



740 N. Sedgwick, Ste. 500
Chicago, IL 60654-8488
(312) 744-9660

CITY OF CHICAGO 2016 STATEMENT OF FINANCIAL INTERESTS

To avoid a violation of the Governmental Ethics Ordinance and sanctions, including fines and having your name and violation being made public, you must return this form no later than **June 1, 2016** to:
Board of Ethics 740 N. Sedgwick, Ste. 500 Chicago, IL 60654-8488

- Please complete this form, sign it and then mail / deliver it to the Board of Ethics . We cannot accept Statements via e-mail, fax or PDF formats. Please answer all 16 questions and sign and date your form before returning it.
- If you need more room, please attach and label extra sheets.
- Terms with an asterisk(*) are defined on the instruction sheet.

+ In questions 2-5 & 8, indicate the appropriate income, compensation or capital gain by writing in the appropriate category letter:
A= \$25,000 or more B= \$5,000 - \$24,999 C= less than \$5,000

NOTE: for security reasons, filers in the Chicago Fire and Police Departments, Inspector General's Office, Independent Police Review Authority and Office of Emergency Communications or who are building inspectors need not disclose the address of business(es), organizations or property in questions 2 or 9; for question 9, however, they must list the number and types of properties owned, e.g.: 1 single-family house, 2 6-flats, etc.

Last Name: Emanuel First Name: Rahn Middle Initial: I
Address: [REDACTED] City: Chicago State: IL Zip: 60
City Department/Agency: Mayor's office Title: Mayor

1. In 2015, were you employed by any governmental unit other than the City of Chicago? Yes No
If YES, list the name of each governmental unit:

2. In 2015, did you serve as an employee, officer, director, associate, partner, or proprietor or in any advisory capacity for any professional, business or organization (not including your City position or appointment) from which you received or derived income of more than \$1,000.00? Yes No
If YES, for each organization provide the following information: (see note above)

Name & Address:		Type of Organization:		Your Position:		+ Amount of Income, By Category:	
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3. In 2015, did you receive compensation in excess of \$5,000.00 for professional*, business or other services rendered to a person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? Yes No
If YES, provide the following for each person to which you provided services:

Name		Nature of Service		Government Unit With Which Person Did Business		+ Amount of Income, By Category:	
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4. In 2015, did your spouse or domestic partner* receive compensation in excess of \$5,000.00 for professional*, business or other services rendered to a person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? I had no spouse or domestic partner in 2015. Yes No
If YES, provide the following for each person to which Spouse/ Domestic Partner provided services:

Name		Nature of Services		Government Unit With Which Person Did Business		+ Amount of Income, By Category:	
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5. In 2015, did any entity in which you OR your spouse or domestic partner* have a financial interest* receive compensation in excess of \$5,000.00 for professional*, business or other services rendered to any person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? Yes No
If YES, provide the following information about the entity in which you/spouse/domestic partner have a financial interest:

Name		Name of Person to which Services were provided		
Nature of Services		Government Unit With Which Person Did Business		
			+ Amount of Income, By Category:	

6. In 2015, did you have a financial interest* in any person* doing business* with the City? (Note: common stock interests in publicly held companies that represent less than 1/2 of 1% (.05%) of the company's outstanding common stock, or time or demand deposits in financial institutions, or endowments, policies or annuities purchased from insurance companies, need not be disclosed.) Yes No
If YES, provide the following for each person:

Name		Title or Description of Position You Held in This Person	
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7. In 2015, did you have a financial interest* in any person* conducting business in Chicago? (Note: common stock interests in publicly held companies that represent less than 1/2 of 1% (.05%) of the company's outstanding common stock, or time or demand deposits in financial institutions, or endowments, policies or annuities purchased from insurance companies, need not be disclosed) Yes No

If YES, provide the following for each person:

Name Type / Instrument of Ownership

8. In 2015, did you realize a capital gain of \$5,000.00 or more from the sale of any capital asset other than your principal place of residence? Yes No

If YES, identify the asset(s) sold (including the address or legal description of the real estate) and the appropriate Category of the amount of gain realized for each identified asset (see note at top of form).

+ Amount of gain, By Category

9. Do you currently have a financial interest* in real estate located in the City of Chicago, other than your principal place of residence? Include all forms of direct or indirect ownership, such as partnerships or trusts whose corpus consists primarily of real estate. (If your principal place of residence is in a multiple-unit or mixed-use building in which you have a financial interest*, answer "yes" to this question.) Yes No

If YES, identify the real estate by address (see note at top of form for filers from certain departments), including zip code, or, if there is no address, by legal description:

10. In 2015, did you receive from any person* (other than relatives* or a domestic partner*) one or more gifts having an aggregate value in excess of \$250.00? Yes No

If YES, identify the person or persons from whom you received such gifts:

11. In 2015, did you receive any improper gift* that you disposed of in accordance with Section 2-156-144 of the Governmental Ethics Ordinance? Yes No

If YES, identify the improper gift(s), the donor(s) if known, and method of disposal:

12. Do you have a financial interest* in any person* who in 2015 applied to the City of Chicago for a license or franchise, or any permit for annexation, zoning or rezoning of real estate? Yes No

If YES, list the name of the person(s) in which you have the financial interest and describe the City action requested (including the nature of the application sought or the action requested):

Name Action Requested

13. If you owe or anyone owes you more than \$5,000.00, did the debtor, creditor or guarantor of the debt do business* with or do work for the City of Chicago in 2015? (Do not include: (1) debt instruments issued by financial institutions whose normal business includes the making of loans of the kind you received in accordance with other terms and conditions standard for such loans at the time the debt was contracted, if the loans are made at the prevailing rate of interest; or (2) debt instruments issued by publicly held corporations and purchased by you on the open market at the price available to the public.) I neither owe or am owed more than \$5,000.00 Yes No

If YES, provide the following information:

Name of Debtor, Creditor or Guarantor Is the Person a Debtor, Creditor or Guarantor Type of Debt Instrument

14. Do you now serve on any board or commission (even if not a City board or commission, or not for compensation)? Yes No

If YES, provide the Name(s) of board(s) and your position(s) on the board(s):

15. Do you now have a relative* or domestic partner* who is registered as a lobbyist with the City's Board of Ethics? Yes No

If YES, name the lobbyist(s) and the lobbyist's relationship to you:

Name(s) Relationship

16. Do you now have a relative* or domestic partner* who is an employee or full- or part-owner of a City contractor*? Yes No

If YES, name the relative(s) or domestic partner(s), his/her/their relationship to you, the city contractor(s), and his/her /their position with the contractor(s):

Name(s)/ relationship(s) Contractor(s) Position(s)

VERIFICATION: I declare that I have examined this Statement of Financial Interests, including any accompanying statements, and to the best of my knowledge and belief it is true and complete. I understand that knowingly filing a Statement containing false or misleading information, or failing to file by the deadline, can result in fines, removal from office, or employment sanctions, including discharge, in accordance with applicable rules, regulations and ordinances of the City of Chicago.

Signature and Date

EXHIBIT A

AMER CENT EQUITY INCOME-INV	B
BBH CORE SELECT FUND-N	B
CLEARBRIDGE APPRECIATION-I	B
FMI LARGE CAP FD	B
ISHARES MSCI EAFE INDEX FUND	B
JPM MID CAP VALUE FD	B
MATTHEWS PACIFIC TIGER FD-IS	A

EXHIBIT B

Paul Finnegan (transportation)

Skip Herman (transportation, house guest)

Judd and Barry Malkin (transportation)

Margo Pritzker (transportation)

Michael Sacks (transportation; sports tickets)