

Financial Disclosures

Annual Report for Calendar 2015

The Honorable Robert P Corker Jr. (Corker, Bob)

Filed 08/13/2016 @ 4:57 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	Corker Development Corporation <i>Company:</i> Corker Development Corporation (Chattanooga, TN) <i>Description:</i> Partnership interest in Limited Partnerships	Business Entity General Partnership	Self	\$500,001 - \$1,000,000		
1.1	Raymond James - Wealth Preservation Advisors (Chattanooga, TN) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
<div style="border: 1px solid black; padding: 5px; display: inline-block;"> <p>eFD: Home URL: eFD: Home https://efdsearch.senate.gov/search/home/</p> <hr/> <p>hide me</p> </div>						

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2	Corker Properties X, L.P. <i>Company:</i> Corker Properties X, L.P. (Chattanooga, TN) <i>Description:</i> Rental Real Estate	Business Entity Limited Partnership (LP)	Self			
2.1	The Volunteer Buidling <i>Description:</i> 832 Georgia Avenue (Chattanooga, TN) <i>Filer comment:</i> This property's value includes the commercial building, side lots, parking garage, and rear parking lot.	Real Estate Commercial	Self	\$5,000,001 - \$25,000,000	Rent/Royalties,	\$1,000,001 - \$5,000,000
2.2	Raymond James - Wealth Preservation Advisors (Chattanooga, TN) <i>Type:</i> Checking,	Bank Deposit	Self	\$500,001 - \$1,000,000	Interest,	None (or less than \$201)
2.3	Goodhew, LLC <i>Description:</i> Business Entity - Apparel Manufacture (socks) (Chattanooga, TN)	Other Securities	Self	\$100,001 - \$250,000	Other, (Business Income (K-1))	\$50,001 - \$100,000 Other \$51,725.00
2.4	Sweetgreen - Preferred Stock <i>Description:</i> Sweetgreen - Fast Casual Restaurant Franchise (Washington, D.C.)	Other Securities	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	None (or less than \$201)
3	Cumberland Trust and Investment Company <i>Description:</i> Trust Services (Nashville, TN)	Other Securities	Self	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
4	Land <i>Description:</i> Dorothy Burchfield Butler Family Limited Partnership (Sevierville, TN)	Real Estate Unimproved Land	Spouse	\$500,001 - \$1,000,000	Rent/Royalties,	None (or less than \$201)
5	Land <i>Description:</i> Dorothy Burchfield Butler Management Company (Sevierville, TN)	Real Estate Unimproved Land	Spouse	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
6	Dorothy Burchfield Butler Estate <i>Description:</i> Estate of Dorothy Burchfield (Chattanooga, TN)	Other Securities	Spouse			
6.1	Tennessee State Bank (Sevierville, TN) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest,	\$201 - \$1,000
7	Whole Life Insurance <i>Provider:</i> Northwestern Mutual	Life Insurance Whole	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
8	City of Chattanooga, TN Pension Plan	Retirement Plans Defined Benefit Pension Plan	Self	\$100,001 - \$250,000	Dividends,	\$15,001 - \$50,000
9	Raymond James - Wealth Preservation Adviosrs	Brokerage/Managed Account	Self			
9.1	<u>OHYFX</u> - JPMorgan High Yield Select (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
9.2	<u>OOSYX</u> - Oppenheimer Senior Floating Rate Y (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
9.3	<u>THOPX</u> - Thompson Bond (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
9.4	<u>IWO</u> - iShares Russell 2000 Growth (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
9.5	<u>IJJ</u> - iShares S&P Mid-Cap 400 Value (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
9.6	<u>IJS</u> - iShares S&P Small-Cap 600 Value (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
9.7	SCHV - Schwab US Large-Cap Value ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
9.8	XLE - Energy Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
9.9	Georgia State Housing and Financial Revenue - Cusip 373539S30 <i>Rate/Coupon: 3.55</i> <i>Matures: 12/01/2025</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
9.10	Lee County Florida School Board - Cusip 523494MH2 <i>Rate/Coupon: 3.75</i> <i>Matures: 08/01/2027</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
9.11	Mississippi Development Bond - Cusip 60534TVQ5 <i>Rate/Coupon: 3.25</i> <i>Matures: 10/01/2024</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
9.12	Oyster Bay New York Public Impact - Cusip 692160KP8 <i>Rate/Coupon: 4</i> <i>Matures: 03/01/2028</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
9.13	Poughkeepsie Town New York Library Purpose - Cusip 738663Y72 <i>Rate/Coupon: 3</i> <i>Matures: 12/15/19</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
9.14	St. Landry Parish Louisiana Revenue Bonds - Cusip 791023AK0 <i>Rate/Coupon: 3</i> <i>Matures: 03/01/2023</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
9.15	CBL - CBL & Associates Properties	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
9.16	<u>MASFX</u> - Litman Gregory Masters Alt Strats Instl	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
9.17	<u>IVW</u> - iShares S&P 500 Growth	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
9.18	<u>LALDX</u> - Lord Abbett Short Duration Income A	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
9.19	<u>BSIIX</u> - BlackRock Strategic Income Opps Instl	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
9.20	<u>BHYIX</u> - BlackRock High Yield Bond Instl	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
9.21	<u>AMPLP</u> - Alerian MLP ETF	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
9.22	<u>MLPOX</u> - Oppenheimer SteelPath MLP Alpha Y	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
9.23	<u>BNDX</u> - Vanguard Total Intl Bd Idx ETF	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
9.24	Raymond James - Wealth Preservation Advisors (Chattanooga, TN) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$500,001 - \$1,000,000	Interest,	\$1,001 - \$2,500
9.25	<u>WLL</u> - Whiting Petroleum Corp.	Corporate Securities Stock	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
9.26	<u>OAS</u> - Oasis Petroleum Inc.	Corporate Securities Stock	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
9.27	<u>QQQ</u> - PowerShares QQQ ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,000,001 - \$5,000,000	Dividends,	None (or less than \$201)
9.28	<u>SPY</u> - SPDR S&P 500 ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,000,001 - \$5,000,000	Dividends,	None (or less than \$201)
9.29	<u>DIA</u> - SPDR Dow Jones Industrial Average ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,000,001 - \$5,000,000	Dividends,	None (or less than \$201)
9.30	<u>IJK</u> - iShares S&P Mid-Cap 400 Growth	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
10	Raymond James - Wealth Preservation Advisors	Retirement Plans IRA	Spouse			
10.1	<u>ABRYX</u> - Invesco Balanced- Risk Allc Y (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
10.2	<u>BREFX</u> - Baron Real Estate Retail (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
10.3	<u>CDOZX</u> - Columbia Dividend Opportunity Z (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
10.4	<u>OAKIX</u> - Oakmark International I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
10.5	<u>HWIAX</u> - Hotchkis & Wiley Capital Income A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
10.6	<u>WHIAX</u> - Ivy High Income A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
10.7	NEFFX - American Funds New Economy F2 (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
10.8	OOSYX - Oppenheimer Senior Floating Rate Y (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10.9	PMCPX - Principal MidCap P (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
10.10	HDPSX - Hodges Small Cap Retail (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
10.11	PNRZX - Prudential Jennison Natural Resources Z (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
10.12	THOPX - Thompson Bond (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10.13	QAI - IQ Hedge Multi-Strategy Tracker ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10.14	AOMNX - AQR Managed Futures Strategy N (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
10.15	Raymond James - Wealth Preservation Advisors (Chattanooga, TN) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
11	Pensco IRA	Retirement Plans IRA	Self			
11.1	Pensco (Denver, CO) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000 hide me	Interest,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.2	Covenant Apartment Fund VIII, LP <i>Company:</i> Covenant Apartment Fund VIII, LP (Nashville, TN) <i>Description:</i> Commerical Real Estate/Apartment Development	Business Entity Limited Partnership (LP)	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	None (or less than \$201)
12	Minnesota Life - Variable Adjustable Life Insurance	Life Insurance Variable	Self			
12.1	Ivy Growth Subaccount	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
12.2	SFT Advantage Index 500 - Subaccount	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
12.3	Ivy VIP International Core - Subaccount	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
12.4	SFT Ivy Small Growth	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
12.5	Ivy VIP Value	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
13	NLL Holdings, LLC <i>Company:</i> NLL, LLC (Dover, DE) <i>Description:</i> Commercial real estate projects	Business Entity Limited Liability Company (LLC)	Self	\$1,000,001 - \$5,000,000	Interest,	\$100,001 - \$1,000,000
14	Third Floor Grantor Trust	Trust General (Trust)	Self			

