

Financial Disclosures

New Filer Report for 02/08/2017

The Honorable Luther J Strange III (Strange, Luther III)

Filed 04/21/2017 @ 6:06 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Salary	State of Alabama Montgomery, AL	\$183,961.41
2	Self	Board Compensation	Oakworth Capital Bank Birmingham, AL	\$10,000.00
3	Self	Pension	State Street Retiree Services for El Paso Corp. Pension Plan Jacksonville, FL	\$15,995.04
4	Spouse	Salary	University of Alabama at Birmingham Birmingham, AL	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	Oakworth Capital Bank Strange Joint IMA	Brokerage/Managed Account	Joint			

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1.1	Oakworth Capital Bank (Birmingham, AL) <i>Type:</i> Money Market Account,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
1.2	<u>DFIEX</u> - DFA International Core Equity I	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.3	<u>DFAPX</u> - DFA Investment Grade I	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.4	<u>DFEOX</u> - DFA Short-Term Extended Quality I	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.5	<u>DFEOX</u> - DFA US Core Equity 1 I	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
1.6	<u>DFUSX</u> - DFA US Large Company I	Mutual Funds Exchange Traded Funds/Notes	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2	Oakworth Capital Bank Luther Strange IRA	Retirement Plans IRA	Self			
2.1	Oakworth Capital, Inc. Stock	Corporate Securities Stock	Self	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
2.2	850 Shades Cahaba, LLC <i>Company:</i> 850 Shades Cahaba, LLC (Birmingham, AL) <i>Description:</i> Real estate investment	Business Entity Limited Liability Company (LLC)	Self			
2.2.1	850 Shades Creek Pkwy. Building <i>Description:</i> 850 Shades Creek Pkwy. Office Building (Birmingham, AL)	Real Estate Commercial	Self	\$50,001 - \$100,000	Rent/Royalties,	\$15,001 - \$50,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2.3	Ark Real Estate Opportunity Fund I, LLC <i>Company:</i> Ark Real Estate Opportunity Fund I, LLC (Birmingham, AL) <i>Description:</i> Real estate investment	Business Entity Limited Liability Company (LLC)	Self			
2.3.1	The Waters residential development <i>Description:</i> The Waters, single-family home development (Pike Road, AL)	Real Estate Residential	Self	\$15,001 - \$50,000	Capital Gains,	\$5,001 - \$15,000
2.3.2	Ark Serrus LLC <i>Company:</i> Ark Serrus LLC (Serrus, SC) <i>Description:</i> Real estate investment <i>Filer comment:</i> The assets underlying Ark Serrus LLC do not have an attributable fair market value exceeding \$1,000 nor did they receive or accrue income exceeding \$200.	Business Entity Limited Liability Company (LLC)	Self	\$1,001 - \$15,000	Rent/Royalties,	None (or less than \$201)
2.4	Oakworth Capital Bank (Birmingham, AL) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
3	Oakworth Capital Bank Organizer and Director Warrants <i>Strike price:</i> \$10.00 Call <i>Expires:</i> 3/27/2018	Corporate Securities Stock Option	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
4	850 Shades Cahaba, LLC <i>Company:</i> 850 Shades Cahaba, LLC (Birmingham, AL) <i>Description:</i> Real estate investment	Business Entity Limited Liability Company (LLC)	Self			

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
4.1	850 Shades Creek Pkwy. Building <i>Description:</i> 850 Shades Creek Pkwy. Office Building (Birmingham, AL)	Real Estate Commercial	Self	\$50,001 - \$100,000	Rent/Royalties,	\$15,001 - \$50,000
5	Linville, NC Rental Home <i>Description:</i> Linville, NC House (Linville, NC)	Real Estate Residential	Spouse	\$100,001 - \$250,000	Rent/Royalties,	\$5,001 - \$15,000
6	Ashville, AL Unimproved Property No. 1 <i>Description:</i> Ashville, AL Unimproved Land (Ashville, AL)	Real Estate Unimproved Land	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
7	Ashville, AL Unimproved Property No. 2 <i>Description:</i> Ashville, AL Unimproved Land (Ashville, AL)	Real Estate Unimproved Land	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
8	El Paso Corp. Pension Plan	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
9	Batson Development Company, LLC <i>Company:</i> Batson Development Company, LLC (Birmingham, AL) <i>Description:</i> Real estate investment and development.	Business Entity Limited Liability Company (LLC)	Self			

