

Financial Disclosures

Annual Report for Calendar 2016

Ms. Catherine Cortez Masto (Cortez Masto, Catherine)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

| # | Who Was Paid | Type | Who Paid | Amount Paid |
|---|--------------|--------|---|-------------|
| 1 | Spouse | Salary | Universal Security Specialists Las Vegas, Nevada | > \$1,000 |

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

| | <u>Asset</u> | <u>Asset Type</u> | <u>Owner</u> | <u>Value</u> | <u>Income Type</u> | <u>Income</u> |
|---|---|---|--------------|-----------------------------|---------------------------------|------------------------------------|
| 1 | Nevada Public Employees' Retirement System | Retirement Plans Defined Benefit Pension Plan | Self | \$250,001 - \$500,000 | Excepted Investment Fund, | None (or less than \$201) |
| 2 | Clark County Credit Union (Las Vegas, Nevada) Type: Savings, | Bank Deposit | Self | \$1,001 - \$15,000 | Interest, | None (or less than \$201) |

eFD: Home

URL:
eFD: Home
<https://efdsearch.senate.gov/search/home/>

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| | <u>Asset</u> | <u>Asset Type</u> | <u>Owner</u> | <u>Value</u> | <u>Income Type</u> | <u>Income</u> |
|-----|--|---|--------------|-----------------------------------|---------------------------------|------------------------------------|
| 3 | USAA (Las Vegas, Nevada) <i>Type: Savings,</i> | Bank Deposit | Spouse | \$1,001 - \$15,000 | Interest, | None (or less than \$201) |
| 4 | Town & Country Bank (Las Vegas, Nevada) <i>Type: Checking,</i> | Bank Deposit | Joint | \$50,001 - \$100,000 | Interest, | None (or less than \$201) |
| 5 | Town & Country Bank (Las Vegas, Nevada) <i>Type: Savings,</i> | Bank Deposit | Joint | \$250,001 - \$500,000 | Interest, | \$201 - \$1,000 |
| 6 | Undeveloped Land <i>Description: Undeveloped Land (Las Vegas, Nevada)</i> | Real Estate Unimproved Land | Joint | \$250,001 - \$500,000 | None, | None (or less than \$201) |
| 7 | Rental Property <i>Description: Single family rental property (Las Vegas, Nevada)</i> | Real Estate Residential | Joint | \$250,001 - \$500,000 | Rent/Royalties, | \$15,001 - \$50,000 |
| 8 | Universal Security Specialists <i>Company: Universal Security Specialists (Las Vegas, Nevada) Description: S-corporation providing security services</i> | Corporate Securities Non-Public Stock | Spouse | \$1,001 - \$15,000 | None, | None (or less than \$201) |
| 9 | VOYA - Voya Financial, Inc. | Deferred Compensation Deferred Compensation - Other | Self | | | |
| 9.1 | <u>VINIX</u> - Vanguard Institutional Index I | Mutual Funds Mutual Fund | Self | \$15,001 - \$50,000 | Excepted Investment Fund, | None (or less than \$201) |
| 9.2 | <u>HBMCX</u> - Hartford MidCap HLS IB | Mutual Funds Mutual Fund | Self | \$15,001 - \$50,000 hide me | Excepted investment Fund, | None (or less than \$201) |

| | <u>Asset</u> | <u>Asset Type</u> | <u>Owner</u> | <u>Value</u> | <u>Income Type</u> | <u>Income</u> |
|------|--|------------------------------|--------------|-------------------------|---------------------------------|------------------------------------|
| 9.3 | Voya Fixed Account 457/401 II | Mutual Funds Mutual Fund | Self | \$15,001 - \$50,000 | Excepted Investment Fund, | None (or less than \$201) |
| 9.4 | <u>OPMYX</u> - Oppenheimer Main Street Mid Cap Y | Mutual Funds Mutual Fund | Self | \$15,001 - \$50,000 | Excepted Investment Fund, | None (or less than \$201) |
| 9.5 | <u>PRGFX</u> - T. Rowe Price Growth Stock | Mutual Funds Mutual Fund | Self | \$15,001 - \$50,000 | Excepted Investment Fund, | None (or less than \$201) |
| 9.6 | <u>GSMYX</u> - Goldman Sachs Small/Mid Cap Growth Instl | Mutual Funds Mutual Fund | Self | \$15,001 - \$50,000 | Excepted Investment Fund, | None (or less than \$201) |
| 10 | Charles Schwab Trust Account | Brokerage/Managed Account | Spouse | | | |
| 10.1 | <u>SWMXX</u> - Schwab Money Market Fd | Mutual Funds Mutual Fund | Spouse | \$50,001 - \$100,000 | Excepted Investment Fund, | None (or less than \$201) |
| 11 | Charles Schwab | Retirement Plans IRA | Spouse | | | |
| 11.1 | <u>SWLBX</u> - Schwab Total Bond Market | Mutual Funds Mutual Fund | Spouse | \$1,001 - \$15,000 | Excepted Investment Fund, | None (or less than \$201) |
| 11.2 | <u>OAKLX</u> - Oakmark Select I | Mutual Funds Mutual Fund | Spouse | \$15,001 - \$50,000 | Excepted Investment Fund, | None (or less than \$201) |

