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SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)*	Reporting Status (Check Appropriate Boxes)	Incumbent ☐	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate ☒	Termination Filer ☐ Termination Date *(If Applicable) (Month, Day, Year)*
Reporting Individual's Name	Last Name: Locke			First Name and Middle Initial: Gary F.	
Position for Which Filing	Title of Position: Secretary			Department or Agency *(If Applicable)*: Department of Commerce	
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State, and ZIP Code)*: 1201 3rd Avenue, #2200, Seattle, WA 98101			Telephone No. *(Include Area Code)*: 206 757 8089	
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held: None				
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Committee on Commerce, Science and Transportation			Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No	
Certification: I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: Gary F. Locke			Date *(Month, Day, Year)*: 03/12/09	
Other Review (If desired by agency)	Signature of Other Reviewer: [illegible]			Date *(Month, Day, Year)*: 3/12/2009	
Agency Ethics Official's Opinion: On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: Barbara L. Fredericks			Date *(Month, Day, Year)*: 3/12/09	
Office of Government Ethics Use Only	Signature: [illegible]			Date *(Month, Day, Year)*: 3/16/09	

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days _____) ☐

(Check box if comments are continued on the reverse side) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B—Not applicable.

Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.

Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Asset	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
Examples	Central Airlines Common				x											
	Doe Jones & Smith, Hometown, State			x												
	Kempstone Equity Fund					x								x		
	IRA: Heartland 500 Index Fund							x						x		
1	Davis Wright Tremaine LLP, Seattle, WA															
2	WA State Retirement System, Olympia, WA defined benefit pension															
3	Safeco Insurance Company, Seattle, WA stock	X														
4	Safeco Insurance Company, Seattle, WA															
5	Key Technology, Walla Walla, WA															
6	Waggener Edstrom, Bellevue, WA															

#	Asset	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common	x						x										
	Doe Jones & Smith, Hometown, State																Law Partnership Income $130,000	
	Kempstone Equity Fund								x									
	IRA: Heartland 500 Index Fund									x								
1	Davis Wright Tremaine LLP, Seattle, WA																Law firm salary $533,000	
2	WA State Retirement System, Olympia, WA defined benefit pension																pension $120,750	
3	Safeco Insurance Company, Seattle, WA stock	X								X							Director's fees $101,000	
4	Safeco Insurance Company, Seattle, WA																deferred fees/ stock Cashout $467,000	
5	Key Technology, Walla Walla, WA																Director's fees $15,000.00	
6	Waggener Edstrom, Bellevue, WA																Consulting fee $19,250.00	

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	U.S. Bank, Seattle, WA cash account			X															X			X											
2	Washington Mutual Savings Bank, Seattle, WA cash account						X												X				X										
3	WA State Empoyee's Credit Union Olympia, WA cash account			X															X			X											
4	Qwest Savings & Investment Plan Jacksonville, FL																																
5	a. U.S. Stock Fund				X									X							X												
6	b. Qwest Common		X											X							X												
7	WA State Deferred Compensation Olympia, WA																																
8	a. U.S. Stock Fund				X									X							X												
9	b. U.S. Stock Market Index Fund				X									X							X												

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	401(k) - T. Rowe Price Mid-Cap Value Fund				X									X							X												
2	Vanguard Federal Money Market Fund		X											X							X												
3	Fidelity Ret. Govt MM			X										X									X										
4	WA State Guaranteed Educ Tuition (college) Olympia, WA					X															X												
5	Lincoln National Life Insurance Co (Whole Life – 2 policies)				X																X												
6	(S) Puget Sound Susan G. Komen for the Cure Foundation, Seattle, WA																															Salary	
7	Key Technology, Walla Walla, WA Restricted stock: 4,813 unvested shares																				X											See Note 1. on page 27	
8	Eden Rock, Bothell, WA —Restricted stock: 50,000 vested shares		X																		X												
9	—25,000 unvested shares; vest 7/1/09																				X											See Note 1. on page 27	

