OGE Form 278 (Rev. 09/2010)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)*	Reporting Status (Check Appropriate Boxes)	Incumbent ☐	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate ☒	Termination Filer ☐	Termination Date *(If Applicable) (Month, Day, Year)*

Reporting Individual's Name	Last Name: HAGEL	First Name and Middle Initial: CHARLES T.
Position for Which Filing	Title of Position: SECRETARY OF DEFENSE	Department or Agency *(If Applicable)*: DEPARTMENT OF DEFENSE
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State, and ZIP Code)*: Pentagon, Washington, DC	Telephone No. *(Include Area Code)*: 703-695-3422
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held: Chairman, President's Intelligence Advisory Board (PIAB): October 2009 - Present Vietnam War Commemoration Advisory Committee: July 2012 - Present DoD Policy Board: August 2009 - Present	
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Committee on Armed Services	Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No

Certification	Signature of Reporting Individual	Date *(Month, Day, Year)*
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	C. T. Hagel	01/22/2013

Other Review (If desired by agency)	Signature of Other Reviewer	Date *(Month, Day, Year)*

Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official	Date *(Month, Day, Year)*
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Robert S. T[illegible]	01/23/2013

Office of Government Ethics Use Only	Signature	Date *(Month, Day, Year)*
	[illegible signature]	1/25/13

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days ______) ☐

(Check box if comments are continued on the reverse side) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B—Not applicable.

Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.

Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

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Reporting Individual's Name: HAGEL, CHARLES T.

SCHEDULE A

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
Examples	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples	Kempstone Equity Fund					x								x										x									
Examples	IRA: Heartland 500 Index Fund							x						x											x								
1	Joint Account - Westwood Dividend Growth Institutional Fund -- (WHGDX)							X						X													X						
2	Joint Account - Chevron Common Stock (CVX)					X											X								X								
3	Joint Account - TD Ameritrade Deposit Account (Cash)			X																	X												
4	Westwood Dividend Growth Institutional Fund - I (WHGDX)							X						X													X						
5	TSP Rollover- Westwood Dividend Growth Institutional Fund - (WHGDX)					X								X												X							
6	IRA Rollover-Westwood Dividend Growth Institutional Fund- (WHGDX)					X								X										X									

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: HAGEL, CHARLES T.

SCHEDULE A continued

(Use only if needed)

Assets and Income (BLOCK A) | **Valuation of Assets** at close of reporting period (BLOCK B) | **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000*	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	IRA Rollover- Westwood Income Opportunity Institutional Fund -- (WHGIX)					X								X										X									
2	IRA Rollover- Westwood LargeCap Value Institutional Fund- (WHGLX)				X									X									X										
3	IRA Rollover- Westwood SMidCap Plus Institutional Fund (WHGPX)				X									X								X											
4	(DC 1) UGMA - Westwood Dividend Growth Institutional Fund (WHGDX)					X								X											X								
5	(DC 2) UGMA - Westwood Dividend Growth Institutional Fund (WHGDX)					X								X											X								
6	First National Bank of Omaha Savings Account							X											X					X									
7	First National Bank of Omaha Checking Account					X													X		X												
8	TIAA CREF Georgetown Univ., Defined Contribution, T-C Lifecycle 2010 Inst'l (TCLEX)				X									X									X										
9	McCarthy Group, LLC (shares)							X																								Distributive Share - $22,030	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: HAGEL, CHARLES T.

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A) | Valuation of Assets at close of reporting period (BLOCK B) | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Georgetown University																															Salary $100,000	
2	Deutsche Bank (DB)																															Fees $100,000	
3	Corsair Capital																															Fees $200,000	
4	McCarthy Capital																															Advisor Fee $100,000	
5	Zurich Insurance Group, Zurich in North America																															Director Fees $200,000	
6	M.I.C. Industries																															Fees $120,000	
7	Gallup																															Advisor Fees $120,000	
8	Chevron Corporation (CVX)																															Director Fees $116,000	
9	Barclays Services Corporation (arranged by Washington Speakers Bureau)																															Honorarium $12,000	06/14/2012

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: HAGEL, CHARLES T.

SCHEDULE A continued

(Use only if needed)

Assets and Income (BLOCK A) — **Valuation of Assets** at close of reporting period (BLOCK B) — **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C: Type, Amount)

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	National Multi Housing Council (arranged by Washington Speakers Bureau)																															Honorarium $12,000	09/11/2012
2	Rabobank (arranged by Washington Speakers Bureau)																															Honorarium $16,000	09/13/2012
3	Rohde & Schwarz N.A. (arranged by Washington Speakers Bureau)																															Honorarium $12,000	09/19/2012
4	"America: Our Next Chapter" (HarperCollins Publishers) (value not ascertainable)																	X			X												
5																																	
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: HAGEL, CHARLES T.

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $335 and (2) travel-related cash reimbursements received from one source totaling more than $335. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $134 or less. See instructions for other exclusions.

