

Financial Disclosures

Annual Report for Calendar 2015

The Honorable Benjamin E Sasse (Sasse, Ben)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Salary (Salary - 2014)	Midland University Fremont, NE	\$81,304.33
2	Self	Other (Health benefits)	Midland University Fremont, NE	\$2,091.59

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income	
					Type	Income
1	TIP - iShares Trust - iShares TIPS Bond ETF (NYSEArca)	Mutual Funds	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

eFD: Home

Traded
Funds/Notes

URL: <https://efdsearch.senate.gov/search/home/>

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2	<u>SHY</u> - iShares Trust - iShares 1-3 Year Treasury Bond ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
3	<u>IWP</u> - iShares Russell Mid-Cap Growth	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
4	<u>IWN</u> - iShares Russell 2000 Value	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
5	<u>IWO</u> - iShares Russell 2000 Growth	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
6	<u>EMB</u> - iShares JPMorgan USD Emerg Markets Bond	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
7	<u>MBB</u> - iShares MBS	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
8	<u>GVI</u> - iShares Intm Government/Credit Bond	Mutual Funds Exchange Traded Funds/Notes	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
9	<u>JNK</u> - SPDR Barclays High Yield Bond	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10	<u>BLV</u> - Vanguard Long-Term Bond Index ETF	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11	<u>VEA</u> - Vanguard Tax-Managed Funds - Vanguard FTSE Developed Markets ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000 hide me	Dividends, Capital Gains,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
12	<u>VOE</u> - Vanguard Index Funds - Vanguard Mid-Cap Value ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
13	<u>VNOI</u> - Vanguard Global ex-US Real Estate ETF	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
14	<u>VSS</u> - Vanguard International Equity Index Funds - Vanguard FTSE All-World ex-US Small-Cap ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
15	<u>VWQ</u> - Vanguard Emerging Markets Stock Idx ETF	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
16	<u>VTV</u> - Vanguard Index Funds - Vanguard Value ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
17	<u>VUG</u> - Vanguard Index Funds - Vanguard Growth ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
18	TD Ameritrade (Omaha, NE) Type: Savings,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
19	Teacher Retirement System of Texas	Retirement Plans Defined Benefit Pension Plan	Self	\$15,001 - \$50,000	Dividends, Interest, Capital Gains,	\$201 - \$1,000
20	<u>PIOTX</u> - Pioneer Value A (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
21	<u>PIOBX</u> - Pioneer Bond A (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
22	Pinnacle Bank (Omaha, NE) <i>Type: Savings,</i> <i>Filer comment: Health Savings Account</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
23	USAA (San Antonio, TX) <i>Type: Checking,</i>	Bank Deposit	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
24	USAA (San Antonio, TX) <i>Type: Savings,</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	\$201 - \$1,000
25	Thrivent-CSV of Life Policy <i>Provider: Thrivent</i>	Life Insurance Whole	Self	\$1,001 - \$15,000	None,	\$201 - \$1,000
26	Vanguard Rollover IRA	Retirement Plans IRA	Spouse			
26.1	<u>VWNFX</u> - Vanguard Windsor II Inv	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
27	Vanguard Roth IRA	Retirement Plans IRA	Self			
27.1	VWNDX	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
27.2	<u>VWNFX</u> - Vanguard Windsor II Inv	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
28	TD Ameritrade SEP IRA	Retirement Plans IRA	Self			
28.1	TD Ameritrade (Omaha, NE) Type: IRA Cash Accounts,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
28.2	<u>TIP</u> - iShares TIPS Bond	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
28.3	<u>SHY</u> - iShares 1-3 Year Treasury Bond	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
28.4	<u>IWP</u> - iShares Russell Mid-Cap Growth	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
28.5	<u>IWN</u> - iShares Russell 2000 Value	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
28.6	<u>IWO</u> - iShares Russell 2000 Growth	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
28.7	<u>EMB</u> - iShares JPMorgan USD Emerg Markets Bond	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
28.8	<u>MBB</u> - iShares MBS	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
28.9	<u>GVI</u> - iShares Interm Government/Credit Bd	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000 hide me	Dividends, Capital Gains,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
28.10	<u>JNK</u> - SPDR Barclays High Yield Bond ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
28.11	<u>BLV</u> - Vanguard Long-Term Bond ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
28.12	<u>VEA</u> - Vanguard FTSE Developed Markets ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
28.13	<u>VNOI</u> - Vanguard Global ex-US Real Estate ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
28.14	<u>VSS</u> - Vanguard FTSE All-World ex-US Sm-Cp ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
28.15	<u>VWO</u> - Vanguard FTSE Emerging Markets ETF	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
28.16	<u>VOE</u> - Vanguard Mid-Cap Value ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
28.17	<u>VUG</u> - Vanguard Growth ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$201 - \$1,000
28.18	<u>VTV</u> - Vanguard Value ETF	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$1,001 - \$2,500
29	Vanguard Roth IRA	Retirement Plans IRA	Spouse			hide me