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
14.1	<p>McGowin Park, LLC</p> <p><i>Company:</i> McGowin Park, LLC (Chattanooga, TN) <i>Description:</i> Commercial Real Estate Project <i>Filer comment:</i> Third Floor Grantor Trust is a 13.73% member of McGowin Park, LLC</p>	<p>Business Entity</p> <p>Limited Liability Company (LLC)</p>	Self	<p>\$1,000,001 -</p> <p>\$5,000,000</p>		
14.1.1	<p>McGowin Park Shopping Center</p> <p><i>Description:</i> Interstate 65 & Highway 90 (Mobile, AL)</p>	<p>Real Estate</p> <p>Commercial</p>	Self	<p>\$1,000,001 -</p> <p>\$5,000,000</p>	Rent/Royalties,	<p>\$100,001 -</p> <p>\$1,000,000</p>
14.2	<p>1150, LLC</p> <p><i>Company:</i> 1150, LLC (Chattanooga, TN) <i>Description:</i> Commercial Real Estate</p>	<p>Business Entity</p> <p>Limited Liability Company (LLC)</p>	Self	<p>\$1,000,001 -</p> <p>\$5,000,000</p>		
14.2.1	<p>1150 Hixson Pike, Chattanooga, TN 37402</p> <p><i>Description:</i> Commercial Real Estate (Chattanooga, TN)</p>	<p>Real Estate</p> <p>Commercial</p>	Self	<p>\$1,000,001 -</p> <p>\$5,000,000</p>	Rent/Royalties,	<p>\$100,001 -</p> <p>\$1,000,000</p>
14.2.2	<p>CapitalMark Bank (now Pinnacle Bank)</p> <p>(Chattanooga, TN)</p> <p><i>Type:</i> Checking,</p>	Bank Deposit	Self	<p>\$50,001 -</p> <p>\$100,000</p>	Interest,	None (or less than \$201)
14.3	<p>McGowin Park Incentive Manager, LLC</p> <p><i>Company:</i> McGowin Park Incentive Manager, LLC (Chattanooga, TN) <i>Description:</i> Entity formed to become a member of McGowin Park Incentive, LLC <i>Filer comment:</i> Third Floor Grantor Trust is a 13.73% member in McGowin Park Incentive Manager, LLC</p>	<p>Business Entity</p> <p>Limited Liability Company (LLC)</p>	Self			

