

Financial Disclosures

Annual Report for Calendar 2016

The Honorable John McCain (McCain, John)

Filed 05/15/2017 @ 6:46 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Pension	US Navy Finance Center Cleveland, OH	\$73,488.00
2	Self	Royalties	Sterling Lord Literistic Inc./Random House Character is Destiny Contract dated 7/21/2004 New York, NY	\$272.52
3	Self	Royalties	Sterling Lord Literistics Inc./Random House Faith of My Fathers Contract New York, NY	\$780.99
4	Spouse	Salary	Hensley & Co. Phoenix, AZ	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

eFD: Home

URL:
eFD: Home
<https://efdsearch.senate.gov/search/home/>

Asset

Asset Type

Owner

Value

Income Type

Income

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	USAA (San Antonio, TX) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Dividends,	None (or than \$20
2	JPMorgan Chase Bank NA (Newark, DE) <i>Type: Checking, Savings,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or than \$20
3	JPMorgan Chase Bank NA (Phoenix, AZ) <i>Type: Checking, Savings,</i>	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest,	None (or than \$20
4	Wells Fargo & Co. (Phoenix, AZ) <i>Type: Checking,</i> <i>Filer comment: Acct #620</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or than \$20
5	Wells Fargo & Co. (Phoenix, AZ) <i>Type: Checking,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	None,	None (or than \$20
6	"Cindy Hensley McCain Fam TR 11/09/88 Combined Accts - Charles Schwab"	Brokerage/Managed Account	Spouse			
6.1	<u>VIGAX</u> - Vanguard Growth Index Adm <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
6.2	<u>DFLVX</u> - DFA US Large Cap Value I <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 \$50,000
6.3	<u>VRSIX</u> - Stone Ridge U.S. Small Cap Vari <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$
6.4	<u>DFVFX</u> - DFA US Targeted Value I <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
6.5	VRFIX - Stone Ridge Intl Developed Markets <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000 hide me	Excepted Investment Fund,	\$201 - \$

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
6.6	<u>DISVX</u> - DFA International Small Cap Value I <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 \$50,000
6.7	<u>DFCEX</u> - DFA Emerging Markets Core Equity I <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$2,501 - \$5,000
6.8	<u>VRMIX</u> - Stone Ridge Emerging Markets Va <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or than \$20
6.9	<u>PIMIX</u> - PIMCO Income Instl <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 \$50,000
6.10	<u>MWTIX</u> - Metropolitan West Total Return Bond I <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
6.11	<u>AQRIX</u> - AQR Risk Parity I <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$15,001 \$50,000
6.12	<u>QSPIX</u> - AQR Style Premia Alternative I <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$5,001 - \$15,000
6.13	<u>SHRIX</u> - Stone Ridge High Yield Reinsura <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 \$50,000
6.14	<u>SRRIX</u> - Stone Ridge Reinsurance Risk Pr <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 \$50,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
6.15	SWXXX - Schwab Tax-Exempt Money Fund Sw <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Stable Value Fund/Unit Investment Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$201 - \$
6.16	AMJ - JPMorgan Alerian MLP ETN <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 \$50,000
6.17	SFLNX - Schwab Fundamental US Large Company Idx <i>Filer comment: Additional assets transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$50,001 \$100,000
7	Hensley & Co. - Assets Held in Retirement Plan	Brokerage/Managed Account	Spouse			
7.1	ABNDX - American Funds Bond Fund of Amer A	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
7.2	AEPGX - American Funds Europacific Growth A	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,001 - \$2,500
7.3	AGTHX - American Funds Growth Fund of Amer A	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 \$50,000
7.4	AIBAX - American Funds Interm Bd Fd of Amer A	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
7.5	AIVSX - American Funds Invmt Co of Amer A	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 \$50,000
7.6	ANWPX - American Funds New Perspective A	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
7.7	<u>AWSHX</u> - American Funds Washington Mutual A	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 \$50,000
7.8	<u>FRSGX</u> - Franklin Small-Mid Cap Growth A	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
7.9	<u>LAVLX</u> - Lord Abbett Mid Cap Stock A	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$15,001 \$50,000
8	Sterling Lord Literistics/Random House, Inc., New York NY <i>Title: Sterling Lord Literistics, Inc - Random House Book Royalties Agreements. Faith of My Fathers (Random House, 1999) Worth the Fighting For (Random House, 2002) Why Courage Matters (Random House, 2004) Character is Destiny (Random House, 2005). Publisher: Sterling Lord Literistics /Random House.</i>	Intellectual Property Book Publishing Rights	Self	Unascertainable	Rent/Royalties,	\$1,001 - \$2,500
9	Sterling Lord Literistics/Warner Books, Inc., a subsidiary of Hachette Book Group USA, Inc., New York, NY <i>Title: Hard Call (Hachette 2007). Publisher: Sterling Lord Literistics/Warner Books, Inc., a subsidiary of Hachette Book Group.</i>	Intellectual Property Book Publishing Rights	Self	Unascertainable	None,	None (or than \$20
10	Sterling Lord Literistics/Gibson Square Books, London, England <i>Title: Sterling Lord Literistics, Inc. - Gibson Square Books Licensing Agreement. Hard Call (Hachette 2007). Publisher: Sterling Lord Literistics/Gibson Square Books, London, England.</i>	Intellectual Property Book Publishing Rights	Self	Unascertainable	None,	None (or than \$20

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11	<p>Sterling Lord Literistics/Sallim Publishing Co. LTD., Paju City, South Korea</p> <p><i>Title:</i> Sterling Lord Literistics, Inc. - Sallim Publishing Co. Licensing Agreement. Hard Call (Hachette 2007). <i>Publisher:</i> Sterling Lord Literistics/Sallim Publishing Co. LTD.,Paju City, South Korea.</p>	Intellectual Property Book Publishing Rights	Self	Unascertainable	None,	None (or than \$20
12	<p>Sterling Lord Literistics/Andrew Nurnberg Associates International, London, England, Andrew Nurnberg Literary Agency, Moscow, Russia, AST-RElase Holdings LTD., Nicosia, Cyprus</p> <p><i>Title:</i> Sterling Lord Literistics, Inc. - Andrew Nurnberg Associates International, Andrew Nurnberg Literary Agency, and AST-Release Holdings Licensing Agreements. Hard Call (Hachette 2007). <i>Publisher:</i> Sterling Lord Literistics/Andrew Nurnberg Associates International, London, England, Andrew Nurnberg Literacy Agency, Moscow, Russia, AST-RElase Holdings LTD., Nicosia, Cyprus.</p>	Intellectual Property Book Publishing Rights	Self	Unascertainable	None,	None (or than \$20
13	<p>Houghton Mifflin Harcourt Company, non-exclusive license to reprint copyrighted work</p> <p><i>Title:</i> Sterling Lord Literistics, Inc - Houghton Mifflin Harcourt Company Permissions Agreement. Faith of My Fathers (Random House, 1999) . <i>Publisher:</i> Houghton Mifflin Harcourt Company, non-exclusive license to reprint copyrighted work.</p>	Intellectual Property Book Publishing Rights	Self	Unascertainable	None,	None (or than \$20

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
14	Sterling Lord Literistic, Inc./Simon & Schuster, Inc. Thirteen Soldiers Contract dated 7/2/2013 <i>Title: Sterling Lord Literistics, Inc – Simon & Schuster, Inc. Publishing Agreement. Thirteen Soldiers: A Personal History of Americans at War (Simon & Schuster, 2014). Publisher: Sterling Lord Literistic, Inc./Simon & Schuster, Inc. Thirteen Soldiers Contract dated 7/2/2013.</i>	Intellectual Property Book Publishing Rights	Self	Unascertainable	None,	None (or than \$20
15	Sterling Lord Literistic, Inc./Easton Press Thirteen Soldiers Contract dated 6/9/14 <i>Title: Sterling Lord Literistics, Inc – Easton Press Book Royalties Agreement. Thirteen Soldiers: A Personal History of Americans at War (Easton Press, 2015). Publisher: Sterling Lord Literistic, Inc./Easton Press Thirteen Soldiers Contract dated 6/9/14.</i>	Intellectual Property Book Publishing Rights	Self	Unascertainable	Rent/Royalties,	None (or than \$20
16	Princess Medical Center, LLC <i>Company: Princess Medical Center, LLC (Peoria, AZ) Description: Rental Medical Offices Filer comment: Princess Medical Center, LLC was a debt financed real estate project which was liquidated in 2016 and gain was realized at the time of liquidation.</i>	Business Entity Limited Liability Company (LLC)	Spouse	None (or less than \$1,001)	Rent/Royalties, Interest, Capital Gains,	\$100,000 \$1,000,0
17	Remainder Interest in Marguerite Hensley's Residence Trust Dated 06 21 2000 <i>Filer comment: Value Unascertainable.</i>	Trust General (Trust)	Spouse			
17.1	Residential Property - La Jolla, CA <i>Description: Residential Property (La Jolla, CA)</i>	Real Estate Residential	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or than \$20

