

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

McMahon, Linda E

Administrator, Small Business Administration

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Small Business and Entrepreneurship**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ McMahon, Linda E [electronically signed on 12/12/2016 by McMahon, Linda E in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gangwere, Robert L, Certifying Official [electronically signed on 01/17/2017 by Gangwere, Robert L in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Shaub, Walter M, Certifying Official [electronically signed on 01/17/2017 by Shaub, Walter M in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Vince & Linda McMahon Family Foundation, Inc.	Greenwich, Connecticut	Non-Profit	Member/Director/Secretary/Treasurer	6/2006	Present
2	Women's Leadership LIVE LLC	Plano, Texas	Limited Liability Company	Co-Founder/CEO	12/2014	Present
3	Bandito Entertainment LLC	Stamford, Connecticut	Limited Liability Company	Managing Member	12/2005	12/2015
4	McMahon Ventures, LLC	Stamford, Connecticut	Limited Liability Company	Managing Member	11/2009	Present
5	APCO Worldwide	Washington, District of Columbia	Corporation	International Advisory Board Member	1/2013	Present
6	Sacred Heart University	Fairfield, Connecticut	University/College	Vice Chair, Board of Trustees; Member of Board of Trustees	1/2004	Present
7	Close Up Foundation	Alexandria, Virginia	Non-Profit	Member, Board of Trustees	1/2009	Present
8	American Corporate Partners	New York, New York	Non-Profit	Member, Board of Trustees	1/2013	Present
9	Family Trust 12	Greenwich, Connecticut	Trust	Power holder (select independent trustee)	11/2007	6/2015
10	Family Trust 6	New Castle, Delaware	Trust	Investment Direction Adviser; Distribution Adviser; power holder (select various fiduciaries)	8/2014	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
11	Family Trust 8		New Castle, Delaware	Trust	Investment Direction Adviser; Distribution Adviser; power holder (full powers regarding trust distributions and amendment, modification and revocation)	8/2014	Present
12	Family Trust 9A		Greenwich, Connecticut	Trust	Power holder (co-holder, with spouse, of full powers regarding trust distributions, investments, termination and trustee appointments)	12/2006	Present
13	Family Trust 1	See Endnote	Greenwich, Connecticut	Trust	Trustee	12/2013	12/2016
14	Family Trust 13		Greenwich, Connecticut	Trust	Trustee; power holder (full powers regarding trust distributions and amendment, modification and revocation)	6/1999	8/2014
15	Family Trust 9B		Greenwich, Connecticut	Trust	Power holder (co-holder, with spouse, of full powers regarding trust distributions, investments, termination and trustee appointments)	12/2006	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Blackrock Health Sciences Fund (SHSSX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
2	Blackrock Strategic Income Fund (BSIIX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
3	Federated Strategic Value Dividend (SVAIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4	Fundvantage Polen Growth (POLIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5	Goldman Sachs Small/Mid Cap (GSMYX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
6	JP Morgan Strategic Income (JSOSX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
7	Kopernik Global All Cap (KGGIX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
8	Loomis Sayles Strategic Income (NEZYX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
9	Oppenheimer Developing Markets (ODVYX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
10	Principal Global Diversified Income (PGDPX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
11	Allianz NFJ Dividend Value (ADJPX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
12	Goldman Sachs Strategic Income (GSZIX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
13	Morgan Stanley Bank NA (Cash/Money Mkt)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	Women's Leadership LIVE LLC, (provides services to make women better leaders, member interest)	N/A	\$250,001 - \$500,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fundvantage Polen Growth (POLIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Goldman Sachs Small/Mid Cap (GSMYX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
3	Henderson Int'l (HFOIX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4	Kopernik Global All Cap (KGGIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
5	MFS Value (MEIIX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
6	Oppenheimer Developing Markets (ODVYX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
7	Blackrock Large Cap (MALHX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
8	Fidelity 401k World Wrestling Entertainment, Inc.	No			
8.1	Oakmark EQ & Inc (OAKBX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.2	FID SM Cap Discovery (FSCRX)	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
8.3	FID Diversifd Intl K (FDIKX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
8.4	FID Contrafund K (FCNKX)	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
8.5	FID Freedom K 2010 (FFKCX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
