001 - \$15,000	IRA
72	Self	<u>JNK</u>	SPDR Blmbg Barclays High Yield Bd ETF	Sale (Partial)	08/22/2016	\$1,001 - \$15,000	IRA
73	Self	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	08/22/2016	\$1,001 - \$15,000	IRA
74	Self	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Sale (Partial)	08/22/2016	\$15,001 - \$50,000	IRA
75	Self	<u>VNQ</u>	Vanguard REIT ETF	Sale (Partial)	08/26/2016	\$1,001 - \$15,000	IRA
76	Self	<u>IGOV</u>	iShares International Treasury Bond	Sale (Partial)	08/26/2016	\$1,001 - \$15,000	IRA
77	Spouse	<u>IEF</u>	iShares 7-10 Year Treasury Bond	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	IRA

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**
hide me

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **No**

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 1998 to present	Other (Board of Visitors)	University of Maryland Law School Baltimore, Md	Educational Organization	
2	Jan 1993 to present	Other (President's Advisory Board)	University of Maryland, Balto. Co. Baltimore, Md	Educational Organization	
3	Sep 2001 to present	Other (Advisory Board)	The Center for Democracy and the Interpretation of Civil Society St. Mary's City, Md	Educational Organization	
4	Aug 2007 to present	Other (Board of Visitors)	US Naval Academy Annapolis, Md	Educational Organization	
5	Sep 2007 to present	Other (Board of Visitors)	University of Maryland, School of Public Policy College Park, Md	Educational Organization	
6	Nov 2010 to present	Trustee	James Madison Memorial Fellowship Foundation Washington, D.C.	Educational Organization	
7	Jan 1999 to present	Trustee	Lawrence M. Katz Irrevocable Trust Baltimore, Md	Other (Personal Trust)	
8	Jan 2002 to present	Trustee	Herbert Rosen Irrevocable Trust Baltimore, Md	Other (Personal Trust)	
9	Jul 1991 to present	Trustee	Meyer M. Cardin Irrevocable Trust (Howard L. Cardin and family) Baltimore, Md	Other (Personal Trust)	
10	Jun 2009 to present	Other (Advisory Board)	Congressional Coalition on Adoption Institute National Angels in Adoption Washington, DC	Nonprofit Organization	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
				hide me

#	Date	Parties		Status and Terms
		Involved	Type	
1	Jan 1987	State of Maryland Annapolis, Md	Other (Retirement Benefits)	As a "retiree" from the State of Maryland, I receive pensions benefits (reported in Part 2) and participate in health insurance with the employer subsidizing part of the cost.

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? **No**

Attachments & Comments

No attachments added.

Comments

Venture BG is a LLC that holds the land formerly known as 3601 Washington Blvd., Balto., Md. (now 3500s and 3600s Washington Blvd., Balto., Md.). The filer's spouse owns 1% interest in the LLC. A 1% value of the land & improvements would be \$250,001-\$500,000 but subject to mortgages & loans (none personal liability of filer or spouse). A 1% of the income of rents would be \$50,001-\$100,000 but the rents are used for the expenses (including loan payments) of the LLC. Lansdowne Station Self Storage LLC was a wholly owned subsidiary of Venture BG, LLC but was transferred to a separate entity with common ownership on August 1, 2015 to operate the self storage rental facility. The filer's 1% value of the improvements would be \$1,001-\$15,000. A 1% of the income of rents and misc income would be \$5,001-\$15,000 but the rents used for the expenses of the LLC.

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