

Financial Disclosures

Annual Report for Calendar 2016

The Honorable John R Thune (Thune, John)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Wages	Sanford Health Plan Sioux Falls, SD	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income	
					Type	Income
1	FKITX - Franklin Federal Interim-Term T/F Inc A (NASDAQ)	Mutual Funds	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
2	CHSCP - CHS, Inc. (NASDAQ)	Corporate Securities - Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000

eFD: Home

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3	<u>JABAX</u> - Janus Balanced T (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$1,001 - \$2,500
4	<u>BALFX</u> - American Funds American Balanced F1	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$1,001 - \$2,500
5	<u>OAKBX</u> - Oakmark Equity & Income I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
6	<u>SGGDY</u> - First Eagle Gold A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7	<u>ICPSZ</u> - Integrity Williston Basin/Md-N Amer	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
8	<u>PHYS</u> - Sprott Physical Gold Trust ETV	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9	<u>SGENX</u> - First Eagle Global A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
10	<u>SCHB</u> - Schwab US Broad Market ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11	<u>SCHM</u> - Schwab US Mid-Cap ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000 hide me	Dividends,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
12	Steadfast Income REIT <i>Description: Investment in real estate (Irvine, CA)</i>	Real Estate REIT	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
13	<u>DLTNX</u> - DoubleLine Total Return Bond N (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
14	<u>OAKGX</u> - Oakmark Global I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
15	<u>PRBLX</u> - Parnassus Core Equity Investor	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
16	<u>SCHM</u> - Schwab US Mid-Cap ETF	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
17	<u>SGENX</u> - First Eagle Global A	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
18	College Access 529 <i>Institution: Allianz Global Investors</i>	Education Savings Plans 529 College Savings Plan	Self			
18.1	Age Based 7-10 Years A CUSIP 83754Q544	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Capital Gains,	\$1,001 - \$2,500
18.2	PIMCO ALL Asset CL SD A CUSIP 83754S342	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000 hide me	None, Capital Gains,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
18.3	Age Based 0-6 Years CUSIP 83754Q619	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
18.4	PIMCO StockPLUS Absolute Return Portfolio CUSIP 83754S607	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
19	SD Retirement System Plan <i>Filer comment:</i> Defined benefit plan - no present cash value. Retirement benefit estimated at \$741 per month as of January 1, 2023.	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
20	Wells Fargo (Sioux Falls, SD) <i>Type:</i> Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
21	Wells Fargo (Sioux Falls, South Dakota) <i>Type:</i> Savings,	Bank Deposit	Joint	None (or less than \$1,001)	Interest,	None (or less than \$201)
22	Congressional Federal Credit Union (Washington, DC) <i>Type:</i> Savings,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
23	Schwab (Reno, NV) <i>Type:</i> Money Market Account,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
24	Schwab (Reno, NV) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
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Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
1	Joint	<u>FKITX</u>	Franklin Federal Interm-Term T/F Inc A	Sale (Partial)	12/09/2016	\$15,001 - \$50,000	--
2	Joint	<u>BALFX</u>	American Funds American Balanced F1	Purchase	08/02/2016	\$15,001 - \$50,000	--
3	Joint	<u>BALFX</u>	American Funds American Balanced F1	Purchase	12/12/2016	\$15,001 - \$50,000	--
4	Self	<u>SCHM</u>	Schwab US Mid-Cap ETF	Purchase	08/01/2016	\$1,001 - \$15,000	--
5	Self	<u>SCHB</u>	Schwab US Broad Market ETF	Purchase	08/01/2016	\$1,001 - \$15,000	--
6	Spouse	<u>OAKGX</u>	Oakmark Global Investor	Sale (Full)	07/06/2016	\$1,001 - \$15,000	--
7	Spouse	<u>SGENX</u>	First Eagle Global A	Purchase	07/07/2016	\$1,001 - \$15,000	--
8	Self	--	Age Based 7-10 Years A CUSIP 83754Q544	Sale (Partial)	12/13/2016	\$15,001 - \$50,000	--
9	Self	--	PIMCO ALL Asset CL SD A CUSIP 83754S342	Sale (Partial)	12/13/2016	\$1,001 - \$15,000	--
10	Self	--	Age Based 0-6 Years CUSIP 83754Q619	Purchase	12/14/2016	\$1,001 - \$15,000	--
11	Self	--	PIMCO ALL Asset CL SD A CUSIP 83754S342	Purchase	12/14/2016	\$1,001 - \$15,000	--
12	Self	--	PIMCO StockPLUS Absolute Return Portfolio CUSIP 83754S607	Purchase	12/14/2016	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

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Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate		Creditor	Comments
					(Term)	Amount		
1	2012	Joint	Mortgage	0	3.375% (15 years)	\$100,001 - \$250,000	PNC Dayton, Ohio	Mortgage on primary residence in Sioux Falls, SD.

Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

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