



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Judy Chu  
**Status:** Member  
**State/District:** CA27

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 05/14/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Athene Annuity and Life Company Fixed Annuity		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
California Public Employees Retirement System		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
California State Teachers Retirement System		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Capital One Certificate of Deposit (account closed on 1/5/16)	SP	None	Interest	None	<input type="checkbox"/>
Chase Bank Certificate of Deposit	SP	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Citibank Savings Acct		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Citibank IRA--Day to Day Savings Acct	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Comerica Bank Certificate of Deposit (account closed 2/1/16)	SP	None	Interest	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
East West Bank Certificate of Deposit	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Investment Account ⇒ Allstate Corporation (ALL)		\$50,001 - \$100,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Investment Account ⇒ American Airlines Group, Inc. (AAL)		\$1 - \$1,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Investment Account ⇒ Cash  DESCRIPTION: Cash reserve		\$1,001 - \$15,000	Dividends, Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Investment Account ⇒ Discover Financial Services (DFS)		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Investment Account ⇒ International Business Machines Corporation (IBM)		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Investment Account ⇒ Lands' End, Inc. (LE)		\$1 - \$1,000	Dividends	None	<input type="checkbox"/>
Fidelity Investment Account ⇒ Morgan Stanley (MS)		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judy M. Chu Trust-"excepted trust"-Chu Lim Investments LLC-		Undetermined	Excepted/Blind Trust	\$15,001 - \$50,000	<input type="checkbox"/>
Law Offices of Eng & Nishimura  DESCRIPTION: Partnership income. Located in Los Angeles, CA.	SP	\$250,001 - \$500,000	Partnership income	\$50,001 - \$100,000	<input type="checkbox"/>
Lincoln Financial Group ⇒ 403B- Delaware VIP REIT		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lincoln Financial Group ⇒ 403B--Delaware VIP Diversified Income		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lincoln Financial Group ⇒ Annuity Amer Legacy US--Fixed		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lincoln Financial Group ⇒ Annuity Amer Legacy US--Large Blend-Growth Income Fund  DESCRIPTION: Variable Annuity		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
LSW-Life Insurance Co of the Southwest 403B		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
New Omni Bank Certificate of Deposit	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
NuVision Federal Credit Union Savings Account (closed 6/2/16)	SP	None	Interest	\$201 - \$1,000	<input type="checkbox"/>
Preferred Bank Certificate of Deposit	SP	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Savings Plus ⇒ 401K International Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Index Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Socially Responsible Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Mid Cap Fund		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund- Cash		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Small Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 International Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Socially Responsible Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Savings Plus ⇒ 457 Large Cap Index Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Mid Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund- Cash		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Small Cap Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
SPXW May 6 2016 1675 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE Apr 22 2016 112 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE Dec 16 2016 107 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE Dec 30 2016 103.5 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE Feb 19 2016 107.5 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE Feb 19 2016 107.5 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE Feb 26 108 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE Jan 8 2016 107 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ FXE Jun 3 2016 109 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ FXE Jun 3 2016 109 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ FXE Mar 11 2016 106.5 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ FXE Mar 11 2016 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ FXE Mar 4 2016 108 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ FXE May 13 2016 112.5 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ GLD Aug 26 2016 124.5 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ GLD Aug 26, 2016 134 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ GLD Dec 16 2016 118 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ GLD Dec 2 2016 119 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ GLD Dec 23 2016 117 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
TD Ameritrade Investment Account ⇒ GLD Dec 9 2016 117 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Jan 15 2016 104 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Jan 15 2016 104.5 call DESCRIPTION: Call		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Jul 29 2016 130 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Jun 10 2016 115 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Jun 24 2016 121.5 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Nov 11 2016 121.5 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Nov 11 2016 126.5 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Nov 18 2016 122 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Nov 18 2016 127 call DESCRIPTION: Call		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Nov 4 2016 123 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
GLD Oct 14 2016 call DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ GLD Oct 21 2016 123 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Oct 21 2016 123.5 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Oct 28 2016 122.5 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Oct 28 2016 124 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Oct 7 2016 124 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Oct 7 2016 132.5 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Sep 16 2016 122.5 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Sep 16 2016 130 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Sep 2 2016 124 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Sep 2 2016 134 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ GLD Sep 23 2016 123 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ GLD Sep 23 2016 133 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ GLD Sep 30 2016 120 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ GLD Sep 30 2016 130 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ GLD Sep 9 2016 123 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ GLD Sep 9 2016 133 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ Mar 4 2016 1925 call		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ SPX Aug 19 2016 1750 put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Aug 19 2016 1750 put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Aug 19 2016 1775 put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Aug 19 2016 1900 put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Aug 19 2016 1900 put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ SPX Dec 16 2016 1800 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Dec 16 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Dec 16 2016 1950 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Jul 15 2016 1775 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Jul 15 2016 1950 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Jul 15, 2016 1950 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Jun 17 2016 1800 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Jun 17 2016 1900 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Jun 17 2016 1900 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Mar 18 2016 1725 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Mar 18 2016 1750 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SPX Mar 18 2016 1800 put DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Mar 18 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Mar 18 2016 1935 call DESCRIPTION: Call		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Mar 18 2016 1935 call DESCRIPTION: Put		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Mar 18 2016 1990 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Mar 18 2016 2000 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX May 20 2016 1750 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX May 20 2016 1850 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Nov 18 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Nov 18 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Nov 18 2016 1900 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX Oct 21 2016 1800 put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

