

# Financial Disclosures

## Annual Report for Calendar 2016

**The Honorable Robert P Casey Jr. (Casey, Robert P. Jr.)**

Filed 05/15/2017 @ 5:34 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who received payment?
1	01/09/2016	Appearance	\$700.00	Rodney Hartman New Holland, PA	A Charity
2	01/21/2016	Appearance	\$350.00	Steven Haddad Kutztown, PA	A Charity
3	02/20/2016	Appearance	\$600.00	Kimberly and Seth French Paoli, PA	A Charity
4	02/27/2016	Appearance	\$250.00	Chris Hoeshell Greensburg, PA	A Charity
5	03/05/2016	Appearance	\$850.00	Randi Sellari Bryn Mawr, PA	A Charity
6	03/05/2016	Appearance	\$650.00	Henry Doran Lafayette Hill, PA	A Charity
7	03/11/2016	Appearance	\$2,000.00	Anastasia Detwiler and Mark Aitken Wilmington, DE	A Charity
8	03/10/2016	Appearance	\$250.00	Angus Dowton Philadelphia, PA	A Charity
9	03/18/2016	Appearance	\$580.00	Amish Desai Landenberg, PA	A Charity
10	03/19/2019	Appearance	\$700.00	Maplewood search Wynnewood, PA	A Charity
11	04/02/2016	Appearance	\$1,000.00	Frank Brescia Harrisburg, PA	A Charity

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#	Date	Activity	Amount	Who Paid?	Who received payment?
12	04/06/2016	Appearance	\$300.00	Joe Krzywicki Enola, PA	A Charity
13	04/07/2016	Appearance	\$400.00	Melanie and Lewis Sharps Bryn Mawr, PA	A Charity
14	04/08/2017	Appearance	\$300.00	Sue Gelb Jekintown, PA	A Charity
15	04/09/2016	Appearance	\$1,200.00	Rona Buchalter Philadelphia, PA	A Charity
16	04/09/2016	Appearance	\$400.00	McCuster Household Lafayette Hill, PA	A Charity
17	04/15/2016	Appearance	\$600.00	Judy Fitzgerald Media, PA	A Charity
18	04/16/2016	Appearance	\$2,000.00	Marleen Karns Mechanicsburg, PA	A Charity
19	04/16/2016	Appearance	\$800.00	Paul Palko Pittsburgh, PA	A Charity
20	04/20/2016	Appearance	\$700.00	Manoj Muttreja Meadowbrook, PA	A Charity
21	04/20/2016	Appearance	\$800.00	Charles P. Goodwin and Nicole D. Galli Philadelphia, PA	A Charity
22	04/21/2016	Appearance	\$262.00	Pam Brumbaugh Souderton, PA	A Charity
23	04/27/2016	Appearance	\$1,000.00	Donna and William Oliver Devon, PA	A Charity
24	04/30/2016	Appearance	\$660.00	Amina and Tom Loder Philadelphia, PA	A Charity
25	04/30/2016	Appearance	\$1,000.00	Patricia Stevens West Chester, PA	A Charity
26	05/01/2016	Appearance	\$225.00	Sandy Capps Elkins Park, PA	A Charity
27	05/05/2016	Appearance	\$700.00	Pat and Scott Latimer Lower Gwynedd, PA	A Charity
28	05/07/2016	Appearance	\$130.00	Joseph Okronley Seven Valleys, PA	A Charity
29	05/07/2016	Appearance	\$250.00	Jennifer Greene Elkins Park, PA	A Charity
30	05/14/2016	Appearance	\$500.00	Bob Tabernik Norristown, PA	A Charity

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#	Date	Activity	Amount	Who Paid?	Who received payment?
31	05/15/2016	Appearance	\$150.00	Cathy Kornfield Leola, PA	A Charity
32	06/23/2016	Appearance	\$800.00	Kelly Faggioli Chester Springs, PA	A Charity
33	06/04/2016	Appearance	\$500.00	Dave Devan Somerset, PA	A Charity
34	06/04/2016	Appearance	\$350.00	Earl Andrews Reading, PA	A Charity
35	06/09/2016	Appearance	\$175.00	Linda and Kevin Dupell Eagleville, PA	A Charity
36	06/10/2016	Appearance	\$250.00	Ann Byun Swarthmore, PA	A Charity
37	06/23/2016	Appearance	\$155.00	Innocent Onwubiko Upper Darby, PA	A Charity
38	06/24/2016	Appearance	\$450.00	Lydell Nunn York, PA	A Charity
39	06/27/2106	Appearance	\$375.00	Tony Peterman Wayne, PA	A Charity
40	08/02/2016	Appearance	\$205.00	Patti Smith West Lawn, PA	A Charity
41	08/07/2016	Appearance	\$500.00	Janice Kletzel Lansdale, PA	A Charity
42	09/15/2016	Appearance	\$1,200.00	Monica Koman Pittsbrugh, PA	A Charity
43	09/24/2016	Appearance	\$400.00	Nan Barash Bryn Mawr, PA	A Charity
44	10/06/2016	Appearance	\$750.00	Mike Sullivan Philadelphia, PA	A Charity
45	10/06/2016	Appearance	\$350.00	Matthew Gwin Villanova, PA	A Charity
46	10/07/2016	Appearance	\$750.00	Jack Fentress Philadelphia, PA	A Charity
47	10/15/2016	Appearance	\$1,800.00	Bradley Hess Philadelphia, PA	A Charity
48	10/27/2016	Appearance	\$425.00	Sheila Alper Blue Bell, PA	A Charity
49	11/04/2016	Appearance	\$500.00	Diane and Bruce Bennett Plymouth Meeting, PA	A Charity

