

# Financial Disclosures

## Annual Report for Calendar 2016

**The Honorable Martin Heinrich (Heinrich, Martin)**

Filed 05/15/2017 @ 2:54 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who received payment?
1	04/27/2016	Appearance	\$2,000.00	National Fish and Wildlife Foundation Washington, DC	A Charity

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Powell Tate Washington, DC	> \$1,000

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	eFD: Home		Income		
		Asset Type	Owner	Value	Type	Income
1	<b>Scholar's Edge 529</b> <i>Institution:</i> Oppenheimer <i>Filer comment:</i> Ages 12-14 Portfolio	Education Savings Plans	Spouse	529 College Savings Plan	None,	hide me

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1.1	<b>Age Based Portfolio 40A (Ages 12-14)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
2	<b>U.S. Eagle Federal Credit Union</b> (Albuquerque, NM) Type: Checking, Savings,	Bank Deposit	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)
3	<b>Congressional Federal Credit Union</b> (Washington, DC) Type: Checking, Savings,	Bank Deposit	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
4	<b>Congressional Federal Credit Union</b> (Washington, DC) Type: Checking, Savings,	Bank Deposit	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
5	<b>Martin Heinrich IRA</b>	Retirement Plans IRA	Self			
5.1	<b><u>FNIAX</u> - Fidelity Advisor New Insights A</b> <b>(NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
5.2	<b><u>FSTAX</u> - Fidelity Advisor Strategic Income A</b> <b>(NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Interest, Capital Gains,	None (or less than \$201)
5.3	<b><u>FSCDX</u> - Fidelity Advisor Small Cap A</b> <b>(NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
5.4	<b><u>FIIAX</u> - Fidelity Advisor Mid Cap II A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
5.5	<b><u>FDAGX</u> - Fidelity Advisor Consumer Staples A</b> <b>(NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)

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<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
6 Julie Heinrich IRA	Retirement Plans IRA	Spouse			
6.1 <b>FDAGX - Fidelity Advisor Consumer Staples A (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
6.2 <b>FNIAX - Fidelity Advisor New Insights A (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
6.3 <b>FSCDX - Fidelity Advisor Small Cap A (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
6.4 <b>FSTAX - Fidelity Advisor Strategic Income A (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Interest, Capital Gains,	None (or less than \$201)
6.5 <b>FIIAX - Fidelity Advisor Mid Cap II A (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
7 Julie Heinrich Defined Contribution Pension Plan	Retirement Plans Defined Contribution Pension Plan	Spouse			
7.1 <b>PESPX - Dreyfus MidCap Index (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
7.2 <b>DISSX - Dreyfus Small Cap Stock Index (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
7.3 <b>RERCX - American Funds EuroPacific Gr R3 (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
7.4	<b>VALIC Fixed Interest Option</b> <i>Provider: VALIC</i>	Annuity Fixed	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
7.5	<b>HLIEX-JPMorgan Equity Income Select (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
8	<b>Public Employees Retirement Association of New Mexico</b>	Retirement Plans Defined Benefit Pension Plan	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
9	<b>Public Employees Retirement Association of New Mexico</b>	Retirement Plans Defined Benefit Pension Plan	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **No**

#### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

#### Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

#### Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

Rate

#	Incurred	Debtor	Type	Points	(Term)	Amount	Creditor	hide me	Comments
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#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2010	Joint	Mortgage	0	4.625% (30 Years)	\$100,001 - \$250,000	U.S. Bank Albuquerque, NM	Mortgage on residence in Albuquerque, NM
2	2013	Joint	Mortgage	0	2.75% (30 Years)	\$250,001 - \$500,000	Congressional Federal Credit Union Washington, DC	Mortgage on property in Silver Spring, MD.

### Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

### Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Dec 2003	Myself and the Public Employees Retirement Association of New Mexico Santa Fe, NM	Continuing participation in an employee benefit plan	Defined benefit pension plan.

### Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? **This is not my first report.**

### Attachments & Comments

#### Attachments

Document Title	Date/Time Added
<a href="#">Martin Heinrich 2016 Senate Amendment Letter.pdf</a>	05/15/2017 @ 2:48 PM

No comments added.

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