

**UNITED STATES HOUSE OF REPRESENTATIVES  
2016 FINANCIAL DISCLOSURE STATEMENT**

**Form A**  
For Use by Members, Officers, and Employees

Name: Grace F. Napolitano Daytime Telephone: 202-225-5256

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CA</u>	Officer or Employee	Employing Office:	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
		District: <u>32</u>			
REPORT TYPE	<input checked="" type="checkbox"/> 2016 Annual (Due: May 15, 2017)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination:	

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<p><b>A. Did you, your spouse, or your dependent child:</b>                  a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <b>OR</b>                  b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</p>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<p><b>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</b></p>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<p><b>B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?</b></p>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<p><b>G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?</b></p>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<p><b>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</b></p>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<p><b>H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?</b></p>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<p><b>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</b></p>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<p><b>I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?</b></p>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<p><b>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</b></p>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<p><b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b></p>	

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

**IPO** - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Yes  No

**TRUSTS** - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes  No

**EXEMPTION** - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes  No

**HAND DELIVERED**  
 Page 1 of 6  
 2017 MAY -4 PM 4:27  
 OFFICE OF THE CLERK  
 U.S. HOUSE OF REPRESENTATIVES  
 A \$200 penalty shall be assessed against any individual who files more than 30 days late.

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: *Grace F. Ngulifane*

Page 2 of 6

SP, DC, JT	SP	EF	BLOCK A Assets and/or Income Sources													BLOCK B Value of Asset													BLOCK C Type of Income										BLOCK D Amount of Income												BLOCK E Transaction
			Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use only ticker symbols).  For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.  For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.  For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period), and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child(ren) (DC), or jointly held with anyone (JT), in the optional column on the far left.  For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.													Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.  If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."  *Column M is for assets held by your spouse or dependent child in which you have no interest.													Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.										For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.  *Column XII is for assets held by your spouse or dependent child in which you have no interest.												Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.  If only a portion of an asset was sold, please indicate as follows: (S (part)).  Leave this column blank if there are no transactions that exceeded \$1,000.
			A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E (Start)															
	Examples: Mega Corp. Stock						X										X										X																								
	Simon & Schuster																						Royalties					X																							
	ABC Hedge Fund	X																					Partnership Income						X																						
	<i>The Girls - Newark, CA</i>				X																		<i>Royalties</i>					X																							
	<i>4plex Apartment</i>																																																		
	<i>LA Financial Credit Union</i>																																																		
	<i>Ford Abiprem</i>																																																		
	<i>Systematic Software Employees</i>																																																		
	<i>Knowledge System</i>																																																		

### SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: *Grace F. Napolianno*

Page 3 of 6

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	EIF																																		P, S, S(part), or E		
			A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII			
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																							
SP	<i>United States Railroad</i>					X																																

Use additional sheets if more space is required.

# SCHEDULE C - EARNED INCOME

Name: *Grace F. Napoliitano*

Page 4 of 6

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.  
**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.  
**INCOME LIMITS and PROHIBITED INCOME:** The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)	Type	Amount
<i>Keene State</i>	<i>Approved Teaching Fee</i>	<i>\$6,000</i>
<i>State of Maryland</i>	<i>Legislative Pension</i>	<i>\$18,000</i>
<i>Civil War Roundtable (Oct. 2)</i>	<i>Spouse Speech</i>	<i>\$1,000</i>
<i>Ontario County Board of Education</i>	<i>Spouse Salary</i>	<i>N/A</i>
<i>Ford Retirement Plan</i>	<i>Pension</i>	<i>\$10,651.00</i>
<i>California Public Employee Retirement System</i>	<i>Pension</i>	<i>\$ 6,880.00</i>
<i>United States Railroad</i>	<i>Spouse Pension</i>	<i>\$ 1,866.00</i>

**SCHEDULE D - LIABILITIES**

Name: Grace F. Napolitano

Page 5 of 6

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability												
				A	B	C	D	E	F	G	H	I	J	K		
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X									
	Bank of America	4/2008	Mortgage on 4-plex				X									
	LA Financial Credit Union	10/2010	Sold Antonio TX Mortgage on Home				X									
			Mortgage on Home													
			Retiwalk, CA													

**SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Advisory Council	Congressional Hispanic Caucus Institute
Advisory Board	Suburbst Youth Academy Foundation
Executive Committee	National Action Alliance for Suicide Prevention
Honorary Board	National Grand Youth Foundation

Use additional sheets if more space is required.