

Financial Disclosures

Annual Report for Calendar 2016

The Honorable Joseph Manchin III (Manchin, Joe)

Filed 05/15/2017 @ 5:44 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Pension	WV Public Employees Retirement System Charleston, West Virginia	\$48,282.00
2	Spouse	Board Compensation	MVB Bank, Inc. Fairmont, West Virginia	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	Huntington Banks (Fairmont, West Virginia) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
eFD: Home URL: eFD: Home https://efdsearch.senate.gov/search/home						
2	MVB Bank (Fairmont, West Virginia) Type: Savings,	Bank Deposit	Spouse	\$1,000,000	Interest,	\$2,501 - \$5,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3	Huntington Banks (Fairmont, West Virginia) Type: Savings,	Bank Deposit	Self	\$100,001 - \$250,000	Interest,	\$201 - \$1,000
4	Branch Banking & Trust (Charleston, West Virginia) Type: Checking,	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5	Branch Banking & Trust (Charleston, West Virginia) Type: Savings,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
6	PRU - Prudential Financial, Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7	Joseph Manchin III Qualified Blind Trust	Trust Blind	Self	\$250,001 - \$500,000	Qualified Blind Trust,	\$5,001 - \$15,000
8	TIAA-CREF - Traditional Annuity Provider: TIAA-CREF	Annuity Fixed	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
9	Fidelity IRA	Retirement Plans IRA	Spouse			
9.1	DGEIX - DFA Global Equity I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
9.2	DFGFX - DFA Two-Year Global Fixed-Income I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
9.3	DFGBX - DFA Five-Year Global Fixed-Income I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
9.4	FDRXX - Fidelity Cash Reserves	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
10	Fidelity Individual Account	Brokerage/Managed Account	Self	hide me		