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
14.3.1	McGowin Park Incentive, LLC <i>Company:</i> McGowin Park Incentive, LLC (Chattanooga, TN) <i>Description:</i> Entity to hold specific assets that were transferred out of McGowin Park, LLC <i>Filer comment:</i> McGowin Park Incentive Manager, LLC is a 92% owner in McGowin Park Incentive, LLC	Business Entity Limited Liability Company (LLC)	Self			
14.3.1.1	City of Mobile Limited Obligation Project Revenue Warrant <i>Description:</i> Project Revenue Warrant (Mobile, AL)	Other Securities	Self	\$1,000,001 - \$5,000,000	Other, (Project Sales Tax Revenue)	Other \$40,349.31
14.3.1.2	Mobile County Limited Obligation Project Revenue Warrant <i>Description:</i> Project Revenue Warrant (Mobile, AL)	Other Securities	Self	\$1,000,001 - \$5,000,000	Other, (Project Sales Tax Revenue)	Other \$40,349.31
15	Raymond James - Wealth Preservation Advisors Traditional IRA	Retirement Plans IRA	Self			
15.1	<u>BREFX</u> - Baron Real Estate Retail	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
15.2	<u>CDOZX</u> - Columbia Dividend Opportunity Z	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
15.3	<u>OOSYX</u> - Oppenheimer Senior Floating Rate Y	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
15.4	<u>BSIIX</u> - BlackRock Strategic Income Opps Instl	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
15.5	BHYIX - BlackRock High Yield Bond Instl	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
15.6	AMLP - Alerian MLP ETF	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
15.7	TGBAX - Templeton Global Bond Adv	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
15.8	MLPOX - Oppenheimer SteelPath MLP Alpha Y	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
15.9	Raymond James - Wealth Preservation Advisors (Chattanooga, TN) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$1,000,001 - \$5,000,000	Interest,	None (or less than \$201)
16	Raymond James - Wealth Preservation Advisors (Chattanooga, TN) <i>Type:</i> Checking,	Bank Deposit	Self	\$250,001 - \$500,000	Interest,	None (or less than \$201)
17	Raymond James - Wealth Preservation Advisors (Chattanooga, TN) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Self	\$5,000,001 - \$25,000,000	Interest,	\$1,001 - \$2,500
18	Chestnut Development Partners II, LP <i>Company:</i> Chestnut Development Partners (Chattanooga, TN) <i>Description:</i> Commercial Real Estate Partnership	Business Entity Limited Partnership (LP)	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$201 - \$1,000
19	Sun Trust Bank (Chattanooga, TN) <i>Type:</i> Checking,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
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Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction								
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment	
1	12/31/2015	Self	--	Oasis Pete Inc. - OAS	Purchase	\$500,001 - \$1,000,000	--	
2	12/31/2015	Self	--	Whiting Pete Corp. - WLL	Purchase	\$500,001 - \$1,000,000	--	
3	12/31/2015	Self	--	Chesapeake Energy Corp - CHK	Sale (Full)	\$500,001 - \$1,000,000	--	
4	12/30/2015	Self	--	Chipotle Mexican Grill - CMG	Sale (Full)	\$100,001 - \$250,000	--	
5	12/23/2015	Self	--	Chipotle Mexican Grill - CMG	Purchase	\$100,001 - \$250,000	--	
6	12/21/2015	Self	--	Chesapeake Energy Corp - CHK	Purchase	\$500,001 - \$1,000,000	--	
7	12/17/2015	Self	--	MAC - Macerich Company	Sale (Full)	\$500,001 - \$1,000,000	--	
8	12/17/2015	Self	--	CHK - Chesapeake Energy Corporation	Sale (Full)	\$500,001 - \$1,000,000	--	
9	11/13/2015	Self	--	Macerich Company REIT - MAC	Purchase	\$250,001 - \$500,000	--	
10	11/12/2015	Self	--	Chesapeake Energy Corporation - CHK	Purchase	\$500,001 - \$1,000,000	--	
11	10/09/2015	Self	--	CBL & Associates Properties Inc - CBL	Sale (Full)	\$500,001 - \$1,000,000	--	
12	09/16/2015	Self	MAC	The Macerich Company (NYSE)	Sale (Full)	\$250,001 - \$500,000	--	
13	09/03/2015	Self	MAC	The Macerich Company (NYSE)	Sale (Partial)	\$250,001 - \$500,000	--	
14	08/25/2015	Self	CHK	Chesapeake Energy Corporation (NYSE)	Sale (Full)	\$1,000,001 - \$5,000,000	--	
15	08/24/2015	Self	CBL	CBL & Associates Properties Inc. (NYSE)	Purchase	\$500,001 - \$1,000,000	--	
16	08/24/2015	Self	CHK	Chesapeake Energy Corporation (NYSE)	Purchase	\$1,000,001 - \$5,000,000	--	

