

Financial Disclosures

Annual Report for Calendar 2016

The Honorable James P Lankford (Lankford, James)

Filed 05/15/2017 @ 11:58 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who received payment?
1	01/10/2016	Speech	\$300.00	Arrow Heights Baptist Church Broken Arrow, OK	Self
2	02/21/2016	Speech	\$500.00	Woodcrest Chapel Church Columbia, MO	Self
3	02/28/2016	Speech	\$1,000.00	Grace Community Church Oklahoma City, OK	Self
4	09/11/2016	Speech	\$500.00	Putnam City Baptist Church Oklahoma City, OK	Self
5	12/04/2016	Speech	\$300.00	Eagle Heights Baptist Church Oklahoma City, OK	Self

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Self-Employment Income	eFD: Home Speech Language Pathology Services URL: Edmond, OK eFD: Home https://efdsearch.senate.gov/search/home/	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	MidFirst Bank (Oklahoma City, OK) <i>Type: Checking, Savings,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
2	Daughter 529 Account <i>Institution: TIAA CREF</i>	Education Savings Plans 529 College Savings Plan	Joint			
2.1	Conservative Managed Allocation Age 14-17	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
3	Daughter 2 529 Account <i>Institution: TIAA CREF</i>	Education Savings Plans 529 College Savings Plan	Joint			
3.1	Conservative Managed Allocation Age 18+	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
4	Personal IRA	Retirement Plans IRA	Self			
4.1	Acadian Emerging Markets Port Instl	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4.2	American Beacon Stephens Small Cap Growth Inv	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
4.3	Deutsche Global High Income FD S	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
4.4	Deutsche Ultra Short Duration FD S	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4.5	Deutsche Unconstrained Income Fund S	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4.6	Fidelity Advisor New Insights CL I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
4.7	Fidelity Capital Appreciation	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
4.8	Fidelity High Income	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
4.9	Fidelity Low Priced Stock	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$2,501 - \$5,000
4.10	<u>FSRRX</u> - Fidelity Strategic Real Return (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4.11	Invesco Comstock Fund CL A	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
4.12	<u>OOSAX</u> - Oppenheimer Senior Floating Rate A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
5	James and Cindy Mutual Fund <i>Description: Investments (Oklahoma City, OK)</i>	Investment Fund Investment Club	Joint			

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
5.1	<u>SPWCX</u> - American Beacon Stephens Sm Cp Gr C (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
5.2	Deutsche Global High Income FD S	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
5.3	<u>FEMKX</u> - Fidelity Emerging Markets (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
5.4	<u>FSRRX</u> - Fidelity Strategic Real Return (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
5.5	<u>FDCAX</u> - Fidelity Capital Appreciation (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
5.6	<u>FINSX</u> - Fidelity Advisor New Insights I (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
5.7	<u>SPHIX</u> - Fidelity High Income (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
5.8	<u>FLPSX</u> - Fidelity Low-Priced Stock (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
5.9	<u>ACSTX</u> - Invesco Comstock A (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
5.10	<u>KSTSX</u> - Deutsche Unconstrained Income S (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
5.11	<u>SDUSX</u> - Deutsche Ultra-Short Duration S (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
5.12	Oppenheimer Senior Floating Rate CL A	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
6	Cindy IRA	Retirement Plans IRA	Spouse			
6.1	<u>FSRRX</u> - Fidelity Strategic Real Return (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
6.2	<u>FDCAX</u> - Fidelity Capital Appreciation (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$1,001 - \$2,500
6.3	<u>FINSX</u> - Fidelity Advisor New Insights I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$1,001 - \$2,500
6.4	<u>SPHIX</u> - Fidelity High Income (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
6.5	<u>FLPSX</u> - Fidelity Low-Priced Stock (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
6.6	<u>ACSTX</u> - Invesco Comstock A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
6.7	<u>AEMGX</u> - Acadian Emerging Markets Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
6.8	<u>STSGX</u> - American Beacon Stephens Sm Cp Gr Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
6.9	<u>KSTSX</u> - Deutsche Unconstrained Income S (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
6.10	<u>SDUSX</u> - Deutsche Ultra-Short Duration S (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
6.11	<u>SGHSX</u> - Deutsche Global High Income S (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
6.12	<u>OOSAX</u> - Oppenheimer Senior Floating Rate A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Joint	--	INVESCO GLOBAL MARKET NEUTRAL A	Purchase	04/21/2016	\$1,001 - \$15,000	--
2	Joint	--	INVESCO ALL CAP MRKT NEUTRAL A	Purchase	04/21/2016	\$1,001 - \$15,000	--
3	Joint	--	FIDELTY STRATEGIC REAL RETURN	Sale (Full)	04/20/2016	\$1,001 - \$15,000	--
4	Joint	--	FIDELITY LOW PRICED STOCK	Sale (Partial)	04/20/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
5	Joint	--	DEUTSCHE UNCONSTRAINED INCOME FUND S	Sale (Full)	04/20/2016	\$1,001 - \$15,000	--
6	Joint	--	DEUTSCHE UNTRA SHORT DURATION FD S	Sale (Full)	04/20/2016	\$1,001 - \$15,000	--
7	Joint	--	INVESCO COMSTOCK FUND CL A	Purchase	04/21/2016	\$1,001 - \$15,000	--
8	Joint	--	INVESCO GLOBAL MARKT NEUTRAL A	Sale (Full)	11/05/2016	\$1,001 - \$15,000	--
9	Joint	--	INVESCO ALL CAP MRKT NEUTRAL A	Sale (Full)	11/05/2016	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2013	Joint	Mortgage	0	3.625% (30 Year)	\$100,001 - \$250,000	US Bank Minneapolis, MN	-
2	2013	Joint	Other (Car Loan)	-	2.5% (5 Years)	\$10,001 - \$15,000	Bank of the West San Fransisco, CA	-
3	2015	Joint	Other (Car Loan)	-	2.9% (60 Month)	\$10,001 - \$15,000	MidFirst Bank Oklahoma City, OK	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

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#	Position Dates	Position		Entity Type	Comments
		Held	Entity		
1	Jan 2013 to present	Trustee	Jasmine Moran Children's Museum Seminole, OK	Nonprofit Organization	Uncompensated Position

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

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