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DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Oct 21 2016 1950 put	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Sep 15 2016 1900 put	None		Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Sep 16 2016 1725 put	None		Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Sep 16 2016 1775 put	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Sep 16 2016 1775 put	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Sep 16 2016 1775 put	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Sep 16 2016 1775 put	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Sep 16 2016 1775 put	None		Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Sep 16 2016 1900 put	None		Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Sep 16 2016 1900 put	None		Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPX Sep 16 2016 1900 put	None		Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ SPX W Mar 24 2016 1735 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW 30 2016 1775 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Apr 1 2016 1700 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Apr 1 2016 1750 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Apr 1 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Aug 17 2016 2100 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Aug 31 2016 1725 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Aug 31 2016 1750 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Aug 31 2016 1750 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Aug 31 2016 1875 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Aug 31 2016 1875 put DESCRIPTION: Put		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒		\$1,001 - \$15,000	Capital Gains	None	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SPXW Feb 10 2017 1925 put DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Feb 12 2016 2160 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Feb 12 2016 2175 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Feb 29 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Feb 29 2016 1950 call DESCRIPTION: Call		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Feb 29 2016 1990 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Feb 29 2016 2075 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Feb 29 2016 2175 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Feb 29 2016 2175 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Feb 29 2106 1750 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Feb 5 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Feb 5 2016 1835 put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Feb 5 2016 1925 put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jan 22 2016 1950 put		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jan 22 2016 1950 put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jan 22 2016 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ SPXW Jan 29 2016 1800 put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jan 29 2016 1825 put		\$1 - \$1,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jan 29 2016 1825 put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jan 29 2016 1875 put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jan 29 2016 1925 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jan 29 2016 2000 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ SPXW Jan 29 2016 2000 put		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: SPXW Jan 29 2000 put					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ SPXW Jan 3 2016 1875 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jan 8 2016 1875 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jan 8 2016 1925 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jul 15 2016 1775 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jul 29 2016 1775 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jul 29 2016 1775 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jul 29 2016 1900 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jul 29 2016 1900 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jul 29 2016 1950 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jul 29 2016 1965 put DESCRIPTION: Put		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jul 29 2016 2140 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SPXW Jun 10 2016 1800 put DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jun 17 2016 1800 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jun 24 2016 2090 call DESCRIPTION: Call		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jun 24 2016 2110 call DESCRIPTION: Call		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jun 24 2016 2120 call DESCRIPTION: Call		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jun 24 2016 2125 call DESCRIPTION: Call		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jun 3 2016 1775 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 1750 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 1750 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 1875 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 1875 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 1900 put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>