8.6	FID LTD Term Govt (FFXSX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
8.7	PIM Total RT Inst (PTTRX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
8.8	Baron Asset Inst (BARIX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
8.9	Alzgi NSJ DIV Val I (NFJEX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
8.10	EV LG Cap Value I (EILVX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
8.11	FID Capital & Income (FAGIX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
8.12	FID 500 Index PR (FUSVX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
9	World Wrestling Entertainment, Inc.	N/A		Salary, bonus, 401k match and life insurance payments	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Colony, TX Bond (Cusip 19624KLF6)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
2	CT Gen Rev Bond (Cusip 207737CL9)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
3	Danbury, CT Bond (Cusip 2358653W0)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
4	Denton, TX Bond (Cusip 248866NP6)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
5	Grand Rapids, MI Bond (Cusip 386244UL9)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
6	Mass Cons Bond (Cusip 57582NS71)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
7	Massachusetts BE Bond (Cusip 57583UNE4)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
8	Montgomery County Bond (Cusip 613681R49)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
9	Northeast WI Bond (Cusip 66440ALH7)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
10	Regional Transit Bond, Illinois (Cusip 759911XU9)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
11	Valdosta & Low, GA Bond (Cusip 919112DP4)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$50,001 - \$100,000
12	WA DC Tran Bond (Cusip 938782DN6)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$50,001 - \$100,000
13	Arizona St BD Regents Bond (Cusip 04048RAV8)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
14	Danbury, CT GO Bond (Cusip 235865S52)	N/A	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
15	New Milford, CT Bond (Cusip 647480PK2)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
16	Wilton, CT Bond (Cusip 972499VR5)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Missouri St Board Bond (Cusip 606341DA0)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
18	Wilton, CT GO Bond (Cusip 972499VS3)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
19	Arizona Transit Bond (Cusip 040647DQ9)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
20	Connecticut St Health Bond (Cusip 20774U2S3)	N/A	\$1,000,001 - \$5,000,000	Interest	\$100,001 - \$1,000,000
21	Norwalk, CT GO Bond (Cusip 668844AL7)	N/A	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
22	Wilton, CT GO Bond (Cusip 972499VT1)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
23	Glastonbury, CT GO Bond (Cusip 377298YS7)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
24	Newtown, CT GO Bond (Cusip 653234WH3)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
25	Norwalk, CT GO Bond (Cusip 668844AM5)	N/A	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
26	Southington, CT GO (Cusip 844402WG8)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
27	Wilton, CT GO Bond (Cusip 972499VU8)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
28	Ohio State Bond (Cusip 6775214B9)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
29	Arizona St Transit Bond (Cusip 040654XG5)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
30	Hamilton, OH Bond (Cusip 407756J71)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
31	Tucson, AZ Water Bond (Cusip 8987966J1)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
32	Michigan Finance Auth Bond (Cusip 59447PTX9)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33	Virginia Commonwealth Transit Bond (Cusip 927790GQ2)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
34	New York City Transit Bond (Cusip 64971QK85)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
35	Massachusetts St Water Bond (Cusip 57605AEJ1)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
36	Virginia College Bldg Bond (Cusip 927781YV0)	N/A	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
37	Florida State Board Bond (Cusip 34153P6X1)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
38	Massachusetts Commonwealth Fed Bond (Cusip 57583PFT1)	N/A	\$250,001 - \$500,000		None (or less than \$201)
39	Washington St GO Bond (Cusip 93974CJ50)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
40	Pierce County, WA Bond (Cusip 720356D43)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
41	Ohio State Genl Bond (Cusip 677521PU4)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
42	New York, NY Tran Bd (Cusip 64971QK93)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
43	Pasadena, TX Bond (Cusip 7023334Z5)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
44	Anne Arundel, MD Bond (Cusip 03588E5J8)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
45	Arizona St Transit Bond (Cusip 040654XL4)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
46	Massachusetts St Genl Bond (Cusip 57582RAE6)	N/A	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