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| | <u>Asset</u> | <u>Asset Type</u> | <u>Owner</u> | <u>Value</u> | <u>Income Type</u> | <u>Income</u> |
|------|---|-----------------------------|--------------|-----------------------|---------------------------------|------------------------------------|
| 11.3 | <u>WEBAX</u> - TETON Westwood Balanced AAA | Mutual Funds Mutual Fund | Spouse | \$1,001 - \$15,000 | Excepted Investment Fund, | None (or less than \$201) |
| 11.4 | <u>TBGVX</u> - Tweedy, Browne Global Value | Mutual Funds Mutual Fund | Spouse | \$1,001 - \$15,000 | Excepted Investment Fund, | None (or less than \$201) |
| 11.5 | <u>VLAAX</u> - Value Line Asset Allocation Investor | Mutual Funds Mutual Fund | Spouse | \$1,001 - \$15,000 | Excepted Investment Fund, | None (or less than \$201) |
| 12 | United States Senate Federal Credit Union (Alexandria, Virginia) Type: Checking, | Bank Deposit | Joint | \$1,001 - \$15,000 | Interest, | None (or less than \$201) |
| 13 | United States Senate Federal Credit Union (Alexandria, Virginia) Type: Savings, | Bank Deposit | Joint | \$1,001 - \$15,000 | Interest, | None (or less than \$201) |
| 14 | Patriot Federal Bank (Amsterdam, New York) Type: Checking, | Bank Deposit | Spouse | \$1,001 - \$15,000 | Interest, | None (or less than \$201) |

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **No**

Part 5. Gifts

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Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

| # | Incurred | Debtor | Type | Points | Rate | | Creditor | Comments |
|---|----------|--------|----------|--------|---------------------|-----------------------|--|----------|
| | | | | | (Term) | Amount | | |
| 1 | 2007 | Joint | Mortgage | 0 | 4.75% (30 years) | \$250,001 - \$500,000 | Ditech Financial LLC Rapid City, South Dakota | - |

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

| # | Position Dates | Position Held | Entity | Entity Type | Comments |
|---|------------------------|-------------------------|---|-----------------------------|----------|
| 1 | Jan 2015 to present | Other (Board Member) | UNLV Boyd School of Law Dean's Advisory Council Las Vegas, Nevada | Educational Organization | |
| 2 | Jan 2015 to present | Other (Board Member) | Children's Advocacy Alliance Las Vegas, Nevada | Nonprofit Organization | |
| 3 | Apr 2015 to present | Other (Board Member) | Girl Scouts of Southern Nevada Las Vegas, Nevada | Nonprofit Organization | |

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

| # | Date | Parties Involved | Type | Status and Terms |
|---|-------------|--|---|---|
| 1 | Jan 2015 | Nevada Public Employees' Retirement System of Nevada Las Vegas, Nevada | Continuing participation in an employee benefit plan | Nevada PERS is a defined benefit pension eligible to retire at age 60. |

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? **Yes**

| # | Source | Duties |
|---|--------|---------|
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| # | Source | Duties |
|---|--|---------------------------|
| 1 | State of Nevada Carson City, Nevada | Attorney General |
| 2 | Nevada System of Higher Education Las Vegas, Nevada | Executive Vice Chancellor |

Attachments & Comments

No attachments added.

No comments added.

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