<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 1975 put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 1975 put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 2000 put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 2040 call		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 2065 call		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 2090 call		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2016 2100 call		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ SPXW Jun 30 2106 2125 call		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ SPXW Mar 11 2016 1750 put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Mar 11 2016 1825 put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Mar 11 2016 1900 call		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Call					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ SPXW Mar 13 2016 1750 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Mar 13 2016 1850 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Mar 24, 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Mar 31 2016 1985 call DESCRIPTION: Call		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Mar 31 2016 2065 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Mar 4 2016 1700 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Mar 4 2016 1775 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Mar 4 2016 1980 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW May 13 2016 1750 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW May 13 2016 1775 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW May 13 2016 1850 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SPXW May 27 2016 1710 put DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW May 27 2016 1800 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW May 27 2016 1800 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW May 6 2016 1725 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW May 6 2016 1800 put DESCRIPTION: Put		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW May 6 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Nov 18 2016 1950 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Nov 30 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Nov 30 2016 1950 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Oct 31 2016 1825 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Oct 31 2016 1900 put DESCRIPTION: Put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW Sep 30 2016 1750 put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Sep 30 2016 1775 put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Sep 30 2016 1825 put		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Sep 30 2016 1900 put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Sep 30 2016 1900 put		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Sep 30 2016 1960 put		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ SPXW Sep 30 2016 2175 call		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ SPXW Sep 30 2016 2175 call		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ SXPX Jan 29 2016 2175 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call option					
TD Ameritrade Investment Account ⇒ TLT Aug 26 2016 136 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ TLT Aug 26 2016 144.5 call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ TLT Aug 5 2016 137 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ TLT Dec 16 2016 122.5 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Dec 16 2016 122.5 put DESCRIPTION: Put		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Dec 16 2016 124 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Dec 2 2016 123 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Dec 30 2016 122 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Dec 30 2016 122 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Dec 9 2016 124 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Feb 12 2016 127 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Feb 5 2016 127 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Jan 13 2017 119.5 put DESCRIPTION: Put		\$1,001 - \$15,000	Capital Gains	None	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Jan 6 2017 120 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
TLT Jan 6 2017 120 put DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ TLT Jan 6 2017 120 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Jan 8 2016 121 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Mar 11 2016 127.5 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Nov 11 2016 134.5 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Nov 25 2016 123 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Nov 25 2016 127 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Nov 4 2016 129 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Nov 4 2016 136 call DESCRIPTION: Call		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Nove 18 2016 126 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Oct 14 2016 141 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Oct 21 2016 132 put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ TLT Oct 21 2016 137 call	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ TLT Oct 28 2016 129.5 put	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ TLT Oct 28 2016 137 call	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ TLT Oct 7 2016 140.5 call	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ TLT Sep 16 2016 142 call	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ TLT Sep 2 2016 133.5 put	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ TLT Sep 2 2016 142 call	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ TLT Sep 23 2016 131 put	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ TLT Sep 23 2016 140.5 call	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					
TD Ameritrade Investment Account ⇒ TLT Sep 30 2016 129.5 put	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Put					
TD Ameritrade Investment Account ⇒ TLT Sep 30 2016 139 call	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Call					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
TD Ameritrade Investment Account ⇒ TLT Sep 9 2016 135.5 put DESCRIPTION: Put		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT Sep 9 2016 144 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ UVXY Feb 19 2016 40 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ UVXY Jan 15 2016 48 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ UVXY Jan 29 2016 50 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ UVXY Jan 8 2016 42 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ UVXY Jul 1 2016 18 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ UVXY Mar 18 2016 110 call DESCRIPTION: Call		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ VXX Nov 18 2016 43 call DESCRIPTION: Call		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
United Pacific Bank Certificate of Deposit	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard Investment Account ⇒ CA Intermediate Term Bond Tax-Exempt Mutual Fund		\$100,001 - \$250,000	Dividends	None	<input type="checkbox"/>
Vanguard Investment Account ⇒ Mid-Cap Index Fund		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Investment Account ⇒ Windsor II Mutual Fund		\$250,001 - \$500,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Wells Fargo Bank Checking Account	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Zurich Advantage III ⇒ 403B--Balanced- DWS Global Income Builder VIP		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Zurich Advantage III ⇒ 403B--Large Cap U.S. Stock-DWS Cap Growth VA		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Zurich Advantage III ⇒ 403B--Misc. Fixed-DWS High Income VIP		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ 2 SPX JUL 15 1775 PUT LOCATION: US DESCRIPTION: PUT		04/21/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ 2 SPX JUL 15 1900 PUT LOCATION: US DESCRIPTION: PUT		04/21/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ 2 SPX JUL 15 1950 PUT LOCATION: US DESCRIPTION: PUT		04/21/2016	P	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ 2 SPX JUN 17 1800 PUT LOCATION: US DESCRIPTION: PUT		04/5/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ 2 SPX JUN 17 1900 PUT LOCATION: US		04/5/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 2 SPX JUN 17 1950 PUT		04/5/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 2 SPX W MAY 27 1850 PUT		04/4/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 2 SPXW JUL 29 1775 PUT		04/27/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 2 SPXW JUL 29 1900 PUT		04/27/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 2 SPXW JUL 29 1950 PUT		04/27/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 2 SPXW JUN 30 1750 PUT		04/7/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 2 SPXW JUN 30 1875 PUT		04/7/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 2 SPXW JUN 30 1900 PUT		04/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 2 SPXW JUN 30 1925 PUT		04/7/2016	P	\$1,001 - \$15,000	
LOCATION: US					

