

Annual Report for Calendar 2016

The Honorable Lisa A Murkowski (Murkowski, Lisa)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	State of AK Annuity Plan	Retirement Plans Defined Contribution Pension Plan	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2	Schwab Cash (Anchorage, AK) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

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<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3 Investco S&P 500 Index Fund (formerly known as MS S&P 500 Index Fund)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
4 Alaska Permanent Fund Dividend <i>Description: N/A (N/A, N/A)</i>	Other Securities	Spouse	\$1,001 - \$15,000	None,	\$1,001 - \$2,500
5 Alaska Permanent Fund Dividend <i>Description: N/A (N/A, N/A)</i>	Other Securities	Self	\$1,001 - \$15,000	None,	\$201 - \$1,000
6 Northrim Bank (Anchorage, AK) <i>Type: Checking,</i>	Bank Deposit	Self	None (or less than \$1,001)	Interest,	None (or less than \$201)
7 Wells Fargo (Anchorage, AK) <i>Type: Savings,</i>	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	None (or less than \$201)
8 <u>WFC</u> - Wells Fargo & Company (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9 Invesco Diversified Dividend (formerly known as MS Dividend Growth Securities B)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
10 Fidelity Small Cap	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
11 ING. Intl. Small Cap	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
12 Morgan Stanley (Anchorage, AK) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)

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<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13 Investco S&P 500 Index Fund (formerly known as MS S&P 500 Index Fund)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
14 MS Focus Growth	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
15 Schwab US MKT Broad ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
16 Schwab Int'l Equity ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
17 Schwab Emg MKT ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
18 SCHZ - Schwab US Aggregate Bond ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
19 First Bank (Ketchikan, AK) <i>Type: IRA Cash Accounts,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
20 First Bank (Ketchikan, AK) <i>Type: Certificate of Deposit,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
21 First Bank of Ketchikan, AK	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$5,001 - \$15,000
22 Rental income from basement apartment <i>Description: Basement apartment in DC residence (Washington, DC)</i>	Real Estate Residential	Joint	\$500,001 - \$1,000,000	Rent/Royalties,	\$15,001 -
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#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2011	Joint	Mortgage	0	3.75% (15 years)	\$50,001 - \$100,000	Wells Fargo Anchorage, AK	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2005 to present	Other (Member)	U.S. - Japan Friendship Commission Washington, DC	Other (Ind. Federal Agency)	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

Comments

Spouse transferred the Alaska Pasta Company on January 1, 2016 to a non-dependent son.

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