



ALABAMA

# ETHICS COMMISSION

(/)

## Statement of Economic Interests

STATEMENT OF ECONOMIC INTERESTS

Filing Year: 2016 Submit Date: 4/25/2017 12:31:28 PM

### Candidate

CANDIDATE: No FOR OFFICE IN CITY COUNTY AL STATE FOR OFFICE OF

### Law Enforcement

Law Enforcement: Judge - Any Level: District Attorney/Assistant or Deputy DA/DA Investigator:

Attorney General/AG Attorney, Special Agent/Investigator: Post Certified Law Enforcement Officers:

#### 01. Full Name, Home Address, and Telephone Number(s) of Filing Person:

First Name: **Kay**  
Middle Name: **Ellen**  
Last Name: **Ivey** Suffix:  
Nickname:

Business Phone: **334-242-7900**

PLEASE FILL IN THE BLANKS OR CHECK ON THE CORRECT WORD(S) OR NUMBER(S) AS APPROPRIATE

02. Last year, I was an  elected official  appointed official  employee with the  Municipality  County  State and the name and address of my  department  office  agency  board  college  county  municipality  commission was

Name: **Lieutenant Governor**  
Address: **725 Alabama State House, Montgomery, AL 36130**

02.1 As an  elected/  appointed official/  employee last year, my job title/position was **Lieutenant Governor**

02.2 Last year, the name(s) of the  State  County  Municipal

Board(s),  Commission(s),  Committee(s),  Authority(ies),  Council(s),  Others of which I was a Member was(were) **Alabama Corrections Institution Finance Authority, Alabama Criminal Justice Information Center, Alabama Drinking Water Finance Authority, Alabama Education Foundation, Alabama Forensic Sciences Bond Authority, Alabama Heritage Trust Fund Legislative, Alabama Historical Commission, Alabama Housing Finance Authority Legislative, Alabama Land Recycling Finance Authority, Alabama Public Historical Sites and Parks Improvement Corporation, Alabama Real Estate Commission Building Authority, Alabama Revolving Loan Fund Authority, Alabama State Parking Deck Authority, Alabama Supercomputer Authority, Alabama Toll Road, Bridge and Tunnel Authority, Children's Health Insurance Program Committee, Committee on Compensation for Wrongful Incarceration, Commission on Economic Stimulus Free and Fair Trade, Commission on Government Accountability, Coosa Valley Development Authority, Corrections Capital Outlay Oversight Commission, Corrections Institution Finance Authority, Department of Mental Health and Mental Retardation Board of Trustees, Ex Officio President of the Senate, Emergency Response Commission to Health Care Crisis, Final Approval Committee of the Forever Wild Land Trust, Interim Brown v. Board 50th Anniversary Commission, Joint Fiscal Committee of Legislature, Judicial Building Authority, Legislative Committee on Public Accounts, Legislative Council (Adm. Reg. Review), Local Constitutional Amendment Commission, Mental Health Capital Outlay Oversight Commission, Permanent Joint Legislative Committee on Finance and Budget, Synfuels Development Authority, Tombigbee Valley Development Authority, Total Quality Government Legislative Commission, CollegeCounts 529 Board, Water Pollution Control Authority, State Executive Commission on Community Service Grants, Alabama Job Creation and Military Stability Commission, Alabama Transportation Rehabilitation and Improvement Program, Governors College and Career Ready Task Force.**

02.3 Last year in the above public position(s) in 02. thru 02.2 I earned: **(More than \$10,000)**

03. Other than my public position(s) in 02. thru 02.2, my and/or my spouse's occupation(s) or business(es) last year to which 1/3 or more of working time was spent (including self-employment) was (were) **Not Applicable**

03.1 The name and address of my employer, listed in 03. above, was

Name: **Not Applicable**  
Address: **Not Applicable**

03.2 I was **SELF-EMPLOYED** last year and the **NAME** and **ADDRESS** of my business was

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Name: **Not Applicable**

Address: **Not Applicable**

03.3 From the occupations or businesses listed in 03., I, My Spouse and/or Dependents earned last year an aggregate of:

**Not Applicable**

03.4 **Last year**,  I  My Spouse  Dependents owned 5% or more of the stock in the firm(s) listed in (03.1 and/or 03.2)

**Not Applicable**

03.5 **Last year**,  I  My Spouse  Dependents was a consultant and earned more than \$1,000 from each firm listed in (03.1 and/or 03.2)

**Not Applicable**

03.6 **Last year**,  I  My Spouse  Dependents served as an  Officer  Director  Trustee of the firm(s) listed in (03.1 and/or 03.2)

**Not Applicable**

04. Information on Family Members:

Spouse Information:

Spouse Name	Business or Employer
N.A.	N.A.

