

# Financial Disclosures

## Annual Report for Calendar 2016

**The Honorable Jeanne Shaheen (Shaheen, Jeanne)**

Filed 05/18/2017 @ 5:25 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Shaheen & Gordon Dover, NH	> \$1,000
2	Spouse	Pension	NH Retirement System Concord, NH	> \$1,000
3	Spouse	Retirement	Shaheen & Gordon 401(K) plan Concord, NH	> \$1,000

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

Asset	Asset Type	Owner	Value	Income Type	Income
1	<b>Peoples United Bank Checking</b> (Durham, NH) Type: Checking,	eFD: Home Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2	<b>Centennial Bank</b> (Centennial, CO) Type: Money Market Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
3	<b>Citizens Bank</b> (Dover, NH) Type: Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
4	<b>Citizens Bank</b> (Dover, NH) Type: Money Market Account,	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest,	\$201 - \$1,000
5	<b>Shaheen &amp; Gordon, P.A, 401K Profit Sharing Plan</b>	Retirement Plans IRA	Spouse			
5.1	<b><u>RLBGX</u> - American Funds American Balanced R6 (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
5.2	<b><u>GWETX</u> - AMG GW&amp;K Small Cap Core Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
5.3	<b><u>CSRIX</u> - Cohen &amp; Steers Instl Realty Shares (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
5.4	<b><u>TVRRX</u> - Thornburg Value R5 (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
5.5	<b><u>PCRIX</u> - PIMCO Commodity Real Ret Strat Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
5.6	<b><u>PTTRX</u> - PIMCO Total Return Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
5.7	<b>VIPSX - Vanguard Inflation-Protected Secs Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
6	<b>Real property at 107 Storrs Street, Concord, NH</b> <i>Company:</i> 107 Storrs Street, LLC (Concord, NH) <i>Description:</i> Owns commercial rental real property <i>Filer comment:</i> Mr. Shaheen has a 1/10 interest	Business Entity Limited Liability Company (LLC)	Spouse	\$100,001 - \$250,000	Rent/Royalties,	\$15,001 - \$50,000
7	<b>Real property in Manchester, NH</b> <i>Company:</i> Diogenes Realty, LLC (Manchester, NH) <i>Description:</i> Owns commercial rental real property (80 Merrimack Street) <i>Filer comment:</i> Mr. Shaheen has a 1/13 interest	Business Entity Limited Liability Company (LLC)	Spouse	\$50,001 - \$100,000	Rent/Royalties,	\$1,001 - \$2,500
8	<b>Shaheen &amp; Gordon, PA</b> <i>Filer comment:</i> This is Mr. Shaheen's law firm. He owns 13 shares. It is organized as a C corp	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)
9	<b>Promissory Note</b> <i>Company:</i> Shaheen & Gordon, P.A. (Dover, NH)	Accounts Receivable From a Business	Spouse	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
10	<b>Promissory note</b> <i>Debtor:</i> John Rowland	Accounts Receivable From an Individual	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
11	<b>Shaheen Enterprises, LLC</b> <i>Company:</i> Shaheen Enterprises, LLC (Dover, NH) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Spouse			

