

Financial Disclosures

Annual Report for Calendar 2016

The Honorable Mazie K Hirono (Hirono, Mazie Keiko)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Oshima, Itomura & Fujimoto, AAL, ALC HONOLULU, HAWAII	> \$1,000
2	Self	Pension	Employees' Retirement System of the State of Hawaii HONOLULU, HAWAII	\$50,318.04

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	Hawaii State FCU (HONOLULU, HAWAII) Type: Checking, Savings,	Bank	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)

eFD: Home

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2	Territorial Savings (HONOLULU, HAWAII) Type: Checking, Savings,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
3	Aloha Pacific FCU (HONOLULU, HAWAII) Type: Checking, Savings,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
4	Bank of the Orient (HONOLULU, HAWAII) Type: Checking,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
5	Wells Fargo Liquid Asset Fund (HONOLULU, HAWAII) Type: Money Market Account,	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest,	None (or less than \$201)
6	Congressional FCU (Washington, DC) Type: Checking, Savings,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
7	MFC-Manulife Financial Corporation (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
8	<u>SLF</u> - Sun Life Financial Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
9	<u>AMCPX</u> - American Funds AMCAP A (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
10	<u>ABNDX</u> - American Funds Bond Fund of Amer A (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11	<u>CAIBX</u> - American Funds Capital Inc Bldr A (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
12	<u>CWGIX</u> - American Funds Capital World G/I A (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
13	<u>AMECX</u> - American Funds Inc Fund of Amer A (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
14	<u>AIVSX</u> - American Funds Invmt Co of Amer A (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
15	<u>NEWFX</u> - American Funds New World A (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
16	EMPLOYEES' RETIREMENT SYSTEM OF THE STATE OF HAWAII	Retirement Plans Defined Benefit Pension Plan	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	None (or less than \$201)
17	RESIDENTIAL RENTAL <i>Description: RESIDENTIAL RENTAL (HONOLULU, HAWAII)</i>	Real Estate Residential	Joint	\$250,001 - \$500,000	Rent/Royalties,	\$15,001 - -\$50,000
18	401k Plan	Retirement Plans Defined Contribution Pension Plan	Spouse			

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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
18.1	<u>OPPAX</u> - Oppenheimer Global A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
18.2	<u>TRBCX</u> - T. Rowe Price Blue Chip Growth (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
18.3	<u>PTRAX</u> - PIMCO Total Return Admin (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
18.4	<u>PRRX</u> - T. Rowe Price Prime Reserve Fd (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
18.5	<u>PRTIX</u> - T. Rowe Price US Treasury Interm (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
18.6	<u>PRSIX</u> - T. Rowe Price Personal Strat Inc	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Dividends,	None (or less than \$201)
19	Spouse IRA	Retirement Plans IRA	Spouse			
19.1	<u>AQMIX</u> - AQR Managed Futures Strategy I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
19.2	<u>FSRIX</u> - Fidelity Advisor Strategic Income I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
19.3	<u>GDIFX</u> - Goldman Sachs Dynamic Allocation Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
19.4	<u>OAKBX</u> - Oakmark Equity & Income I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
19.5	<u>TIBIX</u> - Thornburg Investment Income Builder I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
19.6	<u>THOIX</u> - Thornburg Global Opportunities I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
19.7	<u>OSTFX</u> - Osterweis (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
19.8	<u>VAGGX</u> - Delaware Select Growth Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
19.9	<u>FTIXX</u> - Financial Square Treasury Instr (NASDAQ)	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
19.10	<u>JFLEX</u> - Janus Flexible Bond I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
19.11	<u>JNBSX</u> - JPMorgan Income Builder Select (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
19.12	<u>NBHIX</u> - Neuberger Berman Equity Income Inst (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
19.13	<u>BPAIX</u> - Boston Partners All Cap Value Instl	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
19.14	<u>WABIX</u> - Wells Fargo Absolute Return Inst	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
20	IRA PLAN	Retirement Plans IRA	Self			
20.1	<u>CAIBX</u> - American Funds Capital Income Bldr A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
21	3109 Waialae Avenue LLC <i>Company: 3109 Waialae Avenue LLC (Aiea, HI) Description: Rental real estate</i>	Business Entity Limited Liability Company (LLC)	Spouse	\$1,001 - \$15,000		
21.1	3109 Waialae Ave., Honolulu, HI 96816 <i>Description: Commercial real estate (Honolulu, HI)</i>	Real Estate Commercial	Spouse	\$100,001 - \$250,000 hide me	Rent/Royalties,	\$5,001 - \$15,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
22	Oshima, Itomura & Fujimoto, AAL, ALC <i>Description: law firm (Honolulu, HI)</i>	Other Securities	Spouse			
22.1	First Hawaiian Bank (HONOLULU, HAWAII) <i>Type: Checking, Savings,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	<u>FTIXX</u>	Financial Square Treasury Instr	Purchase	03/02/2016	\$1,001 - \$15,000	--
2	Spouse	<u>JNBSX</u>	JPMorgan Income Builder I	Purchase	03/02/2016	\$1,001 - \$15,000	--
3	Spouse	<u>BPAIX</u>	Boston Partners All Cap Value Instl	Purchase	03/02/2016	\$1,001 - \$15,000	--
4	Spouse	<u>WABIX</u>	Wells Fargo Absolute Return Inst	Purchase	03/03/2016	\$15,001 - \$50,000	--
5	Spouse	<u>IVAEX</u>	Ivy Asset Strategy I	Sale (Full)	03/02/2016	\$15,001 - \$50,000	--
6	Spouse	<u>EAAIX</u>	Wells Fargo Asset Allocation Inst	Sale (Full)	03/03/2016	\$15,001 - \$50,000	--
7	Spouse	<u>OPPAX</u>	Oppenheimer Global A	Purchase	09/07/2016	\$1,001 - \$15,000	--
8	Spouse	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth	Purchase	09/07/2016	\$1,001 - \$15,000	--
9	Spouse	<u>PRRXX</u>	T. Rowe Price Prime Reserve Fd	Purchase	09/07/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
10	Spouse	<u>PRSEX</u>	T. Rowe Price Personal Strat Inc	Purchase	09/07/2016	\$15,001 - \$50,000	--
11	Spouse	<u>PRSEX</u>	T. Rowe Price Personal Strat Inc	Purchase	03/08/2016	\$1,001 - \$15,000	--
12	Spouse	<u>PRTIX</u>	T. Rowe Price US Treasury Interm	Purchase	09/07/2016	\$1,001 - \$15,000	--

Part 5. Gifts
 Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel
 Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities
 Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2003	Joint	Mortgage	1	5.25% (15 YEARS)	\$15,001 - \$50,000	TERRITORIAL SAVINGS HONOLULU, HAWAII	-
2	2011	Joint	Mortgage	2.625	4% (30 YEARS)	\$500,001 - \$1,000,000	TERRITORIAL SAVINGS HONOLULU, HAWAII	-
3	2013	Joint	Mortgage	0	3.50% (30 YEARS)	\$250,001 - \$500,000	CALIBER HOME LOANS EWING, NJ	-

Part 8. Positions
 Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements
 Did you have any reportable agreement or arrangement with an outside entity? **Yes**

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#	Date	Parties Involved	Type	Status and Terms
1	Jan 2003	Hawaii Employees Retirement System Honolulu, HI	Continuation of payments by former employer	Retirement health benefits for former employment with the Hawaii State Government.

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? This is not my first report.

Attachments & Comments

No attachments added.

No comments added.

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