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
17.2	JPMorgan Chase Bank NA (Phoenix, AZ) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$50,001 - \$100,000	None,	None (or than \$20
18	Remainder Interest in Marguerite Hensley's Residual Trust Dated 06 21 2000 <i>Filer comment: Value Unascertainable.</i>	Trust General (Trust)	Spouse			
18.1	Miscellaneous Home Furnishings <i>Description: Miscellaneous Home Furnishings</i>	Personal Property Other	Spouse	\$15,001 - \$50,000	None,	None (or than \$20
18.2	JPMorgan Chase Bank NA (Phoenix, AZ) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or than \$20
19	Underlying Assets of Hensley & Co., other than Primary Trade of Business	Trust General (Trust)	Spouse			
19.1	AZPB, LP <i>Company: AZPB LP (Phoenix, AZ) Description: Professional Baseball Team</i>	Business Entity Limited Partnership (LP)	Spouse	\$500,001 - \$1,000,000	Other, (Distributive share of ordinary income.)	Other \$46,564.
19.2	701/801, LLC (Investing - Underlying Asset is Parking Lot) <i>Company: 701/801 LLC (Phoenix, AZ) Description: Parking Garage</i>	Business Entity Limited Liability Company (LLC)	Spouse	\$500,001 - \$1,000,000	Other, (Distributive Share of Ordinary Income)	Other \$19,232.
19.3	Explorador Fund, LP <i>Company: Explorador Fund, LP (San Francisco, Ca) Description: Equity Investments</i>	Business Entity Limited Partnership (LP)	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$15,001 \$50,000
19.4	ARKANSAS ST COLLEGE SVGS, 0.00%, BOND, 6/1/2017 <i>Rate/Coupon: 0.00% Matures: 6/1/2017</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000 hide me	None,	None (or than \$20

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
19.5	CROWLEY TX INDPT SCHOOL, 0.00%, BOND, 8/1/2017 <i>Rate/Coupon: 0.00%</i> <i>Matures: 8/1/2017</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.6	ILLINOIS ST ZERO CPN, 0.00%, BOND, 8/1/2017 <i>Rate/Coupon: 0.00%</i> <i>Matures: 8/1/2017</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.7	KELLER TX INDEP SCHOOL DIST, 0.00%, BOND, 8/15/2017 <i>Rate/Coupon: 0.00%</i> <i>Matures: 8/15/2017</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.8	ANAHEIM CA PUBLIC FINANCING AUTHORITY LEASE, 0.00%, BOND, 9/1/2017 <i>Rate/Coupon: 0.00%</i> <i>Matures: 9/1/2017</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$
19.9	WEST VIRGINIA ST INFRAST, 0.00%, BOND, 11/1/2017 <i>Rate/Coupon: 0.00%</i> <i>Matures: 11/1/2017</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.10	GRAND PRAIRIE TX INDPT SCH DIST RFDG, 0.00%, BOND, 2/15/2017 <i>Rate/Coupon: 0.00%</i> <i>Matures: 2/15/2017</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.11	WILL CO IL CU SCH DIST RFDG, 0.00%, BOND, 11/1/2017 <i>Rate/Coupon: 0.00%</i> <i>Matures: 11/1/2017</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.12	UNITY TWP PA MUNICIPAL AUTH SEWER, 0.00%, BOND, 11/1/2018 <i>Rate/Coupon: 0.00%</i> <i>Matures: 11/1/2018</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20

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19.13	SAN JOAQUIN HLS CA TRANS AGY TOLL, 0.00%, BOND, 1/1/2020 <i>Rate/Coupon: 0.00%</i> <i>Matures: 1/1/2020</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.14	WASHINGTON GA WILKES PAYROLL DEV, 0.00%, BOND, 12/1/2021 <i>Rate/Coupon: 0.00%</i> <i>Matures: 12/1/2021</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.15	TEXAS MUNI POWER AGY, 0.00%, BOND, 9/1/2017 <i>Rate/Coupon: 0.00%</i> <i>Matures: 9/1/2017</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.16	AUSTIN TX UTIL SYS REV, 0.00%, BOND, 5/15/2018 <i>Rate/Coupon: 0.00%</i> <i>Matures: 5/15/2018</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.17	NEW JERSEY HC FACILITIES FIN, 0.00%, BOND, 7/1/2018 <i>Rate/Coupon: 0.00%</i> <i>Matures: 7/1/2018</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.18	GLEN COVE NY IDA CIV FAC, 00.00%, BOND, 10/15/2019 <i>Rate/Coupon: 0.00%</i> <i>Matures: 12/15/2019</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.19	GLEN COVE NY IDA CIV FAC, 00.00%, BOND, 10/15/2019 <i>Rate/Coupon: 0.00%</i> <i>Matures: 10/15/2019</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.20	WILL CO IL CMNTY HIGH SCH DIST, 0.00%, BOND, 1/1/2021 <i>Rate/Coupon: 0.00%</i> <i>Matures: 1/1/2021</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$.
19.21	METRO GOVT NASHVL& DAVID TN H&E, 0.00%, BOND, 6/1/2021 <i>Rate/Coupon: 0.00%</i> <i>Matures: 6/1/2021</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
19.22	RICHMOND CNTY GA DEV AUTH, 0.00%, BOND, 12/1/2021 <i>Rate/Coupon: 0.00%</i> <i>Matures: 12/1/2021</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$
19.23	WILL CO IL CMNTY HIGH SCH DIST, 0.00%, BOND, 1/1/2022 <i>Rate/Coupon: 0.00%</i> <i>Matures: 1/1/2022</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.24	LEWISVILLE TX INDPT SCH DIST, 0.00%, BOND, 8/15/2022 <i>Rate/Coupon: 0.00%</i> <i>Matures: 8/15/2022</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$
19.25	NATOMAS USD CA ELECT, 0.00%, BOND, 9/1/2022 <i>Rate/Coupon: 0.00%</i> <i>Matures: 9/1/2022</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.26	HOUSTON TX WTR & SWR, 0.00%, BOND, 12/1/2022 <i>Rate/Coupon: 0.00%</i> <i>Matures: 12/1/2022</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$
19.27	OREGON ST UNIV, 2.477%, BOND, 8/1/2023 <i>Rate/Coupon: 2.477%</i> <i>Matures: 8/1/2023</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest, Capital Gains,	\$1,001 - \$2,500
19.28	SUFFOLK NY PUB IMPT, 2.125%, BOND, 10/15/2023 <i>Rate/Coupon: 2.125%</i> <i>Matures: 10/15/2023</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest, Capital Gains,	\$1,001 - \$2,500
19.29	WILL CNTY IL SCH DIST, 0.00%, BOND, 11/1/2023 <i>Rate/Coupon: 0.00%</i> <i>Matures: 11/1/2023</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$
19.30	EAST HILLS NY RFDG, 2.00%, BOND, 12/1/2023 <i>Rate/Coupon: 2.00%</i> <i>Matures: 12/1/2023</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest, Capital Gains,	\$201 - \$

