

Financial Disclosures

Annual Report for Calendar 2016

The Honorable John Hoeven (Hoeven, John)

Filed 05/15/2017 @ 5:41 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who received payment?
1	10/30/2016	Speech	\$250.00	The Thirst - Diocese of Bismarck Bismarck, North Dakota	Spouse

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	MADVX - BlackRock Equity Dividend Instl	Mutual Funds	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$5,001 - \$15,000
eFD: Home URL:						
2	FCNTX - Fidelity Contrafund (NASDAQ)	Mutual Funds	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$5,001 - \$15,000
Mutual Fund hide me						

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3	<u>SGIIX</u> - First Eagle Global I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$2,501 - \$5,000
4	FLMVX - JPMorgan Mid Cap Value L	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5	<u>MGFIX</u> - AMG Managers Bond Service (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6	<u>PRNHX</u> - T. Rowe Price New Horizons (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$5,001 - \$15,000
7	TGBAX - Templeton Global Bond Adv	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$2,501 - \$5,000
8	<u>I</u> - AT&T, Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9	<u>AAPL</u> - Apple Inc. (NASDAQ)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
10	<u>ARCC</u> - Ares Capital Corporation (NASDAQ)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$5,001 - \$15,000
11	<u>ADP</u> - Automatic Data Processing, Inc. (NASDAQ)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
12	<u>BLK</u> - BlackRock, Inc. (NYSE)	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13	<u>CSX</u> - CSX Corp. (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
14	<u>CTL</u> - CenturyLink, Inc. (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
15	<u>KO</u> - The Coca-Cola Company (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
16	<u>CTSH</u> - Cognizant Technology Solutions Corporation (NASDAQ)	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
17	<u>DE</u> - Deere & Company (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
18	<u>DEO</u> - Diageo plc (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
19	<u>F</u> - Ford Motor Co. (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
20	<u>GIS</u> - General Mills, Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
21	<u>INTC</u> - Intel Corporation (NASDAQ)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
22	<u>IBM</u> - International Business Machines Corporation (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
23	<u>IRET</u> - Investors Real Estate Trust (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
24	<u>JNJ</u> - Johnson & Johnson (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
25	KHC - The Kraft Heinz Company	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000
26	<u>MCD</u> - McDonald's Corp. (NYSE)	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000
27	<u>MSFT</u> - Microsoft Corporation (NASDAQ)	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000
28	<u>MCHP</u> - Microchip Technology Inc. (NASDAQ)	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends, Other, (Return of Capital)	\$5,001 - \$15,000 Other \$5,160.00
29	<u>MDLZ</u> - Mondelez International, Inc. (NASDAQ)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
30	<u>PFE</u> - Pfizer Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
31	<u>PG</u> - The Procter & Gamble Company (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
32	<u>RL</u> - Ralph Lauren Corporation (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
33	<u>SBUX</u> - Starbucks Corporation (NASDAQ)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
34	<u>TJX</u> - The TJX Companies, Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
35	<u>USB</u> - U.S. Bancorp (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
