

**UNITED STATES HOUSE OF REPRESENTATIVES  
2016 FINANCIAL DISCLOSURE STATEMENT**

Form A  
For Use by Members, Officers, and Employees

Name: Bradley James Sherman Daytime Telephone: 202-225-5911

U.S. HOUSE OF REPRESENTATIVES  
LEGISLATIVE RESOURCE CENTER  
2017 Official Use Only  
A \$200 penalty shall be assessed against any individual who files more than 30 days late.

**MAILED** Page 1 of 15  
**DELIVERED**

|              |   |   |  |                            |   |
|--------------|---|---|--|----------------------------|---|
| FILER STATUS | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: <u>CA</u><br>District: <u>10</u> | <input type="checkbox"/> Officer or Employee | Employing Office: _____    | Staff Filer Type: (If Applicable)<br>Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/> |
| REPORT TYPE  | <input checked="" type="checkbox"/> 2016 Annual (Due: May 15, 2017)             | <input type="checkbox"/> Amendment      | <input type="checkbox"/> Termination         | Date of Termination: _____ |   |

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

|   |   |   |   |
|---|---|---|---|
| A. Did you, your spouse, or your dependent child:<br>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <b>or</b><br>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?                       | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?                             | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | <b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>  |   |

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

|   |   |
|---|---|
| IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?                       | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |





**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

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| BLOCK A<br>Assets and/or Income Sources |  | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   |    | BLOCK E<br>Transaction |                     |  |
|---|--|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|------------------------|---------------------|--|
| SP,<br>DC,<br>JT                        | ASSET NAME   | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII                    | P, S (Spouse), or E |  |
|   | CA State legislators retirement pension from service on CA Board of Equalization Value estimator Sacramento, CA (income net of deductions) |                           |   |   |   |   | X |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              | Annuit   |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |

Use additional sheets if more space is required.





**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

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| BLOCK A<br>Assets and/or Income Sources | BLOCK B<br>Value of Asset  |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   |    | BLOCK E<br>Transaction |
|---|--|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|------------------------|
|   | A  | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI |                        |
| SR:<br>DC:<br>JT                        | ASSET NAME   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    | P, S, Spard, or E      |
|   | None   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$1-\$1,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$1,001-\$15,000   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$15,001-\$50,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$50,001-\$100,000   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$100,001-\$250,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$250,001-\$500,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$500,001-\$1,000,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$1,000,001-\$5,000,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$5,000,001-\$25,000,000   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$25,000,001-\$50,000,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | Over \$50,000,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | Spouse/DC Asset over \$1,000,000*  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | None   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | DIVIDENDS  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | RENT   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | INTEREST   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | CAPITAL GAINS  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | EXCEPTED/BLIND TRUST   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | TAX-DEFERRED   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income)                     |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | None   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$1-\$200  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$201-\$1,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$1,001-\$2,500  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$2,501-\$5,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$5,001-\$15,000   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$15,001-\$50,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$50,001-\$100,000   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$100,001-\$1,000,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | \$1,000,001-\$5,000,000  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | Over \$5,000,000   |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | Spouse/DC Asset with Income over \$1,000,000*  |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |
|   | Home with rental unit in Washington DC (Address is confidential rent is gross before expenses) |   |   |   |   |   |   |   |   |   |   |   |   | X                         |           |      |          |               |                      |              | X  |   |    |     |    |   |    |     |      |    |   |    |                        |

Use additional sheets if more space is required.