47	Houston, TX Util Bond (Cusip 44244CJ4)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
48	Skagit County, WA Bond (Cusip 830201MD8)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
49	Washington St GO Bond (Cusip 93974DJN9)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
50	Fremont, NEB Bond (Cusip 357415PI6)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
51	NYS Dorm Bond (Cusip 64990EYJ0)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
52	Seattle, WA Bond (Cusip 812631KE8)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
53	New Braunfels, TX Bond (Cusip 642526ME4)	N/A	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
54	Dormitory Authority Bond, NY (Cusip 64990EUY1)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
55	Louisville & Jefferson County Bond (Cusip 546589RT1)	N/A	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
56	Energy Northwest Washington Bond (Cusip 29270CH40)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
57	University Washington Bond (Cusip 91523NLC8)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
58	Dallas Fort Worth, TX Bond (Cusip 235036TS9)	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
59	Palm Beach County, FL Bond (Cusip 696543RL3)	N/A	\$500,001 - \$1,000,000	Interest	None (or less than \$201)
60	Port Auth NY NJ Bond (Cusip 73358WXF6)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
61	Ohio State Bond (Cusip 677522DE1)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
62	Dallas Fort Worth, TX Bond (Cusip 235036L65)	N/A	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
63	Ohio St Infrastructure Bond (Cusip 677522ND2)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
64	Pierce County, WA Bond (Cusip 720424YF1)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
65	Braintree, MA Bond (Cusip 105025B58)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
66	CT Revolving Bond (Cusip 207737FP7)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$50,001 - \$100,000
67	CT ST GO Bond (Cusip 20772GU55)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$50,001 - \$100,000
68	CT State Health Bond (Cusip 20774ULR4)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
69	CT ST GO Bond (Cusip 20772GUC0)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$50,001 - \$100,000
70	CT State Health Bond (Cusip 20774UT96)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$100,001 - \$1,000,000
71	CT State Tax (Cusip 207758HP1)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
72	DE Transit Bond (Cusip 246428XR2)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
73	Florida St Board Bond (Cusip 34153P6X1)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
74	Frederick County Bond (Cusip 3556943P3)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
75	Houston, TX Bond (Cusip 44244CHT7)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
76	Houston Util Bond (Cusip 442435VP5)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
77	IA Finance Auth Bond (Cusip 46246KA51)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
78	King S/D, WA Bond (Cusip 494656ZX2)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
79	Kirkwood Community Bond (Cusip 497592HS7)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
80	Lexington-Faye Bond (Cusip 52908EXH1)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
81	MA Cons Bond (Cusip 57582N3B9)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
82	Metropolitan Bond (Cusip 59259YTM1)	N/A	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
83	Minnesota St Bond (Cusip 604129Y26)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$5,001 - \$15,000
84	Minnesota St Bond (Cusip 604129YR1)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
85	New Albany, IN Bond (Cusip 641667LZ1)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$50,001 - \$100,000
86	North Carolina Bond (Cusip 65829QCH5)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
87	North Texas Bond (Cusip 662903NB5)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
88	PR Hwy Bond (Cusip 745190TT5)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
89	San Antonio Elec Bond (Cusip 796253K35)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$50,001 - \$100,000
90	Commonwealth Bond (Cusip 57583PFT1)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
91	Triboro Bridge Bond (Cusip 896029YE9)	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
92	Troy, MI Bond (Cusip 897404QD4)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$15,001 - \$50,000
93	Univ CT Bond (Cusip 914233RD5)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
94	York Co GO Bond (Cusip 986438LK3)	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
95	Accenture PLC -Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
96	Activision Blizzard, Inc - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
97	Acuity Brands - Common Stock	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
98	Adobe Systems Incorporated - Common Stock	N/A	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
99	Alexion Pharmaceuticals, Inc. - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
100	Allergan PLC - Common Stock	N/A	\$100,001 - \$250,000		None (or less than \$201)