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
17	08/24/2015	Self	MAC	The Macerich Company (NYSE)	Purchase	\$250,001 - \$500,000	--
18	08/24/2015	Self	MAC	The Macerich Company (NYSE)	Purchase	\$250,001 - \$500,000	--
19	08/21/2015	Self	--	St. Landry Parish LA Sales Tax Rev - CUSIP 791023AK0 <i>Rate/Coupon: 3</i> <i>Matures: 03/01/2023</i>	Sale (Full)	\$50,001 - \$100,000	--
20	08/21/2015	Self	--	Poughkeepsie Town NY Build America - CUSIP 738663Y72 <i>Rate/Coupon: 3</i> <i>Matures: 12/15/19</i>	Sale (Full)	\$50,001 - \$100,000	--
21	08/21/2015	Self	--	Oyster Bay NY Pub IMPT Bonds - CUSIP 692160KP8 <i>Rate/Coupon: 4</i> <i>Matures: 03/01/2028</i>	Sale (Full)	\$50,001 - \$100,000	--
22	08/21/2015	Self	--	Mississippi Development Horn Lake - CUSIP 60534TVQ5 <i>Rate/Coupon: 3.25</i> <i>Matures: 10/01/2024</i>	Sale (Full)	\$50,001 - \$100,000	--
23	08/21/2015	Self	--	Lee County FL School Board - CUSIP 523494MH2 <i>Rate/Coupon: 3.75</i> <i>Matures: 08/01/2027</i>	Sale (Full)	\$50,001 - \$100,000	--
24	08/21/2015	Self	--	Georgia State Housing and Financial - CUSIP 373539S30 <i>Rate/Coupon: 3.55</i> <i>Matures: 12/01/2025</i>	Sale (Full)	\$50,001 - \$100,000	--
25	08/12/2015	Self	MAC	The Macerich Company (NYSE)	Sale (Full)	\$1,000,001 - \$5,000,000	--
26	07/15/2015	Self	MAC	The Macerich Company (NYSE)	Purchase	\$1,000,001 - \$5,000,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount <i>hide me</i>	Comment
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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
1	Self	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	12/18/2015	\$500,001 - \$1,000,000	--
2	Self	<u>QQQ</u>	PowerShares QQQ ETF	Purchase	12/18/2015	\$500,001 - \$1,000,000	--
3	Self	<u>DIA</u>	SPDR Dow Jones Industrial Average ETF	Purchase	12/18/2015	\$1,000,001 - -\$5,000,000	--
4	Self	<u>MASFX</u>	Litman Gregory Masters Alt Strats Instl	Sale (Full)	10/14/2015	\$100,001 - \$250,000	--
5	Self	<u>WMCNX</u>	William Blair Macro Allocation N	Sale (Full)	10/14/2015	\$250,001 - \$500,000	--
6	Self	<u>DHLSX</u>	Diamond Hill Long-Short I	Sale (Full)	10/14/2015	\$250,001 - \$500,000	--
7	Self	<u>CLLIX</u>	Collins Alternative Solutions Instl	Sale (Full)	10/14/2015	\$250,001 - \$500,000	--
8	Self	<u>MLPOX</u>	Oppenheimer SteelPath MLP Alpha Y	Sale (Full)	10/14/2015	\$100,001 - \$250,000	--
9	Self	<u>ABRYX</u>	Invesco Balanced-Risk Allc Y	Sale (Full)	10/14/2015	\$500,001 - \$1,000,000	--
10	Self	<u>AEPEX</u>	American Funds Europacific Growth F2	Sale (Full)	10/14/2015	\$250,001 - \$500,000	--
11	Self	<u>SCHV</u>	Schwab US Large-Cap Value ETF	Sale (Full)	10/14/2015	\$100,001 - \$250,000	--
12	Self	<u>IWW</u>	iShares S&P 500 Growth	Sale (Full)	10/14/2015	\$100,001 - \$250,000	--
13	Self	<u>IJK</u>	iShares S&P Mid-Cap 400 Growth	Sale (Full)	10/14/2015	\$50,001 - \$100,000	--
14	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value	Sale (Full)	10/14/2015	\$50,001 - \$100,000	--
15	Self	<u>EFA</u>	iShares MSCI EAFE	Sale (Full)	10/14/2015	\$50,001 - \$100,000	--
16	Self	<u>JCRAX</u>	ALPS CorCmdty Mgrnt CompleteCmdty Strat A	Sale (Full)	10/14/2015	\$250,001 - \$500,000	--