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SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	-- 25,000 unvested shares; vest 1/1/10																				X											See Note 1. on page 27	
2	Blue Marble, Seattle, WA. Stock options exp. 6/30/18@24 cents: 6,125 vested																				X											See Note 1. on page 27	
3	-- 625 shares vesting 2/1/09 and every 3 mos. thereafter. Max. stock options 10,000 shares																				X											See Note 1. on page 27	
4	General Biodiesel, Seattle, WA 5,000 vested options @$1/share																																
5	exp 90 days after resignation																				X											See Note 1. on page 27	
6	mInfo, Seattle, WA common and Series A-1 Preference				X																X												
7	mInfo, Seattle, WA stock options -- 25,000 options vested @ 8.8 cents/share																				X											See Note 1 on page 27	
8	-- 25,000 options vested @ 21 cents/share																				X											See Note 1. on page 27	
9	-- 25,000 options @ 21 cents vest 11/1/09																				X											See Note 1. on page 27	

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Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

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1	– 25,000 shares @ 21 cents vest 11/1/10																				X											See Note 1. on page 27	
2	Q-Tires Stock Options exp 6/1/13 10,000 vested shares @ $1.25/share																				X											See Note 1. on page 27	
3	Templeton Funds, World Fund Cl A			X										X								X											
4	Prime Money Market fund RBC Inv Class					X								X									X										
5	Amgen Inc.			X																X		X											
6	Associated Banc Corp.			X													X			X				X									
7	Calamos Invt Tr Growth Fund B			X										X							X												
8	Costco Wholesale Corp				X												X			X			X										
9	Esterline Technologies Corp.				X																X												

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SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

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1	iShares Dow Jones US Technology Sector Index Fund		X											X							X												
2	Microsoft Corp.					X											X			X					X								
3	Powershares Global ETF Trust Global			X										X							X												
4	Starbucks Corp.			X																	X												
5	Templeton Funds, World Fund Cl A		X											X								X											
6	Wells Fargo Advantage Money Market Fund						X							X												X							
7	Apple Inc.		X																		X												
8	Barrick Gold Corp.		X														X			X				X									
9	Aca Ltd		X																		X												

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

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	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Affiliated Computer SVC A		X																		X												
2	Ameren Corp.		X																		X												
3	Aegon N V NY res. shares		X														X			X		X											
4	Amer. Electric Power Co. Inc.		X																		X												
5	Allergan Inc.		X																		X												
6	Akamai Technologies Inc.		X																		X												
7	Alcatel Lucent		X																		X												
8	Amgen Inc.	X																		X		X											
9	Amazon.com Inc.		X																	X			X										

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SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

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1	Aon Corp.		X																	X		X											
2	Anadarko Petrolium Corp.		X																	X		X											
3	Air Products & Chemicals Inc.	X																		X		X											
4	Anglogold Ashanti ADR		X																		X												
5	Avon Products	X																		X		X											
6	Alumina Ltd Spons ADR		X														X					X											
7	CR Bard Inc.	X																		X		X											
8	Brandywine Rlty Tr		X														X					X											
9	Bristol Myers Squibb		X																		X												

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SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000*	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	BP Plc Spond ADR		X														X					X											
2	Broadridge Financial		X																		X												
3	Berkshire Hathaway		X																		X												
4	Boston scientific Corp		X																		X												
5	Cameco Corp		X																		X												
6	Cephalon Inc.		X																		X												
7	Cerner Corp		X																		X												
8	CH Robinson Worldwide		X																	X		X											
9	Chunghwa Telecom Co Ltd	X																		X		X											

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SCHEDULE A continued
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Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	CLP Holdings Ltd Spon ADR	X																		X		X											
2	Comcast Corp.		X																		X												
3	Rockwell Collins Inc DE		X																		X												
4	Conocophillips		X																		X												
5	Costo Wholesale Corp.		X																		X												
6	Covidien Ltd		X																	X		X											
7	Salesforce.com Inc.		X																	X			X										
8	CSX Corp.	X																		X		X											
9	Cintas Corp.		X																		X												

