

# Financial Disclosures

## Annual Report for Calendar 2016

**The Honorable Lamar Alexander (Alexander, Lamar)**

Filed 05/15/2017 @ 4:13 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Royalties	Curb Records Nashville, TN	\$101.75

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	<b>First Tennessee</b> (Knoxville, Tennessee) Type: Checking, Savings,	Bank Deposit	Self	\$250,001 - \$500,000	Interest,	\$1,001 - \$2,500
2	<b>Custom Foods of America, Inc.</b> Company: Custom Foods of America, Inc. (Knoxville, Tennessee) Description: Wholesale Food	Corporate Securities Non-Public Stock	<b>efD: Home</b> URL: <b>efD: Home</b> <a href="https://efdsearch.senate.gov/search/home/">https://efdsearch.senate.gov/search/home/</a>	\$1,000,001 - \$5,000,000	Dividends, Interest,	\$100,001 - \$1,000,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3	<b>Custom Foods of America, Inc. (held through Family Trust)</b> <i>Company:</i> Custom Foods of America, Inc. (Knoxville, Tennessee) <i>Description:</i> Wholesale Food	Corporate Securities Non-Public Stock	Self	\$1,000,001 - \$5,000,000	Dividends, Interest,	\$100,001 - \$1,000,000
4	<b>3600 Management, LLC (held through Family Trust)</b> <i>Company:</i> 3600 Management, LLC (Knoxville, Tennessee) <i>Description:</i> Commercial Rental	Business Entity Limited Liability Company (LLC)	Self	\$1,000,001 - \$5,000,000	Rent/Royalties, Interest,	\$100,001 - \$1,000,000
5	<b>Alexander Investment Company LP - 0.02% General Partnership Interest</b> <i>Company:</i> Alexander Investment Company LP (Walland, TN) <i>Description:</i> Investment Company <i>Filer comment:</i> The value of the underlying assets of Alexander Investment Company LP are under the reporting threshold.	Business Entity Limited Partnership (LP)	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6	<b>Accounts Receivable from Lamar Alexander Family Trust 6-30-10</b> <i>Company:</i> Lamar Alexander Family Trust 6-30-10 (Nashville, Tennessee)	Accounts Receivable From a Business	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
7	<b>Accounts Receivable from Lamar Alexander Family Trust 6-30-10</b> <i>Company:</i> Lamar Alexander Family Trust 6-30-10 (Nashville, Tennessee)	Accounts Receivable From a Business	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
8	<b>First Tennesse</b> (Knoxville, Tennessee) <i>Type:</i> Checking, Savings,	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest,	None (or less than \$201)
9	<b>Custom Foods of America, Inc.</b> <i>Company:</i> Custom Foods of America, Inc (Knoxville, Tennessee) <i>Description:</i> Wholesale Food	Corporate Securities Non-Public Stock	Spouse	\$500,001 - \$1,000,000	Dividends, Interest,	\$100,001 - \$1,000,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
10	<b>Custom Foods of America, Inc. (held through Family Trust)</b> <i>Company:</i> Custom Foods of America, Inc. (Knoxville, Tennessee) <i>Description:</i> Wholesale Food	Corporate Securities Non-Public Stock	Spouse	\$1,000,001 - \$5,000,000	Dividends, Interest,	\$100,001 - \$1,000,000
11	<b>DTC US Active Equity Common Trust Fund</b> <i>Filer comment:</i> FKA - DTC Equity Common Trust Fund	Common Trust Fund of a Bank	Spouse	None (or less than \$1,001)	Dividends, Capital Gains, Other, (Other portfolio income)	None (or less than \$201) Other \$46.00
12	<b>DTC International Equity Common Trust Fund</b>	Common Trust Fund of a Bank	Spouse	\$250,001 - \$500,000	Dividends, Capital Gains,	\$5,001 - \$15,000
13	<b>DTC US Core Equity Common Trust Fund</b> <i>Filer comment:</i> FKA Large Cap US Equity Common Trust Fund	Common Trust Fund of a Bank	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
14	<b>Small/Mid Cap US Equity Common Trust Fund</b>	Common Trust Fund of a Bank	Spouse	None (or less than \$1,001)	Dividends, Capital Gains, Other, (Other portfolio Income)	\$50,001 - \$100,000 Other \$174.00
15	<b>DTC Multi-Strategy Common Trust Fund</b>	Common Trust Fund of a Bank	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains, Other, (Other Portfolio Income)	\$201 - \$1,000 Other \$214.00