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
17	Self	<u>OAKIX</u>	Oakmark International I	Sale (Full)	10/14/2015	\$250,001 - \$500,000	--
18	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value	Purchase	10/09/2015	\$50,001 - \$100,000	--
19	Self	<u>IJK</u>	iShares S&P Mid-Cap 400 Growth	Purchase	10/09/2015	\$50,001 - \$100,000	--
20	Self	<u>SCHV</u>	Schwab US Large-Cap Value ETF	Purchase	10/09/2015	\$100,001 - \$250,000	--
21	Self	<u>IWW</u>	iShares S&P 500 Growth	Purchase	10/09/2015	\$100,001 - \$250,000	--
22	Self	<u>JCRAX</u>	ALPS CorCmnty Mgmt CompleteCmnty Strat A	Purchase	10/09/2015	\$100,001 - \$250,000	--
23	Self	<u>SCHV</u>	Schwab US Large-Cap Value ETF	Purchase	09/16/2015	\$15,001 - \$50,000	--
24	Self	<u>IWW</u>	iShares S&P 500 Growth	Purchase	09/16/2015	\$50,001 - \$100,000	--
25	Self	<u>EFA</u>	iShares MSCI EAFE	Purchase	09/16/2015	\$100,001 - \$250,000	--
26	Self	<u>JCRAX</u>	ALPS CorCmnty Mgmt CompleteCmnty Strat A	Purchase	09/16/2015	\$50,001 - \$100,000	--
27	Self	<u>OAI</u>	IQ Hedge Multi-Strategy Tracker ETF	Sale (Full)	08/24/2015	\$250,001 - \$500,000	--
28	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value	Sale (Full)	08/24/2015	\$50,001 - \$100,000	--
29	Self	<u>XLE</u>	Energy Select Sector SPDR ETF	Sale (Full)	08/24/2015	\$100,001 - \$250,000	--
30	Self	<u>SCHV</u>	Schwab US Large-Cap Value ETF	Sale (Full)	08/24/2015	\$100,001 - \$250,000	--
31	Self	<u>IWW</u>	iShares S&P 500 Growth	Sale (Full)	08/24/2015	\$100,001 - \$250,000	--
32	Self	<u>DBC</u>	PowerShares DB Commodity Tracking ETF	Sale (Full)	08/24/2015	\$100,001 - \$250,000	--

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
33	Self	<u>IJK</u>	iShares S&P Mid-Cap 400 Growth	Sale (Full)	08/24/2015	\$50,001 - \$100,000	--
34	Self	<u>MLPN</u>	Credit Suisse X-Links CushgMLPInfrasETN	Sale (Full)	08/24/2015	\$100,001 - \$250,000	--
35	Self	<u>AMPLP</u>	Alerian MLP ETF	Sale (Full)	08/24/2015	\$100,001 - \$250,000	--
36	Self	<u>EFA</u>	iShares MSCI EAFE	Sale (Full)	08/24/2015	\$250,001 - \$500,000	--
37	Self	<u>IAU</u>	iShares Gold Trust	Sale (Full)	08/24/2015	\$100,001 - \$250,000	--
38	Self	<u>THOPX</u>	Thompson Bond	Sale (Full)	08/21/2015	\$250,001 - \$500,000	--
39	Self	<u>OOSYX</u>	Oppenheimer Senior Floating Rate Y	Sale (Full)	08/21/2015	\$100,001 - \$250,000	--
40	Self	<u>LALDX</u>	Lord Abbett Short Duration Income A	Sale (Full)	08/21/2015	\$250,001 - \$500,000	--
41	Self	<u>BNDX</u>	Vanguard Total Intl Bd Idx ETF	Sale (Full)	08/21/2015	\$100,001 - \$250,000	--
42	Self	<u>AOMNX</u>	AQR Managed Futures Strategy N	Sale (Full)	08/21/2015	\$250,001 - \$500,000	--
43	Self	<u>VIPSX</u>	Vanguard Inflation-Protected Secs Inv	Sale (Full)	08/21/2015	\$250,001 - \$500,000	--
44	Self	<u>OHYFX</u>	JPMorgan High Yield Select	Sale (Full)	08/21/2015	\$250,001 - \$500,000	--
45	Self	<u>BSIIX</u>	BlackRock Strategic Income Opps Instl	Sale (Full)	08/21/2015	\$100,001 - \$250,000	--
46	Self	<u>BHYIX</u>	BlackRock High Yield Bond Instl	Sale (Full)	08/21/2015	\$100,001 - \$250,000	--
47	Self	<u>BICPX</u>	BlackRock 20/80 Target Allocation Instl	Sale (Full)	08/21/2015	\$500,001 - \$1,000,000	--
48	Self	<u>MLPN</u>	Credit Suisse X-Links CushgMLPInfrasETN	Purchase	07/31/2015	\$100,001 - \$250,000	--
49	Self	<u>MLPN</u>	Credit Suisse X-Links CushgMLPInfrasETN	Purchase	07/29/2015	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
50	Self	<u>DHLSX</u>	Diamond Hill Long-Short I	Purchase	07/28/2015	\$100,001 - \$250,000	--
51	Self	<u>AEPFX</u>	American Funds Europacific Growth F2	Purchase	07/28/2015	\$250,001 - \$500,000	--
52	Self	<u>EFA</u>	iShares MSCI EAFE	Purchase	07/28/2015	\$250,001 - \$500,000	--
53	Self	<u>IJK</u>	iShares S&P Mid-Cap 400 Growth	Purchase	07/28/2015	\$50,001 - \$100,000	--
54	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value	Purchase	07/28/2015	\$50,001 - \$100,000	--
55	Self	<u>SCHV</u>	Schwab US Large-Cap Value ETF	Purchase	07/28/2015	\$100,001 - \$250,000	--
56	Self	<u>IYW</u>	iShares S&P 500 Growth	Purchase	07/28/2015	\$100,001 - \$250,000	--
57	Self	<u>VIPSX</u>	Vanguard Inflation-Protected Secs Inv	Purchase	07/28/2015	\$250,001 - \$500,000	--
58	Self	<u>OAKIX</u>	Oakmark International I	Purchase	07/28/2015	\$500,001 - \$1,000,000	--
59	Self	<u>MASF</u>	Litman Gregory Masters Alt Strats Instl	Purchase	06/08/2015	\$100,001 - \$250,000	--
60	Self	<u>BREFX</u>	Baron Real Estate Retail	Sale (Full)	06/08/2015	\$250,001 - \$500,000	--
61	Self	<u>HIEMX</u>	Virtus Emerging Markets Opportunities I	Sale (Full)	04/02/2015	\$100,001 - \$250,000	--
62	Self	<u>PMCPX</u>	Principal MidCap P	Sale (Full)	04/02/2015	\$100,001 - \$250,000	--
63	Self	<u>NEFFX</u>	American Funds New Economy F2	Sale (Full)	04/02/2015	\$250,001 - \$500,000	--
64	Self	<u>AEPFX</u>	American Funds Europacific Growth F2	Sale (Full)	04/02/2015	\$500,001 - \$1,000,000	--
65	Self	<u>HWAIX</u>	Hotchkis & Wiley Value Opps Instl	Sale (Full)	04/02/2015	\$100,001 - \$250,000	--