101	Alphabet Inc (GOOG) - Common Stock	N/A	\$250,001 - \$500,000	Capital Gains	\$2,501 - \$5,000
102	Alphabet Inc (GOOGL) - Common Stock	N/A	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
103	Amazon - Common Stock	N/A	\$250,001 - \$500,000	Capital Gains	\$15,001 - \$50,000
104	Amphenol Corp - Common Stock	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
105	Apple Inc. - Common Stock	N/A	\$250,001 - \$500,000	Capital Gains Dividends	\$50,001 - \$100,000
106	Applied Materials, Inc. - Common Stock	N/A	\$50,001 - \$100,000		None (or less than \$201)
107	ASML Holding N.V. - ADS represents 1 ordinary share	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
108	Becton Dickinson -Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$201 - \$1,000
109	BHP Billiton Ltd - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
110	Biogen Idec Inc. - Common Stock	N/A	\$100,001 - \$250,000	Capital Gains	\$50,001 - \$100,000
111	Boston Scientific Corp - Common Stock	N/A	\$50,001 - \$100,000		None (or less than \$201)
112	Bristol-Myers Squibb - Common Stock	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
113	British Amer Tob - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
114	Broadcom - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
115	Canadian National Railway - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
116	CBS Corp - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
117	CDN Pacific Ry - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
118	Celgene Corporation - Common Stock	N/A	\$100,001 - \$250,000	Capital Gains	\$50,001 - \$100,000
119	Charles Schwab - Common Stock	N/A	\$50,001 - \$100,000		None (or less than \$201)
120	Cognizant Tech Solutions Corp - Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
121	Comcast Corp - Common Stock	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$50,001 - \$100,000
122	Constellation Brands - Common Stock	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
123	Core Laboratories - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
124	Costco Wholesale Corporation - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
125	CVS Health Corp - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
126	Delta Airlines - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
127	Diageo PLC - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
128	Dollar Tree, Inc. - Common Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
129	Eaton Corp - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
130	Ecolab Inc - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
131	Electronic Arts Inc. - Common Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
132	Equinix, Inc. - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
133	Estee Lauder - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
134	Facebook, Inc. - Class A Common Stock	N/A	\$100,001 - \$250,000	Capital Gains	\$100,001 - \$1,000,000
135	Gilead Sciences, Inc. - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$100,001 - \$1,000,000
136	Home Depot Inc - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
137	Honeywell International - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
138	Illumina, Inc. - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
139	Incyte Corporation - Common Stock	N/A	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000
140	Ingersoll Rand - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
141	Intercontinental Exchange Group - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$201 - \$1,000
142	Intuitive Surgical Inc - Common Stock	N/A	\$100,001 - \$250,000		None (or less than \$201)
143	Johnson & Johnson - Common Stock	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
144	JP Morgan Chase & Co - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
145	Kraft Heinz - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$1,001 - \$2,500
146	Kroger Co - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	None (or less than \$201)
147	Las Vegas Sands - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
148	Lockheed Martin - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
149	Lyondellbasell NV - Common Stock	N/A	\$15,001 - \$50,000	Dividends Capital Gains	None (or less than \$201)
150	Mastercard Inc - Common Stock	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$5,001 - \$15,000
151	Medtronic - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	None (or less than \$201)
152	MercadoLibre, Inc. - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
153	Microsoft Corp - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$201 - \$1,000
154	Monsanto Co - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
155	Monster Beverage Corporation - Common Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500
156	Moodys Corp - Common Stock	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
157	Nabor Industries - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
158	Nestle - Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
159	Netflix, Inc. - Common Stock	N/A	\$50,001 - \$100,000		None (or less than \$201)
160	Newell Brands - Common Stock	N/A	\$15,001 - \$50,000	Capital Gains Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