36	<u>UNP</u> - Union Pacific Corporation (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
37	<u>VFC</u> - V.F. Corporation (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
38	<u>VZ</u> - Verizon Communications Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
39	<u>VSAT</u> - ViaSat Inc. (NASDAQ)	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
40	<u>WFC</u> - Wells Fargo & Company (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
41	<u>YUM</u> - Yum! Brands, Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
42	Campbell Fund TR Unit Ser A LTD Partnership <i>Company:</i> Cambell Fund TR Unit Ser A LTD Partnership (Baltimore, Maryland) <i>Description:</i> Investment Partnership	Business Entity Limited Partnership (LP)	Self	None (or less than \$1,001)	Rent/Royalties, Interest,	\$201 - \$1,000
43	Stifel (Bismarck, North Dakota) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$1,000,001 - \$5,000,000	Interest,	None (or less than \$201)
44	Westbrand Inc. Bank Holding Co. Minot ND	Corporate Securities Stock	Self	\$5,000,001 - \$25,000,000	Dividends,	\$100,001 - \$1,000,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
45	Tompson-Hoeven Partnership <i>Company:</i> Tompson-Hoeven Partnership (Bismarck, North Dakota) <i>Description:</i> MT Farmland Rental	Business Entity General Partnership	Self	\$100,001 - \$250,000	Rent/Royalties,	\$2,501 - \$5,000
46	Northwest Respiratory Services, LLC <i>Company:</i> Northwest Respiratory Services, LLC (St Paul, Minnesota) <i>Description:</i> Respiratory Services	Business Entity Limited Liability Company (LLC)	Self	\$5,000,001 - \$25,000,000	Other, (LLC Income)	Other \$1,343,600.00
47	Corridor Investments, LLC <i>Company:</i> Corridor Investments, LLC (Minot, North Dakota) <i>Description:</i> Management Company	Business Entity Limited Liability Company (LLC)	Self	\$15,001 - \$50,000	Other, (LLC Income)	Other \$7,920.00
48	Corridor Investments, LLC <i>Company:</i> Corridor Investments, LLC (Minot, North Dakota) <i>Description:</i> Management Company	Business Entity Limited Liability Company (LLC)	Spouse	\$15,001 - \$50,000	Other, (LLC Income)	Other \$5,280.00
49	Mainstream Investors, LLC <i>Company:</i> Mainstream Investors, LLC (Minot, North Dakota) <i>Description:</i> Oil & Gas Investing	Business Entity Limited Liability Company (LLC)	Self			
49.1	HARVARD STATE 16-36S-164-101 <i>Description:</i> OIL WELL INTEREST (COLGAN BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.2	BW LARSON 149-100-1720H-1 <i>Description:</i> OIL WELL INTEREST (ELLSWORTH BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.3	KOALA 15-33-28-2H <i>Description:</i> OIL WELL INTEREST (POE BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000 hide me	Rent/Royalties,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
49.4	KOALA 15-33-28-2H3 <i>Description:</i> OIL WELL INTEREST (POE THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.5	KOALA 15-33-28-3H <i>Description:</i> OIL WELL INTEREST (POE BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.6	KOALA 4-4-28-3H3 <i>Description:</i> OIL WELL INTEREST (POE THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.7	KOALA 4-4-28-4H <i>Description:</i> OIL WELL INTEREST (POE BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.8	KOALA 4-4-28-4H3 <i>Description:</i> OIL WELL INTEREST (POE BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.9	KOALA 4-4-29-1H <i>Description:</i> OIL WELL INTEREST (POE BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.10	MYRTLE 2-1-163-101 <i>Description:</i> OIL WELL INTEREST (COLGAN BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.11	PIRATE 1-2-11H <i>Description:</i> OIL WELL INTEREST (FOREMAN BUTTE BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.12	PIRATE 2-2-11H <i>Description:</i> OIL WELL INTEREST (FOREMAN BUTTE BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.13	PIRATE 3-2-11H <i>Description:</i> OIL WELL INTEREST (FOREMAN BUTTE THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)