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
66	Self	<u>SCHE</u>	Schwab International Equity ETF	Sale (Full)	04/02/2015	\$1,000,001 - -\$5,000,000	--
67	Self	<u>SCHV</u>	Schwab US Large-Cap Value ETF	Sale (Full)	04/02/2015	\$500,001 - \$1,000,000	--
68	Self	<u>DXJ</u>	WisdomTree Japan Hedged Equity ETF	Sale (Full)	04/02/2015	\$100,001 - \$250,000	--
69	Self	<u>EFA</u>	iShares MSCI EAFE	Sale (Full)	04/02/2015	\$1,000,001 - -\$5,000,000	--
70	Self	<u>IWW</u>	iShares S&P 500 Growth	Sale (Full)	04/02/2015	\$250,001 - \$500,000	--
71	Self	<u>IJS</u>	iShares S&P Small-Cap 600 Value	Sale (Full)	04/02/2015	\$100,001 - \$250,000	--
72	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value	Sale (Full)	04/02/2015	\$250,001 - \$500,000	--
73	Self	<u>IJK</u>	iShares S&P Mid-Cap 400 Growth	Sale (Full)	04/02/2015	\$100,001 - \$250,000	--
74	Self	<u>IWO</u>	iShares Russell 2000 Growth	Sale (Full)	04/02/2015	\$100,001 - \$250,000	--
75	Self	<u>EEM</u>	iShares MSCI Emerging Markets	Sale (Full)	04/02/2015	\$250,001 - \$500,000	--
76	Self	<u>HDPSX</u>	Hodges Small Cap Retail	Sale (Full)	04/02/2015	\$50,001 - \$100,000	--
77	Self	<u>TIOIX</u>	Touchstone Sustainability & Imp Eq Y	Sale (Full)	03/12/2015	\$250,001 - \$500,000	--
78	Self	<u>IWW</u>	iShares S&P 500 Growth	Purchase	03/12/2015	\$250,001 - \$500,000	--
79	Self	<u>ABRYX</u>	Invesco Balanced-Risk Allc Y	Purchase	03/02/2015	\$250,001 - \$500,000	--
80	Self	<u>BICPX</u>	BlackRock 20/80 Target Allocation Instl	Purchase	03/02/2015	\$250,001 - \$500,000	--
81	Self	<u>LALDX</u>	Lord Abbett Short Duration Income A	Purchase	03/02/2015	\$100,001 - \$250,000	--

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
82	Self	<u>AEPFX</u>	American Funds Europacific Growth F2	Purchase	03/02/2015	\$250,001 - \$500,000	--
83	Self	<u>DHLSX</u>	Diamond Hill Long- Short I	Purchase	03/02/2015	\$250,001 - \$500,000	--
84	Self	<u>WMCNX</u>	William Blair Macro Allocation N	Purchase	03/02/2015	\$250,001 - \$500,000	--
85	Self	<u>CLLIX</u>	Collins Alternative Solutions Instl	Purchase	03/02/2015	\$250,001 - \$500,000	--
86	Self	<u>MLPOX</u>	Oppenheimer SteelPath MLP Alpha Y	Purchase	03/02/2015	\$50,001 - \$100,000	--
87	Self	<u>OAI</u>	IQ Hedge Multi-Strategy Tracker ETF	Purchase	03/02/2015	\$250,001 - \$500,000	--
88	Self	<u>AMPLP</u>	Alerian MLP ETF	Purchase	03/02/2015	\$50,001 - \$100,000	--
89	Self	<u>DBC</u>	PowerShares DB Commodity Tracking ETF	Purchase	03/02/2015	\$100,001 - \$250,000	--
90	Self	<u>SCHF</u>	Schwab International Equity ETF	Purchase	03/02/2015	\$250,001 - \$500,000	--
91	Self	<u>EFA</u>	iShares MSCI EAFE	Purchase	03/02/2015	\$250,001 - \$500,000	--
92	Self	<u>IJS</u>	iShares S&P Small-Cap 600 Value	Purchase	03/02/2015	\$15,001 - \$50,000	--
93	Self	<u>IWQ</u>	iShares Russell 2000 Growth	Purchase	03/02/2015	\$15,001 - \$50,000	--
94	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value	Purchase	03/02/2015	\$100,001 - \$250,000	--
95	Self	<u>IJK</u>	iShares S&P Mid-Cap 400 Growth	Purchase	03/02/2015	\$50,001 - \$100,000	--
96	Self	<u>AQMNX</u>	AQR Managed Futures Strategy N	Purchase	03/02/2015	\$100,001 - \$250,000	--
97	Self	<u>JCRAx</u>	ALPS CorCmdty Mgmt CompleteCmdty Strat A	Purchase	03/02/2015	\$100,001 - \$250,000	--