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
10.1	<u>DTMEX</u> - DFA Tax-Managed US Eq (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,001 - \$2,500
10.2	<u>DTMIX</u> - DFA Tax-Managed International Value (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
10.3	<u>DFTSX</u> - DFA Tax-Managed US Small Cap (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
10.4	<u>DTMVX</u> - DFA Tax-Managed US Targeted Value (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
10.5	<u>DFISX</u> - DFA International Small Company I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
10.6	<u>DISVX</u> - DFA International Small Cap Value I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
10.7	<u>DFALX</u> - DFA Large Cap International I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
10.8	<u>DFMVX</u> - DFA Tax-Managed US Marketwide Value II (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,001 - \$2,500
10.9	<u>VWSUX</u> - Vanguard Short-Term Tx-Ex Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
10.10	<u>FDRXX</u> - Fidelity Cash Reserves <i>Filer comment: Exchanged from FMOXX</i>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
10.11	American Express Centurion Bank 2.3% 11/25/2020 (Salt Lake City, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	None, Interest,	\$1,001 - \$2,500
10.12	DFCEX - DFA Emerging Markets Core Equity I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
10.13	Goldman Sachs Bank CD 1.35% 03/25/2019 (New York, New York) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
10.14	Synchrony Bank CD 1.9% 11/06/2023 (Draper, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
11	Fidelity SEP IRA	Retirement Plans IRA	Self			
11.1	DFREX - DFA Real Estate Securities I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
11.2	DFSTX - DFA US Small Cap I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
11.3	DFUVX - DFA US Large Cap Value III (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.4	VYSVX - Vericimetry U.S. Small Cap Value (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
11.5	Synchrony Bank CD 1.8% 05/25/2017 (Draper, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.6	Goldman Sachs Bank CD 1.8% 7/11/2017 (New York, New York) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
11.7	Synchrony Bank CD 1.65% 08/24/2017 (Draper, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
11.8	FDRXX - Fidelity Cash Reserves (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.9	Synchrony Bank CD 2.00% 05/30/2019 (Draper, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
11.10	VFIAX - Vanguard 500 Index Admiral (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.11	Goldman Sachs Bank CD 2.45% 9/30/2021 (New York, New York) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	None,	\$1,001 - \$2,500
11.12	Goldman Sachs Bank CD 2.50% 7/22/2021 (New York, New York) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	None,	\$1,001 - \$2,500
11.13	BMW Bank of North America 1.7% 02/26/2021 (Salt Lake City, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
11.14	Discover Bank 1.9% 06/02/2023 (Greenwood, Delaware) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
11.15	Synchrony Bank CD 1.95% 06/29/2023 (Draper, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.16	<u>DFALX</u> - DFA Large Cap International I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12	Fidelity Traditional IRA	Retirement Plans IRA	Self			
12.1	<u>DFREX</u> - DFA Real Estate Securities I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
12.2	<u>DFSTX</u> - DFA US Small Cap I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.3	<u>DFUVX</u> - DFA US Large Cap Value III (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.4	<u>VYSVX</u> - Vericimetry U.S. Small Cap Value (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.5	Synchrony Bank CD 1.8% 05/25/2017 (Draper, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
12.6	<u>FDRXX</u> - Fidelity Cash Reserves (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
12.7	Sallie Mae Bank CD 2.15% 10/30/2018 (Murray, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
12.8	American Express Centurion Bank 2.05% 5/28/2020 (Salt Lake City, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
12.9	Ally Bank 1.6% 10/09/2018 (Chicago, Illinois) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13	WV National Company LLC <i>Company:</i> WV National Company LLC (Morgantown, West Virginia) <i>Description:</i> Auto Insurance Provider	Business Entity Limited Liability Company (LLC)	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
14	MPM Properties <i>Company:</i> MPM Properties (Fairmont, West Virginia) <i>Description:</i> storage building in Fairmont, WV	Business Entity General Partnership	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
15	Undeveloped land in Fairmont, WV <i>Description:</i> Undeveloped land in Fairmont, WV (Fairmont, West Virginia)	Real Estate Unimproved Land	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
16	Undeveloped land in Glade Springs, WV <i>Description:</i> Undeveloped land in Glade Springs, WV (Glade Springs, West Virginia)	Real Estate Unimproved Land	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
17	Manchin Enterprises <i>Company:</i> Manchin Enterprises (Fairmont, West Virginia) <i>Description:</i> Commercial Rentals	Business Entity General Partnership	Self	\$250,001 - \$500,000	Rent/Royalties,	\$2,501 - \$5,000
18	AA Properties, LLC <i>Company:</i> AA Properties, LLC (Fairmont, West Virginia) <i>Description:</i> Property Management	Business Entity Limited Liability Company (LLC)	Self	\$50,001 - \$100,000	Other, (Ordinary Income)	Other \$11,000.00
19	Northwestern Mutual Life Insurance Whole Life Policy Cash Value <i>Provider:</i> Northwestern Mutual Life Insurance	Life Insurance Whole	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
20	Prudential Life Insurance Whole Life Policy Cash Value <i>Provider:</i> Prudential Life Insurance	Life Insurance Whole	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
21	Prudential Life Insurance Whole Life Policy Cash Value <i>Provider: Prudential Life Insurance</i>	Life Insurance Whole	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
22	Modern Woodmen of the World Life Insurance Policy Cash Value <i>Provider: Modern Woodmen of the World</i>	Life Insurance Universal	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
23	MVBF - MVB Financial Corp. (OTC Markets)	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$1,001 - \$2,500
24	Farmington Resources, Inc. - Common Stock <i>Company: Farmington Resources, Inc. (Fairmont, WV) Description: Material provider for utility plants</i>	Corporate Securities Non-Public Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
25	Farmington Resources, Inc. - Note Receivable <i>Company: Farmington Resources, Inc. (Fairmont, West Virginia)</i>	Accounts Receivable From a Business	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
26	Energysystems, Inc. <i>Company: Energysystems, Inc. (Fairmont, WV) Description: Contract services and material provider for utility plants</i>	Corporate Securities Non-Public Stock	Self	\$500,001 - \$1,000,000	Other, (Operating Income)	Other \$365,635.00
27	West Virginia Growth Investment LLC <i>Company: West Virginia Growth Investment LLC (Charleston, WV) Description: Investment fund formed to invest in small businesses that operate in and around West Virginia Filer comment: Total investment in West Virginia Growth Investment LLC is \$15,001 - \$50,000. Underlying assets exceeding \$1,000 are reported below.</i>	Business Entity Limited Liability Company (LLC)	Spouse			

