

Financial Disclosures

Annual Report for Calendar 2016 (Amendment 1)

The Honorable Bill Nelson (Nelson, Bill)

Filed 06/14/2017 @ 10:14 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Retirement	State of Florida Tallahassee, FL	\$50,551.00
2	Spouse	Other (Family Charity)	Kathleen McGehee Trust Jacksonville, FL	> \$1,000
3	Self	Other (Distribution)	Regions Bank IRA Orlando, Florida	\$23,000.00

Part 3. Assets * Amended

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

Asset	Asset Type	Owner	Value	Income Type	Income
1	Regions Bank (Orlando, FL) Type: Brokerage Sweep Account, Checking, Money Market Account,	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)

eFD: Home
URL: <https://efdsearch.senate.gov/search/home/Bank>
Deposit

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2	Regions Bank (Orlando, FL) Type: Brokerage Sweep Account, Checking, Money Market Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
3	<u>JOHIX</u> - JOHCM International Select I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
4	<u>BEXIX</u> - Baron Emerging Markets Institutional <i>Filer comment: Asset was purchased as 2 separate transactions in October & December 2016. Each transaction was less than \$1000, therefore not listed in Part 4B Transactions.</i>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5	<u>SDRIX</u> - Swan Defined Risk I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
6	<u>VTSAX</u> - Vanguard Total Stock Mkt Idx Adm	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
7	<u>DBLTX</u> - DoubleLine Total Return Bond I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
8	<u>LLDYX</u> - Lord Abbett Short Duration Income I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9	<u>JOHIX</u> - JOHCM International Select I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10	<u>SDRIX</u> - Swan Defined Risk I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11	SunAmerica Focused Dividend Strategy A (FDSAX) <i>Filer comment: Rebranded on 2/28/17 from SunAmerica to AIG Focused.</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
12	DBLTX - DoubleLine Total Return Bond I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13	Regions Bank IRA Account	Retirement Plans IRA	Self			
13.1	AIEVX - Invesco International Growth R5 (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.2	CIHIX - Cullen International High Dividend I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
13.3	GTSCX - Glenmede Small Cap Equity Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.4	SDRIX - Swan Defined Risk I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.5	PCBIX - Principal MidCap Institutional (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.6	SunAmerica Focused Dividend Strategy A (FDSAX) <i>Filer comment: Rebranded on 2/28/17 from SunAmerica to AIG Focused.</i>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13.7	<u>VFIAX</u> - Vanguard 500 Index Admiral (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
13.8	<u>LCMAX</u> - Driehaus Active Income (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.9	<u>FBNDX</u> - Fidelity Investment Grade Bond (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
13.10	<u>TGCFX</u> - TCW Core Fixed-Income I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
13.11	<u>TGBAX</u> - Templeton Global Bond Adv (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.12	<u>VBTLX</u> - Vanguard Total Bond Market Index Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
13.13	Regions Bank (Orlando, FL) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
13.14	<u>AQMIX</u> - AQR Managed Futures Strategy I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.15	<u>WMCJX</u> - William Blair Macro Allocation Instl	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13.16	BEXIX - Baron Emerging Markets Institutional	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.17	IMLPX - MainGate MLP I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.18	CMNIX - Calamos Market Neutral Income I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.19	PHYZX - Prudential High-Yield Z	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14	Real Property <i>Description:</i> Undeveloped Land (Brevard County, FL)	Real Estate Unimproved Land	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
15	Real Property <i>Description:</i> Pasture and Undeveloped Land (Brevard County, FL)	Real Estate Unimproved Land	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions * Amended

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
1	Self	<u>JYIIX</u>	JHancock Core High Yield I	Sale (Full)	05/16/2016	\$1,001 - \$15,000	IRA Account