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19.31	CARMEL IN REDEV AUTH LSE RENT, 2.85%, BOND, 2/1/2024 <i>Rate/Coupon: 2.85%</i> <i>Matures: 2/1/2024</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest, Capital Gains,	\$201 - \$
19.32	MIDLAND PARK NJ BRD ED, 2.00%, BOND, 8/15/2024 <i>Rate/Coupon: 2.00%</i> <i>Matures: 8/15/2024</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest, Capital Gains,	\$201 - \$
19.33	VOORHEES TWP NJ MUN BLDG SWR UTIL, 2.25%, BOND, 8/15/2024 <i>Rate/Coupon: 2.25%</i> <i>Matures: 8/15/2024</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	None (or than \$20
19.34	WASHINGTON ST MOTOR VEHICLE, 0.00%, BOND, 12/1/2024 <i>Rate/Coupon: 0.00%</i> <i>Matures: 12/1/2024</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$
19.35	ARAPAHOE CO SCH DIST, 0.00%, BOND, 12/1/2025 <i>Rate/Coupon: 0.00%</i> <i>Matures: 12/1/2025</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
19.36	ARAPAHOE CO SCH DIST, 0.00%, BOND, 6/1/2026 <i>Rate/Coupon: 0.00%</i> <i>Matures: 6/1/2026</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$
19.37	COLUMBIA MO SPL OBLIG RFDG ELEC UTIL, 2.375%, BOND, 9/1/2026 <i>Rate/Coupon: 2.375%</i> <i>Matures: 9/1/2026</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest, Capital Gains,	\$201 - \$
19.38	AMCPX - American Funds AMCAP A	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$50,001 \$100,000
19.39	AMRMX - American Funds American Mutual A	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000 hide me	Excepted Investment Fund,	\$50,001 \$100,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
19.40	<u>CWGIX</u> - American Funds Capital World Gr&Inc A	Mutual Funds Mutual Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 \$50,000
19.41	<u>AMECX</u> - American Funds Income Fund of Amer A	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
19.42	<u>SGOVX</u> - First Eagle Overseas A	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
19.43	<u>SGENX</u> - First Eagle Global A	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 \$50,000
19.44	<u>TEDIX</u> - Franklin Mutual Global Discovery A	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 \$50,000
19.45	JPMorgan Chase Bank (Phoenix, AZ) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	\$15,001 - \$50,000	Dividends,	None (or than \$20
19.46	WYLIE TX INDPT SCH DIST, 00.00%, BOND, 8/15/2022 Rate/Coupon: 0.00% Matures: 08/15/2022	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.47	WILL CO IL CMNTY HIGH SCH DIST, 0.00%, BOND, 1/1/2023 Rate/Coupon: 0.00% Matures: 01/01/2023	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.48	FOOTHILL EASTERN TRANSN CORRIDOR AGY, 0.00%, BOND, 1/1/2024 Rate/Coupon: 0.00% Matures: 01/01/2024	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
19.49	LAKE DALLAS TEXAS INDPT SCHOOL DIST, 0.00%, BOND, 8/15/2024 Rate/Coupon: 0.00% Matures: 08/15/2024	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or than \$20

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
19.50	FRISCO TX INDPT SCH DIST, 0.00%, BOND, 8/15/2024 <i>Rate/Coupon: 0.00%</i> <i>Matures: 08/15/2024</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or more than \$20,000)
19.51	SAN ANTONIO TX SCH DIST, 0.00%, BOND, 8/15/2025 <i>Rate/Coupon: 0.00%</i> <i>Matures: 08/15/2025</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	None,	None (or more than \$20,000)
19.52	AZ Baseball Broadcast Holdings, LP <i>Company: AZ Baseball Broadcast Holdings, LP (Phoenix, Arizona)</i> <i>Description: Baseball Media Rights</i>	Business Entity Limited Partnership (LP)	Spouse	\$1,001 - \$15,000	None,	None (or more than \$20,000)
20	Hensley & Co. Common Stock in S-Corp <i>Company: Hensley Beverage Co. (Phoenix, AZ)</i> <i>Description: Beverage Distribution</i>	Corporate Securities Non-Public Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends, Capital Gains,	Over \$1,000,000 and held independently by spouse or dependent child
21	Dream Catcher Family LLC <i>Company: Dream Catcher Family LLC (Coronado, CA)</i> <i>Description: Securities/Property Investment Company</i>	Business Entity Limited Liability Company (LLC)	Spouse			
21.1	Residential Real Estate - Coronado, CA <i>Description: Residential Real Estate Held for Investment (Coronado, CA)</i>	Real Estate Residential	Spouse	\$250,001 - \$500,000	None,	None (or more than \$20,000)
21.2	JP Morgan Chase (Phoenix, AZ) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$50,001 - \$100,000	None,	None (or more than \$20,000)
21.3	Stifel (Phoenix, AZ) <i>Type: Money Market Account,</i> <i>Filer comment: Asset was transferred from Wild River, LLC.</i>	Bank Deposit	Spouse	None (or less than \$1,001)	Interest,	None (or more than \$20,000)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
21.4	CWGIX - American Funds Capital World Gr&Inc A <i>Filer comment: Security was transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$
21.5	AEPGX - American Funds Europacific Growth A <i>Filer comment: Security was transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
21.6	ANCFX - American Funds Fundamental Invs A <i>Filer comment: Security was transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$
21.7	AIVSX - American Funds Invmt Co of Amer A <i>Filer comment: Security was transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
21.8	ANWPX - American Funds New Perspective A <i>Filer comment: Security was transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
21.9	SMCWX - American Funds SMALLCAP World A <i>Filer comment: Security was transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
21.10	AWSHX - American Funds Washington Mutual A <i>Filer comment: Security was transferred from Wild River, LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
22	Wild River LLC <i>Company: Wild River LLC (Phoenix, AZ) Description: Investment Vehicle</i>	Business Entity Limited Liability Company (LLC)	Spouse			
22.1	Sedona Vacant Land <i>Description: Sedona Vacant Land (Cornville, AZ)</i>	Real Estate Unimproved Land	Spouse	\$50,001 - \$100,000	None,	None (or than \$20
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
22.2	Sedona Property, Cornville, AZ (two parcels. Parcel 1: Residence and Guest House, Parcel 2: Guest House) <i>Description:</i> Sedona Property (Cornville, AZ)	Real Estate Residential	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	None,	None (or than \$20
22.3	Sedona Hidden Valley LP <i>Company:</i> Sedona Hidden Valley LP (Peoria, AZ) <i>Description:</i> Land Investment	Business Entity Limited Partnership (LP)	Spouse	\$50,001 - \$100,000		
22.3.1	Guest House & Land Adjustments to Residential Property <i>Description:</i> Guest House & Land Adjustments to Residential Property (Sedona, AZ)	Real Estate Residential	Spouse	\$500,001 - \$1,000,000	None,	None (or than \$20
22.3.2	Unimproved Land Adjacent to Residential Property <i>Description:</i> Unimproved Land Adjacent to Residential Property (Sedona, AZ)	Real Estate Unimproved Land	Spouse	\$100,001 - \$250,000	None,	None (or than \$20
22.3.3	National Bank of Arizona (Phoenix, Arizona) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or than \$20
22.4	SWXXX - Schwab Tax-Exempt Money Fund Sw <i>Filer comment:</i> Asset was transferred to Cindy Hensley McCain Fam TR 11/09/88	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
22.5	CWGX - American Funds Capital World Gr&Inc A <i>Filer comment:</i> Security was partially transferred to Dream Catcher Family LLC.	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
22.6	AEPGX - American Funds Europacific Growth A <i>Filer comment:</i> Security was partially transferred to Dream Catcher Family LLC.	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
22.7	<u>ANCFX</u> - American Funds Fundamental Invs A <i>Filer comment: Security was partially transferred to Dream Catcher Family LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
22.8	<u>AIVSX</u> - American Funds Invmt Co of Amer A <i>Filer comment: Security was partially transferred to Dream Catcher Family LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
22.9	<u>ANWPX</u> - American Funds New Perspective A <i>Filer comment: Security was partially transferred to Dream Catcher Family LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
22.10	<u>SMCWX</u> - American Funds SMALLCAP World A <i>Filer comment: Security was partially transferred to Dream Catcher Family LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or than \$20
22.11	<u>AWSHX</u> - American Funds Washington Mutual A <i>Filer comment: Security was partially transferred to Dream Catcher Family LLC.</i>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
22.12	Stifel Nicolaus (Phoenix, Arizona) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	None (or less than \$1,001)	Interest,	None (or than \$20
22.13	JPMorgan Chase Bank (Phoenix, AZ) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None,	None (or than \$20
22.14	<u>AMJ</u> - JPMorgan Alerian MLP ETN <i>Filer comment: Security was transferred to Cindy Hensley McCain Fam TR 11/09/88</i>	Mutual Funds Exchange Traded Funds/Notes	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
22.15	<u>AMU</u> - UBS ETRACS Alerian MLP ETN	Mutual Funds Exchange Traded Funds/Notes	Spouse	None (or less than \$1,001) hide me	Excepted Investment Fund,	None (or than \$20

