United States Senate
# Financial Disclosures

## Candidate Report

### The Honorable Maggie Hassan

State of Candidacy: NH | Candidacy Commenced:

Filed 05/15/2016 @ 6:39 PM

The following statements were checked before filing:

- [x] I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- [x] I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

- [ ] I *omitted* assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than $200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **Yes**

| # | Date | Activity | Amount | Who Paid? | Who received payment? |
|---|---|---|---|---|---|
| 1 | 08/01/2015 | Speech | $1,000.00 | Global Citizens Initiative<br>Greenwich, CT | Spouse |

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

| # | Who Was Paid | Type | Who Paid | Amount Paid |
|---|---|---|---|---|
| 1 | Self | Salary | State of New Hampshire<br>Concord, NH | $152,239.89 |
| 2 | Spouse | Salary | Phillips Exeter Academy<br>Exeter, NH | > $1,000 |
| 3 | Spouse | Other<br>(Consulting Fee) | International School Nido de Aguilas<br>Santiago, Chile | > $1,000 |
| 4 | Spouse | Other<br>(Consulting Fee) | Global Citizens Initiative<br>Greenwich, CT | > $1,000 |

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than $1000, have a deposit account with a balance over $5,000, or receive income of more than $200 from an asset? **Yes**

| | Asset | Asset Type | Owner | Value | Income Type | Income |
|---|---|---|---|---|---|---|
| 1 | **The Provident Bank**<br>(Amesbury, MA)<br>*Type:* Checking, | Bank Deposit | Joint | $100,001 - $250,000 | Interest, | $1,001 - $2,500 |
| 2 | **Voya Financial 401(k)** | Retirement Plans<br>Defined Contribution Pension Plan | Self | | | |
| 2.1 | **Vanguard Target Retirement 2015 (VTXVX)** | Mutual Funds<br>Mutual Fund | Self | $100,001 - $250,000 | Excepted Investment Fund, | $15,001 - $50,000 |
| 2.2 | **Vanguard Target Retirement 2010 Inv VTENX** | Mutual Funds<br>Mutual Fund | Self | $15,001 - $50,000 | Excepted Investment Fund, | $1,001 - $2,500 |
| 2.3 | **Vanguard Target Retirement 2030 Inv VTHRX** | Mutual Funds<br>Mutual Fund | Self | $1,001 - $15,000 | Excepted Investment Fund, | $1,001 - $2,500 |
| 3 | **Fidelity 401(k)** | Retirement Plans<br>Defined Contribution Pension Plan | Self | | | |
| 3.1 | **Vanguard Target Retirement 2025 Inv VTTVX** | Mutual Funds<br>Mutual Fund | Self | $1,001 - $15,000 | Excepted Investment Fund, | $201 - $1,000 |
| 4 | **TIAA-CREF/Partners Healthcare System, Inc Retirement Plan** | Retirement Plans<br>Defined Contribution Pension Plan | Self | | | |

| | Asset | Asset Type | Owner | Value | Income Type | Income |
|---|---|---|---|---|---|---|
| 4.1 | **TIAA Traditional**<br>*Provider:* Partners Healthcare System, Inc | Annuity<br>Fixed | Self | None (or less than $1,001) | Excepted Investment Fund, | None (or less than $201) |
| 4.2 | **QCSCPX - CREF Social Choice Account - R2** | Mutual Funds<br>Mutual Fund | Self | $1,001 - $15,000 | Excepted Investment Fund, | None (or less than $201) |
| 5 | **TIAA CREF Retirement Plan - Phillips Exeter Academy/Wesleyan University** | Retirement Plans<br>Defined Contribution Pension Plan | Spouse | | | |
| 5.1 | **TIAA Traditional**<br>*Provider:* Phillips Exeter Academy/Wesleyan University | Annuity<br>Fixed | Spouse | $500,001 - $1,000,000 | Excepted Investment Fund, | $15,001 - $50,000 |
| 5.2 | **QCSTPX - CREF Stock Account - R2** | Mutual Funds<br>Mutual Fund | Spouse | $500,001 - $1,000,000 | Excepted Investment Fund, | $50,001 - $100,000 |
| 6 | **Northwestern Mutual Adjustable Comp Life**<br>*Provider:* Northwestern Mutual | Life Insurance<br>Whole | Self | $100,001 - $250,000 | Dividends, | $1,001 - $2,500 |
| 7 | **Northwestern Mutual Whole Life**<br>*Provider:* Northwestern Mutual | Life Insurance<br>Whole | Self | $50,001 - $100,000 | Dividends, | $201 - $1,000 |
| 8 | **Northwestern Mutual Whole Life Policy**<br>*Provider:* Northwestern Mutual | Life Insurance<br>Whole | Spouse | $15,001 - $50,000 | Dividends, | $201 - $1,000 |
| 9 | **Northwestern Mutual Adjustable Comp Life**<br>*Provider:* Northwestern Mutual | Life Insurance<br>Whole | Spouse | $100,001 - $250,000 | Dividends, | $1,001 - $2,500 |
| 10 | **Northwestern Mutual Adjustable Comp Life**<br>*Provider:* Northwestern Mutual | Life Insurance<br>Whole | Spouse | $50,001 - $100,000 | Dividends, | $201 - $1,000 |