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Cognizant Tech Sol Cl A		X																		X												
2	Cablevision NY GRP A		X																		X												
3	CVS Caremark Corp.		X																		X												
4	Chevron Corp.		X																		X												
5	Dell Inc.		X																		X												
6	Dean Foods Company		X																		X												
7	Genentech Inc.		X																		X												
8	Dai Nippon Prtg Ltd.		X																		X												
9	Daiwa House Ind. Ltd ADR		X																		X												

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Eni Spa Sponsr ADR	X																		X		X											
2	Cent. Elec. Bras PFD Cl B		X																		X												
3	Consolidated Edison Inc.		X																		X												
4	Endurance Specialty		X																		X												
5	Entergy Corp		X																		X												
6	Expeditors International Wash. Inc.		X																		X												
7	Fujifilm Holdings Corp. ADR		X																		X												
8	Currency Japanese Yen		X																	X		X											
9	General Electric Company		X														X					X											

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Genzyme Corp.		X																		X												
2	Gold Fields Ltd ADR		X																		X												
3	Gilead Sciences Inc.		X																		X												
4	SPDR Gold Trust Gold ETF		X											X							X												
5	Google Inc.		X																	X		X											
6	Genuine Parts Company		X																		X												
7	Gap Inc.		X																		X												
8	Hachijuni Bank Ltd ADR		X																		X												
9	Hasbro Inc.	X																		X		X											

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Health Care REIT Inc.		X											X							X												
2	HCP Inc.		X											X							X												
3	Home Depot Inc.		X																		X												
4	Hercules Offshore Inc.	X																		X		X											
5	Hologic Inc.		X																		X												
6	Honeywell Int'l Inc.		X																	X		X											
7	Hewlett Packard Company		X																	X		X											
8	Schein Henry Inc.		X																	X		X											
9	IBM Corp.		X																	X		X											

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000*	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Intercontinental Exch.		X																		X												
2	Impala Platimun ADR		X														X			X			X										
3	Intel Corp.		X																		X												
4	Intuitive Surgical		X																		X												
5	Ivanhoe Mines Ltd		X																	X		X											
6	JP Morgan Chase & Co.		X														X			X		X											
7	Korea Electric Power Spons ADR		X																		X												
8	Kraft Foods		X																		X												
9	Kinross Gold Corp.	X																		X		X											

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Kimberly-Clark Corp.		X																		X												
2	Kirin Holdings Company Ltd ADR		X														X			X			X										
3	KT Corp. Spons ADR		X														X					X											
4	Lihir Gold Ltd Spons ADR		X																	X		X											
5	Lonmin Plc Spons ADR	X																		X													
6	LSI Corporation		X																		X												
7	Mattel Inc.		X																		X												
8	McDermott Int'l Inc.		X																		X												
9	Magna Int'l Inc.		X																		X												

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Millicom International		X																	X			X										
2	Monsanto Company		X																		X												
3	Mitsui Sumitomo Insurance Group		X																		X												
4	Meadwestvaco Corp.		X																		X												
5	Noble Energy Inc.		X																	X		X											
6	Newcrest Mining Ltd		X																		X												
7	Newmont Mining Corp. Hldg		X																	X		X											
8	Nisource Inc.		X																		X												
9	Annaly Cap Management Inc.		X											X								X											

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																
																Type				Amount												
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Nokia Corp. Spons ADR		X																		X												
2 Nippon Tel & Tel Spons ADR		X														X			X			X										
3 Nexen Inc.		X																	X		X											
4 Oceaneering Int'l Inc.		X																		X												
5 Old Republic Int'l Corp.		X																		X												
6 Panasonic Corp. ADR		X																		X												
7 Pfeizer Inc.		X														X					X											
8 Principal Financial Corp.	X															X						X										
9 Proctor & Gamble Company		X																		X												

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Progress Energy Inc.		X																		X												
2	Promise Company Ltd ADR		X																		X												
3	Pentair Inc.		X																		X												
4	Pioneer Natural Reserves Company	X																		X		X											
5	Qwest Communications Int'l Inc.		X														X					X											
6	QUALCOMM Inc.		X														X			X			X										
7	Rogers Communications Inc.	X																		X			X										
8	Rowan Companies Inc.		X																		X												
9	Royal Dutch Shell ADR		X														X					X											