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
22.16	AQRX - AQR Risk Parity I <i>Filer comment:</i> Security was transferred to Cindy Hensley McCain Fam TR 11/09/88	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or than \$20
22.17	DFCEX - DFA Emerging Markets Core Equity I <i>Filer comment:</i> Security was transferred to Cindy Hensley McCain Fam TR 11/09/88	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
22.18	DFVX - DFA US Targeted Value I <i>Filer comment:</i> Security was transferred to Cindy Hensley McCain Fam TR 11/09/88	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
22.19	DFLVX - DFA US Large Cap Value I <i>Filer comment:</i> Security was transferred to Cindy Hensley McCain Fam TR 11/09/88	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
22.20	DISVX - DFA International Small Cap Value I <i>Filer comment:</i> Security was transferred to Cindy Hensley McCain Fam TR 11/09/88	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
22.21	MWTIX - Metropolitan West Total Return Bd I <i>Filer comment:</i> Security was transferred to Cindy Hensley McCain Fam TR 11/09/88	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
22.22	PIMIX - PIMCO Income Instl <i>Filer comment:</i> Security was transferred to Cindy Hensley McCain Fam TR 11/09/88	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
22.23	SFLNX - Schwab Fundamental US Large Company Idx <i>Filer comment:</i> Security was transferred to Cindy Hensley McCain Fam TR 11/09/88	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
22.24	SHRIX - Stone Ridge High Yield Reinsura <i>Filer comment:</i> Security was transferred to Cindy Hensley McCain Fam TR 11/09/88	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
22.25	VIGAX - Vanguard Growth Index Admiral <i>Filer comment: Security was transferred to Cindy Hensley McCain Fam TR 11/09/88</i>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
22.26	VRSIX - Stone Ridge U.S. Small Cap Vari <i>Filer comment: Security was transferred to Cindy Hensley McCain Fam TR 11/09/88</i>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or than \$20
23	Note Receivable - Meghan Marguerite McCain Irrev Trust <i>Debtor: Meghan Marguerite McCain Irrev Trust</i>	Accounts Receivable From an Individual	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$100,000 \$1,000,0
24	Note Receivable - John Sidney McCain IV Irrev Trust <i>Debtor: John Sidney McCain IV Irrev Trust</i>	Accounts Receivable From an Individual	Spouse	\$500,001 - \$1,000,000	Interest,	\$15,001 \$50,000
25	Note Receivable - James Hensley McCain Irrev Trust <i>Debtor: James Hensley McCain Irrev Trust</i>	Accounts Receivable From an Individual	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$50,001 \$100,000
26	Note Receivable - Bridget Leela McCain Irrev Trust <i>Debtor: Bridget Leela McCain Irrev Trust</i>	Accounts Receivable From an Individual	Spouse	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
27	Remainder Interest in James Hensley Qualified GST Non-Exempt Trust 05/17/2001 <i>Filer comment: Note: Several marketable securities that had a 12/31/16 valuation below \$1,001 and income less than \$201 have not been included as part of this attachment.</i>	Trust General (Trust)	Spouse	hide me		

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
27.1	<u>SEEGX</u> - JPMorgan Large Cap Growth Select	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$
27.2	<u>FMIHX</u> - FMI Large Cap	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.3	<u>JLPSX</u> - JPMorgan US Large Cap Core Plus Select	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$1,001 - \$2,500
27.4	<u>SPY</u> - SPDR S&P 500 ETF	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$
27.5	<u>IJH</u> - iShares Core S&P Mid-Cap	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.6	<u>PGMIX</u> - JPMorgan Market Expansion Enh Idx Select	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$
27.7	<u>APDKX</u> - Artisan International Value Advisor	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.8	<u>HEFA</u> - iShares Currency Hedged MSCI EAFE	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.9	<u>MINIX</u> - MFS International Value I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$
27.10	<u>EFA</u> - iShares MSCI EAFE	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or than \$20
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
27.11	<u>OAKIX</u> - Oakmark International I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.12	<u>VGK</u> - Vanguard FTSE Europe ETF	Mutual Funds Exchange Traded Funds/Notes	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or than \$20
27.13	<u>BAFJX</u> - Brown Advisory WMC JPN Alpha Opps Instl	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.14	<u>JEITX</u> - JPMorgan Global Research Enh Idx Select	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.15	<u>EQIPX</u> - Equinox IPM Systematic Macro I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.16	<u>EBSIX</u> - Equinox Campbell Strategy I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.17	<u>GTEYX</u> - Gateway Y	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.18	<u>GARIX</u> - Gotham Absolute Return Institutional	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or than \$20
27.19	<u>PUCPX</u> - PIMCO Unconstrained Bond P	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.20	<u>PADZX</u> - Prudential Absolute Return Bond Z	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or than \$20

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
27.21	<u>DODIX</u> - Dodge & Cox Income	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.22	<u>JSOSX</u> - JPMorgan Strategic Income Opps Sel	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or than \$20
27.23	<u>OHYFX</u> - JPMorgan High Yield Select	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or than \$20
27.24	<u>JSISX</u> - JPMorgan Unconstrained Debt Select	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or than \$20
27.25	<u>JITIX</u> - JPMorgan Intermediate Tax Free Bd Instl	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.26	<u>TXRIX</u> - JPMorgan Tax Aware Real Return Instl	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.27	<u>JIMIX</u> - JPMorgan Shrt-Intrmdt Muncpl Bd Instl	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or than \$20
27.28	<u>DBLTX</u> - DoubleLine Total Return Bond I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.29	JPMorgan Chase Bank (Phoenix, AZ) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or than \$20
27.30	LTRYX - Lord Abbett Total Return I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.31	<u>PCMMX</u> - PIMCO Fds Pacific Inv Mgt Srs C	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000 hide me	Excepted Investment Fund,	None (or than \$20

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
27.32	VFICX - Vanguard Interm-Term Invmt-Grade Inv	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
27.33	EMB - iShares JPMorgan USD Emerg Markets Bond	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or than \$20
28	Note Receivable - Hensley & Co. <i>Company: Hensley & Co. (Phoenix, Arizona)</i>	Accounts Receivable From a Business	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest,	\$15,001 \$50,000

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	02/16/2016	Spouse	--	DALLAS CNTY TX UTILITY & RECLAMATION DIST, 0.00%, BOND, 2/15/2016 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 2/15/2016</i>	Sale (Full)	\$1,001 - \$15,000	--
2	02/01/2016	Spouse	--	KENDALL CNTY IL CUSD 88 PLANO AMBAC, 0.00%, BOND, 12/1/2020 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 2/1/2020</i>	Purchase	\$1,001 - \$15,000	--
3	02/01/2016	Spouse	--	COOK CNTY IL SCH DIST, 0.00%, BOND, 12/1/2021 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 12/1/2021</i>	Purchase	\$1,001 - \$15,000	--
4	02/05/2016	Spouse	--	WILL CO IL CMNTY HIGH SCH DIST, 0.00%, BOND, 1/1/2022 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 1/1/2022</i>	Purchase	\$1,001 - \$15,000	--

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
5	01/28/2016	Spouse	--	COMAL TX INDEPT SCH DIST, 0.00%, BOND, 2/1/2022 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 2/1/2022</i>	Purchase	\$1,001 - \$15,000	--
6	02/19/2016	Spouse	--	WYLIE TX INDPT SCH DIST, 0%, BOND, 8/15/2022 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 8/15/2022</i>	Purchase	\$1,001 - \$15,000	--
7	02/19/2016	Spouse	--	WILL CO IL CMNTY HIGH SCH DIST, 0.00%, BOND, 1/1/2023 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 1/1/2023</i>	Purchase	\$1,001 - \$15,000	--
8	02/19/2016	Spouse	--	FOOTHILL EASTERN TRANSN CORRIDOR AGY, 0.00%, BOND, 1/1/2024 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 1/1/2024</i>	Purchase	\$1,001 - \$15,000	--
9	02/19/2016	Spouse	--	FRISCO TX INDPT SCH DIST, 0.00%, BOND, 8/15/2024, General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 8/15/2024</i>	Purchase	\$1,001 - \$15,000	--
10	02/23/2016	Spouse	--	SAN ANTONIO TX SCH DIST, 0.00%, BOND, 8/15/2025 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 8/15/2025</i>	Purchase	\$15,001 - \$50,000	--
11	02/04/2016	Spouse	--	WAXAHACHIE TX INDPT SCH DIST, 0.00%, BOND, 8/15/2026 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 8/15/2026</i>	Purchase	\$1,001 - \$15,000	--
12	03/04/2016	Spouse	--	SOMERSET HILLS NJ REGL SCHOOL DIST, 2.00%, BOND, 9/15/2026 - General Obligation <i>Rate/Coupon: 2.00%</i> <i>Matures: 9/15/2026</i>	Purchase	\$1,001 - \$15,000	--
13	03/10/2016	Spouse	--	LAKE DALLAS TEXAS INDPT SCHOOL DIST, 0.00%, BOND, 8/15/2024 - General Obligation <i>Rate/Coupon: 2.00%</i> <i>Matures: 8/15/2024</i>	Purchase	\$1,001 - \$15,000	--