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49.14	PIRATE 4-2-11H <i>Description:</i> OIL WELL INTEREST (FOREMAN BUTTE BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.15	PIRATE 5-2-11H <i>Description:</i> OIL WELL INTEREST (FOREMAN BUTTE BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.16	PIRATE 6-2-11H <i>Description:</i> OIL WELL INTEREST (FOREMAN BUTTE BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.17	P WOOD 154-98-1-27-34-16H <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.18	P WOOD 154-98-1-27-35-13H3 <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.19	P WOOD 154-98-15-23-35-15H <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.20	P WOOD 154-98-15-23-35-15H3 <i>Description:</i> OIL WELL INTEREST (TRUAX THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.21	P WOOD 154-98-15-23-35-15H3A <i>Description:</i> OIL WELL INTEREST (TRUAX THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.22	P WOOD 154-98-15-23-35-15HA <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.23	P WOOD 154-98-16-23-35-15H <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)

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49.24	P WOOD 154-98-16-23-35-15H3 <i>Description:</i> OIL WELL INTEREST (TRUAX THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.25	P WOOD 154-98-16-23-35-9H <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	\$201 - \$1,000
49.26	P WOOD 154-98-16-23-35-9H3 <i>Description:</i> OIL WELL INTEREST (TRUAX THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.27	P WOOD 154-98-4-26-35-13H <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.28	P WOOD 154-98-4-26-35-13H3 <i>Description:</i> OIL WELL INTEREST (TRUAX THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.29	P WOOD 154-98-4-26-35-14H <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.30	P WOOD 154-98-4-26-35-14H3 <i>Description:</i> OIL WELL INTEREST (TRUAX THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.31	P WOOD 154-98-4E-26-35-14H <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.32	P WOOD 154-98-4E-26-35-14H3 <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.33	P WOOD 154-98-4E-26-35-14HA <i>Description:</i> OIL WELL INTEREST (TRUAX BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)

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49.34	RICHARD 2-13N-163-101 <i>Description:</i> OIL WELL INTEREST (COLGAN THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.35	ROBERT 1-13H <i>Description:</i> OIL WELL INTEREST (STONY CREEK BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.36	WAHPETON 1-16H <i>Description:</i> OIL WELL INTEREST (BANKS BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.37	WAHPETON 10-16H-2 <i>Description:</i> OIL WELL INTEREST (BANKS THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.38	WAHPETON 11-16H-1 <i>Description:</i> OIL WELL INTEREST (BANKS THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.39	WAHPETON 12-16H-3 <i>Description:</i> OIL WELL INTEREST (BANKS THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.40	WAHPETON 13-16H <i>Description:</i> OIL WELL INTEREST (BANKS BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.41	WAHPETON 14-16H-2 <i>Description:</i> OIL WELL INTEREST (BANKS THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.42	WAHPETON 2-16H-2 <i>Description:</i> OIL WELL INTEREST (BANKS THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.43	WAHPETON 4-16H-1 <i>Description:</i> OIL WELL INTEREST (BANKS THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)

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49.44	WAHPETON 5-16H-2 <i>Description:</i> OIL WELL INTEREST (BANKS THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.45	WAHPETON 6-16H <i>Description:</i> OIL WELL INTEREST (BANKS BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.46	WAHPETON 7-16H-3 <i>Description:</i> OIL WELL INTEREST (BANKS THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.47	WAHPETON 8-16H-1 <i>Description:</i> OIL WELL INTEREST (BANKS THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.48	WAHPETON 9-16H <i>Description:</i> OIL WELL INTEREST (BANKS BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.49	CHRISTIANSON 15-12-163-101 <i>Description:</i> OIL WELL INTEREST (COLGAN THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.50	ENTZEL 1-26H <i>Description:</i> OIL WELL INTEREST (CABERNET BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	\$201 - \$1,000
49.51	MEGAN 14-12-163-101 <i>Description:</i> OIL WELL INTEREST (COLGAN THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
49.52	TALKINGTON FED 41-26-PH <i>Description:</i> OIL WELL INTEREST (PARK THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.53	LOOMER 44-33H-2H <i>Description:</i> OIL WELL INTEREST (BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