None ☐

	Source (Name and Address)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$350
1			
2			
3			
4			
5			

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Reporting Individual's Name		Page Number
HAGEL, CHARLES T.	SCHEDULE C	

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10%	on demand					x						
1																
2																
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	TIAA CREF Georgetown University Defined Contribution Retirement Plan -- No further contributions being made.	Georgetown University	02/09
2			
3			
4			
5			
6			

Reporting Individual's Name		Page Number
HAGEL, CHARLES T.	SCHEDULE D	8 of 12

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

		Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples		Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
		Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1		Georgetown University, Washington, DC	Educational Insitution	Professor	02/2009	Present
2		Deutsche Bank, Americas Advisory Board Frankfurt, Germany	Public financial services company	Advisory Board Member	05/2009	Present
3		Corsair Capital, New York, NY	Private financial services company	Advisory Board Member	02/2009	Present
4		McCarthy Capital, Omaha, NE	Private financial services company	Senior Advisor	02/2009	Present
5		Zurich Insurance Group, Zurich in North America Schaumburg, IL	Public insurance company	Director	02/2009	Present
6		M.I.C. Industries, Reston, VA	Private industrial company	Special Advisor to the Chairman	03/2009	Present

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Georgetown University	Professor
2	Deutsche Bank	Advisory Board Member
3	Corsair Capital	Advisory Board Member
4	McCarthy Capital	Senior Advisor
5	Zurich Insurance Group, Zurich in North America	Director
6	M.I.C. Industries	Special Advisor

Reporting Individual's Name		Page Number
HAGEL, CHARLES T.	SCHEDULE D	9 of 12

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (*Mo., Yr.*)	To (*Mo., Yr.*)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Chevron Corporation, San Ramon, CA	Corporation	Board of Directors	04/2010	Present
2	Gallup, Washington, DC	Consulting and Polling Firm	Senior Advisor	07/2011	Present
3	Atlantic Council, Washington, DC	Think Tank	Chairman, Board of Directors	02/2009	Present
4	Washington Center for Internships and Academic Seminars, Washington, DC	Non-profit Educational Organization	Board of Directors	04/2011	Present
5	Public Broadcasting Service, Arlington, VA	Public Corporation	Board of Directors	10/2009	Present
6	Washington Speakers Bureau, Alexandria, VA	Speakers Bureau	Speaker	02/2009	Present

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Chevron Corporation	Board of Directors
2	Gallup	Senior Advisor
3	Washington Speakers Bureau (*see list of speeches arranged by WSB in remaining Schedule D, Part II entries)	Speak at events scheduled and organized by the Washington Speakers Bureau
4	*Fordervereln fur Aktives Asset Management	Speech (01/11/2011)
5	*BNP Paribas	Speech (02/01/2011
6	*SkyBridge Capital, LLC	Speech (03/03/2011)

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HAGEL, CHARLES T.	# SCHEDULE D	

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Center for the Study of the Presidency, Washington, DC	Non-profit Educational Organization	Board of Trustees	05/2009	Present
2	Ploughshares Fund, San Francisco, CA	Non-profit Foundation	Board of Directors	03/2009	Present
3	American Security Project, Washington, DC	Non-profit Public Policy Organization	Board of Directors	01/2008	Present
4	Bread for the World, Washington, DC	Non-profit Public Advocacy Organization	Board of Directors	01/2011	Present
5	America Abroad Media, Washington, DC	Non-profit International Media Organization	Advisory Board Member	01/2003	Present
6	Brookings Institute/Hamilton Project, Washington, DC	Think Tank	Advisory Council Member	04/2010	Present

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	*The Speaker Agency F/S/T Truck Renting and Leasing Association	Speech (03/08/2011)
2	*Citi	Speech (09/21/2011)
3	*Putnam Investments	Speech (10/12/2011)
4	*Cameron University	Speech (10/26/2011)
5	*University of Oklahoma	Speech (10/27/2011)
6	*Barclays Services Corporation	Speech (06/14/2012)

Prior Editions Cannot Be Used.

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Reporting Individual's Name: HAGEL, CHARLES T.

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	George C. Marshall Research Foundation, Lexington, VA	Foundation	Council of Advisors	07/2009	Present
2	Institute for the Study of Diplomacy, Georgetown University, Washington, DC	University Institute	Board of Directors	12/2009	Present
3	Global Strategy Forum, London, England	International Think Tank	Advisory Board Member	02/2012	Present
4	U.S. Middle East Project, New York, NY	Think Tank	Senior Advisor, International Board Member	02/2009	Present
5	Dwight D. Eisenhower Memorial Commission, Washington, DC	Memorial Commission	International Committee Member	06/2009	Present
6	U.S. Global Leadership Coalition, Washington, DC	Non-profit Advocacy Organization	National Security Advisory Council	02/2009	Present

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	*National Multi Housing Council	Speech (09/11/2012)
2	*Rabobank	Speech (09/13/2012)
3	*Rohde & Schwarz N.A.	Speech (09/19/2012)
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (*Mo., Yr.*)	To (*Mo., Yr.*)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Initiative for Global Development, Seattle, WA	Non-profit Public Policy Organization	Leadership Council	02/2009	Present
2	National Bureau of Asian Research, Next Generation Leadership Advisory Board, Seattle, WA and Washington, DC	Non-profit Policy Research Institution	Advisory Board Member	12/1997	Present
3	Systemic Risk Council (PEW Charitable Trusts & CFA Institute), Washington, DC	Public Service Organization	Council Member	06/2012	Present
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

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Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
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