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
29.1	<u>VWNFX</u> - Vanguard Windsor II Inv	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
29.2	<u>VWNFX</u> - Vanguard Windsor II Inv	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
30	APCO Employees Credit Union (Birmingham, Alabama) Type: Savings,	Bank Deposit	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
31	APCO Employees Credit Union (Birmingham, Alabama) Type: Checking,	Bank Deposit	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Joint	<u>IWP</u>	iShares Russell Mid-Cap Growth	Sale (Partial)	04/28/2015	\$1,001 - \$15,000	--
2	Joint	<u>BWX</u>	SPDR Barclays International Trs Bd ETF	Sale (Full)	04/28/2015	\$1,001 - \$15,000	--
3	Joint	<u>VSS</u>	Vanguard FTSE All-World ex-US Sm-Cp ETF	Sale (Partial)	04/28/2015	\$1,001 - \$15,000	--
4	Joint	<u>VUG</u>	Vanguard Growth ETF	Sale (Partial)	04/28/2015	\$1,001 - \$15,000	--
5	Joint	--	Rental residence - Alexandria, VA	Sale (Full)	05/14/2015	\$500,001 - \$1,000,000	--
6	Joint	<u>TIP</u>	iShares TIPS Bond	Purchase	04/28/2015	\$1,001 - \$15,000	--

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
7	Joint	<u>WVO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	04/28/2015	\$1,001 - \$15,000	--
8	Joint	<u>EMB</u>	iShares JPMorgan USD Emerg Markets Bond	Purchase	04/28/2015	\$1,001 - \$15,000	--
9	Joint	<u>VTV</u>	Vanguard Value ETF	Purchase	04/28/2015	\$1,001 - \$15,000	--
10	Self	<u>SHY</u>	iShares 1-3 Year Treasury Bond	Purchase	04/14/2015	\$1,001 - \$15,000	Owned by IRA
11	Self	<u>VTV</u>	Vanguard Value ETF	Purchase	04/14/2015	\$1,001 - \$15,000	Owned by IRA
12	Self	<u>MBB</u>	iShares MBS	Purchase	04/14/2015	\$1,001 - \$15,000	Owned by IRA
13	Self	<u>BWX</u>	SPDR Barclays International Trs Bd ETF	Purchase	04/14/2015	\$1,001 - \$15,000	Owned by IRA
14	Self	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	04/14/2015	\$1,001 - \$15,000	Owned by IRA
15	Self	<u>GVI</u>	iShares Interm Government/Credit Bd	Purchase	04/14/2015	\$1,001 - \$15,000	Owned by IRA
16	Self	<u>VSS</u>	Vanguard FTSE All-World ex-US Sm-Cp ETF	Purchase	04/14/2015	\$1,001 - \$15,000	Owned by IRA
17	Self	<u>TIP</u>	iShares TIPS Bond	Purchase	04/28/2015	\$1,001 - \$15,000	Owned by IRA
18	Self	<u>WVO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	04/28/2015	\$1,001 - \$15,000	Owned by IRA
19	Self	<u>BWX</u>	SPDR Barclays International Trs Bd ETF	Sale (Full)	04/28/2015	\$1,001 - \$15,000	Sold by IRA
20	Self	<u>GVI</u>	iShares Interm Government/Credit Bd	Sale (Partial)	04/28/2015	\$1,001 - \$15,000	Sold by IRA
21	Self	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Sale (Partial)	04/28/2015	\$1,001 - \$15,000	Sold by IRA
22	Self	<u>VUG</u>	Vanguard Growth ETF	Sale (Partial)	04/28/2015	\$1,001 - \$15,000	Sold by IRA
23	Self	<u>EMB</u>	iShares JPMorgan USD Emerg Markets Bond	Purchase	04/28/2015	\$1,001 - \$15,000	Owned by IRA

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
24	Self	<u>IWP</u>	iShares Russell Mid-Cap Growth	Sale (Partial)	04/28/2015	\$1,001 - \$15,000	Sold by IRA
25	Self	<u>VSS</u>	Vanguard FTSE All-World ex-US Sm-Cp ETF	Sale (Partial)	04/28/2015	\$1,001 - \$15,000	Sold by IRA
26	Self	<u>MBB</u>	iShares MBS	Sale (Partial)	04/28/2015	\$1,001 - \$15,000	Sold by IRA
27	Self	<u>VTV</u>	Vanguard Value ETF	Purchase	04/28/2015	\$1,001 - \$15,000	Owned by IRA

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **Yes**

#	Date(s)	Traveler(s)	Travel Type	Itinerary	Reimbursed For	Who Paid	Comment
1	10/15/2015 to 10/16/2015	Self	Privately-Sponsored	DC to San Diego, CA, and return	Flights there and back; lodging; meal expenses	Club for Growth Washington, DC	Total amount of reimbursed flight, lodging and meals was \$1,601.65.
2	11/06/2015 to 11/08/2015	Self, Spouse, Dependent Child	Charity	DC to New Haven, CT, and return	Mileage, lodging and meals	Yale University William F Buckley Jr. Program New Haven, CT	Total reimbursement for Senator and family: \$1,034.28.

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2013	Joint	Mortgage	0	2.99% (10 years)	\$250,001 - \$500,000	AAA Bank Nebraska Omaha, NE	Paid off before the end of 2015

Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

Comments

Part 2. Earned and Non-Investment Income. Regarding the Midland University salary and health benefits: Final payment from Midland University, for service October, 2009, through January, 2015, concluded prior to swearing-in.

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