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Asset

	<u>Asset</u>	<u>Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
49.54	LOOMER 44-33H <i>Description:</i> OIL WELL INTEREST (BAKKEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
49.55	WAHPETON 3-16H-3 <i>Description:</i> OIL WELL INTEREST (BANKS THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.56	TALKINGTON FED 11-26-PH <i>Description:</i> OIL WELL INTEREST (PARK THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.57	TALKINGTON FED 21-26-TFH <i>Description:</i> OIL WELL INTEREST (PARK THREE FORKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
49.58	MAVERICK 3-18-19H <i>Description:</i> OIL WELL INTEREST (CHARBONNEAU FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	None (or less than \$1,001)	None,	None (or less than \$201)
49.59	LOOMER 44-33TFHU <i>Description:</i> OIL WELL INTEREST (BANKS FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
49.60	LOOMER 44-33-2TFH <i>Description:</i> OIL WELL INTEREST (POE FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
49.61	LOOMER 44-33TFH <i>Description:</i> OIL WELL INTEREST (POE FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
49.62	RITA 44X-34BXC <i>Description:</i> OIL WELL INTEREST (TOBACCO GARDEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$15,001 - \$50,000	Rent/Royalties,	None (or less than \$201)
49.63	RITA 44X-34C <i>Description:</i> OIL WELL INTEREST (TOBACCO GARDEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$50,001 - \$100,000	Rent/Royalties,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
49.64	RITA 44X-34CXD <i>Description:</i> OIL WELL INTEREST (TOBACCO GARDEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$50,001 - \$100,000	Rent/Royalties,	\$201 - \$1,000
49.65	RITA 44X-34D <i>Description:</i> OIL WELL INTEREST (TOBACCO GARDEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$50,001 - \$100,000	Rent/Royalties,	None (or less than \$201)
49.66	RITA 44X-34G <i>Description:</i> OIL WELL INTEREST (TOBACCO GARDEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$15,001 - \$50,000	Rent/Royalties,	None (or less than \$201)
49.67	RITA 34X-34H <i>Description:</i> OIL WELL INTEREST (TOBACCO GARDEN FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$15,001 - \$50,000	Rent/Royalties,	None (or less than \$201)
49.68	RITA 44X-34HXE <i>Description:</i> OIL WELL INTEREST (TOBACCO GARDEN NOR FIELD, NORTH DAKOTA)	Real Estate Mineral Rights	Self	\$15,001 - \$50,000	Rent/Royalties,	None (or less than \$201)
50	First Western Bank Collective Asset Fund	Retirement Plans IRA	Spouse	None (or less than \$1,001)	None, Interest,	
51	First Western Bank & Trust (Minot , North Dakota) <i>Type:</i> Checking, Savings,	Bank Deposit	Self	\$1,000,001 - \$5,000,000	Interest,	\$5,001 - \$15,000
52	First Western Bank & Trust (Minot, North Dakota) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$50,001 - \$100,000	Interest,	None (or less than \$201)
53	<u>BUD</u> - Anheuser-Busch InBev SA/NV (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
54	<u>CDK</u> - CDK Global, Inc. (NASDAQ)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
55	<u>CSCO</u> - Cisco Systems, Inc. (NASDAQ)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
56	<u>AJG</u> - Arthur J Gallagher & Co. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
57	<u>GOOGL</u> - Google Inc. (NASDAQ)	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
58	<u>HON</u> - Honeywell International Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
59	<u>EL</u> - The Estée Lauder Companies Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
60	<u>PEP</u> - Pepsico, Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
61	<u>BX</u> - The Blackstone Group L.P. (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Other, (PTP Income)	Other \$7,007.00
62	<u>ABBV</u> - AbbVie Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
63	<u>IJR</u> - iShares Core S&P Small-Cap (NYSEArca)	Retirement Plans IRA	Spouse			
63.1	<u>IJR</u> - iShares Core S&P Small-Cap (NYSEArca)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
64	<u>TRBCX</u> - T. Rowe Price Blue Chip Growth (NASDAQ)	Retirement Plans IRA	Spouse			hide me

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
64.1	<u>TRBCX</u> - T. Rowe Price Blue Chip Growth (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
65	Mutual Funds	Retirement Plans IRA	Self			
65.1	<u>FISPX</u> - Federated Max-Cap Index Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
65.2	<u>JAVLX</u> - Janus Twenty T (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
65.3	<u>TRBCX</u> - T. Rowe Price Blue Chip Growth (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$1,001 - \$2,500
65.4	<u>OAKMX</u> - Oakmark I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
65.5	<u>VDIGX</u> - Vanguard Dividend Growth Inv (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
65.6	<u>VV</u> - Vanguard Large-Cap ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
65.7	<u>VSMAX</u> - Vanguard Small Cap Index Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
65.8	<u>FHYTX</u> - Federated High Yield Service (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
65.9	<u>FTRKX</u> - Federated Total Return Bond R (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
66	<u>HFMDX</u> - Hennessy Cornerstone Mid Cap 30 Inv	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$201 - \$1,000
67	<u>CAT</u> - Caterpillar Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
68	<u>JCI</u> - Johnson Controls Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$2,501 - \$5,000
69	<u>MDU</u> - MDU Resources Group Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
70	<u>TAP</u> - Molson Coors Brewing Company	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
71	<u>PJT</u> - PJT Partners Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
72	<u>GOOG</u> - Alphabet Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
73	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
74	<u>ASIX</u> - AdvanSix Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
75	<u>BMJ</u> - Bristol-Myers Squibb Company	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
76	<u>FB</u> - Facebook, Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
77	<u>HAS</u> - Hasbro, Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
78	<u>MRK</u> - Merck & Co., Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
79	<u>NKE</u> - NIKE, Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
80	<u>PRU</u> - Prudential Financial, Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
81	<u>SPG</u> - Simon Property Group, Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
82	<u>YUMC</u> - Yum China Holdings, Inc. <i>Filer comment: Yum China Holdings, Inc. was acquired on November 1, 2016, resulting from a spinoff by Yum Brands, Inc.</i>	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
83	<u>IVZ</u> - Invesco Ltd.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
84	<u>VLO</u> - Valero Energy Corporation	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000