16	<b>Broad Based Consumer Credit (Q) Fund LP</b> <i>Company:</i> Broad Based Consumer Credit (Q) Fund LP (San Francisco, California) <i>Description:</i> Investment Partnership	Business Entity Limited Partnership (LP)	Spouse	\$100,001 - \$250,000	Interest, Excepted Investment Fund,	\$15,001 - \$50,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
17	<b>Winston Global Fund LP Onshore</b> <i>Company:</i> Winston Global Fund LP Onshore (Ogden, Utah) <i>Description:</i> Investment Partnership	Business Entity Limited Partnership (LP)	Spouse	\$500,001 - \$1,000,000	Dividends, Interest, Capital Gains, Excepted Investment Fund,	\$5,001 - \$15,000
18	<b>Fidelity Government Money Market</b> <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
19	<b>Fidelity Tax Exempt Money Market</b> <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Dividends, Interest,	None (or less than \$201)
20	<b>Bristol TN Electric</b> <i>Rate/Coupon:</i> 5% <i>Matures:</i> 09/01/2026	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
21	<b>Chattanooga Tenn Go REF BDS</b> <i>Rate/Coupon:</i> 5% <i>Matures:</i> 09/01/2019	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
22	<b>Clarksville TN Electric</b> <i>Rate/Coupon:</i> 5% <i>Matures:</i> 09/01/2022	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
23	<b>Franklin County TN Health</b> <i>Rate/Coupon:</i> 4.0% <i>Matures:</i> 09/01/2023	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
24	<b>Franklin TN Water &amp; Sewer</b> <i>Rate/Coupon:</i> 4.25% <i>Matures:</i> 04/01/2025	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
25	<b>Hendersonville Tenn Util Distric Water and Sewer</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/2023</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
26	<b>Kingsport Tenn Go Bond</b> <i>Rate/Coupon: 3%</i> <i>Matures: 03/01/2029</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
27	<b>Lakeland Tenn Go Cap Outlay NTS</b> <i>Rate/Coupon: 5%</i> <i>Matures: 03/01/2020</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
28	<b>Maryville TN GO Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2016</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
29	<b>Memphis TN Sewer System</b> <i>Rate/Coupon: 4.2%</i> <i>Matures: 10/01/2022</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
30	<b>Metro Govt Nashville &amp; Davidson</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2016</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
31	<b>Nashville Davidson County</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/1/2018</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
32	<b>Metro Gov Nashville &amp; Davidson County TN</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 5/15/2026</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
33	<b>Metropolitan Govt Nashville &amp; REF BDS</b> <i>Rate/Coupon: 5%</i> <i>Matures: 05/15/2019</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
34	<b>Metropolitan Nashville ARPT AU ARPT</b> <i>Rate/Coupon: 5%</i> <i>Matures: 07/01/2040</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
35	<b>Montgomery County</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 4/1/2018</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
36	<b>Robertson CNTY Tenn Go REF BDS</b> <i>Rate/Coupon: 3%</i> <i>Matures: 04/1/2025</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
37	<b>Robertson County TN Go Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 5/1/2022</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
38	<b>Shelby County Tenn Health EDL &amp; Rev</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 09/01/2023</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
39	<b>South Blount TN Bond</b> <i>Rate/Coupon: 4.75%</i> <i>Matures: 12/1/2023</i> <i>Filer comment: This bond was 25,000 shares and it was replaced by two bonds. One bond holds 15,000 shares and other holds 10,000 shares.</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
40	<b>South Blount TN Bond</b> <i>Rate/Coupon: 4.75%</i> <i>Matures: 12/01/2023</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
41	<b>South Blount TN Bond</b> <i>Rate/Coupon: 4.75%</i> <i>Matures: 12/01/2023</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
42	<b>ECEIX - Lazard Emerging Markets Core Equity Inst</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends, Capital Gains,	None (or less than \$201)