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
98	Self	<u>MLPOX</u>	Oppenheimer SteelPath MLP Alpha Y	Purchase	01/28/2015	\$100,001 - \$250,000	--
99	Self	<u>OOSYX</u>	Oppenheimer Senior Floating Rate Y	Purchase	01/28/2015	\$50,001 - \$100,000	--
100	Self	<u>LALDX</u>	Lord Abbett Short Duration Income A	Purchase	01/28/2015	\$100,001 - \$250,000	--
101	Self	<u>THOPX</u>	Thompson Bond	Purchase	01/28/2015	\$100,001 - \$250,000	--
102	Self	<u>AMPLP</u>	Alerian MLP ETF	Purchase	01/28/2015	\$100,001 - \$250,000	--
103	Self	<u>BNDX</u>	Vanguard Total Intl Bdx ETF	Purchase	01/28/2015	\$100,001 - \$250,000	--
104	Self	<u>BSIIX</u>	BlackRock Strategic Income Opps Instl	Purchase	01/28/2015	\$100,001 - \$250,000	--
105	Self	<u>ABRYX</u>	Invesco Balanced-Risk Allc Y	Purchase	01/26/2015	\$250,001 - \$500,000	--
106	Self	<u>BICPX</u>	BlackRock 20/80 Target Allocation Instl	Purchase	01/26/2015	\$250,001 - \$500,000	--
107	Self	<u>AEPFX</u>	American Funds Europacific Growth F2	Purchase	01/26/2015	\$250,001 - \$500,000	--
108	Self	<u>NEFFX</u>	American Funds New Economy F2	Purchase	01/26/2015	\$50,001 - \$100,000	--
109	Self	<u>WMCNX</u>	William Blair Macro Allocation N	Purchase	01/26/2015	\$100,001 - \$250,000	--
110	Self	<u>CLLIX</u>	Collins Alternative Solutions Instl	Purchase	01/26/2015	\$100,001 - \$250,000	--
111	Self	<u>QAI</u>	IQ Hedge Multi-Strategy Tracker ETF	Purchase	01/26/2015	\$100,001 - \$250,000	--
112	Self	<u>DBC</u>	PowerShares DB Commodity Tracking ETF	Purchase	01/26/2015	\$15,001 - \$50,000	--
113	Self	<u>EFA</u>	iShares MSCI EAFE	Purchase	01/26/2015	\$100,001 - \$250,000	--

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
114	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value	Purchase	01/26/2015	\$1,001 - \$15,000	--
115	Self	<u>IJK</u>	iShares S&P Mid-Cap 400 Growth	Purchase	01/26/2015	\$50,001 - \$100,000	--
116	Self	<u>SCHV</u>	Schwab US Large-Cap Value ETF	Purchase	01/26/2015	\$250,001 - \$500,000	--
117	Self	<u>BHYIX</u>	BlackRock High Yield Bond Instl	Purchase	01/26/2015	\$100,001 - \$250,000	--
118	Self	<u>DHLSX</u>	Diamond Hill Long- Short I	Sale (Full)	10/14/2015	\$100,001 - \$250,000	--
119	Self	<u>MLPOX</u>	Oppenheimer SteelPath MLP Alpha Y	Sale (Full)	10/14/2015	\$100,001 - \$250,000	--
120	Self	<u>NEFFX</u>	American Funds New Economy F2	Sale (Full)	10/14/2015	\$50,001 - \$100,000	--
121	Self	<u>AEPFX</u>	American Funds Europacific Growth F2	Sale (Full)	10/14/2015	\$50,001 - \$100,000	--
122	Self	<u>EFA</u>	iShares MSCI EAFE	Sale (Full)	10/14/2015	\$100,001 - \$250,000	--
123	Self	<u>OAKIX</u>	Oakmark International I	Sale (Full)	10/14/2015	\$50,001 - \$100,000	--
124	Self	<u>CDOZX</u>	Columbia Dividend Opportunity Z	Sale (Full)	10/14/2015	\$100,001 - \$250,000	--
125	Self	<u>BREFX</u>	Baron Real Estate Retail	Sale (Full)	10/14/2015	\$15,001 - \$50,000	--
126	Self	<u>AMLPL</u>	Alerian MLP ETF	Sale (Full)	08/24/2015	\$100,001 - \$250,000	--
127	Self	<u>MLPN</u>	Credit Suisse X-Links CushgMLPInfrasETN	Sale (Full)	08/24/2015	\$50,001 - \$100,000	--
128	Self	<u>TGBAX</u>	Templeton Global Bond Adv	Sale (Full)	08/21/2015	\$100,001 - \$250,000	--
129	Self	<u>OOSYX</u>	Oppenheimer Senior Floating Rate Y	Sale (Full)	08/21/2015	\$100,001 - \$250,000	--
130	Self	<u>BHYIX</u>	BlackRock High Yield Bond Instl	Sale (Full)	08/21/2015	\$100,001 - \$250,000	--