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.1	<b>Route 125 Associates, LLC</b> <i>Company:</i> Route 125 Associates, LLC (Dover , NH) <i>Description:</i> Commercial rental real property <i>Filer comment:</i> Shaheen Enterprises, LLC has a 1/2 interest	Business Entity Limited Liability Company (LLC)	Spouse	\$500,001 - \$1,000,000	Rent/Royalties,	\$5,001 - \$15,000
11.2	<b>Citizens Bank</b> (Dover, NH) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
11.3	<b>903 Central Ave, Dover NH</b> <i>Company:</i> 903 Central Ave. Associates, LLC (Dover, NH) <i>Description:</i> Owns commercial rental real property <i>Filer comment:</i> Shaheen Enterprises, LLC has a 1/2 interest	Business Entity Limited Liability Company (LLC)	Spouse	\$100,001 - \$250,000	Rent/Royalties,	\$5,001 - \$15,000
11.4	<b>Atlas-Heritage Title Company</b> <i>Company:</i> Atlas-Heritage Title Co., LLC (Dover, NH) <i>Description:</i> Title services <i>Filer comment:</i> Shaheen Enterprises LLC has had a 1/2 interest in this asset.	Business Entity Limited Liability Company (LLC)	Spouse	\$15,001 - \$50,000	Other, (Distribution)	\$100,001 - \$1,000,000 Other \$200,000.00
11.5	<b>Property at 115 W. Main St, Conway NH</b> <i>Description:</i> Residential real property (Conway, NH)	Real Estate Residential	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
11.6	<b>The Salon, LLC</b> <i>Company:</i> The Salon, LLC (Dover, NH) <i>Description:</i> Beauty salon <i>Filer comment:</i> Shaheen Enterprises, LLC has a 1/2 interest in The Salon	Business Entity Limited Liability Company (LLC)	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
11.7	<b>228 Route 108</b> <i>Description:</i> Commercial real estate (Somersworth, NH)	Real Estate Commercial	Spouse	\$500,001 - \$1,000,000	Rent/Royalties,	\$15,001 - \$50,000
11.8	<b>Eco-Burner, LLC</b> <i>Company:</i> Eco-Burner, LLC (Barrington, NH) <i>Description:</i> Developing an environmental oil burner	Business Entity Limited Liability Company (LLC)	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.9	<b>174 Farmington Rd. LLC</b> <i>Description:</i> Commercial Real Estate Property (Farmington , NH) <i>Filer comment:</i> Spouse owns 17%	Real Estate Commercial	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
11.10	<b>76 Perkins Road</b> <i>Description:</i> 76 Perkins Road (Madbury, NH)	Real Estate Residential	Spouse	\$250,001 - \$500,000	Rent/Royalties,	\$5,001 - \$15,000
12	<b>10 Railroad Avenue</b> <i>Description:</i> Commercial real estate (York, ME) <i>Filer comment:</i> Appeared in 2014 report as Land and Building, York, ME	Real Estate Commercial	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)
13	<b>Promissory Note</b> <i>Debtor:</i> Ansel Grandmaison	Accounts Receivable From an Individual	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
14	<b>Promissory Note</b> <i>Debtor:</i> Kevin Slattery	Accounts Receivable From an Individual	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
15	<b>Trela Associates, LLC</b> <i>Company:</i> Trela Associates, LLC (Dover, NH) <i>Description:</i> Owns commerical real estate	Business Entity Limited Liability Company (LLC)	Spouse			
15.1	<b>140 Washington Street</b> <i>Description:</i> Commercial real estate (Dover, NH)	Real Estate Commercial	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Rent/Royalties,	\$50,001 - \$100,000
15.2	<b>6-8 Atkinson Street</b> <i>Description:</i> Commercial rental property (Dover, NH)	Real Estate Commercial	Spouse	\$100,001 - \$250,000	Rent/Royalties,	\$15,001 - \$50,000

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Asset

	<u>Asset</u>	<u>Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
16	<b>4 Railroad Ave.</b> <i>Description: 4 Railroad Ave (York Beach , ME)</i>	Real Estate Commercial	Spouse	\$250,001 - \$500,000	Rent/Royalties,	\$5,001 - \$15,000
17	<b>Kearsarge Project</b> <i>Description: Condo, Residential, Commercial (York Beach, ME) Filer comment: Spouse has 10% ownership</i>	Real Estate Residential	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)