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
43	<b><u>VFINX</u> - Vanguard 500 Index Inv</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains,	\$2,501 - \$5,000
44	<b><u>VIG</u> - Vanguard Dividend Appreciation ETF</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
45	<b><u>VEXMX</u> - Vanguard Extended Market Idx Inv</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
46	<b><u>TORIX</u> - Tortoise MLP &amp; Pipeline Instl</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Dividends, Capital Gains, Other, (Non Dividend Distributions)	\$15,001 - \$50,000 Other \$661.00
47	<b><u>MLPI</u> - UBS ETRACS Alerian MLP Infras ETN</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Other, (Other Portfolio Income)	Other \$1,489.00
48	<b>Buhler-Telferner Partnership - Comprised of 2,763.821 acres of ranch land assessed value for tax purposes adjusted to reflect market value = \$76,514</b> <i>Company:</i> Buhler-Telferner Partnership (Victoria, Texas) <i>Description:</i> Rental Real Estate	Business Entity General Partnership	Spouse	\$50,001 - \$100,000	Rent/Royalties, Capital Gains,	\$201 - \$1,000
49	<b>Little Star Partnership</b> <i>Company:</i> Little Star Partnership (Victoria, Texas) <i>Description:</i> Rental Real Estate	Business Entity General Partnership	Spouse	\$50,001 - \$100,000		
49.1	<b>Little Star Partnership</b> <i>Description:</i> Undeveloped land (Victoria, TX)	Real Estate Unimproved Land	Spouse	\$50,001 - \$100,000	Rent/Royalties, Interest,	None (or less than \$201)
50	<b>F.S. Buhler Partnership - 12.5 acres land assessed value for tax purposes adjusted to reflect market value - \$2,253</b> <i>Company:</i> F.S. Buhler Partnership (Victoria, Texas) <i>Description:</i> Rental Real Estate	Business Entity General Partnership	Spouse	\$1,001 - \$15,000	Interest, Other, (1231 Gain)	\$201 - \$1,000 Other \$116.00
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
51	<b>Starboard Corporation - Commercial Real Estate</b> <i>Company:</i> Starboard Corporation (San Antonio, Texas) <i>Description:</i> Rental Real Estate <i>Filer comment:</i> Starboard Corporation is a S Corporation	Corporate Securities Non-Public Stock	Spouse	\$100,001 - \$250,000	Dividends, Rent/Royalties, Interest, Capital Gains, Other, (1231 Gain)	\$15,001 - \$50,000 Other \$1,038.00
52	<b>Accounts Receivable from Leslee B Alexander Family Trust 6-30-10</b> <i>Company:</i> Leslee B Alexander Family Trust 6-30-10 (Nashville, Tennessee)	Accounts Receivable From a Business	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/05/2016	Spouse	--	ROBERTSON CNTY TENN GO REF BDS <i>Rate/Coupon:</i> 3.00% <i>Matures:</i> 04/01/2025	Purchase	\$15,001 - \$50,000	--
2	01/07/2016	Spouse	--	LAKELAND TENN GO CAP OUTLAY NTS <i>Rate/Coupon:</i> 5.00% <i>Matures:</i> 03/01/2020	Purchase	\$15,001 - \$50,000	--
3	01/07/2016	Spouse	--	METROPOLITAN NASHVILLE ARPT AU ARPT <i>Rate/Coupon:</i> 5.00% <i>Matures:</i> 07/01/2040	Purchase	\$15,001 - \$50,000	--
4	04/01/2016	Spouse	--	Montgomery County <i>Rate/Coupon:</i> 5.00 <i>Matures:</i> 04/01/2018	Sale (Full)	\$15,001 - \$50,000	--
5	06/14/2016	Spouse	--	Kingsport Tenn GO Bond <i>Rate/Coupon:</i> 3.00% <i>Matures:</i> 03/01/2029	Purchase	\$50,001 - \$100,000	--
6	09/08/2016	Spouse	--	Shelby County Tenn Health EDL & Rev <i>Rate/Coupon:</i> 5.25% <i>Matures:</i> 09/01/2023	Purchase	\$50,001 - \$100,000	--