161	Nike Inc - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
162	Noble Corp - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	None (or less than \$201)
163	Northrop Grumman - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
164	Novartis - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
165	Nvidia Corp - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
166	NXP Semiconductors N.V. - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
167	Oracle Corp - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
168	Paypal Holdings - Common Stock	N/A	\$100,001 - \$250,000	Capital Gains	\$2,501 - \$5,000
169	Pioneer Natural Resources - Common Stock	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
170	PPG Industries - Common Stock	N/A	\$1,001 - \$15,000	Capital Gains Dividends	None (or less than \$201)
171	The Priceline Group Inc. - Common Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500
172	Regeneron Pharmaceuticals, Inc. - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
173	Roper Technologies - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	None (or less than \$201)
174	Ross Stores, Inc. - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$201 - \$1,000
175	S&P Global Inc - Common Stock	N/A	\$50,001 - \$100,000		None (or less than \$201)
176	Salesforce.Com Inc - Common Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
177	Schlumberger - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
178	Servicenow Inc - Common Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500
179	Sherwin Williams - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$50,001 - \$100,000
180	Splunk Inc. - Common Stock	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
181	Starbucks Corporation - Common Stock	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$50,001 - \$100,000
182	Suncor Energy - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
183	Teck Resources - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
184	Tesla Motors, Inc. - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
185	Thermo Fisher Scientific - Common Stock	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$201 - \$1,000
186	TJX Cos Inc - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
187	Ulta Salon Cos - Common Stock	N/A	\$100,001 - \$250,000	Capital Gains	\$201 - \$1,000
188	Unilever - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
189	Union Pacific Corp - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
190	Unitedhealth Group - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
191	Vale - Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
192	Vantiv - Common Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
193	VeriSign, Inc. - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
194	Vertex Pharmaceuticals Incorporated - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
195	Visa Inc - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$100,001 - \$1,000,000
196	Vulcan Materials - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	None (or less than \$201)
197	Walmart Stores - Common Stock	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
198	Walt Disney Co - Common Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$100,001 - \$1,000,000
199	Blackrock Health Sciences (SHSAX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
200	Blackrock Stategic Income (BASIX)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
201	Blackrock Total Return Fund (MAHQX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
202	Delaware Corporate Bond (DGCAI)	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
203	Delaware Diversified Income (DPDFX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
204	EV Atlanta SMID Fund (EAASX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
205	Federated Strategic Value Fund (SVAAX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
206	Goldman Sachs Income Builder Fund (GSBIX)	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
207	Goldman Sachs Strategic Income (GSZIX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
208	Henderson European Focus (HFEAX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
209	Henderson Global Income (HFQAX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
210	Henderson International (HFOAX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
211	JP Morgan Income Builder (JNBAX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
212	Loomis Investment Grade (LIGRX)	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
213	Loomis Sayles Strategic Income (NEFZX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
214	Natixis Oakmark Fund (NOIAX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
215	Pimco Income (PONAX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
216	Principal Global Diversified (PGBAX)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
217	Virtus Insight Emerging (HEMZ)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
218	HPD Alkeon Growth Partners LP CI A (Hedge Premier Fund)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
219	HPD Millennium Strategic Capital LP CI A (Hedge Premier Hedge Fund)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
