

Financial Disclosures

Annual Report for Calendar 2016

The Honorable John F Reed (Reed, John F.)

Filed 05/15/2017 @ 11:39 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who received payment?
1	05/16/2016	Appearance	\$1,000.00	Hinckley, Allen & Snyder LLP, Attorneys at Law Providence, RI	A Charity

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	<u>AGU - Agrium Inc. (NYSE)</u>	Corporate Securities	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
2	<u>AAPL - Apple Inc. (NASDAQ)</u>	Corporate Securities	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

eFD: Home

URL: <https://efiles.senate.gov/search/asset/16000/>

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3	<u>CSCO</u> - Cisco Systems, Inc. (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
4	<u>COST</u> - Costco Wholesale Corporation (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5	<u>CVS</u> - CVS Caremark Corporation (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6	<u>EMR</u> - Emerson Electric Co. (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7	<u>ESRX</u> - Express Scripts Holding Company (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
8	<u>ITW</u> - Illinois Tool Works Inc. (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9	<u>INTC</u> - Intel Corporation (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10	<u>IYT</u> - iShares Transportation Average	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11	<u>PEP</u> - Pepsico, Inc. (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
12	<u>QCOM</u> - QUALCOMM Incorporated (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13	<u>SYK</u> - Stryker Corporation (NYSE)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
14	<u>UNFI</u> - United Natural Foods, Inc. (NASDAQ)	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
15	<u>VRTX</u> - Vertex Pharmaceuticals Incorporated (NASDAQ)	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
16	Wells Fargo Bank (Charlestown, RI) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
17	<u>AAPL</u> - Apple Inc. (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
18	<u>COST</u> - Costco Wholesale Corporation (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
19	<u>CVS</u> - CVS Caremark Corporation (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
20	<u>ESRX</u> - Express Scripts Holding Company (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
21	Global X Funds China Consumer CHIQ	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
22	<u>IBB</u> - iShares Nasdaq Biotechnology	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
23	<u>PEP</u> - Pepsico, Inc. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
24	<u>PGJ</u> - PowerShares Golden Dragon China	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
25	<u>QQQ</u> - PowerShares QQQ Trust, Series 1 (NASDAQ)	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
26	<u>QCOM</u> - QUALCOMM Incorporated (NASDAQ)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
27	<u>SLB</u> - Schlumberger Limited (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
28	<u>XBI</u> - SPDR S&P Biotech ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
29	<u>SYK</u> - Stryker Corporation (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
30	<u>UNFI</u> - United Natural Foods, Inc. (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
31	Vanguard Intl Equity ET Index FDS FTSE Emerging Mkts VWO	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
32	<u>WFM</u> - Whole Foods Market, Inc. (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
33	<u>XLNX</u> - Xilinx Inc. (NASDAQ)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
34	Wells Fargo Bank (Charlestown, RI) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
35	U.S. Senate Federal Credit Union (Washington, DC) <i>Type: Checking, Savings,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
36	U.S. Senate Federal Credit Union (Washington, DC) <i>Type: Checking, Savings,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
37	Navigant Credit Union (Smithfield, RI) <i>Type: Savings,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
38	<u>CELG</u> - Celgene Corporation (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
39	<u>MSFT</u> - Microsoft Corporation (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
40	<u>NXPI</u> - NXP Semiconductors NV (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
41	<u>CELG</u> - Celgene Corporation (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42	<u>NXPI</u> - NXP Semiconductors NV (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
43	<u>XBI</u> - SPDR S&P Biotech ETF (NYSEArca)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
44	<u>VWO</u> - Vanguard FTSE Emerging Markets ETF (NYSEArca)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
45	<u>XLNX</u> - Xilinx Inc. (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
46	<u>LSCC</u> - Lattice Semiconductor Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
47	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
48	<u>HACK</u> - PureFunds ISE Cyber Security ETF	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
49	Guggenheim S&P 500 ET Equal Weight Technology RYT	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
50	<u>LSCC</u> - Lattice Semiconductor Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
51	College Bound Fund <i>Institution: Lincoln Financial Advisors Corp.</i>	Education Savings Plans	Child			
51.1	Invesco CollegeBound 2025-2026 Portfolio RA	Mutual Funds Mutual Fund	Child	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
52	<u>DHR</u> - Danaher Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
53	<u>FDX</u> - FedEx Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
54	<u>ISRG</u> - Intuitive Surgical, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
55	<u>XSD</u> - SPDR S&P Semiconductor ETF	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
56	<u>TMO</u> - Thermo Fisher Scientific Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
57	<u>WETF</u> - WisdomTree Investments, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
58	<u>DHR</u> - Danaher Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
59	<u>FDX</u> - FedEx Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
60	<u>INTC</u> - Intel Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
61	<u>ISRG</u> - Intuitive Surgical, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
62	<u>IYT</u> - iShares Transportation Average	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
63	<u>HACK</u> - PureFunds ISE Cyber Security ETF	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
64	<u>XSD</u> - SPDR S&P Semiconductor ETF	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
65	<u>TMO</u> - Thermo Fisher Scientific Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/29/2016	Self	TBF	ProShares Short 20+ Year Treasury	Sale (Full)	\$1,001 - \$15,000	--
2	01/29/2016	Joint	TBF	ProShares Short 20+ Year Treasury	Sale (Full)	\$1,001 - \$15,000	--
3	02/11/2016	Joint	CELG	Celgene Corporation	Purchase	\$1,001 - \$15,000	Purchase was less than \$1001.
4	02/11/2016	Self	CELG	Celgene Corporation	Purchase	\$1,001 - \$15,000	--
5	02/29/2016	Self	FDX	FedEx Corporation	Purchase	\$1,001 - \$15,000	--
6	02/29/2016	Joint	FDX	FedEx Corporation	Purchase	\$1,001 - \$15,000	--
7	03/03/2016	Self	NCR	NCR Corporation	Sale (Full)	\$1,001 - \$15,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
8	07/25/2016	Joint	PEP	Pepsico, Inc.	Sale (Partial)	\$1,001 - \$15,000	--
9	07/25/2016	Joint	ZBH	Zimmer Biomet Holdings, Inc.	Sale (Partial)	\$1,001 - \$15,000	--
10	07/25/2016	Joint	AMG	Affiliated Managers Group Inc.	Purchase	\$1,001 - \$15,000	--
11	07/25/2016	Joint	CELG	Celgene Corporation	Purchase	\$1,001 - \$15,000	--
12	07/25/2016	Self	MSFT	Microsoft Corporation	Sale (Partial)	\$1,001 - \$15,000	--
13	07/25/2016	Self	PEP	Pepsico, Inc.	Sale (Partial)	\$1,001 - \$15,000	--
14	07/25/2016	Self	ZBH	Zimmer Biomet Holdings, Inc.	Sale (Partial)	\$1,001 - \$15,000	--
15	07/25/2016	Self	AMG	Affiliated Managers Group Inc.	Purchase	\$1,001 - \$15,000	--
16	07/25/2016	Self	CELG	Celgene Corporation	Purchase	\$1,001 - \$15,000	--
17	09/02/2016	Self	IYT	iShares Transportation Average	Purchase	\$1,001 - \$15,000	--
18	09/12/2016	Joint	DHR	Danaher Corp.	Purchase	\$1,001 - \$15,000	--
19	09/12/2016	Joint	TMO	Thermo Fisher Scientific, Inc.	Purchase	\$1,001 - \$15,000	--
20	09/12/2016	Self	DHR	Danaher Corp.	Purchase	\$1,001 - \$15,000	--
21	09/12/2016	Self	IYT	iShares Transportation Average	Purchase	\$1,001 - \$15,000	--
22	09/12/2016	Self	TMO	Thermo Fisher Scientific, Inc.	Purchase	\$1,001 - \$15,000	--
23	09/22/2016	Self	DHR	Danaher Corp.	Purchase	\$1,001 - \$15,000	--