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
27.1	nChannel, Inc. <i>Company:</i> nChannel, Inc. (Columbus, OH) <i>Description:</i> Software company providing cloud-based, multi-channel software for retail companies	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
27.2	VCalc LLC <i>Company:</i> VCalc LLC (Frederick, Maryland) <i>Description:</i> Social media productivity company that is building a calculator for the web and mobile devices. <i>Filer comment:</i> Underlying asset of West Virginia Growth Investment LLC	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
27.3	PECA Labs Inc. <i>Company:</i> PECA Labs Inc. (Pittsburgh, Pennsylvania) <i>Description:</i> A medical device company focused on bringing new solutions to underserved populations. <i>Filer comment:</i> Underlying asset of West Virginia Growth Investment LLC	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
27.4	Care 24/7 LLC <i>Company:</i> Care 24/7 LLC (Knoxville, Tennessee) <i>Description:</i> A health care service and technology company that coordinates and explains treatment recommendations for patients with serious illnesses. <i>Filer comment:</i> Underlying asset of West Virginia Growth Investment LLC	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
27.5	Figure 8 Surgical Inc. <i>Company:</i> Figure 8 Surgical Inc. (Morgantown, WV) <i>Description:</i> Sternal closure medical device company <i>Filer comment:</i> Underlying asset of West Virginia Growth Investment LLC	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
27.6	PolyPlexx, LLC <i>Company:</i> PolyPlexx, LLC (Charleston, WV) <i>Description:</i> Chemical process patents <i>Filer comment:</i> Underlying asset of West Virginia Growth Investment LLC	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
27.7	CereDx <i>Company:</i> CereDx (Morgantown, WV) <i>Description:</i> Develops new testing for stroke candidates <i>Filer comment:</i> Underlying asset of West Virginia Growth Investment LLC	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/12/2016	Spouse	--	West Virginia Growth Investment LLC <i>Company:</i> CereDx (Morgantown, WV) <i>Description:</i> Develops new testing for stroke candidates	Purchase	\$1,001 - \$15,000	Underlying asset of West Virginia Growth Investment LLC
2	02/03/2016	Spouse	--	West Virginia Growth Investment LLC <i>Company:</i> PolyPlexx, LLC (Charleston, WV) <i>Description:</i> Chemical process patents	Purchase	\$1,001 - \$15,000	Underlying asset of West Virginia Growth Investment LLC
3	02/03/2016	Spouse	--	West Virginia Growth Investment LLC <i>Company:</i> Figure 8 Surgical Inc. (Morgantown, WV) <i>Description:</i> Sternal closure medical device company	Purchase	\$1,001 - \$15,000	Underlying asset of West Virginia Growth Investment LLC

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
4	03/17/2016	Spouse	--	West Virginia Growth Investment LLC <i>Company:</i> nChannel, Inc. (Columbus, OH) <i>Description:</i> Software company providing cloud-based, multi-channel software for retail companies	Purchase	\$1,001 - \$15,000	Underlying asset of West Virginia Growth Investment LLC - Additional investment in nChannel, Inc.
5	07/22/2016	Spouse	--	West Virginia Growth Investment LLC <i>Company:</i> PolyPlexx, LLC (Charleston, WV) <i>Description:</i> Chemical process patents	Purchase	\$1,001 - \$15,000	Underlying asset of West Virginia Growth Investment LLC

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	--	DGSIX - DFA Global Allocation 60/40 I	Sale (Full)	04/01/2016	\$50,001 - \$100,000	Fidelity IRA Rollover
2	Spouse	<u>DGEIX</u>	DFA Global Equity I	Purchase	04/01/2016	\$15,001 - \$50,000	Fidelity IRA Rollover
3	Spouse	<u>DFGBX</u>	DFA Five-Year Global Fixed-Income I	Purchase	04/04/2016	\$15,001 - \$50,000	Fidelity IRA Rollover
4	Spouse	<u>DFGFX</u>	DFA Two-Year Global Fixed-Income I	Purchase	04/04/2016	\$15,001 - \$50,000	Fidelity IRA Rollover
5	Spouse	<u>DGEIX</u>	DFA Global Equity I	Purchase	06/01/2016	\$1,001 - \$15,000	Fidelity IRA Rollover
6	Self	<u>DFEMX</u>	DFA Emerging Markets I	Sale (Full)	02/17/2016	\$15,001 - \$50,000	Fidelity Individual Account
7	Self	<u>DFEVX</u>	DFA Emerging Markets Value I	Sale (Full)	02/17/2016	\$15,001 - \$50,000	Fidelity Individual Account
8	Self	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Purchase	02/17/2016	\$50,001 - \$100,000	Fidelity Individual Account