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
9.1	Sunbelt EB-5 Regional Center, LLC <i>Company:</i> Sunbelt EB-5 Regional Center, LLC (Birmingham, AL) <i>Description:</i> Securing growth capital from qualified investors for EB-5 projects in Southeast United States. <i>Filer comment:</i> Filer has an indirect 16.665% ownership interest in Sunbelt EB-5 Regional Center, LLC.	Business Entity Limited Liability Company (LLC)	Self			
9.1.1	Sunbelt BHS-Princeton, LP <i>Company:</i> Sunbelt BHS-Princeton, LP (Birmingham, AL) <i>Description:</i> Financing for expansion of the BHS-Princeton Baptist Hospital in Birmingham <i>Filer comment:</i> Sunbelt EB-5 Regional Center, LLC is the general partner in this limited partnership entity, and this is the only project in which Filer has any interest.	Business Entity Limited Partnership (LP)	Self			
9.1.1.1	Baptist Health System, Inc. <i>Company:</i> Baptist Health System, Inc. (Birmingham, AL) <i>Filer comment:</i> Income received during the reporting period included some funds owed by the debtor from prior years. Filer's interest is to terminate once receivable is paid.	Accounts Receivable From a Business	Self	\$100,001 - \$250,000	Other, (Payment on receivable)	Other \$152,530.00
10	Oakworth Capital Bank (Birmingham, AL) <i>Type:</i> Checking, Money Market Account,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)

Not required

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2012	Self	Home Equity Line of Credit	-	4.00% (30)	\$100,001 - \$250,000	Oakworth Capital Bank Birmingham, AL	-
2	2013	Self	Mortgage	1	4.250% (30 year loan Conventional no PMI)	\$100,001 - \$250,000	Renasant Bank Birmingham, AL	-
3	2017	Self	Revolving Charge	-	13.74% (NA)	\$10,001 - \$15,000	American Express New York, NY	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Aug 2007 to Feb 2017	Director	Oakworth Capital Bank Birmingham, AL	Company	

#	Position Dates	Position Held	Entity	Entity Type	Comments
2	May 2009 to Nov 2015	Director	Ark Real Estate Strategies, LLC Birmingham, AL	Company	
3	May 2007 to present	Other (Member)	Batson Development Company, LLC Pike Road, AL	Company	
4	Jan 2011 to Feb 2017	Other (Attorney General)	State of Alabama Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer also served as either a voting or ex officio member of various Alabama state entities, listed below.
5	Jan 2011 to Feb 2017	Other (member)	Alabama Alcoholic Beverage Control Advisory Board Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
6	Jan 2011 to Feb 2017	Other (member)	Alabama Building Authority Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
7	Jan 2011 to Feb 2017	Other (member)	Alabama Building Corporation Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
8	Jan 2011 to Feb 2017	Other (member)	Alabama Building Finance Authority Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
9	Jan 2011 to Feb 2017	Other (member)	Alabama Chemical Testing Training and Equipment Trust Fund Advisory Board Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
10	Jan 2011 to Feb 2017	Other (member)	Alabama Children's Policy Council Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.

#	Position Dates	Position Held	Entity	Entity Type	Comments
11	Jan 2011 to Feb 2017	Other (member)	Alabama Coroner's Training Commission Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
12	Jan 2011 to Feb 2017	Other (member)	Alabama Corrections Institution Finance Authority Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
13	Jan 2011 to Feb 2017	Other (member)	Alabama Education Authority Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
14	Jan 2011 to Feb 2017	Other (member)	Alabama Electronic Overseas Voting Advisory Committee Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
15	Jan 2011 to Feb 2017	Other (member)	Alabama Electronic Voting Committee Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
16	Jan 2011 to Feb 2017	Other (member)	Alabama Highway Authority Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
17	Jan 2011 to Feb 2017	Other (member)	Alabama Highway Finance Corporation Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
18	Jan 2011 to Feb 2017	Other (member)	Alabama Holocaust Commission Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
19	Jan 2011 to Feb 2017	Other (member)	Alabama Insurance Board Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.