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
24	09/22/2016	Self	TMO	Thermo Fisher Scientific, Inc.	Purchase	\$1,001 - \$15,000	--
25	09/22/2016	Joint	DHR	Danaher Corp.	Purchase	\$1,001 - \$15,000	--
26	09/22/2016	Joint	TMO	Thermo Fisher Scientific, Inc.	Purchase	\$1,001 - \$15,000	--
27	10/06/2016	Self	IYT	iShares Transportation Average	Purchase	\$1,001 - \$15,000	--
28	11/23/2016	Self	TMO	Thermo Fisher Scientific Inc.	Purchase	\$1,001 - \$15,000	--
29	11/23/2016	Self	WETF	WisdomTree Investments, Inc.	Purchase	\$1,001 - \$15,000	--
30	11/23/2016	Self	ISRG	Intuitive Surgical, Inc.	Purchase	\$1,001 - \$15,000	--
31	11/23/2016	Self	HACK	PureFunds ISE Cyber Security ETF	Purchase	\$1,001 - \$15,000	--
32	11/23/2016	Self	XSD	SPDR S&P Semiconductor ETF	Purchase	\$1,001 - \$15,000	--
33	11/23/2016	Self	FEYE	FireEye, Inc.	Sale (Full)	\$1,001 - \$15,000	--
34	11/23/2016	Joint	VWO	Vanguard FTSE Emerging Markets ETF	Purchase	\$1,001 - \$15,000	--
35	11/23/2016	Joint	WETF	WisdomTree Investments, Inc.	Purchase	\$1,001 - \$15,000	--
36	11/23/2016	Joint	ISRG	Intuitive Surgical, Inc.	Purchase	\$1,001 - \$15,000	--
37	11/23/2016	Joint	XSD	SPDR S&P Semiconductor ETF	Purchase	\$1,001 - \$15,000	--
38	11/23/2016	Joint	TMO	Thermo Fisher Scientific Inc.	Purchase	\$1,001 - \$15,000	--
39	11/23/2016	Joint	EEM	iShares MSCI Emerging Markets	Sale (Full)	\$1,001 - \$15,000	--