| | Asset | Asset Type | Owner | Value | Income Type | Income |
|---|---|---|---|---|---|---|
| 11 | **Citizens Bank** (Medford, MA) *Type:* Certificate of Deposit, | Bank Deposit | Joint | $1,001 - $15,000 | Interest, | None (or less than $201) |
| 12 | **Citizens Bank IRA CD** | Retirement Plans IRA | Spouse | | | |
| 12.1 | **Citizens Bank** (Medford, MA) *Type:* Certificate of Deposit, | Bank Deposit | Spouse | $1,001 - $15,000 | Interest, | None (or less than $201) |
| 13 | **Citizens Bank IRA CD** | Retirement Plans IRA | Self | | | |
| 13.1 | **Citizens Bank** (Medford, MA) *Type:* Certificate of Deposit, | Bank Deposit | Self | $15,001 - $50,000 | Interest, | None (or less than $201) |
| 14 | **Onadune, LLC** *Company:* Onadune, LLC (Boston, MA) *Description:* Shared ownership of rental property in RI | Business Entity Limited Liability Company (LLC) | Self | $250,001 - $500,000 | | |
| 14.1 | **Onadune** *Description:* Vacation/Rental Property (Little Compton, RI) *Filer comment:* The amount of income received has been updated to reflect the amount listed on Schedule K-1, which was received after filing of the last financial disclosure. | Real Estate Residential | Self | $250,001 - $500,000 | Rent/Royalties, | $201 - $1,000 |
| 15 | **Onadune, LLC** *Company:* Onadune, LLC (Boston, MA) *Description:* Shared ownership of rental property in RI | Business Entity Limited Liability Company (LLC) | Spouse | $50,001 - $100,000 | | |

| | Asset | Asset Type | Owner | Value | Income Type | Income |
|---|---|---|---|---|---|---|
| 15.1 | **Onadune**<br>*Description:* Vacation/Rental Property (Little Compton, RI) *Filer comment:* The amount of income received has been updated to reflect the amount listed on Schedule K-1, which was received after filing of the last financial disclosure. | Real Estate<br>Residential | Spouse | $50,001 - $100,000 | Rent/Royalties, | None (or less than $201) |
| 16 | **Bank of America Fixed Term IRA** | Retirement Plans<br>IRA | Self | | | |
| 16.1 | **Bank of America**<br>(Wilmington, DE)<br>*Type:* IRA Cash Accounts, | Bank Deposit | Self | $1,001 - $15,000 | Interest, | None (or less than $201) |
| 17 | **Bank of America Fixed Term IRA** | Retirement Plans<br>IRA | Spouse | | | |
| 17.1 | **Bank of America**<br>(Wilmington, DE)<br>*Type:* IRA Cash Accounts, | Bank Deposit | Spouse | $1,001 - $15,000 | Interest, | None (or less than $201) |

## Part 4a. Periodic Transaction Report Summary

**Not required**

## Part 4b. Transactions

**Not required**

## Part 5. Gifts

**Not required**

## Part 6. Travel

**Not required**

## Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than $10,000 at any time? **No**

## Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

| # | Position Dates | Position Held | Entity | Entity Type | Comments |
|---|---|---|---|---|---|
| 1 | Jan 2013 to present | Other (Governor) | State of New Hampshire<br>Concord, NH | Other (State Government) | |
| 2 | Sep 2014 to present | Other (Vice Chair, Health and Human Services Committee) | National Governors Association<br>Washington, DC | Nonprofit Organization | |
| 3 | Jul 2015 to present | Director | Achieve, Inc<br>Washington, DC | Nonprofit Organization | |
| 4 | Jan 2013 to present | Trustee | Dartmouth College<br>Hanover, NH | Educational Organization | |
| 5 | Jan 2013 to present | Trustee | University System of NH<br>Concord, NH | Educational Organization | |

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

| # | Date | Parties Involved | Type | Status and Terms |
|---|---|---|---|---|
| 1 | Mar 2005 | Hirsch Roberts Weinstein<br>Boston, MA | Continuing participation in an employee benefit plan | Management of 401(k), which vested during employment. Hirsch Roberts Weinstein no longer makes a contribution to the account. |
| 2 | Sep 1996 | Partners Healthcare<br>Boston, MA | Continuing participation in an employee benefit plan | Management of Fidelity 401(k) plan, no contributions made any longer by Partners |
| 3 | Sep 1996 | Partners Healthcare<br>Boston, MA | Continuing participation in an employee benefit plan | Management of TIAA CREF retirement account, no contributions made any longer by Partners |

## Part 10. Compensation

**If this is your first report, or you are a candidate** did you receive compensation of more than $5,000 from a single source in the **two** prior years? **Yes**

| # | Source | Duties |
|---|---|---|

| # | Source | Duties |
| --- | --- | --- |
| 1 | State of New Hampshire<br>Concord, NH | Governor of the State of New Hampshire |

## Attachments & Comments

*No attachments added.*
*No comments added.*