#	Position Dates	Position Held	Entity	Entity Type	Comments
20	Jan 2011 to Feb 2017	Other (member)	Alabama Law Institute Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
21	Jan 2011 to Feb 2017	Other (member)	Alabama Security Regulatory Board Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
22	Jan 2011 to Feb 2017	Other (member)	Alabama Sentencing Commission Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
23	Jan 2011 to Feb 2017	Other (member)	Alabama Sex Offender Registration and Community Notification Act Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
24	Jan 2011 to Feb 2017	Other (member)	Armory Commission of Alabama Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
25	Jan 2011 to Feb 2017	Other (member)	Alabama Board of Adjustment Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
26	Jan 2011 to Feb 2017	Other (member)	Alabama Board of Canvassers of Election Returns Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
27	Jan 2011 to Feb 2017	Other (member)	Alabama Board of Compromise Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.

#	Position Dates	Position Held	Entity	Entity Type	Comments
28	Jan 2011 to Feb 2017	Other (member)	Board to Fix Price of Articles for Sale to the Alabama Institute for Deaf & Blind Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
29	Jan 2011 to Feb 2017	Other (member)	Alabama Criminal Justice Advisory Commission Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
30	Jan 2011 to Feb 2017	Other (member)	Alabama Criminal Justice Information Center Commission Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
31	Jan 2011 to Feb 2017	Other (member)	Alabama Department of Public Safety Advisory Board Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
32	Jan 2011 to Feb 2017	Other (member)	Alabama Department of Rehabilitation Services Toll-Free Telephone Number Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
33	Jan 2011 to Feb 2017	Other (member)	Alabama Domestic Violence Fatality Review Team Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
34	Jan 2011 to Feb 2017	Other (member)	Alabama Federal Aid Highway Finance Authority Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
35	Jan 2011 to Feb 2017	Other (member)	Alabama Homeland Security Task Force Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.

#	Position Dates	Position Held	Entity	Entity Type	Comments
36	Jan 2011 to Feb 2017	Other (member)	Implementation Task Force Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
37	Jan 2011 to Feb 2017	Other (member)	Alabama Judicial System Study Commission Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
38	Jan 2011 to Feb 2017	Other (member)	Local Government Records Commission Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
39	Jan 2011 to Feb 2017	Other (member)	Alabama Meat and Poultry Inspection Advisory Council Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
40	Jan 2011 to Feb 2017	Other (member)	Multistate Tax Commission Washington, DC	Other (intergovernmental state tax agency)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
41	Jan 2011 to Feb 2017	Other (member)	Roads and Bridges to Alabama's Future Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
42	Jan 2011 to Feb 2017	Other (member)	Alabama Securities Commission Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
43	Jan 2011 to Feb 2017	Other (member)	State Council for Interstate Adult Offender Supervision Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
44	Jan 2011 to Feb 2017	Other (member)	State Council for Interstate Compact for Adult Offender Supervision Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.

#	Position Dates	Position Held	Entity	Entity Type	Comments
45	Jan 2011 to Feb 2017	Other (member)	Alabama State Election Board Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
46	Jan 2011 to Feb 2017	Other (member)	Alabama State Records Commission Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.
47	Jan 2011 to Feb 2017	Other (member)	Alabama State Safety Coordinating Committee Montgomery, AL	Other (State Government)	By statute, as Attorney General of Alabama, Filer served as a member of this entity.

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Mar 1994	El Paso Corp. Houston, TX	Continuing participation in an employee benefit plan	Pension plan of former employer. Neither Filer nor El Paso Corp. currently makes any contributions to the pension plan.

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **Yes**

#	Source	Duties
1	State of Alabama Montgomery, AL	Attorney General of Alabama
2	Oakworth Capital Bank Birmingham, AL	Outside Director on Board of Directors

Attachments & Comments

No attachments added.

No comments added.