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
14	08/09/2016	Spouse	--	ANAHEIM CA PUBLIC FINANCING AUTHORITY LEASE, 0%, BOND, 9/1/2017 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 9/1/2017</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
15	08/09/2016	Spouse	--	WYLIE TX INDPT SCH DIST, 00.00%, BOND, 8/15/2018 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 8/15/2018</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
16	08/09/2016	Spouse	--	WASHINGTON ST MOTOR VEHICLE, 0.00%, BOND, 12/1/2018 - Double Barreled <i>Rate/Coupon: 0%</i> <i>Matures: 12/01/2018</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
17	08/09/2016	Spouse	--	FOOTHILL EASTERN TRANSN CORRIDOR AGY, 0.00%, BOND, 1/1/2019 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 01/01/2019</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
18	08/09/2016	Spouse	--	HUNTINGTON PK CA REDEV AGY SALES & USE TAX, 0.00%, BOND, 1/1/2019 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 01/01/2019</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
19	08/09/2016	Spouse	--	ILLINOIS DEV FIN AUTH, 0.00%, BOND, 4/15/2020 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 04/15/2020</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
20	08/09/2016	Spouse	--	NEBRASKA INVT FIN AUTH SINGL FAM, 1.80%, BOND, 9/1/2020 - Revenue <i>Rate/Coupon: 1.80%</i> <i>Matures: 09/01/2020</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
21	08/09/2016	Spouse	--	KENDALL CNTY IL CUSD 88 PLANO AMBAC, 0.00%, BOND, 12/1/2020 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 12/01/2020</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
22	08/09/2016	Spouse	--	FOOTHILL EASTERN TRANSN CORRIDOR AGY, 0.00%, BOND, 1/1/2021 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 01/01/2021</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
23	08/09/2016	Spouse	--	WILL CO IL CMNTY HIGH SCH DIST, 0.00%, BOND, 1/1/2021 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 01/01/2021</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
24	08/09/2016	Spouse	--	MET PIER & EXPOSITN ATH, 0.00%, BOND, 6/15/2021 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 06/15/2021</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
25	08/09/2016	Spouse	--	TEXAS ST TPK AUTH CENT, 0.00%, BOND, 8/15/2021 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 08/15/2021</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
26	08/09/2016	Spouse	--	BOONE IL MCHENRY & DEKALB CNTY, 0.00%, BOND, 12/1/2021 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 12/01/2021</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
27	08/09/2016	Spouse	--	COOK CNTY IL SCH DIST, 0.00%, BOND, 12/1/2021 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 12/01/2021</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
28	08/09/2016	Spouse	--	LITCHFIELD CT, 0.00%, BOND, 2/1/2022 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 02/01/2022</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
29	08/09/2016	Spouse	--	COMAL TX INDEPT SCH DIST, 0.00%, BOND, 2/1/2022 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 02/01/2022</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
30	08/09/2016	Spouse	--	SAN MARCOS CACTF PARTN, 0.00%, BOND, 2/15/2022 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 02/15/2022</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
31	08/09/2016	Spouse	--	COPPELL TX INDPT SCH DIST, 0.00%, BOND, 8/15/2022 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 08/15/2022</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
32	08/09/2016	Spouse	--	LEWISVILLE TX INDPT SCH DIST, 0.00%, BOND, 8/15/2022 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 08/15/2022</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
33	08/09/2016	Spouse	--	HOUSTON TX WTR & SWR, 0.00%, BOND, 12/1/2022 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 12/01/2022</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
34	08/09/2016	Spouse	--	SUFFOLK NY PUB IMPT, 2.125%, BOND, 10/15/2023 - General Obligation <i>Rate/Coupon: 2.125%</i> <i>Matures: 10/15/2023</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
35	08/09/2016	Spouse	--	WILL CNTY IL SCH DIST, 0.00%, BOND, 11/1/2023 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 11/01/2023</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
36	08/09/2016	Spouse	--	CARMEL IN REDEV AUTH LSE RENT, 2.85%, BOND, 2/1/2024 - Revenue <i>Rate/Coupon: 2.85%</i> <i>Matures: 02/01/2024</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
37	08/09/2016	Spouse	--	LUCAS PLAZA HSG DEV CORP OH, 0.00%, BOND, 6/1/2024 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 6/01/2024</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
38	08/09/2016	Spouse	--	MIDLAND PARK NJ BRD ED, 2.00%, BOND, 8/15/2024 - General Obligation <i>Rate/Coupon: 2%</i> <i>Matures: 08/15/2024</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
39	08/09/2016	Spouse	--	WASHINGTON ST MOTOR VEHICLE, 0.00%, BOND, 12/1/2024 - Double Barreled <i>Rate/Coupon: 0%</i> <i>Matures: 12/01/2024</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
40	08/09/2016	Spouse	--	COLUMBIA MO SPL OBLIG RFDG ELEC UTIL, 2.375%, BOND, 9/1/2026 - Revenue <i>Rate/Coupon: 2.375%</i> <i>Matures: 09/01/2026</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
41	08/09/2016	Spouse	--	SOMERSET HILLS NJ REGL SCHOOL DIST, 2.00%, BOND, 9/15/2026 - General Obligation <i>Rate/Coupon: 2%</i> <i>Matures: 09/15/2026</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
42	04/27/2016	Spouse	--	CENTER TWP PA SWR AUTH, 0%, BOND, 4/15/2018 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 4/15/2018</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
43	04/26/2016	Spouse	--	MISSOURI ST HLTH & EFA, 0.00%, BOND, 9/1/2018 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 9/1/2018</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
44	04/26/2016	Spouse	--	LEANDER TX INDPT SCH DIST, 0.00%, BOND, 8/15/2019 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 8/15/2019</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
45	04/26/2016	Spouse	--	SAN MARCOS CA PUB FACS AUTH, 0.00%, BOND, 9/1/2019 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 9/1/2019</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
46	04/27/2016	Spouse	--	COLORADO HFA RETIREFACS, 0.00%, BOND, 7/15/2020 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 7/15/2020</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
47	04/27/2016	Spouse	--	HOUSTON TX WTR & SWR, 0.00%, BOND, 12/1/2020 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 12/1/2020</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
48	04/27/2016	Spouse	--	RICHMOND CNTY GA DEV AUTH, 0.00%, BOND, 12/1/2021 - Revenue <i>Rate/Coupon: 0%</i> <i>Matures: 12/1/2021</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
49	04/27/2016	Spouse	--	OREGON ST UNIV, 2.477%, BOND, 8/1/2023 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 8/1/2023</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
50	04/27/2016	Spouse	--	EAST HILLS NY RFDG, 2.00%, BOND, 12/1/2023 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 12/1/2023</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
51	04/27/2016	Spouse	--	SAN ANTONIO TX SCH DIST, 0.00%, BOND, 8/15/2025 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 8/15/2025</i>	Sale (Partial)	\$15,001 - \$50,000	Security Held by Hensley & Co
52	04/27/2016	Spouse	--	ARAPAHOE CO SCH DIST, 0.00%, BOND, 12/1/2025 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 12/1/2025</i>	Sale (Full)	\$15,001 - \$50,000	Security Held by Hensley & Co
53	04/27/2016	Spouse	--	ARAPAHOE CO SCH DIST, 0.00%, BOND, 6/1/2026 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 6/1/2026</i>	Sale (Full)	\$1,001 - \$15,000	Security Held by Hensley & Co
54	04/27/2016	Spouse	--	WAXAHACHIE TX INDPT SCH DIST, 0.00%, BOND, 8/15/2026 - General Obligation <i>Rate/Coupon: 0%</i> <i>Matures: 8/15/2026</i>	Sale (Partial)	\$1,001 - \$15,000	Security Held by Hensley & Co

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
55	08/01/2016	Spouse	--	Wild River, LLC <i>Company: Wild River, LLC (Phoenix, AZ)</i> <i>Description: Investment Vehicle</i>	Purchase	\$5,000,001 - \$25,000,000	Purchase of the LLC interests from the other partners.