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Reporting Individual's Name
Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Research in Motion Ltd		X																		X												
2	Rohn Company Ltd ADR		X																		X												
3	Range Resources Corp.		X																		X												
4	Scana Corp.		X																		X												
5	Societe General France ADR		X																			X											
6	Swisscom Spons ADR		X														X					X											
7	Stora Enso Corp. ADR		X														X					X											
8	Sega Sammy Holdings ADR		X														X					X											
9	Siemens A G Spons ADR		X																		X												

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Sekisui House Ltd ADR		X																		X												
2	SK Telecom Ltd Spons ADR		X																		X												
3	Schlumberger Ltd		X																	X			X										
4	Sara Lee Corp.		X																		X												
5	Sony Corp.		X																		X												
6	Sanofi Aventis Spons ADR		X																		X												
7	Sempra Energy		X																		X												
8	Shiseido Ltd Spons ADR		X																		X												
9	Silver Standard Res. Inc.	X																	X			X											

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Sumitomo Bank Ltd ADR		X																		X												
2	Saint Jude Medical Inc.		X																		X												
3	Statoilhydro ASA	X																		X		X											
4	Suncor Energy Inc.		X																		X												
5	Seven & I Holdings Company ADR		X																		X												
6	AT&T Inc.		X														X			X		X											
7	T D K Corp. ADR		X																		X												
8	Telephone & Data Systems Inc.		X																		X												
9	Integrys Energy Group Inc.		X																		X												

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Tyco Electronics Ltd		X																		X												
2	Telecom Italia ADR		X														X					X											
3	Technip Spons ADR		X														X					X											
4	Tomkins PLC Spons ADR		X																		X												
5	Toppan Printing Ltd ADR		X																		X												
6	Total S A Spons ADR	X																	X			X											
7	Travelers Companies Inc.		X																		X												
8	Time Warner Cable Inc.		X																		X												
9	Time Warner Inc. New		X																		X												

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only If Honoraria
1	Tyco International Ltd		X																		X												
2	UBS AG		X																		X												
3	UDR Inc.		X														X			X		X											
4	United States Cellular		X																		X												
5	United Utilities Group Spons ADR		X																		X												
6	Visa Inc.		X																		X												
7	Varian Medical Systems Inc		X																	X		X											
8	Valero Energy Corp.		X																		X												
9	Vodafone Group Plc New ADR		X														X					X											

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Verisign Inc.		X																		X												
2	Wacoal Holdings Corp. ADR		X																		X												
3	Weatherford Int'l Ltd		X																		X												
4	Waste Management Inc. Del		X																		X												
5	Watson Pharmaceuticals		X																		X												
6	Xcel Energy Inc.		X																		X												
7	Yum Brands Inc.		X																		X												
8	Streettracks Gold Trust	X																		X			X										
9	Millennium Pharmaceuticals Inc.	X																		X				X									

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000*	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	United Utilities Plc Sponsor		X																		X												
2	Centrais Elec Bras Spons		X																	X		X											
3																																	
4	Note1. – value of asset not readily ascertainable																																
5																																	
6																																	
7																																	
8																																	
9																																	

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Locke, Gary F.

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260, and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

	Source (Name and Address)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1			
2			
3			
4			
5			

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1																
2																
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	WA State deferred compensation savings plan	WA State, Olympia, WA	01/94
2	WA State Retirement System, defined benefit plan, monthly payments	WA State, Olympia, WA	3/76
3	Davis Wright Tremaine 401(k): I will continue to maintain my account in the firm's 401(k) retirement plan: however,	Davis Wright Tremaine LLP, Seattle, WA	3/06
4	neither the firm nor I will contribute any funds to my account following my resignation.		
5	Key Technology Corp restricted stock plan: I hold unvested restricted stock.	Key Technology Inc., Walla Walla, WA	
6	Eden Rock restricted Stock plan: I will divest vested stock and forfeit unvested stock.	Eden Rock Communications, LLC, Seattle, WA	