Asset

	<u>Asset</u>	<u>Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
85	<u>UA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
86	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/06/2016	Self	UA	Under Armour, Inc. (NYSE)	Purchase	\$50,001 - \$100,000	--
2	02/03/2016	Self	MCD	McDonald's Corp.	Purchase	\$50,001 - \$100,000	--
3	01/28/2016	Self	AAPL	Apple Inc.	Purchase	\$50,001 - \$100,000	--
4	01/28/2016	Self	MRK	Merck & Co. Inc.	Purchase	\$50,001 - \$100,000	--
5	02/09/2016	Self	ADP	Automatic Data Processing, Inc.	Purchase	\$50,001 - \$100,000	--
6	03/07/2016	Self	GOOG	Alphabet Inc.	Purchase	\$15,001 - \$50,000	--
7	03/07/2016	Self	FB	Facebook, Inc.	Purchase	\$50,001 - \$100,000	--
8	03/07/2016	Self	PRU	Prudential Financial, Inc.	Purchase	\$50,001 - \$100,000	--
9	03/07/2016	Self	IVZ	Invesco Ltd.	Purchase	\$50,001 - \$100,000	--
10	04/08/2016	Self	--	Class C Shares of Under Armour, Inc.	Purchase	\$15,001 - \$50,000	Shares acquired result from a stock dividend by UA - Under Armour Inc.

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
11	04/22/2016	Self	MCD	McDonald's Corp.	Purchase	\$50,001 - \$100,000	--
12	04/22/2016	Self	VLO	Valero Energy Corporation	Purchase	\$100,001 - -\$250,000	--
13	04/27/2016	Self	IRET	Investors Real Estate Trust	Sale (Partial)	\$50,001 - \$100,000	--
14	04/28/2016	Self	IRET	Investors Real Estate Trust	Sale (Full)	\$100,001 - -\$250,000	--
15	06/28/2016	Self	UA-C	Under Armour, Inc. Class C Comm	Purchase	\$50,001 - \$100,000	--
16	06/28/2016	Self	IVZ	Invesco Ltd.	Purchase	\$50,001 - \$100,000	--
17	06/28/2016	Self	MCD	McDonald's Corp.	Purchase	\$50,001 - \$100,000	--
18	06/28/2016	Self	PRU	Prudential Financial, Inc.	Purchase	\$50,001 - \$100,000	--
19	08/22/2016	Self	MCD	McDonald's Corp.	Purchase	\$50,001 - \$100,000	--
20	08/22/2016	Self	BMJ	Bristol-Myers Squibb Company	Purchase	\$50,001 - \$100,000	--
21	08/22/2016	Self	HAS	Hasbro Inc.	Purchase	\$50,001 - \$100,000	--
22	08/22/2016	Self	JCI	Johnson Controls Inc.	Sale (Full)	\$100,001 - -\$250,000	--
23	08/19/2016	Self	RL	Ralph Lauren Corporation	Sale (Full)	\$100,001 - -\$250,000	--
24	08/23/2016	Self	TJX	The TJX Companies, Inc.	Purchase	\$50,001 - \$100,000	--
25	11/23/2016	Self	AMGN	Amgen Inc.	Purchase	\$50,001 - \$100,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
26	11/25/2016	Self	PFE	Pfizer Inc.	Purchase	\$50,001 - \$100,000	--
27	11/23/2016	Self	MDU	MDU Resources Group, Inc.	Sale (Full)	\$100,001 - \$250,000	--
28	12/19/2016	Self	DEO	Diageo plc	Sale (Full)	\$100,001 - \$250,000	--
29	12/19/2016	Self	BX	The Blackstone Group L.P.	Sale (Full)	\$100,001 - \$250,000	--
30	12/16/2016	Self	CTL	CenturyLink, Inc.	Sale (Full)	\$100,001 - \$250,000	--
31	12/16/2016	Self	UAA	UAA-Under Armour, Inc. Class A Comm	Sale (Full)	\$15,001 - \$50,000	--
32	12/16/2016	Self	UA	Under Armour, Inc.	Sale (Full)	\$50,001 - \$100,000	--
33	12/16/2016	Self	CAT	Caterpillar Inc.	Sale (Full)	\$100,001 - \$250,000	--
34	12/16/2016	Self	VLO	Valero Energy Corporation	Sale (Full)	\$100,001 - \$250,000	--
35	12/23/2016	Self	WFC	Wells Fargo & Company	Sale (Full)	\$100,001 - \$250,000	--
36	12/22/2016	Self	IBM	International Business Machines Corporation	Sale (Full)	\$100,001 - \$250,000	--
37	12/22/2016	Self	VSAT	ViaSat, Inc.	Sale (Full)	\$15,001 - \$50,000	--
38	12/22/2016	Self	UNP	Union Pacific Corporation	Sale (Full)	\$100,001 - \$250,000	--