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Sale (Partial)	12/15/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
2	Spouse	<u>SRRIX</u>	Stone Ridge Reinsurance Risk Pr	Purchase	12/15/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
3	Spouse	<u>SRRIX</u>	Stone Ridge Reinsurance Risk Pr	Purchase	12/08/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
4	Spouse	<u>SRRIX</u>	Stone Ridge Reinsurance Risk Pr	Purchase	12/08/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
5	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Purchase	12/01/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
6	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Purchase	12/01/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
7	Spouse	<u>QSPIX</u>	AQR Style Premia Alternative I	Purchase	11/16/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
8	Spouse	<u>DFVX</u>	DFA US Targeted Value I	Purchase	11/16/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
9	Spouse	<u>AQRX</u>	AQR Risk Parity I	Purchase	11/16/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
10	Spouse	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Purchase	11/16/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
11	Spouse	<u>VIGAX</u>	Vanguard Growth Index Admiral	Purchase	11/16/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
12	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Purchase	11/16/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
13	Spouse	<u>SFLNX</u>	Schwab Fundamental US Large Company Idx	Purchase	11/16/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
14	Spouse	<u>DISVX</u>	DFA International Small Cap Value I	Purchase	11/16/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
15	Spouse	<u>DFLVX</u>	DFA US Large Cap Value I	Purchase	11/16/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
16	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
17	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
18	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
19	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
20	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
21	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
22	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
23	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
24	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
25	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
26	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
27	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
28	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
29	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
30	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	11/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
31	Spouse	<u>QSPIX</u>	AQR Style Premia Alternative I	Sale (Partial)	07/26/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
32	Spouse	<u>DFEVX</u>	DFA US Targeted Value I	Sale (Partial)	07/26/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
33	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Sale (Partial)	07/26/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
34	Spouse	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Sale (Partial)	07/26/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
35	Spouse	<u>DISVX</u>	DFA International Small Cap Value I	Sale (Partial)	07/26/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
36	Spouse	<u>DFLVX</u>	DFA US Large Cap Value I	Sale (Partial)	07/26/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
37	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Sale (Full)	07/26/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
38	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Sale (Full)	07/26/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
39	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Sale (Partial)	06/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
40	Spouse	<u>AQRIX</u>	AQR Risk Parity I	Sale (Partial)	06/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
41	Spouse	<u>SFLNX</u>	Schwab Fundamental US Large Company Idx	Sale (Partial)	06/16/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
42	Spouse	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Sale (Partial)	06/16/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
43	Spouse	<u>PIMIX</u>	PIMCO Income Instl	Sale (Partial)	06/16/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
44	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Sale (Partial)	06/16/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
45	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Purchase	04/08/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
46	Spouse	<u>QSPIX</u>	AQR Style Premia Alternative I	Sale (Partial)	04/08/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
47	Spouse	<u>DFEVX</u>	DFA US Targeted Value I	Sale (Partial)	04/08/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
48	Spouse	<u>AORIX</u>	AQR Risk Parity I	Sale (Partial)	04/08/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
49	Spouse	<u>VIGAX</u>	Vanguard Growth Index Admiral	Sale (Partial)	04/08/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
50	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Sale (Partial)	04/08/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
51	Spouse	<u>SFLNX</u>	Schwab Fundamental US Large Company Idx	Sale (Partial)	04/08/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
52	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Sale (Partial)	04/08/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
53	Spouse	<u>PIMIX</u>	PIMCO Income Instl	Sale (Partial)	04/08/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
54	Spouse	<u>VRSIX</u>	Stone Ridge U.S. Small Cap Vari	Sale (Partial)	04/08/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
55	Spouse	<u>DFLVX</u>	DFA US Large Cap Value I	Sale (Partial)	04/08/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
56	Spouse	<u>QSPIX</u>	AQR Style Premia Alternative I	Purchase	03/02/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
57	Spouse	<u>AQRIX</u>	AQR Risk Parity I	Purchase	03/02/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
58	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Sale (Partial)	03/02/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
59	Spouse	<u>PIMIX</u>	PIMCO Income Instl	Sale (Partial)	03/02/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
60	Spouse	<u>QSPIX</u>	AQR Style Premia Alternative I	Sale (Partial)	02/17/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
61	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Sale (Partial)	02/17/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
62	Spouse	<u>VIGAX</u>	Vanguard Growth Index Admiral	Sale (Partial)	02/17/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
63	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Sale (Partial)	02/17/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
64	Spouse	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Sale (Partial)	02/17/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
65	Spouse	<u>PIMIX</u>	PIMCO Income Instl	Sale (Partial)	02/17/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
66	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	01/22/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
67	Spouse	<u>AMU</u>	UBS ETRACS Alerian MLP ETN	Sale (Full)	01/22/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
68	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Sale (Partial)	01/13/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
69	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Sale (Partial)	01/13/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
70	Spouse	<u>PIMIX</u>	PIMCO Income Instl	Sale (Partial)	01/13/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
71	Spouse	<u>DFVFX</u>	DFA US Targeted Value I	Sale (Partial)	09/08/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
72	Spouse	<u>QSPIX</u>	AQR Style Premia Alternative I	Sale (Partial)	09/08/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
73	Spouse	<u>AORIX</u>	AQR Risk Parity I	Sale (Partial)	09/08/2016	\$15,001 - \$50,000	Security Held by Wild River, LLC
74	Spouse	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Sale (Partial)	09/08/2016	\$15,001 - \$50,000	Security Held by Wild River, LLC
75	Spouse	<u>SFLNX</u>	Schwab Fundamental US Large Company Idx	Sale (Partial)	09/08/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
76	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Sale (Partial)	09/08/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
77	Spouse	<u>VIGAX</u>	Vanguard Growth Index Admiral	Sale (Partial)	09/08/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
78	Spouse	<u>PIMIX</u>	PIMCO Income Instl	Sale (Partial)	09/08/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
79	Spouse	<u>QSPIX</u>	AQR Style Premia Alternative I	Sale (Partial)	08/11/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
80	Spouse	<u>AORIX</u>	AQR Risk Parity I	Sale (Partial)	08/11/2016	\$15,001 - \$50,000	Security Held by Wild River, LLC
81	Spouse	<u>SFLNX</u>	Schwab Fundamental US Large Company Idx	Sale (Partial)	08/11/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
82	Spouse	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Sale (Partial)	08/11/2016	\$15,001 - \$50,000	Security Held by Wild River, LLC
83	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Sale (Partial)	08/11/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
84	Spouse	<u>DFLVX</u>	DFA US Large Cap Value I	Sale (Partial)	08/11/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
85	Spouse	<u>AQRX</u>	AQR Risk Parity I	Sale (Partial)	07/20/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
86	Spouse	<u>DFVX</u>	DFA US Targeted Value I	Sale (Partial)	07/20/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
87	Spouse	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Sale (Partial)	07/20/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
88	Spouse	<u>SFLNX</u>	Schwab Fundamental US Large Company Idx	Sale (Partial)	07/20/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
89	Spouse	<u>VIGAX</u>	Vanguard Growth Index Admiral	Sale (Partial)	07/20/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
90	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Sale (Partial)	07/20/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
91	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Purchase	07/08/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
92	Spouse	<u>AQRX</u>	AQR Risk Parity I	Sale (Partial)	06/27/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
93	Spouse	<u>PIMIX</u>	PIMCO Income Inst	Sale (Partial)	06/27/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
94	Spouse	<u>DFFVX</u>	DFA US Targeted Value I	Sale (Partial)	05/03/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
95	Spouse	<u>VIGAX</u>	Vanguard Growth Index Admiral	Sale (Partial)	05/03/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
96	Spouse	<u>DFLVX</u>	DFA US Large Cap Value I	Sale (Partial)	05/03/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
97	Spouse	<u>SFLNX</u>	Schwab Fundamental US Large Company Idx	Sale (Partial)	04/11/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
98	Spouse	<u>VIGAX</u>	Vanguard Growth Index Admiral	Sale (Partial)	04/11/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
99	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Purchase	04/08/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
100	Spouse	<u>QSPIX</u>	AQR Style Premia Alternative I	Purchase	02/19/2016	\$15,001 - \$50,000	Security Held by Wild River, LLC
101	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Sale (Partial)	02/19/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
102	Spouse	<u>AORIX</u>	AQR Risk Parity I	Purchase	02/19/2016	\$15,001 - \$50,000	Security Held by Wild River, LLC