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
9	Self	<u>FMOXX</u>	Fidelity Tax-Exempt Money Marke	Sale (Full)	03/14/2016	\$1,001 - \$15,000	Fidelity Individual Account - Money Market exchange to FDRXX
10	Self	<u>DTMIX</u>	DFA Tax-Managed International Value	Sale (Partial)	04/01/2016	\$1,001 - \$15,000	Fidelity Individual Account
11	Self	<u>DFALX</u>	DFA Large Cap International I	Sale (Partial)	04/01/2016	\$1,001 - \$15,000	Fidelity Individual Account
12	Self	<u>DFISX</u>	DFA International Small Company I	Sale (Partial)	04/01/2016	\$1,001 - \$15,000	Fidelity Individual Account
13	Self	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Sale (Partial)	04/01/2016	\$1,001 - \$15,000	Fidelity Individual Account
14	Self	<u>VWSUX</u>	Vanguard Short-Term Tx-Ex Adm	Sale (Partial)	04/04/2016	\$15,001 - \$50,000	Fidelity Individual Account
15	Self	--	Goldman Sachs Bank USA 1.35% 03/25/2019 Bank CD	Purchase	04/05/2016	\$15,001 - \$50,000	Fidelity Individual Account
16	Self	--	Sallie Mae Bank CD 1.30% 10/24/2016	Sale (Full)	10/24/2016	\$15,001 - \$50,000	Bond Maturity - Fidelity Individual Account
17	Self	--	Synchrony Bank 1.90% 11/06/2023 Bank CD	Purchase	10/28/2016	\$15,001 - \$50,000	Fidelity Individual Account
18	Self	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity IRA SEP
19	Self	<u>DFISX</u>	DFA International Small Company I	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity IRA SEP
20	Self	<u>DISVX</u>	DFA International Small Cap Value I	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity IRA SEP
21	Self	<u>DFVIX</u>	DFA International Value III	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity IRA SEP
22	Self	<u>DFALX</u>	DFA Large Cap International I	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity IRA SEP
23	Self	<u>DFSTX</u>	DFA US Small Cap I	Sale (Partial)	04/01/2016	\$1,001 - \$15,000	Fidelity IRA SEP

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
24	Self	<u>PCRIX</u>	PIMCO Commodity Real Ret Strat Instl	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity IRA SEP
25	Self	<u>VFIAX</u>	Vanguard 500 Index Admiral	Sale (Partial)	04/01/2016	\$1,001 - \$15,000	Fidelity IRA SEP
26	Self	<u>DFREX</u>	DFA Real Estate Securities I	Purchase	04/01/2016	\$1,001 - \$15,000	Fidelity IRA SEP
27	Self	--	BMW Bank of North America CD 1.7% 02/26/2021	Purchase	04/05/2016	\$15,001 - \$50,000	Fidelity IRA SEP
28	Self	<u>DFALX</u>	DFA Large Cap International I	Purchase	05/10/2016	\$1,001 - \$15,000	Fidelity IRA SEP
29	Self	--	Goldman Sachs Bank CD 1.30% 05/31/2016	Sale (Full)	05/31/2016	\$50,001 - \$100,000	Bond Maturity - Fidelity IRA SEP
30	Self	--	Discover Bank CD 1.90% 06/02/2023	Purchase	06/01/2016	\$50,001 - \$100,000	Fidelity IRA SEP
31	Self	--	Goldman Sachs Bank CD 1.4% 07/11/2016	Sale (Full)	07/11/2016	\$15,001 - \$50,000	Bond Maturity - Fidelity IRA SEP
32	Self	--	Synchrony Bank CD 1.95% 06/29/2023	Purchase	07/21/2016	\$15,001 - \$50,000	Fidelity IRA SEP
33	Self	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity Traditional IRA
34	Self	<u>DFISX</u>	DFA International Small Company I	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity Traditional IRA
35	Self	<u>DISVX</u>	DFA International Small Cap Value I	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity Traditional IRA
36	Self	<u>DFVIX</u>	DFA International Value III	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity Traditional IRA
37	Self	<u>DFALX</u>	DFA Large Cap International I	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity Traditional IRA
38	Self	<u>DFUVX</u>	DFA US Large Cap Value III	Sale (Partial)	04/01/2016	\$1,001 - \$15,000	Fidelity Traditional IRA
39	Self	<u>PCRIX</u>	PIMCO Commodity Real Ret Strat Instl	Sale (Full)	04/01/2016	\$1,001 - \$15,000	Fidelity Traditional IRA

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
40	Self	<u>VFIAX</u>	Vanguard 500 Index Admiral	Sale (Full)	04/01/2016	\$15,001 - \$50,000	Fidelity Traditional IRA
41	Self	<u>DFREX</u>	DFA Real Estate Securities I	Purchase	04/01/2016	\$1,001 - \$15,000	Fidelity Traditional IRA
42	Self	--	Ally Bank CD 1.60% 10/09/2018	Purchase	04/05/2016	\$50,001 - \$100,000	Fidelity Traditional IRA

Part 5. Gifts
 Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel
 Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities
 Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2015	Joint	Mortgage	0	3.5% (10/1 ARM)	\$250,001 - \$500,000	MVB Mortgage Fairmont, West Virginia	-

Part 8. Positions
 Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements
 Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation
 If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? **No**

Attachments & Comments hide me

No attachments added.

No comments added.

hide me