220	HPD Tricadia Credit Strategies Fund II CI B (Hedge Premier Hedge Fund)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
221	Skybridge Multi-Advisor Hedge Fund Portfolios LLC - Series G	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
222	Morgan Stanley Bank Money Market	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
223	Morgan Stanley Money Market Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
224	AlphaKeys Quadrangle Fund, LLC (Hedge Fund)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
225	AlphaKeys Real Estate Opportunity Fund II, LLC (Hedge Fund)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
226	AlphaKeys Real Estate Opportunity Fund III, LLC (Hedge Fund)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
227	Ishares MSCI EAFE (EFA) - ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
228	Ishares S&P 500 Growth (IVW) - ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
229	Ishares S&P 500 Value (IVE) - ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
230	Ishares S&P Midcap Growth 400 (IJK) - ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
231	Ishares S&P Midcap Value 400 (IJJ) - ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
232	IShares TIPS Bond (TIP) - ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
233	Spider S&P Dividend (SDY) - ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
234	Vanguard Int Term Bond (BIV) - ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
235	Vanguard REIT (VNQ) - ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
236	Vanguard Total Bond Market (BND) - ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
237	World Wrestling Entertainment, Inc. Class B Common Stock	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
238	World Wrestling Entertainment, Inc. Class A Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
239	World Wrestling Entertainment, Inc. Class B Common Stock	N/A	Over \$50,000,000	Dividends	Over \$5,000,000
240	Family Trust 1	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
240.1	World Wrestling Entertainment, Inc. Class B Common Stock	N/A	None (or less than \$1,001)	Dividends Capital Gains	Over \$5,000,000
240.2	Morgan Stanley Bank Money Market	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
241	Family Trust 2	No			
241.1	John Hancock Life Insurance Company, universal life	N/A	\$100,001 - \$250,000	Interest	\$50,001 - \$100,000
241.2	Phoenix PHL Variable Insurance Company, universal life	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
242	Family Trust 3	No			
242.1	Lincoln National Life Insurance Company, universal life	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
243	Family Trust 4	No			
243.1	Genworth Life, universal life (no cash surrender value)	N/A		Interest	\$5,001 - \$15,000
243.2	Principal Financial Group, universal life (no cash surrender value)	N/A		Interest	\$5,001 - \$15,000
243.3	MetLife Insurance Company, universal life	N/A	\$250,001 - \$500,000	Interest	\$100,001 - \$1,000,000
243.4	Prudential Life, universal life	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
243.5	Lincoln National Corp, universal life (no cash surrender value)	N/A		Interest	\$1,001 - \$2,500
243.6	Acordia Life, universal life	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
243.7	Zurich American Life Insurance Company, universal life	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
244	Family Trust 5	No			
244.1	New York Life Insurance Company, whole life	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
244.2	Penn Mutual Life, universal life	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
244.3	Mass Mutual Life, whole life	N/A	\$500,001 - \$1,000,000	Dividends	\$50,001 - \$100,000
244.4	Pacific Life, universal life	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
244.5	Transamerica Life, universal life (no cash surrender value)	N/A		Interest	\$5,001 - \$15,000
244.6	United of Omaha Life, universal life (no cash surrender value)	N/A		Interest	\$2,501 - \$5,000
244.7	Protective Life, universal life (no cash surrender value)	N/A		Interest	\$1,001 - \$2,500
244.8	Guardian Life, whole life	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
244.9	Symetra Life, universal life (no cash surrender value)	N/A		Interest	\$5,001 - \$15,000
244.10	John Hancock Life Insurance Company, universal life (no cash surrender value)	N/A		Interest	\$15,001 - \$50,000
244.11	U.S. Bank account, checking account	N/A	\$250,001 - \$500,000		None (or less than \$201)
245	Family Trust 6	No			
245.1	Prudential Life, universal life (no cash surrender value)	N/A		Interest	\$15,001 - \$50,000
245.2	U.S. Bank account, checking account	N/A	\$1,001 - \$15,000		None (or less than \$201)
246	Family Trust 7	No			
246.1	U.S. Bank account, checking account	N/A	\$1,001 - \$15,000		None (or less than \$201)
247	Family Trust 8	No			
247.1	U. S. Bank account, checking account	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
248	Family Trust 9A	No			
248.1	Residential real estate, Stamford, CT	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
249	Residential real estate , Stamford, CT	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