**Part 4a. Periodic Transaction Report Summary**

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

**Part 4b. Transactions**

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	--	76 Perkins Road, Madbury, NH	Purchase	06/22/2016	\$250,001 - \$500,000	--
2	Spouse	<u>RLBGX</u>	American Funds American Balanced R6	Purchase	03/31/2016	\$1,001 - \$15,000	--
3	Spouse	<u>RLBGX</u>	American Funds American Balanced R6	Sale (Partial)	03/31/2016	\$1,001 - \$15,000	--
4	Spouse	<u>GWETX</u>	AMG GW&K Small Cap Core N	Purchase	03/31/2016	\$1,001 - \$15,000	--
5	Spouse	<u>GWETX</u>	AMG GW&K Small Cap Core N	Sale (Partial)	03/31/2016	\$1,001 - \$15,000	--
6	Spouse	<u>TVRRX</u>	Thornburg Value R5	Purchase	03/31/2016	\$1,001 - \$15,000	--
7	Spouse	<u>TVRRX</u>	Thornburg Value R5	Sale (Partial)	03/31/2016	\$1,001 - \$15,000	--
8	Spouse	<u>PTTRX</u>	PIMCO Total Return Instl	Purchase	03/31/2016	\$1,001 - \$15,000	--
9	Spouse	<u>PTTRX</u>	PIMCO Total Return Instl	Sale (Partial)	03/31/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
10	Spouse	<u>VIPSX</u>	Vanguard Inflation-Protected Secs Inv	Purchase	03/31/2016	\$1,001 - \$15,000	--
11	Spouse	<u>VIPSX</u>	Vanguard Inflation-Protected Secs Inv	Sale (Partial)	03/31/2016	\$1,001 - \$15,000	--
12	Spouse	<u>RLBGX</u>	American Funds American Balanced R6	Purchase	06/30/2016	\$1,001 - \$15,000	--
13	Spouse	<u>GWETX</u>	AMG GW&K Small Cap Core N	Purchase	06/30/2016	\$1,001 - \$15,000	--
14	Spouse	<u>TVRRX</u>	Thornburg Value R5	Purchase	06/30/2016	\$1,001 - \$15,000	--
15	Spouse	<u>PTTRX</u>	PIMCO Total Return Instl	Purchase	06/30/2016	\$1,001 - \$15,000	--
16	Spouse	<u>VIPSX</u>	Vanguard Inflation-Protected Secs Inv	Purchase	06/30/2016	\$1,001 - \$15,000	--
17	Spouse	<u>RLBGX</u>	American Funds American Balanced R6	Purchase	09/30/2016	\$1,001 - \$15,000	--
18	Spouse	<u>RLBGX</u>	American Funds American Balanced R6	Sale (Partial)	09/30/2016	\$1,001 - \$15,000	--
19	Spouse	<u>GWETX</u>	AMG GW&K Small Cap Core N	Sale (Partial)	09/30/2016	\$1,001 - \$15,000	--
20	Spouse	<u>CSRIX</u>	Cohen & Steers Instl Realty Shares	Sale (Partial)	09/30/2016	\$1,001 - \$15,000	--
21	Spouse	<u>TVRRX</u>	Thornburg Value R5	Purchase	09/30/2016	\$1,001 - \$15,000	--
22	Spouse	<u>PCRIX</u>	PIMCO Commodity Real Ret Strat Instl	Sale (Partial)	09/30/2016	\$1,001 - \$15,000	--
23	Spouse	<u>PTTRX</u>	PIMCO Total Return Instl	Purchase	09/30/2016	\$1,001 - \$15,000	--
24	Spouse	<u>VIPSX</u>	Vanguard Inflation-Protected Secs Inv	Purchase	09/30/2016	\$1,001 - \$15,000	--

### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period?  No  Yes

## Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

## Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Rate		Amount	Creditor	Comments
				Points	(Term)			
1	2012	Joint	Mortgage	0	4.19% (20 years)	\$250,001 - \$500,000	National Capital Bank Washington, DC	-
2	2012	Spouse	Mortgage	0	4.5% (10 years)	\$15,001 - \$50,000	Lucy J. Karl Trust of 1994 Concord, NH	-
3	2010	Spouse	Mortgage	0	4.5% (10 years)	\$500,001 - \$1,000,000	Citizens Bank Manchester, NH	-
4	2010	Spouse	Mortgage	0	5.64% (10 years)	\$50,001 - \$100,000	TD Bank Concord, NH	-
5	2012	Self	Mortgage	0	2.99% (15 years)	\$250,001 - \$500,000	Sun Trust Bank Ravenna, OH	Bank previously called First Place Bank.
6	2015	Spouse	Mortgage	0	3.99% (30 years)	\$500,001 - \$1,000,000	People's United Bank Brattleboro, VT	-
7	2012	Spouse	Promissory Note	-	4.5% (5 years)	\$15,001 - \$50,000	People's United Bank Brattleboro, VT	Paid off in CY2016
8	2013	Spouse	Promissory Note	-	5% (20 years, 5 yr. ARM)	\$15,001 - \$50,000	Marcia Kimball Moultonborough, NH	-
9	2014	Spouse	Mortgage	0	4.4% (20 yrs, 5- yr ARM)	\$250,001 - \$500,000	Salem Five Bank Salem, MA	-
10	2014	Spouse	Promissory Note	-	5% (on demand)	\$15,001 - \$50,000	Marcia Kimball Moultonborough, NH	Terms of the note were renegotiated.

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#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
11	2015	Spouse	Mortgage	0	4.29% (20 years, 5 year ARM)	\$250,001 - \$500,000	Salem Five Bank Salem, NH	-
12	2015	Spouse	Other (Promissory Demand Note)	-	5% (On demand )	\$50,001 - \$100,000	Marcia Kimball Moultonborough, NH	-
13	2016	Spouse	Mortgage	0	4.5% (20 year, 5 year ARM)	\$250,001 - \$500,000	People's United Bank Brattleboro, VT	-
14	2015	Spouse	Promissory Note	-	5% (on demand)	\$50,001 - \$100,000	Marcia Kimball Moultonborough, NH	-

**Part 8. Positions**  
 Did you hold any outside positions during the reporting period? **No**

**Part 9. Agreements**  
 Did you have any reportable agreement or arrangement with an outside entity? **No**

**Part 10. Compensation**  
 If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? **This is not my first report.**

**Attachments & Comments**  
*No attachments added.*  
*No comments added.*

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