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Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
7	11/08/2016	Spouse	--	Metropolitan Govt Nashville & REF BDS <i>Rate/Coupon: 5.00%</i> <i>Matures: 05/15/2019</i>	Purchase	\$15,001 - \$50,000	--
8	11/10/2016	Spouse	--	Chattanooga Tenn GO REF BDS <i>Rate/Coupon: 5.00%</i> <i>Matures: 09/01/2019</i>	Purchase	\$15,001 - \$50,000	--

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	<u>VFINX</u>	Vanguard 500 Index Investor	Purchase	02/26/2016	\$1,001 - \$15,000	--
2	Spouse	<u>VEXMX</u>	Vanguard Extended Market Index Investor	Purchase	02/26/2016	\$1,001 - \$15,000	--
3	Spouse	<u>MLPI</u>	UBS ETRACS Alerian MLP Infracor ETN	Purchase	05/27/2016	\$15,001 - \$50,000	--
4	Spouse	<u>VIG</u>	Vanguard Dividend Appreciation ETF	Purchase	06/01/2016	\$100,001 - \$250,000	--
5	Spouse	<u>ECEIX</u>	Lazard Emerging Markets Core Equity Inst	Purchase	06/03/2016	\$1,001 - \$15,000	--
6	Spouse	<u>VFINX</u>	Vanguard 500 Index Investor	Purchase	06/03/2016	\$100,001 - \$250,000	--
7	Spouse	<u>VEXMX</u>	Vanguard Extended Market Index Investor	Purchase	06/03/2016	\$50,001 - \$100,000	--
8	Spouse	--	DTC International Equity Common Trust Fund	Purchase	06/06/2016	\$50,001 - \$100,000	--
9	Spouse	--	DTC Multi-Strategy Common Trust Fund	Purchase	06/06/2016	\$15,001 - \$50,000	--
10	Spouse	<u>VFINX</u>	Vanguard 500 Index Investor	Purchase	06/29/2016	\$1,001 - \$15,000	--
11	Spouse	--	DTC International Equity Common Trust Fund	Purchase	07/07/2016 hide me	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
12	Spouse	--	DTC Multi-Strategy Common Trust Fund	Purchase	08/04/2016	\$15,001 - \$50,000	--
13	Spouse	<u>VFIX</u>	Vanguard 500 Index Investor	Purchase	10/05/2016	\$1,001 - \$15,000	--
14	Spouse	--	DTC Multi-Strategy Common Trust Fund	Purchase	10/06/2016	\$1,001 - \$15,000	--
15	Spouse	--	DTC Multi-Strategy Common Trust Fund	Purchase	11/04/2016	\$15,001 - \$50,000	--
16	Spouse	<u>MLPI</u>	UBS ETRACS Alerian MLP Infracore ETN	Purchase	11/29/2016	\$1,001 - \$15,000	--
17	Spouse	--	DTC Multi-Strategy Common Trust Fund	Purchase	12/06/2016	\$1,001 - \$15,000	--
18	Spouse	--	DTC Multi-Strategy Common Trust Fund	Purchase	12/30/2016	\$1,001 - \$15,000	--
19	Spouse	<u>TORIX</u>	Tortoise MLP & Pipeline Instl	Sale (Partial)	05/27/2016	\$15,001 - \$50,000	--
20	Spouse	--	Small/Mid Cap US Equity Common Trust Fund	Sale (Full)	06/06/2016	\$50,001 - \$100,000	--
21	Spouse	--	DTC US Active Equity Fund Common	Sale (Full)	06/06/2016	\$100,001 - \$250,000	--
22	Spouse	--	DTC US Core Equity Fund Common	Sale (Full)	06/06/2016	\$100,001 - \$250,000	--
23	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	07/15/2016	\$1,001 - \$15,000	--
24	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	07/25/2016	\$1,001 - \$15,000	--
25	Spouse	<u>ECEIX</u>	Lazard Emerging Markets Core Equity Inst	Sale (Partial)	07/28/2016	\$1,001 - \$15,000	--
26	Spouse	<u>TORIX</u>	Tortoise MLP & Pipeline Instl	Sale (Partial)	07/28/2016	\$1,001 - \$15,000	--
27	Spouse	--	DTC International Equity Common Trust Fund	Sale (Partial)	08/04/2016	\$1,001 - \$15,000	--
28	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	08/12/2016 hide me	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
29	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	08/22/2016	\$1,001 - \$15,000	--
30	Spouse	<u>TORIX</u>	Tortoise MLP & Pipeline Instl	Sale (Partial)	08/30/2016	\$1,001 - \$15,000	--
31	Spouse	<u>VFINX</u>	Vanguard 500 Index Investor	Sale (Partial)	08/30/2016	\$1,001 - \$15,000	--
32	Spouse	--	DTC International Equity Common Trust Fund	Sale (Partial)	09/07/2016	\$1,001 - \$15,000	--
33	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	09/15/2016	\$1,001 - \$15,000	--
34	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	09/28/2016	\$1,001 - \$15,000	--
35	Spouse	<u>TORIX</u>	Tortoise MLP & Pipeline Instl	Sale (Partial)	09/29/2016	\$1,001 - \$15,000	--
36	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	10/14/2016	\$1,001 - \$15,000	--
37	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	10/25/2016	\$1,001 - \$15,000	--
38	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	11/15/2016	\$1,001 - \$15,000	--
39	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	11/22/2016	\$1,001 - \$15,000	--
40	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	12/14/2016	\$1,001 - \$15,000	--
41	Spouse	--	Broad Based Consumer Credit (Q) Fund	Sale (Partial)	12/22/2016	\$1,001 - \$15,000	--