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
40	12/14/2016	Joint	DHR	Danaher Corporation	Purchase	\$1,001 - \$15,000	--
41	12/14/2016	Joint	XSD	SPDR S&P Semiconductor ETF	Purchase	\$1,001 - \$15,000	--
42	12/14/2016	Joint	AMG	Affiliated Managers Group, Inc.	Sale (Full)	\$1,001 - \$15,000	--
43	12/14/2016	Joint	IAI	iShares US Broker-Dealers & Secs Exchs	Sale (Full)	\$1,001 - \$15,000	--
44	12/14/2016	Self	DHR	Danaher Corporation	Purchase	\$1,001 - \$15,000	--
45	12/14/2016	Self	INTC	Intel Corporation	Purchase	\$1,001 - \$15,000	--
46	12/14/2016	Self	XSD	SPDR S&P Semiconductor ETF	Purchase	\$1,001 - \$15,000	--
47	12/14/2016	Self	AMG	Affiliated Managers Group, Inc.	Sale (Full)	\$1,001 - \$15,000	--
48	12/14/2016	Self	DVMT	Dell Technologies Inc.	Sale (Full)	\$1,001 - \$15,000	--
49	12/14/2016	Self	WETF	WisdomTree Investments, Inc.	Sale (Full)	\$1,001 - \$15,000	--
50	12/16/2016	Self	ZBH	Zimmer Biomet Holdings, Inc.	Sale (Full)	\$1,001 - \$15,000	--
51	12/16/2016	Joint	ZBH	Zimmer Biomet Holdings, Inc.	Sale (Full)	\$1,001 - \$15,000	--
52	09/07/2016	Self	--	EMC Corp. Mass EMC	Exchange	\$1,001 - \$15,000	--
53	09/07/2016	Self	DVMT	Dell Technologies Inc.	Exchange	\$1,001 - \$15,000	EMC Corp. Mass EMC is now listed as Dell Technologies FMGR EMC Corp Mass.

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? Yes No hide me

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Child	--	Invesco College Bound 2025-2026 Portfolio RA	Purchase	07/08/2016	\$50,001 - \$100,000	--
2	Child	--	CBF Agressive Growth	Sale (Full)	07/08/2016	\$50,001 - \$100,000	--

Part 5. Gifts
 Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel
 Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities
 Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2013	Joint	Mortgage	0	2.75% (15 years)	\$100,001 - \$250,000	Navigant Credit Union Smithfield, RI	Residential mortgage
2	2013	Joint	Mortgage	0	3.625% (30 years)	\$250,001 - \$500,000	U.S. Bank Home Mortgage Eagen, MN	Residential mortgage

Part 8. Positions
 Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements
 Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation
 If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? **This is not my first report.**
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Attachments & Comments

No attachments added.

No comments added.

hide me