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#	Date	Activity	Amount	Who Paid?	Who received payment?
50	11/10/2016	Appearance	\$700.00	Lauren Ranalli West Chester, PA	A Charity

## Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

## Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	<b>FBGRX - Fidelity Blue Chip Growth (NASDAQ)</b> <i>Filer comment: Mutual fund.</i>	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2	<b>FBGRX - Fidelity Blue Chip Growth (NASDAQ)</b> <i>Filer comment: Mutual Fund</i>	Mutual Funds Mutual Fund	Child	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
3	<b>Fidelity Investments 529 Account</b> <i>Institution: Fidelity Investments</i>	Education Savings Plans 529 College Savings Plan	Child		Excepted Investment Fund,	
3.1	<b>MA Moderate Growth Portfolio</b>	Mutual Funds Mutual Fund	Child	\$50,001 - \$100,000	None, Excepted Investment Fund,	None (or less than \$201)
4	<b>Fidelity Investments 529 Account</b> <i>Institution: Fidelity Investments</i>	Education Savings Plans 529 College Savings Plan	Child			
4.1	<b>MA Aggressive Growth Portfolio</b>	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	None, Excepted Investment Fund,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
5	<b>Fidelity Investments 529 Account</b> <i>Institution:</i> Fidelity Investments	Education Savings Plans 529 College Savings Plan	Child			
5.1	<b>Massachusetts College Portfolio</b> <i>Filer comment:</i> Formerly known as MA Portfolio 2015, the fund is now called Massachusetts College Portfolio.	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	None, Excepted Investment Fund,	None (or less than \$201)
6	<b>FE - FirstEnergy Corp. (NYSE)</b>	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7	<b>FE - FirstEnergy Corp. (NYSE)</b>	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
8	<b>United States Senate Federal Credit Union</b> (Washington, DC) <i>Type:</i> Checking,	Bank Deposit	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9	<b>Pennsylvania State Employees Retirement System</b>	Retirement Plans Defined Benefit Pension Plan	Self	\$100,001 - \$250,000	None, Excepted Investment Fund,	None (or less than \$201)
10	<b>Ameriprise One Financial Account</b>	Brokerage/Managed Account	Joint			
10.1	<b>JDFAX - Janus Flexible Bond A</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
10.2	<b>Ameriprise Insured</b> (Minneapolis, MN) <i>Type:</i> Money Market Account,	Bank Deposit	Joint	\$15,001 - \$50,000	None, Interest,	None (or less than \$201)
11	<b>Now U</b> <i>Institution:</i> Pennsylvania	Education Savings Plans 529 Prepaid Tuition	Child	\$1,001 - <del>\$15,000</del> hide me	None, <del>Excepted</del> Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
12	<b>Now U</b> <i>Institution: Pennsylvania</i> <i>Filer comment: Guaranteed savings plan for pre-paid college credits.</i>	Education Savings Plans 529 Prepaid Tuition	Child	\$1,001 - \$15,000	None, Excepted Investment Fund,	None (or less than \$201)
13	<b>First National Community Bank</b> (Dunmore, PA) <i>Type: Checking,</i>	Bank Deposit	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
14	<b>First Community National Bank</b> (Dunmore, PA) <i>Type: Savings,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
15	<b>First National Community Bank</b> (Dunmore, PA) <i>Type: Checking,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Child	--	MA Moderate Growth	Sale (Partial)	06/28/2016	\$15,001 - \$50,000	Institution: Fidelity Investments
2	Child	--	MA Moderate Growth	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	Institution: Fidelity Investments
3	Child	--	MA Agressive Growth	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	Institution: Fidelity Investments
4	Child	--	MA Portfolio 2015	Sale (Partial)	08/02/2016	\$1,001 - \$15,000	Institution: Fidelity Investments

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
5	Child	--	Fidelity Blue Chip Partial (FBGRX)	Sale (Partial)	12/14/2016	\$1,001 - \$15,000	Institution: Fidelity Investments
6	Child	--	Now U	Sale (Partial)	12/30/2016	\$1,001 - \$15,000	Institution: Pennsylvania

**Part 5. Gifts**  
 Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

**Part 6. Travel**  
 Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

**Part 7. Liabilities**  
 Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2008	Joint	Mortgage	0	4.250% (30 years)	\$50,001 - \$100,000	First National Community Bank Dumore, Pennsylvania	Adjustable rate mortgage.
2	2008	Joint	Home Equity Line of Credit	-	5% (20 years)	\$15,001 - \$50,000	First National Community Bank Dumore, Pennsylvania	-
3	2014	Joint	Mortgage	0	4.5% (comes due 11/17/2026)	\$15,001 - \$50,000	Wayne Bank Honesdale, Pennsylvania	-

**Part 8. Positions**  
 Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Nov 1988 to present	Other (Member)	Lackawanna County Bar Association Scranton, Pennsylvania	Other (Professional Association)	hide me

### Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Jan 2002	Pennsylvania State Retirement System Harrisburg, Pennsylvania	Other (pension plan)	Vested member of the state employee defined pension plan.

### Part 10. Compensation

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

### Attachments & Comments

*No attachments added.*

*No comments added.*

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