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ 2 SPXW JUN 30 2075 CALL	04/20/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ 2 SPXW JUN 30 2090 CALL	04/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ 3 SPX JUL 15 1900 PUT	04/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ 3 SPX JUL 15 1950 PUT	04/20/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ 3 SPX JUN 30 1875 PUT	04/4/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ 3 SPXJUL 15 1775 PUT	04/20/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ 3 SPXW JUL 29 1750 PUT	04/25/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ 3 SPXW JUL 29 1900 PUT	04/25/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ 3 SPXW JUL 29 1950 PUT	04/25/2016	P	\$1,001 - \$15,000	
LOCATION: US				

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 3 SPXW JUN 3 1875 PUT		04/19/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 3 SPXW JUN 3 1925 PUT		04/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 3 SPXW JUN 30 1750 PUT		04/4/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 3 SPXW JUN 30 1925 PUT		04/4/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 5 SPX JUN 17 1800 PUT		04/25/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 5 SPX JUN 17 1800 PUT		04/25/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 5 SPX JUN 17 1900 PUT		04/25/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ 500 SH TLT		04/25/2016	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: TLT ASSIGNMENT					
TD Ameritrade Investment Account ⇒ 500 SH TLT		04/25/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US					

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: STC ASSIGNMENT				
TD Ameritrade Investment Account ⇒ I SHARES 20 YR TREASURY BND ETF	07/1/2016	P	\$100,001 - \$250,000	
LOCATION: US DESCRIPTION: CLOSE ASSIGNMENT				
TD Ameritrade Investment Account ⇒ ISAHRES TLT JAN 6 120 PUT	12/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARE TLT DEC 16 122.5 PUT	12/1/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARE TLT DEC 2 123 PUT	12/1/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARE TLT DEC 23 122 PUT	12/5/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARE TLT DEC 23 122.5 PUT	12/8/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARES 20 YEAR TREAS BOND 134 CALL	06/16/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ ISHARES 20 YEAR TREAS BOND 138 CALL	06/16/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ ISHARES TLT DEC 16 122.50 PUT	12/9/2016	P	\$1,001 - \$15,000	
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARES TLT DEC 2 123 PUT	11/15/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARES TLT DEC 2 123 PUT	11/16/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARES TLT DEC 23 122 PUT	12/13/2016	P	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARES TLT DEC 30 122 PUT	12/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARES TLT DEC 30 122 PUT	12/19/2016	P	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARES TLT JUL 1 138 PUT ASSIGNMENT	07/1/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL ASSIGNMENT				
TD Ameritrade Investment Account ⇒ ISHARES TLT NOV 11 126 PUT	11/10/2016	P	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARES TLT NOV 18 124 PUT	11/10/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARES TLT NOV 18 124 PUT	11/15/2016	P	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ ISHARES TLT NOV 18 124 PUT	11/16/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ PRO SHARES ULTRA SHORT VIX JUL 1 14 CALL	06/16/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1725 PUT	06/1/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 DEC 16 1900 PUT	09/2/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 18 2000 PUT	09/27/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 2175 SEP 30 2175 CALL	08/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 AUG 17 2150 CALL	07/28/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 AUG 17 2150 CALL	08/12/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1725 PUT	05/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US				