**Part 5. Gifts**

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

**Part 6. Travel**

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**  
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## Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate	Amount	Creditor	Comments
					(Term)			
1	2015	Self	Promissory Note	-	4.00% (2 Years)	\$50,001 - \$100,000	First Tennessee Knoxville, Tennessee	This promissory note was replaced by the promissory note listed as #2 below.
2	2016	Self	Promissory Note	-	4.5% (2 Years)	\$250,001 - \$500,000	First Tennessee Bank Knoxville, TN	Refinanced on 9/26/2016
3	2012	Self	Mortgage	0	3.25% (15 Years)	\$1,000,001 - \$5,000,000	Bank of America Nashville, Tennessee	-
4	2010	Self	Mortgage	0	3.5% (10 Years)	\$250,001 - \$500,000	First Tennessee Knoxville, Tennessee	-
5	2015	Self	Other (Note Payable)	-	3.00% (On Demand)	\$1,000,001 - \$5,000,000	Alexander Investment Company Walland, Tennessee	-
6	2015	Self	Other (Note Payable)	-	3.0% (On Demand)	\$1,000,001 - \$5,000,000	Alexander Investment Company Walland, Tennessee	-

## Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
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#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Oct 2004 to present	Other (Chief Manager)	Alexander Property Management LLC Walland, Tennessee	Partnership	Family Partnership
2	Oct 2004 to present	Partner	Alexander Investment Company LP Walland, TN	Partnership	Alexander Property Management LLC is the 0.02% general partner of Alexander Investment Company. The Senator owns 100% of Alexander Property Management LLC.

### Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Jan 2003	State of Tennessee Nashville, Tennessee	Compensation Agreement	State of Tennessee Pension - Defined benefit plan; will receive 1/2 of current governors salary following the termination of federal services; if deceased, spouse will receive 1/4 of payout.

### Part 10. Compensation

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

### Attachments & Comments

*No attachments added.*

#### Comments

My spouse has an interest in the Estate of Bette-Jo Buhler, which is currently in probate. Details of assets to be disclosed as appropriate.

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