Names Only of LIVING ADULT CHILDREN: **Not Applicable**

Names Only of LIVING PARENTS:  
(No Maiden Names) **Not Applicable**

Names Only of LIVING SISTERS/BROTHERS:  
(No Maiden Names) **Not Applicable**

Names Only of LIVING PARENTS OF SPOUSE:  
(No Maiden Names) **Not Applicable**

**05. OTHER INCOME INFORMATION ON YOU, YOUR SPOUSE AND DEPENDENT CHILDREN**

List total combined household income, in addition to what is listed in 02.3 thru 03.5, the names of each business income is derived from, and the income from each business.

**Applicable**

OTHER HOUSEHOLD INCOME: not reported in 03.-03.6. Provide the name(s) of each SOURCE(s) of income	TYPE OF INCOME RECEIVED: Salary, Fees, Dividends, Profits, Commissions, Bank Interest, Other Compensation	Select Appropriate Income Amount
ServisFirst Bank	Bank Interest	Less than \$1,000
Community Neighbor Bank	Bank Interest	Less than \$1,000
Morgan Stanley	Bank Interest	Less than \$1,000
The Kay E. Ivey Blind Trust	Interest	\$1,000 - \$10,000
Morgan Stanley	Dividends	\$1,000 - \$10,000
The Kay E. Ivey Blind Trust	Dividends	\$10,000 - \$50,000
Morgan Stanley	Capital Gains	\$1,000 - \$10,000
The Kay E. Ivey Blind Trust	Capital Gains	\$10,000 - \$50,000
Great West Retirement Services	Retirement Income	\$1,000 - \$10,000
Morgan Stanley	Retirement Income	\$1,000 - \$10,000
State of Alabama	Retirement Income	\$10,000 - \$50,000
Hunting Leases	Leases	\$10,000 - \$50,000
Weyerhaeuser	Leases	\$50,000 - \$150,000
State of Alabama	Salaries	\$50,000 - \$150,000
Social Security Administration	Social Security	\$10,000 - \$50,000

05.1 **Last year did you earn more than \$5,000 as an: (Select the applicable one)**

Not Applicable

05.2 **Last year did you earn more than \$1,000 but less than \$5,000 as an: (Select the applicable one)**

Not Applicable

05.3 **Last year, did YOU, YOUR SPOUSE or DEPENDENTS serve as: (Select the applicable one)**

Not Applicable

05.4 **Name any business or subsidiary thereof in which YOU, YOUR SPOUSE, or DEPENDENTS, jointly or severally, owned 5% or more of the stock or in which YOU, YOUR SPOUSE or DEPENDENTS served as an OFFICER, DIRECTOR, TRUSTEE, or CONSULTANT where the service provides income of at least \$1,000 and less than \$5,000; or at least \$5,000 or more for the reporting period.**

Not Applicable

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06. **REAL ESTATE HOLDINGS (Exclude your Homestead) (TO BE COMPLETED ONLY BY ELECTED OFFICIALS.**

**APPOINTED OFFICIALS or CANDIDATES for State, County or Municipal Offices.)**

Are you an ELECTED OFFICIAL, APPOINTED OFFICIAL or a CANDIDATE for State, County or Municipal Offices ?

Yes

06.1 Did YOU, YOUR SPOUSE or DEPENDENTS own real estate for investment or revenue production last year?

Yes

If yes, list each piece of real estate wherever situated separately below and provide the requested information.

City	County	State	What is the fair market value?	What is the annual gross rent or lease income?
N/A	Monroe	AL	More than \$250,000	\$10,000 but less than \$50,000

06.2 Did YOU, YOUR SPOUSE OR DEPENDENTS or A BUSINESS WITH WHICH YOU ARE ASSOCIATED receive any rent or lease income from any governmental agency in Alabama last year?

No

If yes, specific details of the lease or rent agreement shall be filed with the Ethics Commission.

07. INDEBTEDNESS INFORMATION: Report debts owed to all businesses operating in Alabama\*\*as of December 31, of the reporting year. Include debts for YOU, YOUR SPOUSE and DEPENDENT CHILDREN.  
 (\*\*Doing business in Alabama, regardless of where their home office is located or where you mail your payment.) DO NOT INCLUDE indebtedness associated with HOMESTEAD - home in which you live.

Provide ACTUAL Number of Debts and Check Corresponding COMBINED Dollar Amount.

DO NOT list Debtor's Names or Accounts Numbers.