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
2	Self	<u>PAAIX</u>	PIMCO All Asset Instl	Sale (Full)	05/16/2016	\$1,001 - \$15,000	IRA Account
3	Self	<u>PPSIX</u>	Principal Preferred Securities Inst	Sale (Full)	12/15/2016	\$1,001 - \$15,000	IRA Account
4	Self	<u>PCBIX</u>	Principal MidCap Institutional	Sale (Partial)	05/16/2016	\$1,001 - \$15,000	IRA Account
5	Self	<u>GTSCX</u>	Glenmede Small Cap Equity Instl	Sale (Partial)	05/16/2016	\$1,001 - \$15,000	IRA Account
6	Self	<u>SDRIX</u>	Swan Defined Risk I	Sale (Partial)	05/16/2016	\$1,001 - \$15,000	IRA Account
7	Self	<u>VFIAX</u>	Vanguard 500 Index Admiral	Sale (Partial)	06/08/2016	\$1,001 - \$15,000	IRA Account
8	Self	<u>WMCJX</u>	William Blair Macro Allocation Instl	Sale (Partial)	12/15/2016	\$1,001 - \$15,000	IRA Account
9	Self	<u>CIHIX</u>	Cullen International High Dividend I	Sale (Partial)	12/15/2016	\$1,001 - \$15,000	IRA Account
10	Self	--	VBTLX - Vanguard Total Bond Market Index Adm	Sale (Partial)	12/15/2016	\$1,001 - \$15,000	IRA Account
11	Self	<u>AIEVX</u>	Invesco International Growth R5	Sale (Partial)	12/15/2016	\$1,001 - \$15,000	IRA Account
12	Self	<u>SDRIX</u>	Swan Defined Risk I	Sale (Partial)	12/15/2016	\$1,001 - \$15,000	IRA Account
13	Self	<u>VFIAX</u>	Vanguard 500 Index Admiral	Sale (Partial)	12/15/2016	\$1,001 - \$15,000	IRA Account
14	Self	<u>GTSCX</u>	Glenmede Small Cap Equity Instl	Sale (Partial)	12/15/2016	\$1,001 - \$15,000	IRA Account
15	Self	--	SunAmerica Focused Dividend Strategy A (FDSAX)	Sale (Partial)	12/15/2016	\$1,001 - \$15,000	IRA Account. Rebranded on 2/28/17 from SunAmerica to AIG Focused.
16	Self	<u>AQMIX</u>	AQR Managed Futures Strategy I	Purchase	05/16/2016	\$1,001 - \$15,000	IRA Account
17	Self	<u>PHYZX</u>	Prudential High-Yield Z	Purchase	05/16/2016	\$1,001 - \$15,000	IRA Account

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
18	Self	<u>FBNDX</u>	Fidelity Investment Grade Bond	Purchase	05/16/2016	\$1,001 - \$15,000	IRA Account
19	Self	<u>CIHIX</u>	Cullen International High Dividend I	Purchase	05/16/2016	\$1,001 - \$15,000	IRA Account
20	Self	<u>WMCJX</u>	William Blair Macro Allocation Instl	Purchase	05/16/2016	\$1,001 - \$15,000	IRA Account
21	Self	--	CMNIX - Calamos Market Neutral Income I	Purchase	05/16/2016	\$1,001 - \$15,000	IRA Account
22	Self	<u>BEXIX</u>	Baron Emerging Markets Institutional	Purchase	06/08/2016	\$1,001 - \$15,000	IRA Account
23	Self	<u>CIHIX</u>	Cullen International High Dividend I	Purchase	06/08/2016	\$1,001 - \$15,000	IRA Account
24	Self	<u>IMLPX</u>	MainGate MLP I	Purchase	12/15/2016	\$1,001 - \$15,000	IRA Account
25	Self	<u>BEXIX</u>	Baron Emerging Markets Institutional	Purchase	12/15/2016	\$1,001 - \$15,000	IRA Account
26	Self	<u>MLPN</u>	Credit Suisse X-Links CushgMLPInfrasETN	Sale (Full)	05/18/2016	\$1,001 - \$15,000	IRA Account
27	Self	--	SunAmerica Focused Dividend Strategy A (FDSAX)	Sale (Partial)	05/16/2016	\$1,001 - \$15,000	Rebranded on 2/28/17 from SunAmerica to AIG Focused.
28	Self	--	SunAmerica Focused Dividend Strategy A (FDSAX)	Sale (Partial)	06/08/2016	\$1,001 - \$15,000	Rebranded on 2/28/17 from SunAmerica to AIG Focused.
29	Self	<u>JOHIX</u>	JOHCM International Select I	Purchase	06/08/2016	\$1,001 - \$15,000	--
30	Spouse	<u>VTSAX</u>	Vanguard Total Stock Mkt Idx Adm	Sale (Partial)	06/08/2016	\$1,001 - \$15,000	--
31	Spouse	<u>LLDYX</u>	Lord Abbett Short Duration Income I	Purchase	06/08/2016	\$1,001 - \$15,000	--
32	Spouse	<u>JOHIX</u>	JOHCM International Select I	Purchase	06/08/2016	\$1,001 - \$15,000	--

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Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2010	Self	Mortgage	0.25%	8.75-3.75% (30 years)	\$250,001 - \$500,000	SunTrust Bank Orlando, FL	Mortgage on Virginia residence
2	2011	Joint	Mortgage	0.5%	8.0-3.0% (30 years)	\$500,001 - \$1,000,000	SunTrust Bank Orlando, FL	Mortgage on Florida residence
3	2015	Joint	Home Equity Line of Credit	-	prime+.5% (20 years)	\$50,001 - \$100,000	Regions Bank ORLANDO, Florida	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Nov 2016 to present	Other (Member)	Goddard Memorial Trophy Selection Committee Washington, DC	Nonprofit Organization	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? **This is not my first report.**

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Attachments & Comments

No attachments added.

No comments added.

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