**SCHEDULE B - TRANSACTIONS**

Name: **Bradley James Sherman**

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

| SP, DC, JT | Asset                                   | Type of Transaction |      |              |          | Check Box if Capital Gain Exceeded \$200 | Date<br>(MM/DD/YY)<br>or<br>Quarterly,<br>Monthly, or Bi-<br>weekly, if<br>applicable | Amount of Transaction     |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |
|------------|---|---------------------|------|--------------|----------|--|---|---------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|--------------------------------|----------------------------------|-----------------------------------|------------------------------------|------------------------|---|--|
|            |   | Purchase            | Sale | Partial Sale | Exchange |  |   | A<br>\$1,001-<br>\$15,000 | B<br>\$15,001-<br>\$50,000 | C<br>\$50,001-<br>\$100,000 | D<br>\$100,001-<br>\$250,000 | E<br>\$250,001-<br>\$500,000 | F<br>\$500,001-<br>\$1,000,000 | G<br>\$1,000,001-<br>\$5,000,000 | H<br>\$5,000,001-<br>\$25,000,000 | I<br>\$25,000,001-<br>\$50,000,000 | J<br>Over \$50,000,000 | K<br>Over \$1,000,000*<br>(Spouse/DC Asset) |  |
| Example    | Mega Corp. Stock                        |                     |      | X            |          | X  | 3/8/16  |                           | X                          |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |
|            | Vanguard TIPS Fund Personal             | X                   |      |              |          |  |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |
|            | Account - not IRA                       |                     |      |              |          |  |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |
|            | See attached schedule of transactions   |                     |      |              |          |  |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |
|            | Vanguard TIPS fund IRA                  |                     |      |              |          |  |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |
|            | - See attached schedule of transactions |                     |      |              |          |  |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |
|            |   |                     |      |              |          |  |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |
|            | Vanguard CA Int'l med Tax               |                     |      |              |          | X  |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |
|            | Exempt BD -                             |                     |      |              |          |  |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |
|            | See attached schedule of transactions   |                     |      |              |          |  |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |  |

Use additional sheets if more space is required.



**SCHEDULE D - LIABILITIES**

Name: Bradley James Sherman

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

| SP, DC, JT | Creditor                             | Date Liability Incurred MO/YR | Type of Liability  | Amount of Liability |   |   |   |   |   |   |   |   |   |   |  |  |  |
|------------|--------------------------------------|-------------------------------|--|---------------------|---|---|---|---|---|---|---|---|---|---|--|--|--|
|            |                                      |                               |  | A                   | B | C | D | E | F | G | H | I | J | K |  |  |  |
|            | Example First Bank of Wilmington, DE | 5/98                          | Mortgage on Rental Property, Dover, DE                       |                     |   |   | X |   |   |   |   |   |   |   |  |  |  |
|            | Chase Bank                           | 1/2013                        | first mortgage on home with rental property in Washington DC |                     |   |   |   | X |   |   |   |   |   |   |  |  |  |
|            | Congressional Federal Credit Union   | 9/2016                        | first mortgage on home with rental property in D.C.          |                     |   |   |   |   | X |   |   |   |   |   |  |  |  |
|            | -Kayburn Bus Lines                   |                               |  |                     |   |   |   |   |   |   |   |   |   |   |  |  |  |

**SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

| Position | Name of Organization |
|----------|----------------------|
|          |                      |
|          |                      |
|          |                      |
|          |                      |
|          |                      |
|          |                      |

**Schedule of Transactions**

Vanguard TIPS Fund (Not IRA)

| Date       | Transaction                | Amount     |
|------------|----------------------------|------------|
| 6/16/2016  | Income Dividend Reinvested | \$28.70    |
|            |                            |            |
| 12/23/2016 | Income Dividend Reinvested | \$4,976.27 |

Vanguard TIPS Fund-IRA

| Date       | Transaction                | Amount     |
|------------|----------------------------|------------|
| 6/16/2016  | Income Dividend Reinvested | \$25.97    |
|            |                            |            |
| 12/23/2016 | Income Dividend Reinvested | \$4,502.80 |
|            |                            |            |

Vanguard CA Intermediate Tax Exempt Board Fund

| Date       | Transaction                 | Amount      |
|------------|-----------------------------|-------------|
| 12/28/2016 | Purchase                    | \$75,000.00 |
| 12/30/2016 | Income- Dividend Reinvested | \$16.95     |

**FOOTNOTES**

| Number | Section/Schedule | Footnote   |
|--------|------------------|--|
| 1      | General Info     | <p>Filer held promissory notes payable by his principal campaign committee, Sherman for Congress. These represent non-interest bearing loans from filer to Committee. Per page 20 of 2016 Instruction Guide for Financial Disclosures, these promissory notes are not disclosed herein. These loans are disclosed on relevant FEC filings of Sherman for Congress.</p> |
| 2      | General Info     | <p>Filer owns a home in his district. Because that home is not subject to a mortgage, and does not produce rental income, it is not listed in this report.</p>   |
| 3      | General Info     | <p>We have been advised that the phrase "trust benefiting you" does not apply to a trust from which the filer receives no benefit, but which will provide a benefit at some future time upon the death of the current beneficiary (but only if the trust happens to still have assets at that time).</p>   |
| 4      | General Info     | <p>Disclosure not required by law or regulation: in 2017 my campaign committee made significant investments in the US government bonds (TIPS). For details, see its FEC report.</p>  |