TYPE	How <u>many</u> do you OWE?	How much do you OWE? (Select one that relates to the combined total in each category)
07.1 BANKS (include Credit Cards)	0	Not Applicable
07.2 CREDIT UNIONS and SAVINGS and LOAN ASSOCIATIONS (include Credit Cards)	0	Not Applicable
07.3 INSURANCE COMPANIES	0	Not Applicable
07.4 MORTGAGE FIRMS	0	Not Applicable
07.5 STOCKBROKERS or BOND FIRMS	0	Not Applicable
07.6 INDIVIDUALS or OTHER BUSINESS(ES) (include store cards)	0	Not Applicable
07.7 STUDENT LOANS	0	Not Applicable

08. PROFESSIONAL OR CONSULTING SERVICES: Complete tis Section ONLY if YOU or YOUR SPOUSE received income last year in return for professional or consulting activities.

State the NUMBER of CLIENTS and CHECK Corresponding Income and/or Retainer Income.)

Check if no income was received for Professional or Consulting Services for the categories of Clients shown below.

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Categories of Clients	Number of Clients	Annual Gross Income During Reporting Year	Anticipated Annual Retainer Income
08.1 UTILITIES	0	Not Applicable	Not Applicable
Electric	0	Not Applicable	Not Applicable
Gas	0	Not Applicable	Not Applicable
Telephone	0	Not Applicable	Not Applicable
Water	0	Not Applicable	Not Applicable
Cable Television	0	Not Applicable	Not Applicable
08.2 TRANSPORTATION	0	Not Applicable	Not Applicable
Intrastate Companies	0	Not Applicable	Not Applicable
Pipeline Companies	0	Not Applicable	Not Applicable
Oil Exploration	0	Not Applicable	Not Applicable
Gas Exploration	0	Not Applicable	Not Applicable
Oil and Gas Retailers	0	Not Applicable	Not Applicable
08.3 FINANCE and INSURANCE	0	Not Applicable	Not Applicable
Banks	0	Not Applicable	Not Applicable
Savings & Loan Associations	0	Not Applicable	Not Applicable
Loan and/or Finance Companies	0	Not Applicable	Not Applicable
Manufacturing Firms	0	Not Applicable	Not Applicable
Mining Companies	0	Not Applicable	Not Applicable
Life Insurance Companies	0	Not Applicable	Not Applicable
Casualty Insurance Companies	0	Not Applicable	Not Applicable
Other Insurance Companies	0	Not Applicable	Not Applicable
Retail Companies	0	Not Applicable	Not Applicable
Beer Companies	0	Not Applicable	Not Applicable
Wine Companies	0	Not Applicable	Not Applicable
Liquor Companies	0	Not Applicable	Not Applicable
Beverage Distributors	0	Not Applicable	Not Applicable
08.4 ASSOCIATIONS	0	Not Applicable	Not Applicable
Trade	0	Not Applicable	Not Applicable
Professional	0	Not Applicable	Not Applicable
Governmental	0	Not Applicable	Not Applicable
Public Employee	0	Not Applicable	Not Applicable
Public Official	0	Not Applicable	Not Applicable
08.5 GOVERNMENT	0	Not Applicable	Not Applicable
State	0	Not Applicable	Not Applicable
County	0	Not Applicable	Not Applicable
Municipal	0	Not Applicable	Not Applicable
Other Government Corp. Or Authorities	0	Not Applicable	Not Applicable
08.6 MISCELLANEOUS	0	Not Applicable	Not Applicable

**09. DECLARATION OF REPORTING PERSON**

I have read and completed this Statement of Economic Interests Form and do swear (or affirm) that the information contained in said Statement of Economic Interests is true and correct. I fully understand that anyone who violates the disclosure provision of this Act shall be subject to a fine of \$10.00 a day not to exceed \$1,000 annually. I also understand that any attachments that I place with this form become a part of this public record.

I agree with the above statement.

PLEASE PRINT/TYPE NAME OF PERSON SIGNING FORM:

Ivey, Kay

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## Alabama Ethics Commission

URL:

Alabama Ethics Commission

[http://ethics.alabama.gov/search/SOEI\\_Form.aspx?ssSOEI\\_ID=405278](http://ethics.alabama.gov/search/SOEI_Form.aspx?ssSOEI_ID=405278)

 [Events](#)

### Newsroom

**August 2, 2017 Ethics Commission Meeting Date Rescheduled (news\_detail.aspx?ID=12355)**

Jul 18, 2017 - The August 2, 2017 meeting of the Alabama Ethics Commission has been changed to Wednesday, August 16, 2017 at 9:30 a.m.

**December 6, 2017 Ethics Commission Meeting Date Rescheduled (news\_detail.aspx?ID=12356)**

Jul 18, 2017 - The Ethics Commission meeting originally scheduled for Wednesday, December 6, 2017 has been changed to Monday, December 4, 2017 at 9:30 a.m.

**June 7, 2017 Advisory Opinions (news\_detail.aspx?ID=12297)**

Jun 9, 2017 - The following Advisory Opinions were issued by the Alabama Ethics Commission on June 7, 2017.

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