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
103	Spouse	<u>VRSIX</u>	Stone Ridge U.S. Small Cap Vari	Sale (Partial)	02/19/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
104	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Sale (Partial)	02/19/2016	\$15,001 - \$50,000	Security Held by Wild River, LLC
105	Spouse	<u>PIMIX</u>	PIMCO Income Instl	Sale (Partial)	02/19/2016	\$15,001 - \$50,000	Security Held by Wild River, LLC
106	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	02/19/2016	\$1,001 - \$15,000	Security Held by Wild River, LLC
107	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	01/22/2016	\$15,001 - \$50,000	Security Held by Wild River, LLC
108	Spouse	<u>AMU</u>	UBS ETRACS Alerian MLP ETN	Sale (Full)	01/22/2016	\$15,001 - \$50,000	Security Held by Wild River, LLC
109	Spouse	<u>HEFA</u>	iShares Currency Hedged MSCI EAFE	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
110	Spouse	<u>HEFA</u>	iShares Currency Hedged MSCI EAFE	Sale (Partial)	07/18/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
111	Spouse	<u>HEFA</u>	iShares Currency Hedged MSCI EAFE	Sale (Partial)	09/19/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
112	Spouse	<u>EFA</u>	iShares MSCI EAFE	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
113	Spouse	<u>EFA</u>	iShares MSCI EAFE	Purchase	09/19/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
114	Spouse	<u>EFA</u>	iShares MSCI EAFE	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
115	Spouse	<u>EFA</u>	iShares MSCI EAFE	Sale (Full)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
116	Spouse	<u>MINIX</u>	MFS International Value I	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
117	Spouse	<u>OAKIX</u>	Oakmark International Investor	Sale (Partial)	03/04/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
118	Spouse	<u>OAKIX</u>	Oakmark International Investor	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
119	Spouse	<u>OAKIX</u>	Oakmark International Investor	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
120	Spouse	<u>OAKIX</u>	Oakmark International Investor	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
121	Spouse	<u>VGK</u>	Vanguard FTSE Europe ETF	Sale (Full)	07/18/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
122	Spouse	<u>FMIHX</u>	FMI Large Cap	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
123	Spouse	<u>IJH</u>	iShares Core S&P Mid-Cap	Sale (Partial)	02/02/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
124	Spouse	<u>IJH</u>	iShares Core S&P Mid-Cap	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
125	Spouse	<u>JLPSX</u>	JPMorgan US Large Cap Core Plus I	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
126	Spouse	<u>JLPSX</u>	JPMorgan US Large Cap Core Plus I	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
127	Spouse	<u>JLPSX</u>	JPMorgan US Large Cap Core Plus I	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
128	Spouse	<u>PGMIX</u>	JPMorgan Market Expansion Enh Idx I	Sale (Full)	02/02/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
129	Spouse	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	02/02/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
130	Spouse	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
131	Spouse	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	07/18/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
132	Spouse	<u>SPY</u>	SPDR S&P 500 ETF	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
133	Spouse	<u>JEITX</u>	JPMorgan Global Research Enhanced Idx I	Sale (Partial)	03/17/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
134	Spouse	<u>JEITX</u>	JPMorgan Global Research Enhanced Idx I	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
135	Spouse	<u>JEITX</u>	JPMorgan Global Research Enhanced Idx I	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
136	Spouse	<u>JEITX</u>	JPMorgan Global Research Enhanced Idx I	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
137	Spouse	<u>OHYFX</u>	JPMorgan High Yield I	Sale (Full)	03/17/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
138	Spouse	<u>JSISX</u>	JPMorgan Unconstrained Debt I	Sale (Full)	03/17/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
139	Spouse	<u>JITIX</u>	JPMorgan Intermediate Tax Free Bond I	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
140	Spouse	<u>JITIX</u>	JPMorgan Intermediate Tax Free Bond I	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
141	Spouse	<u>JITIX</u>	JPMorgan Intermediate Tax Free Bond I	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
142	Spouse	<u>DODIX</u>	Dodge & Cox Income	Purchase	06/03/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
143	Spouse	<u>DODIX</u>	Dodge & Cox Income	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
144	Spouse	<u>JSOSX</u>	JPMorgan Strategic Income Opports I	Sale (Full)	03/17/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
145	Spouse	<u>DBLTX</u>	DoubleLine Total Return Bond I	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
146	Spouse	<u>LTRYX</u>	Lord Abbett Total Return I	Purchase	03/17/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
147	Spouse	<u>LTRYX</u>	Lord Abbett Total Return I	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
148	Spouse	<u>LTRYX</u>	Lord Abbett Total Return I	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
149	Spouse	<u>PCMMX</u>	PIMCO Fds Pacific Inv Mgt Srs C	Purchase	03/17/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
150	Spouse	<u>PCMMX</u>	PIMCO Fds Pacific Inv Mgt Srs C	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
151	Spouse	<u>PCMMX</u>	PIMCO Fds Pacific Inv Mgt Srs C	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
152	Spouse	<u>PCMMX</u>	PIMCO Fds Pacific Inv Mgt Srs C	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
153	Spouse	<u>VFICX</u>	Vanguard Interm-Term Invmt-Grade Inv	Purchase	03/17/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
154	Spouse	<u>VFICX</u>	Vanguard Interm-Term Invmt-Grade Inv	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
155	Spouse	<u>EMB</u>	iShares JPMorgan USD Emerg Markets Bond	Purchase	07/18/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
156	Spouse	<u>TXRIX</u>	JPMorgan Tax Aware Real Return I	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
157	Spouse	<u>JIMIX</u>	JPMorgan Short-Interm Muncpl Bd I	Sale (Full)	03/17/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
158	Spouse	<u>EQIPX</u>	Equinox IPM Systematic Macro I	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
159	Spouse	<u>GARIX</u>	Gotham Absolute Return Institutional	Sale (Full)	07/18/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
160	Spouse	<u>GTEYX</u>	Gateway Y	Purchase	03/17/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
161	Spouse	<u>GTEYX</u>	Gateway Y	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
162	Spouse	<u>GTEYX</u>	Gateway Y	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
163	Spouse	<u>GTEYX</u>	Gateway Y	Sale (Partial)	11/11/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust
164	Spouse	<u>PUCPX</u>	PIMCO Unconstrained Bond P	Purchase	04/12/2016	\$1,001 - \$15,000	Security Held by James Hensley GST Non Exempt Trust

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
165	Spouse	<u>AMCPX</u>	American Funds AMCAP A	Sale (Partial)	04/26/2016	\$100,001 - \$250,000	Security Held by Hensley & Co
166	Spouse	<u>AMCPX</u>	American Funds AMCAP A	Sale (Partial)	08/09/2016	\$100,001 - \$250,000	Security Held by Hensley & Co
167	Spouse	<u>AMRMX</u>	American Funds American Mutual A	Sale (Partial)	04/26/2016	\$50,001 - \$100,000	Security Held by Hensley & Co
168	Spouse	<u>AMRMX</u>	American Funds American Mutual A	Sale (Partial)	08/09/2016	\$100,001 - \$250,000	Security Held by Hensley & Co
169	Spouse	<u>CWGX</u>	American Funds Capital World Gr&Inc A	Sale (Partial)	04/26/2016	\$50,001 - \$100,000	Security Held by Hensley & Co
170	Spouse	<u>AMECX</u>	American Funds Income Fund of Amer A	Sale (Partial)	04/26/2016	\$250,001 - \$500,000	Security Held by Hensley & Co
171	Spouse	<u>TEDIX</u>	Franklin Mutual Global Discovery A	Sale (Partial)	04/26/2016	\$15,001 - \$50,000	Security Held by Hensley & Co
172	Spouse	<u>AQRIX</u>	AQR Risk Parity I	Sale (Partial)	11/16/2016	\$250,001 - \$500,000	Security Held by

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
173	Spouse	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Purchase	11/16/2016	\$100,001 - \$250,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
174	Spouse	<u>DFEVX</u>	DFA US Targeted Value I	Purchase	11/16/2016	\$100,001 - \$250,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
175	Spouse	<u>DFLVX</u>	DFA US Large Cap Value I	Purchase	11/16/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
176	Spouse	<u>DISVX</u>	DFA International Small Cap Value I	Purchase	11/16/2016	\$100,001 - \$250,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
177	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Sale (Partial)	11/16/2016	\$100,001 - \$250,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
178	Spouse	<u>PIMIX</u>	PIMCO Income Instl	Sale (Partial)	11/16/2016	\$100,001 - \$250,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
179	Spouse	<u>QSPIX</u>	AQR Style Premia Alternative I	Sale (Partial)	11/16/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
180	Spouse	<u>SFLNX</u>	Schwab Fundamental US Large Company Idx	Purchase	11/16/2016	\$100,001 - \$250,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
181	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Sale (Partial)	11/16/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
182	Spouse	<u>VIGAX</u>	Vanguard Growth Index Admiral	Purchase	11/16/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
183	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Purchase	12/01/2016	\$1,001 - \$15,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
184	Spouse	<u>SRRIX</u>	Stone Ridge Reinsurance Risk Pr	Purchase	12/08/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
185	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Sale (Full)	12/12/2016	\$100,001 - \$250,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
186	Spouse	--	VRFIX - Stone Ridge Intl Developed Markets	Purchase	12/12/2016	\$50,001 - \$100,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
187	Spouse	<u>VRMIX</u>	Stone Ridge Emerging Markets Va	Purchase	12/12/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
188	Spouse	<u>VRSIX</u>	Stone Ridge U.S. Small Cap Vari	Purchase	12/12/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
189	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Sale (Partial)	12/15/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
190	Spouse	<u>SRRIX</u>	Stone Ridge Reinsurance Risk Pr	Purchase	12/15/2016	\$15,001 - \$50,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
191	Spouse	--	Hensley & Company S-Corp Shares	Sale (Partial)	07/01/2016	\$1,000,001 - \$5,000,000	Security Held by Cindy Hensley McCain Fam TR 11/09/88
192	Spouse	<u>SHRIX</u>	Stone Ridge High Yield Reinsura	Purchase	08/01/2016	\$250,001 - \$500,000	Proportional share of underlying asset of Wild River LLC