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
39	12/22/2016	Self	USB	U.S. Bancorp	Sale (Full)	\$15,001 - \$50,000	--
40	12/23/2016	Self	--	Westbrand, Inc. Bank Holding Company <i>Company:</i> Westbrad, Inc (Minot, North Dakota) <i>Description:</i> Holding Company of First Western Bank	Purchase	\$250,001 - \$500,000	--
41	12/28/2016	Self	F	Ford Motor Company	Sale (Full)	\$100,001 - \$250,000	--
42	12/28/2016	Self	CSX	CSX Corporation	Sale (Full)	\$50,001 - \$100,000	--
43	12/29/2016	Self	NKE	NKE-NKE-NKE-NIKE, Inc.	Purchase	\$100,001 - \$250,000	Changed transaction date from 1/4/17 (Settlement date) to 12/29/16 (Trade date).
44	12/29/2016	Self	SPG	SPG-SPG-Simon Property Group, Inc.	Purchase	\$100,001 - \$250,000	Changed transaction date from 1/4/17 (Settlement date) to 12/29/16 (Trade date).

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Self	--	Campbell Fund Trust Unit Ser A LTD Partnership	Sale (Full)	12/27/2016	\$50,001 - \$100,000	--
2	Self	<u>FHYTX</u>	Federated High Yield Trust Service	Sale (Partial)	01/27/2016	\$1,001 - \$15,000	--
3	Self	<u>FHYTX</u>	Federated High Yield Trust Service	Purchase	03/30/2016	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

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Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **No**

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Oct 1984 to present	Director	First Western Bank & Trust Minot, North Dakota	Other (Bank)	
2	Jun 1999 to present	Other (Member)	Northwest Respiratory Service, LLC St Paul, Minnesota	Other (Respiratory Services, LLC)	
3	Mar 1979 to present	Partner	Tompson-Hoeven Partnership Bismarck, North Dakota	Partnership	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties		Status and Terms
		Involved	Type	
1	Jul 1982	First Western Bank & Trust Minot, North Dakota	Other (Defined Benefit Plan)	Participant in First Western Bank & Trust Employee Pension Plan. Plan is a defined benefit plan and is 100% vested. Projected benefit at retirement is \$837.74 per month.
2	Apr 1986	First Western Bank & Trust Minot, North Dakota	Compensation Agreement	Participant in First Western Bank & Trust salary continuation agreement, however is currently suspended with a balance of \$8,604. If and when John Hoeven would return to employment with First Western Bank & Trust, his salary continuation accrual would resume.

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Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

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