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1																
2																
3																
4																
5																

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Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Blue Marble Energy: I will retain stock options	Blue Marble Energy Corp, Seattle, WA	
2	General Biodiesel stock option plan: I will exercise vested stock options. For shares that are "in the money" I will	General Biodoesel Inc., Seattle, WA	
3	then divest; for shares that are "underwater" I will then forfeit/divest the vested stock options.		
4	mInfo stock options: I will exercise vested stock options and divest the stock, and I will forfeit unvested stock options.	mInfo, Inc. Seattle, WA	
5	Q-tires stock options: I will exercise vested stock options.	Q-tires, Greenville, SC	
6			

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Davis Wright Tremaine LLP, Seattle, WA	Law Firm	Partner	03/2005	Present
2	Safeco Insurance Company, Seattle, WA	Insurance Company	Board of Directors	05/2005	09/2008
3	Key Technology, Walla Walla, WA	Manufacturing Company	Board of Directors	09/2008	Present
4	mInfo, Seattle, WA	Telecommunications Software	Board of Directors	10/2005	Present
5	Mountains to Sound Greenway Trust, Seattle, WA	non profit environmental	Trustee	01/2005	Present
6	Digital Learning Commons, Seattle, WA	non profit educational	Trustee	01/2005	Present

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☐

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Davis Wright Tremaine LLP, Seattle, WA	Legal Services, Non-Equity Partner
2	Safeco Insurance Company, Seattle, WA	Director's Fees
3	Key Technology, Walla Walla, WA	Director's Fees
4	National Electronic Commerce, Sacramento, CA	Speech Honoraium
5	Waggener Edstrom Public Affairs, Bellevue, WA	Consulting
6	Airbiquity, Seattle, WA	Legal Services

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Fred Hutchison Cancer Research Center, Seattle, WA	Non profit medical research	Trustee	03/2005	Present
2	Seattle Art Museum, Seattle, WA	Non profit art	Trustee	06/2007	Present
3	5th Avenue Theatre, Seattle, WA	Non profit art	Trustee	10/2005	Present
4	College Success Foundation, Issaquah, WA	Non profit educational	Trustee	09/2005	Present
5	Blue Marble Energy, Seattle, WA	Alternative energy	Advisor	06/2008	Present
6	General Biodiesel, Seattle, WA	Energy	Advisor	09/2008	Present

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☐

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	American Pacific International Capital, Portland, OR	Legal Services
2	American Piledriving Equipment, Kent, WA	Legal Services
3	Centaur International LLC, Lake Oswego, OR	Legal Services
4	Festival Media, Seattle, WA	Legal Services
5	Microsoft, Redmond, WA	Legal Services
6	Pacific Prestige, Seattle, WA	Legal Services

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Reporting Individual's Name: Locke, Gary F.

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Waggener Edstrom, Bellevue, WA	Public affairs	Advisor	03/2007	Present
2	Q-tires, Greenville, SC	manufacturing	Advisor	06/2008	Present
3	Eden Rock, Seattle, WA	Technology	Advisor	05/2008	Present
4	WA State Life Sciences Discovery Fund, Seattle, WA	Government	Trustee	10/2005	Present
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☐

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Public Insight, Los Angeles, CA	Legal Services
2	Russell Investment Group, Tacoma, WA	Legal Services
3	Verdiem Corp., Seattle, WA	Legal Services
4	Washington Harbor Management Group, Bellevue, WA	Legal Services
5	Western Pension Benefits Conference, Seattle, WA	Legal Services
6	Weyerhauser Real Estate Development, Federal Way, WA	Legal Services

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5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Locke, Gary F.

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☐

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	WPMI LLC, Lynnwood, WA	Legal Services
2	Gerson Lehrman Group, New York, NY	Legal Services
3	Hainan Airlines, Seattle, WA	Legal Services
4	Okaya, USA, Seattle, WA	Legal Services
5		
6		

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