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
193	Spouse	<u>SRRIX</u>	Stone Ridge Reinsurance Risk Pr	Purchase	08/01/2016	\$250,001 - \$500,000	Proportional share of underlying asset of Wild River LLC
194	Spouse	--	VRFIX - Stone Ridge Intl Developed Markets	Purchase	08/01/2016	\$50,001 - \$100,000	Proportional share of underlying asset of Wild River LLC
195	Spouse	<u>DFCEX</u>	DFA Emerging Markets Core Equity I	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC
196	Spouse	<u>VRMIX</u>	Stone Ridge Emerging Markets Va	Purchase	08/01/2016	\$50,001 - \$100,000	Proportional share of underlying asset of Wild River LLC
197	Spouse	<u>DISVX</u>	DFA International Small Cap Value I	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC
198	Spouse	<u>AMJ</u>	JPMorgan Alerian MLP ETN	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
199	Spouse	<u>PIMIX</u>	PIMCO Income Instl	Purchase	08/01/2016	\$250,001 - \$500,000	Proportional share of underlying asset of Wild River LLC
200	Spouse	<u>AQRIX</u>	AQR Risk Parity I	Purchase	08/01/2016	\$250,001 - \$500,000	Proportional share of underlying asset of Wild River LLC
201	Spouse	<u>QSPIX</u>	AQR Style Premia Alternative I	Purchase	08/01/2016	\$250,001 - \$500,000	Proportional share of underlying asset of Wild River LLC
202	Spouse	<u>MWTIX</u>	Metropolitan West Total Return Bd I	Purchase	08/01/2016	\$250,001 - \$500,000	Proportional share of underlying asset of Wild River LLC
203	Spouse	<u>SFLNX</u>	Schwab Fundamental US Large Company Idx	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC
204	Spouse	<u>VIGAX</u>	Vanguard Growth Index Admiral	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
205	Spouse	<u>DFLVX</u>	DFA US Large Cap Value I	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC
206	Spouse	<u>VRSIX</u>	Stone Ridge U.S. Small Cap Vari	Purchase	08/01/2016	\$15,001 - \$50,000	Proportional share of underlying asset of Wild River LLC
207	Spouse	<u>DFVFX</u>	DFA US Targeted Value I	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC
208	Spouse	<u>CWGX</u>	American Funds Capital World Gr&Inc A	Purchase	08/01/2016	\$50,001 - \$100,000	Proportional share of underlying asset of Wild River LLC
209	Spouse	<u>AEPGX</u>	American Funds Europacific Growth A	Purchase	08/01/2016	\$15,001 - \$50,000	Proportional share of underlying asset of Wild River LLC
210	Spouse	--	ANCFX - American Funds Fundamental Invs A	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
211	Spouse	<u>AIVSX</u>	American Funds Invmt Co of Amer A	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC
212	Spouse	<u>ANWPX</u>	American Funds New Perspective A	Purchase	08/01/2016	\$250,001 - \$500,000	Proportional share of underlying asset of Wild River LLC
213	Spouse	<u>SMCWX</u>	American Funds SMALLCAP World A	Purchase	08/01/2016	\$1,001 - \$15,000	Proportional share of underlying asset of Wild River LLC
214	Spouse	<u>AWSHX</u>	American Funds Washington Mutual A	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC
215	Spouse	<u>SWGXX</u>	Schwab Government Money Fund -	Purchase	08/01/2016	\$15,001 - \$50,000	Proportional share of underlying asset of Wild River LLC
216	Spouse	--	Sedona Property, Corville, AZ (two parcels: Parcel 1: Residence and Guest House, Parcel 2: Guest House)	Purchase	08/01/2016	\$1,000,001 - \$5,000,000	Proportional share of underlying asset of Wild River LLC

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#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
217	Spouse	--	Guest house and Land Adjustments to Residential Property	Purchase	08/01/2016	\$100,001 - \$250,000	Proportional share of underlying asset of Wild River LLC
218	Spouse	--	Unimproved Land Adjacent to Residential Property	Purchase	08/01/2016	\$50,001 - \$100,000	Proportional share of underlying asset of Wild River LLC
219	Spouse	--	Sedona Vacant Land (Cornville, AZ)	Purchase	08/01/2016	\$50,001 - \$100,000	Proportional share of underlying asset of Wild River LLC

Part 5. Gifts
 Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel
 Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities
 Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2016	Spouse	Revolving Charge	-	0% (NA)	\$100,001 - \$250,000	American Express Los Angeles, CA	-
2	2016	Spouse	Revolving Charge	-	0% (NA)	\$15,001 - \$50,000	American Express Los Angeles, CA hide me	-

#	Incurred	Debtor	Type	Points	Rate		Creditor	Comments
					(Term)	Amount		
3	2016	Spouse	Promissory Note	-	1.22% (8 Years 11 Months)	\$1,000,001 - \$5,000,000	Meghan Marguerite McCain Irrevocable Trust Dated 11/03/2004 Phoenix, Arizona	-
4	2016	Spouse	Promissory Note	-	1.22% (3 Years 5 Months)	\$1,000,001 - \$5,000,000	John Sidney McCain IV Irrevocable Trust Dated 11/03/2004 Phoenix, Arizona	-
5	2016	Spouse	Promissory Note	-	1.22% (7 Years 7 Months)	\$1,000,001 - \$5,000,000	James Hensley McCain Irrevocable Trust Dated 08/24/2009 Phoenix, Arizona	-
6	2016	Spouse	Promissory Note	-	1.22% (4 Years 10 Months)	\$500,001 - \$1,000,000	Bridget Leela McCain Irrevocable Trust Dated 08/01/2013 Phoenix, Arizona	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Feb 1993 to present	Other (Chairman of the Board of Directors)	International Republican Institute Washington, D.C.	Nonprofit Organization	
2	Oct 2003 to present	Trustee	Intrepid Foundation New York, NY	Other (Museum)	
3	Mar 2005 to present	Other (Chairman of National Advisory Council)	Translational Genomics Research Institute Phoenix, AZ	Nonprofit Organization	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Parties		Type	Status and Terms	hide me
	Date	Involved			

#	Date	Parties Involved	Type	Status and Terms
1	Jan 2006	Random House Publishing New York, NY	Royalty Agreement	Several Agreements with Random House Publishing to write books. Will receive payments customary in trade from Sterling Lord Literistics.
2	Apr 2006	Warner Books (Hachette Books) New York, NY	Royalty Agreement	Agreement with Warner Books, Inc. to write a non-fiction work. Will receive payments customary in trade from Sterling Lord Literistics.
3	Mar 2008	Gibson Square Books London, England	Royalty Agreement	Publishing agreement (Hard Call by John McCain) with Gibson Square Books. Will receive payment customary in trade from Sterling Lord Literistics.
4	Feb 2008	Sallim Publishing Co., LTD Paju City, South Korea	Royalty Agreement	Publishing agreement (Hard Call by John McCain) with Sallim Publishing Co., LTD. Will receive payment customary in trade from Sterling Lord Literistics.
5	Dec 2008	Andrew Nurnberg Associates International, LTD London, England	Royalty Agreement	Publishing agreement (Hard Call by John McCain) with Andrew Nurnberg Associates International, LTD., Andrew Nurnberg Literary Agency of 21 Tsventnoy Blvd and AST-Release Holdings, LTD. of Agiou Pavlou. Will receive payments customary in trade from Sterling Lord Literistics.
6	Jun 2010	Houghton Mifflin Harcourt Evanston, IL	Royalty Agreement	Agreement with Houghton Mifflin Harcourt Company granting non-exclusive license to reprint copyrighted work (Faith of My Fathers by John McCain). Will receive permissions fee customary in trade from Sterling Lord Literistics.
7	Jun 2013	Simon & Schuster, Inc., New York, NY Nicosia, Cyprus	Royalty Agreement	Publishing agreement (Thirteen Soldiers by John McCain and Mark Salter) with Simon & Schuster, Inc. Will receive payments customary in trade from Sterling Lord Literistics.

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#	Date	Parties		Status and Terms
		Involved	Type	
8	Jun 2014	Easton Press Norwalk, CT	Royalty Agreement	Rights agreement (Thirteen Soldiers: A Personal History of Americans at War) with Easton Press. Will receive payments customary in trade from Sterling Lord Literistics.

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? This is not my first report.

Attachments & Comments

No attachments added.

No comments added.

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