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
131	Self	<u>BSIIX</u>	BlackRock Strategic Income Opps Instl	Sale (Full)	08/21/2015	\$100,001 - \$250,000	--
132	Self	<u>BHYIX</u>	BlackRock High Yield Bond Instl	Sale (Partial)	08/20/2015	\$15,001 - \$50,000	--
133	Self	<u>TIQIX</u>	Touchstone Sustainability & Imp Eq Y	Sale (Full)	04/02/2015	\$100,001 - \$250,000	--
134	Self	<u>NEFFX</u>	American Funds New Economy F2	Sale (Full)	04/02/2015	\$100,001 - \$250,000	--
135	Self	<u>HIEMX</u>	Virtus Emerging Markets Opportunities I	Sale (Full)	04/02/2015	\$50,001 - \$100,000	--
136	Self	<u>CDOZX</u>	Columbia Dividend Opportunity Z	Sale (Full)	04/02/2015	\$100,001 - \$250,000	--
137	Self	<u>DHLSX</u>	Diamond Hill Long-Short I	Purchase	09/10/2015	\$100,001 - \$250,000	--
138	Self	<u>NEFFX</u>	American Funds New Economy F2	Purchase	09/10/2015	\$50,001 - \$100,000	--
139	Self	<u>AEPFX</u>	American Funds Europacific Growth F2	Purchase	09/10/2015	\$15,001 - \$50,000	--
140	Self	<u>EFA</u>	iShares MSCI EAFE	Purchase	09/10/2015	\$100,001 - \$250,000	--
141	Self	<u>CDOZX</u>	Columbia Dividend Opportunity Z	Purchase	09/10/2015	\$50,001 - \$100,000	--
142	Self	<u>OAKIX</u>	Oakmark International I	Purchase	09/10/2015	\$50,001 - \$100,000	--
143	Self	<u>MLPN</u>	Credit Suisse X-Links CushgMLPInfrasETN	Purchase	07/30/2015	\$100,001 - \$250,000	--
144	Self	<u>AMPLP</u>	Alerian MLP ETF	Purchase	07/28/2015	\$250,001 - \$500,000	--
145	Self	<u>TGBAX</u>	Templeton Global Bond Adv	Purchase	03/02/2015	\$100,001 - \$250,000	--
146	Self	<u>OOSYX</u>	Oppenheimer Senior Floating Rate Y	Purchase	03/02/2015	\$100,001 - \$250,000	--

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
147	Self	<u>HIEMX</u>	Virtus Emerging Markets Opportunities I	Purchase	03/02/2015	\$50,001 - \$100,000	--
148	Self	<u>TIOIX</u>	Touchstone Sustainability & Imp Eq Y	Purchase	03/02/2015	\$100,001 - \$250,000	--
149	Self	<u>NEFFX</u>	American Funds New Economy F2	Purchase	03/02/2015	\$100,001 - \$250,000	--
150	Self	<u>BREFX</u>	Baron Real Estate Retail	Purchase	03/02/2015	\$50,001 - \$100,000	--
151	Self	<u>BSIIX</u>	BlackRock Strategic Income Opps Instl	Purchase	03/02/2015	\$100,001 - \$250,000	--
152	Self	<u>BHYIX</u>	BlackRock High Yield Bond Instl	Purchase	03/02/2015	\$100,001 - \$250,000	--
153	Self	<u>CDOZX</u>	Columbia Dividend Opportunity Z	Purchase	03/02/2015	\$100,001 - \$250,000	--
154	Self	<u>MLPOX</u>	Oppenheimer SteelPath MLP Alpha Y	Purchase	07/28/2015	\$100,001 - \$250,000	--
155	Self	--	Chestnut Development Partners II, LP	Purchase	08/31/2015	\$100,001 - \$250,000	--
156	Self	--	Commercial Property: 1150 Hixson Pike, Chattanooga, TN 37405	Purchase	01/07/2015	\$1,000,001 - \$5,000,000	This property was purchased and is owned by 1150,LLC which The Third Floor Grantor Trust is a member
157	Self	--	Covenant Apartment Fund VIII, LP	Purchase	02/27/2015	\$1,000,001 - \$5,000,000	--

Part 5. Gifts
Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel
Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No** hide me

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2015	Self	Line of Credit	-	1.95% (revolving line)	\$1,000,001 - \$5,000,000	Raymond James Bank St. Petersburg, FL	Securities Based Lending Account

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Apr 2005 to present	Officer	Corker Development Corporation Chattanooga, TN	Corporation	
2	Dec 1997 to present	Director	Corker Properties X, LP Chattanooga, TN	Other (Tennessee Limited Partnership)	
3	Aug 2006 to present	Trustee	Emily Corker 2006 Trust Chattanooga, TN	Other (Trust)	
4	Aug 2006 to present	Trustee	Julia Corker 2006 Trust Chattanooga, TN	Other (Trust)	
5	Jun 2014 to present	Other (Member)	NLL, LLC Dover, DE	Corporation	
6	Jan 2015 to present	Other (Member)	1150, LLC Chattanooga, TN	Corporation	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

Attachments

hide me

Document Title

Date/Time Added

[Corker 2015 Disclosure Cover Letter.pdf](#)

08/05/2016 @ 8:53 AM

[Corker 2015 Ethics EIF Letters.pdf](#)

08/04/2016 @ 8:17 AM

No comments added.

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