250	Residential real estate, Greenwich, CT	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
251	Residential real estate, Las Vegas, NV	N/A	\$100,001 - \$250,000		None (or less than \$201)
252	Waverly 146 LLC	See Endnote	No		
252.1	Residential real estate, New York, NY	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
252.2	U.S. Bank account, checking account	N/A	\$100,001 - \$250,000		None (or less than \$201)
253	U.S. Bank account 1, checking account	N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
254	U.S. Bank account 2, checking account	N/A	\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500
255	McMahon Ventures, LLC (family office)	No			
255.1	U.S. Bank account, checking account	N/A	\$100,001 - \$250,000		None (or less than \$201)
256	Personal loan to family member	N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
257	Loan to Ms Slattery	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
258	Loan to Ms Lewis	N/A	\$15,001 - \$50,000		None (or less than \$201)
259	378 South Ocean Boulevard, LLC, (member interest, commercial real estate, Boca Raton, FL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
260	U.S. Bank account 3, checking account	N/A	\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
261	Morgan Stanley Bank Money Market	N/A	\$25,000,001 - \$50,000,000		None (or less than \$201)
262	Distribution Family Trust 10	N/A	None (or less than \$1,001)	Dividends, Capital Gains, Interest	\$71,100
263	Distribution Family Trust 11	N/A	None (or less than \$1,001)	Dividends, Capital Gains, Interest	\$71,100
264	Family Trust 9B	No			
264.1	Residential real estate, Stamford, CT	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
265	Family Trust 14	No			
265.1	U.S. Bank account, checking account	N/A	\$1,001 - \$15,000		None (or less than \$201)
266	Bloomfield, CT Bond (Cusip 093851TA1)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
267	Denton, TX Bond (Cusip 248866NP6)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
268	Onslow County, NC Bond (Cusip 682845QK1)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
269	Lincoln & Saga, ME Bond (Cusip 532828AE0)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
270	Frederick County, MD Bond (Cusip 3556943P3)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
271	Colony, TX Bond (Cusip 19624KLF6)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
272	Kirkwood Community, IA Bond (Cusip 497592HS7)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
273	Northeast, WI Bond (Cusip 66440ALH7)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
274	MO State Hwy Bond (Cusip 60636WGT6)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
275	NJ State Transit Bond (Cusip 641667LZ1)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
276	Actavis PLC - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
277	Allegion Pub - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
278	ARM Holdings - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
279	AXA ADS - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
280	BASF SP ADR - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
281	Brookfield Asset Mgmt - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
282	Eaton Corp - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
283	Ensign Energy - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
284	Express Scripts Holdings - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
285	Finning Intl - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
286	Fleetcor Technologies - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
287	General Dynamics - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
288	HCA Holdings - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
289	IShares MSCI EAFE - ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
290	Manulife Financial Corp - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
291	O'Reilly Automotive Inc - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
292	Partnerre Holdings - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
293	Starwood Hotels - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
294	Talisman Energy - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
295	Tenaris SA - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
296	Treehouse Foods Inc - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
297	UBS Group - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
298	Valeant Pharmaceuticals - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
299	Weatherford Intl - Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Morgan Stanley Private Bank NA	Investment real estate loan	\$5,000,001 - \$25,000,000	2015	LIBOR plus 2.5, 5/1 adjustable	30 years
2	Morgan Stanley Private Bank NA	Demand Line of Credit	Over \$50,000,000	2015	LIBOR plus 1%	1 year

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
3	American Express	Credit Card	\$15,001 - \$50,000	2016	0%	Monthly
4	Bank of America MasterCard	Credit Card	\$15,001 - \$50,000	2016	8.74%	Revolving

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	13	Trust dissolved in 12/2016
6.	252	Investment in residential real estate

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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