



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. John Garamendi  
**Status:** Member  
**State/District:** CA03

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2016  
**Filing Date:** 07/18/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Fund 401(k) ⇒ American New Economy  DESCRIPTION: distribution as required by law		\$1,001 - \$15,000	pension	\$201 - \$1,000	<input type="checkbox"/>
American Fund 401(k) ⇒ American Small Cap World		\$1,001 - \$15,000	None		<input type="checkbox"/>
American Fund Fundamental Inv A  DESCRIPTION: distribution payment as required by law for individuals over 65	SP	\$50,001 - \$100,000	pension	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America Account  DESCRIPTION: interest income	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of Stockton	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cabin  LOCATION: Calaveras County,, CA, US	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Calpers retirement		Undetermined	pension	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: pension payment					
Calpers Retirement	SP	Undetermined	pension	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Davis house	JT	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Davis, Yolo County, CA, US					
Facebook, Inc. - Class A (FB)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: purchased Face Book Stock					
Granite Construction Incorporated (GVA)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Grupe Squaw Valley LLC	SP	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: Stockton, San Joaquin, CA, US					
Health Fusion	JT	None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Private placement.					
legislative retirement		Undetermined	pension	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Morgan Stanley Bank Account	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Ranch	JT	\$1,000,001 - \$5,000,000	ranch income	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: Calaveras County,, CA, US					
DESCRIPTION: sale of cattle					
rental property	JT	\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: Washington, DC, US					
Solazyme, Inc. (SZYM)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: purchase of stock					
Solazyme, Inc. (SZYM)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Twitter, Inc. (TWTR)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: purchased twitter stock					
Walnut Grove Pasture	JT	\$50,001 - \$100,000	gifted to children	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
LOCATION: Walnut Grove,Sacramento, CA, US DESCRIPTION: This property was gifted to our children					
Wells Fargo Bank Account	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Woven Media	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: privately held stock no income					

\* Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Facebook, Inc. - Class A (FB)	SP	04/1/2017	P	\$1,001 - \$15,000	
DESCRIPTION: purchased Facebook stock					
Health Fusion	JT	01/21/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Private placement.					
Health Fusion	JT	01/5/2016	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
DESCRIPTION: Private placement					
Solazyme, Inc. (SZYM)		01/8/2016	P	\$1,001 - \$15,000	
Twitter, Inc. (TWTR)	SP	04/1/2016	P	\$1,001 - \$15,000	

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
CalPers	pension	\$11,187.00
CalPers	spouse pension	N/A
California Legislative Retirement System	pension	\$87,337.00

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	American Express	January-December 2016	revolving credit line	\$10,000 - \$15,000
JT	US Bank	march 2010	home mortgage personal home	\$250,001 - \$500,000
JT	Wells Fargo	September 2012	home mortgage personal home	\$250,001 - \$500,000
JT	PennyMac	August 2014	home mortgage	\$250,001 - \$500,000

**SCHEDULE E: POSITIONS**

None disclosed.

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
November 2009	myself and the state of Californai	continued participation in the legislative pension program and CalPers

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute and others	03/28/2016	04/4/2016	Washington DC - Beijing China - Nanjing - Washington	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Center for Democracy in the Americas	02/12/2016	02/16/2016	Washington DC - Havana, Cuba - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o American Fund 401(k)

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

- Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

- Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

- Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. John Garamendi , 07/18/2017