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1725 PUT		05/12/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1750 PUT		05/11/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1750 PUT		05/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1750 PUT		05/24/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1775 PUT		05/24/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1775 PUT		06/28/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1900 PUT		05/9/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1900 PUT		05/11/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1900 PUT		06/28/2016	P	\$1,001 - \$15,000	
LOCATION: US					



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1950 PUT		05/9/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1950 PUT		05/11/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 19 1950 PUT		06/28/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1725 PUT		05/23/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1750 PUT		05/27/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1750 PUT		06/1/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1750 PUT		07/7/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1875 PUT		05/24/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1875 PUT		05/27/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US					

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1925 PUT	05/23/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1925 PUT	05/27/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1925 PUT	07/7/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 AUG 31 1925 PUT	07/7/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 DEC 16 1800 PUT	09/26/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 DEC 16 1825 PUT	09/26/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 DEC 16 1825 PUT	10/20/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 DEC 16 1950 PUT	09/2/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 DEC 16 2000 PUT	09/2/2016	P	\$1,001 - \$15,000	
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 DEC 16 2000 PUT	10/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 DEC 1950 PUT	10/20/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 15 1775 PUT	05/18/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 15 1775 PUT	05/19/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 15 1900 PUT	05/18/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 15 1900 PUT	05/19/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 15 1950 PUT	05/18/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 15 1950 PUT	05/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1750 PUT	05/6/2016	P	\$1,001 - \$15,000	
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1775 PUT	05/6/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1775 PUT	06/7/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1825 PUT	06/7/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1825 PUT	06/27/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1900 PUT	06/23/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1900 PUT	06/27/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1950 PUT	06/21/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1950 PUT	06/23/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1950 PUT	06/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US				

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1965 PUT		06/27/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1975 PUT		06/27/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1975 PUT		06/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 1975 PUT		07/1/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 2110 CALL		06/29/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 2110 CALL		07/1/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 2130 CALL		07/1/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 2130 CALL		07/11/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 2130 PUT		07/14/2016	P	\$1,001 - \$15,000	
LOCATION: US					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 2140 CALL		07/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 2140 CALL		07/28/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 2140 CALL		08/1/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 29 2140 PUT		07/14/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2090 CALL		05/17/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2090 CALL		06/23/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2100 CALL		05/17/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2100 CALL		06/23/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2110 CALL		05/17/2016	P	\$1,001 - \$15,000	
LOCATION: US					

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2110 CALL	06/1/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2110 CALL	06/1/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2110 CALL	06/8/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2120 CALL	06/1/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2120 CALL	06/8/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2125 CALL	06/8/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 24 2135 CALL	06/8/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 1750 PUT	05/6/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 1875 PUT	05/6/2016	P	\$1,001 - \$15,000	
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 1925 PUT	05/6/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 1975 PUT	06/16/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 1975 PUT	06/21/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 1975 PUT	06/27/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2000 PUT	06/16/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2000 PUT	06/21/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2000 PUT	06/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2030 CALL	06/24/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2030 CALL	06/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US				



Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2040 CALL	06/24/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2040 CALL	06/27/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2065 CALL	06/24/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2075 CALL	06/24/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2090 CALL	06/7/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2100 CALL	06/7/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2125 CALL	06/7/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 JUN 30 2135 CALL	06/7/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 18 1825 PUT	08/5/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 18 1825 PUT	08/18/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 18 1825 PUT	09/27/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 18 1900 PUT	08/18/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 18 1900 PUT	09/27/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 18 1950 PUT	08/5/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 18 1950 PUT	08/18/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 18 2000 PUT	08/5/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 30 1825 PUT	08/23/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 30 1825 PUT	10/11/2016	P	\$1,001 - \$15,000	
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 30 1950 PUT	08/23/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 30 1950 PUT	10/11/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 30 2000 PUT	08/23/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 NOV 30 2000 PUT	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 21 1900 PUT	07/25/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 21 1950 PUT	07/25/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 21 1950 PUT	08/16/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 21 1950 PUT	08/16/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 21 2000 PUT	07/25/2016	P	\$1,001 - \$15,000	
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 21 2000 PUT	08/16/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 31 1825 PUT	08/16/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 31 1900 PUT	08/16/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 31 1900 PUT	09/20/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 31 1950 PUT	09/20/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 OCT 31 PUT	09/20/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1725 PUT	06/3/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1725 PUT	07/1/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1775 PUT	06/29/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1775 PUT	07/1/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1775 PUT	07/1/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1900 PUT	06/3/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1900 PUT	06/3/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1900 PUT	07/1/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1900 PUT	07/18/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1950 PUT	06/3/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1950 PUT	06/29/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1950 PUT	07/1/2016	P	\$1,001 - \$15,000	
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 16 1950 PUT	07/18/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1750 PUT	07/5/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1750 PUT	07/12/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1775 PUT	07/8/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1775 PUT	07/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1825 PUT	07/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1900 PUT	07/5/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1900 PUT	07/8/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1900 PUT	08/5/2016	P	\$1,001 - \$15,000	
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1950 PUT	07/8/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1950 PUT	07/5/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1950 PUT	08/5/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1950 PUT	08/19/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1960 PUT	07/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 2000 PUT	07/12/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 2000 PUT	08/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 2175 CALL	08/1/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ S&P 500 SPE 16 1900 PUT	06/29/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GLD NOV 11 121.5 PUT	10/25/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GLD NOV 4 123 PUT	10/18/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GLD OCT 123.5 PUT	10/18/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GLD OCT 28 122.5 PUT	10/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GLD OCT 28 122.5 PUT	10/25/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD OCT 14 123 PUT	10/12/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD OCT 21 123.5 PUT	10/6/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD OCT 7 124 CALL	10/6/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS	07/1/2016	P	\$1,001 - \$15,000	
LOCATION: US				



Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS 120 CALL	06/15/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS 123.5 CALL	06/15/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS GLD NOV 11 121.5 PUT	11/10/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS GLD NOV 25 120 PUT	11/10/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS GLD NOV 25 120 PUT	11/22/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS JUL 1 125 CALL	06/24/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS JUN 24 121.5 PUT	06/23/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS JUN 24 123.5 CALL	06/17/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS JUN 24 123.5 PUT	06/23/2016	P	\$1,001 - \$15,000	
LOCATION: US				

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS NOV 18 127 CALL	11/3/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS NOV 4 123.5 CALL	11/3/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				
TD Ameritrade Investment Account ⇒ SPDR OLD TR GOLD SHS JUN 24 123.5 CALL	06/24/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL				

\* Asset class details available at the bottom of this form.

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	BB&T	May 2010	Mortgage on personal residence, Washington DC (not rented)	\$50,001 - \$100,000
	Capitol Hill Tower Housing Cooperative	May 2010	Mortgage on personal residence, Washington DC (not rented)	\$100,001 - \$250,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member (uncompensated)	Asian Pacific American Institute for Congressional Studies
Board Member (uncompensated)	APAICS Leadership Network

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2001	California State Teachers Retirement System	Defined pension benefit plan for teaching position in the LA Community College District (to be collected upon retirement, which has not yet occurred.)
May 2001	California Public Employees Retirement System	Defined pension benefit plan for service on Monterey Park City Council (to be collected upon retirement, which has not yet occurred.)

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- Fidelity Investment Account  
LOCATION: US
- Lincoln Financial Group
- Savings Plus
- TD Ameritrade Investment Account  
LOCATION: US
- Vanguard Investment Account  
LOCATION: US
- Zurich Advantage III

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

### CERTIFICATION AND SIGNATURE

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I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Judy Chu , 05/14/2017