

# Financial Disclosures

## Annual Report for Calendar 2016 (Amendment 2)

**The Honorable Dean Heller (Heller, Dean)**

Filed 07/10/2017 @ 3:39 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Retirement	State of Nevada Retirement Fund Carson City, NV	\$22,965.48

### Part 3. Assets \* Amended

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	<b>Bank of America</b> (Carson City, Nevada) Type: Money Market Account,	Bank Deposit	Child	\$1,001 - \$15,000	Interest,	None (or less than \$201)

2	<b>The Brombach Family Ltd Partnership - 20%</b>  Company: The Brombach Family Limited Partnership (Palos Verdes Estates, California) Description: Investment Partnership
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**eFD: Home**  
 Business Spouse  
 URL:  
 Entity: Home  
<https://efdsearch.senate.gov/search/home/>  
 Limited  
 Liability  
 Limited  
 Partnership  
 (LLP)  
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2.1	<b>MESA CALIF CONS WTR DIST</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/17</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
2.2	<b>SAN DIEGO CO CA WTR AT</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/17</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
2.3	<b>EL CAMINO CALIF CMNTY</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/17</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.4	<b>BAY AREA TOLL AUTH CALIF</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 04/01/18</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
2.5	<b>WESTSIDE CALIF UN SCH</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/18</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.6	<b>SONOMA CNTY CALIF TRANSN</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/18</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
2.7	<b>PASADENA CALIF ELEC RV</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/01/19</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
2.8	<b>ROSEVILLE CALIF JT UN</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/19</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
2.9	<b>SAN MATEO CNTY CALIF</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 09/01/19</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2.10	<b>AZUSA CALIF UNI SCH DIST</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/20</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
2.11	<b>SOUTHERN CALIF PUB PWR</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/20</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
2.12	<b>MONTEREY PENINSULA CALIF</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/20</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.13	<b>LOS RIOS CALIF CMNTY</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/21</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.14	<b>SIERRA CALIF JT CMNTY</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/21</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
2.15	<b>CALIFORNIA ST DEPT WTR</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/22</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
2.16	<b>Los Angeles CA MTA SLS</b> <i>Rate/Coupon: 5%</i> <i>Matures: 07/01/2019</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
2.17	<b>California EDL FAC Authority</b> <i>Rate/Coupon: 5%</i> <i>Matures: 05/01/2021</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
2.18	<b>San Mateo CA UN</b> <i>Rate/Coupon: 5%</i> <i>Matures: 09/01/2019</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2.19	<b>Santa Ana CLG</b> <i>Rate/Coupon: 4%</i> <i>Matures: 08/01/2017</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
2.20	<b>Calif ST DWR CENT VY PJ</b> <i>Rate/Coupon: 4%</i> <i>Matures: 12/01/2022</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
2.21	<b>BAKERSFIELD CA WASTEWATER REV SER A</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/15/2018</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.22	<b>LOS ANGELES CA HBR DEPT REV SER A</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2020</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
2.23	<b>SAN FRANCISCO CA CITY &amp; COMMUNITY</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2022</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
2.24	<b>OAK GROVE CA SCHOOL DISTRICT</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2022</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
2.25	<b>BELMONT-REDWOOD SHORES CA SCH D</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2024</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.26	<b>Los Angeles Calif Dept Wtr&amp;PWR</b> <i>Rate/Coupon: 4%</i> <i>Matures: 07/01/2023</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
2.27	<b>OAKLAND CALIF UNI SCH DIST ALAMEDA</b> <i>Rate/Coupon: 4%</i> <i>Matures: 08/01/2025</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2.28	<b>UNIV CALIF REGTS MED CTR POOLED REV SER L RF</b> <i>Rate/Coupon: 4%</i> <i>Matures: 05/15/2026</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
3	<b>Richard P. Brombach Qualified Personal Residence Trust</b> <i>Filer comment: 33.333% Beneficial Ownership of residential real property in Trust.</i>	Trust General (Trust)	Spouse			
3.1	<b>Residential real property</b> <i>Description: Single family home (Palos Verdes Estates, CA)</i>	Real Estate Residential	Spouse	\$250,001 - \$500,000	Rent/Royalties,	\$15,001 - \$50,000
4	<b>Heller Hay Farm</b> <i>Description: 184.9 Acres, 121.2 acres in production (Hudson Aurora Road, Smith, Nevada)</i>	Farm	Joint	\$1,000,001 - \$5,000,000	Rent/Royalties, Other, (Hay Sales and Rent received)	\$15,001 - \$50,000 Other \$65,245.00
5	<b>Whole Life Insurance</b> <i>Provider: Mass Mutual</i>	Life Insurance Whole	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6	<b>Whole Life Insurance</b> <i>Provider: Mass Mutual</i>	Life Insurance Whole	Child	\$50,001 - \$100,000	None,	None (or less than \$201)
7	<b>BETTY JEAN BROMBACH QUALIFIED PERSONAL RESIDENCE TRUST</b> <i>Filer comment: 33.333% Beneficial Ownership of residential real property in Trust.</i>	Trust General (Trust)	Spouse			
7.1	<b>Residential Real Property</b> <i>Description: Single Family Home (Palos Verdes Estates, CA)</i>	Real Estate Residential	Spouse	\$250,001 - \$500,000	Rent/Royalties,	\$15,001 - \$50,000
8	<b>CALIF ST PUB WKS BRD</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/01/16</i> <i>Filer comment: Bond matured</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
9	<b>NEWPORT BEACH CALIF CTFS</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/16</i> <i>Filer comment: Bond matured</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
10	<b>LOS ANGELES CALIF CMNTY</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/16</i> <i>Filer comment: Bond matured</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
11	<b>State of Nevada Retirement Fund</b>	Retirement Plans Defined Benefit Pension Plan	Self	\$50,001 - \$100,000	None,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	03/17/2016	Spouse	--	Long Beach Calif Cmnty <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/01/2016</i>	Sale (Full)	\$50,001 - \$100,000	20% share in Brombach Family LP - Merrill Lynch
2	05/06/2016	Spouse	--	LOS ANGELES CALIF DEPT WTR & PWR REV PWR SYS A <i>Rate/Coupon: 4.00%</i> <i>Matures: 07/01/2023</i>	Purchase	\$50,001 - \$100,000	ML ACTED AS CLEARING AGENT BUT DID NOT EXECUTE THIS TRADE
3	08/08/2016	Spouse	--	OAKLAND CALIF UNI SCH DIST ALAMEDA CNTY SER A <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/2025</i>	Purchase	\$50,001 - \$100,000	--
4	08/11/2016	Spouse	--	UNIV CALIF REGTS MED CTR POOLED REV L <i>Rate/Coupon: 4.0%</i> <i>Matures: 05/15/2026</i>	Purchase	\$50,001 - \$100,000	--

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#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **No**

### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

### Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

### Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2010	Joint	Mortgage	0	4% (10 Years)	\$500,001 - \$1,000,000	Bill E. & Sharon Carlson Trust Washoe Valley, Nevada	-
2	2013	Joint	Mortgage	0	4% (10 Years)	\$500,001 - \$1,000,000	Bill E. & Sharon Carlson Trust Washoe Valley, Nevada	-

### Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

### Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

### Part 10. Compensation

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

### Attachments & Comments

*No attachments added.*

*No comments added.*

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