

Financial Disclosures

Annual Report for Calendar 2016 (Amendment 1)

The Honorable Michael F Bennet (Bennet, Michael)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	University of Denver Law School Denver, CO	> \$1,000

Part 3. Assets * Amended

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	PERA - Public Employees' Retirement Association of Colorado	Retirement Plans Defined Benefit Pension Plan	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
2	Suntrust (Richmond, VA) Type: Certificate of Deposit,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3	CollegInvest Fund <i>Institution: CollegInvest</i>	Education Savings Plans 529 College Savings Plan	Child		Excepted Investment Fund,	
3.1	Moderate Age option: Conservative Growth	Mutual Funds Mutual Fund	Child	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
3.2	Conservative Age option: Income Portfolio	Mutual Funds Mutual Fund	Child	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
4	CollegInvest Fund <i>Institution: CollegInvest</i>	Education Savings Plans 529 College Savings Plan	Child		Excepted Investment Fund,	
4.1	Moderate Age option: Income Portfolio	Mutual Funds Mutual Fund	Child	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
4.2	Conservative Age option: Income Portfolio	Mutual Funds Mutual Fund	Child	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
5	Daggett Farms Limited Partnership <i>Description: 1500 acre family farm (Marianna, AR) Filer comment: 9.42% limited partnership</i>	Farm	Spouse	\$15,001 - \$50,000	Rent/Royalties, Interest,	\$5,001 - \$15,000
6	LMD Farms Limited Partnership <i>Description: 1500 acre family farm (Marianna, AR) Filer comment: 8.97% limited partnership</i>	Farm	Spouse	\$15,001 - \$50,000	Rent/Royalties, Interest,	\$15,001 - \$50,000
7	The Michael F. Bennet Trust	Trust General (Trust)	Self			
7.1	Charles Schwab Bank (Denver, CO) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$1,000,001 - \$5,000,000	Interest,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
7.2	<u>LVL</u> - Level 3 Communications, Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
7.3	<u>RGC</u> - Regal Entertainment Group (NYSE)	Corporate Securities Stock	Self	\$1,000,001 - \$5,000,000	Dividends,	\$50,001 - \$100,000
7.4	<u>SPY</u> - SPDR S&P 500 ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
7.5	Colorado Health FACS AUT <i>Rate/Coupon: 3.125%</i> <i>Matures: 01/01/2016</i> <i>Filer comment: Bond fully matured on 1/1/16</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest, Capital Gains,	\$5,001 - \$15,000
7.6	<u>EAERX</u> - Eaton Vance Stock A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
7.7	Colorado ST BRD CMTY CLG <i>Rate/Coupon: 2.75%</i> <i>Matures: 11/01/2017</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
7.8	Canyon Balanced Fund LP <i>Description: Hedge fund (Los Angeles, CA)</i>	Investment Fund Hedge Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	None (or less than \$201)
7.9	Belmar HH Owner LLC <i>Description: Hotel (Lakewood, CO)</i>	Real Estate Commercial	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
7.10	CP Block A Member, LLC <i>Description: Mixed use development (Denver, CO)</i>	Real Estate Commercial	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
7.11	Harbor Spring Capital Partners, LP <i>Description: Hedge Fund (New York, NY)</i>	Investment Fund Hedge Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
8	CollegInvest Fund <i>Institution: CollegInvest</i>	Education Savings Plans 529 College Savings Plan	Child			
8.1	Conservative Age option: Income Portfolio	Mutual Funds Mutual Fund	Child	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
8.2	Moderate Age option: Conservative Growth	Mutual Funds Mutual Fund	Child	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
9	TIAA-CREF Retirement Portfolio	Retirement Plans Defined Contribution Pension Plan	Spouse			
9.1	<u>VTHR</u> - Vanguard Target Retirement 2030 Inv (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
10	WilmerHale Retirement Account	Retirement Plans Defined Contribution Pension Plan	Self			
10.1	<u>CIVIX</u> - Causeway International Value Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.2	<u>TSFIX</u> - Touchstone Small Cap Core Inst (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.3	Blackrock Equity Index Non-Lendable Fund Class M	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11	Charles Schwab - Rollover IRA	Retirement Plans IRA	Self			
11.1	<u>MDLOX</u> - BlackRock Global Allocation Inv A	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
12	Empower Retirement Plan	Retirement Plans Defined Contribution Pension Plan	Self			
12.1	<u>RPMGX</u> - T. Rowe Price Mid-Cap Growth	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)
12.2	<u>HAINX</u> - Harbor International Institutional	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
13	Newport Group Retirement Annuity Plan	Retirement Plans Defined Contribution Pension Plan	Spouse			
13.1	Newport Group (Walnut Creek, California) Type: Money Market Account,	Bank Deposit	Spouse	\$250,001 - \$500,000	Interest,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
1	Self	<u>EAERX</u>	Eaton Vance Stock A	Purchase	06/15/2016	\$1,001 - \$15,000	--
2	Self	<u>EAERX</u>	Eaton Vance Stock A	Purchase	12/13/2016	\$15,001 - \$50,000	--
3	Spouse	<u>VEURX</u>	Vanguard European Stock Index Investor	Sale (Full)	12/13/2016	\$15,001 - \$50,000	--
4	Spouse	<u>VGHCX</u>	Vanguard Health Care Inv	Sale (Full)	12/13/2016	\$50,001 - \$100,000	--
5	Spouse	<u>VWUSX</u>	Vanguard US Growth Inv	Sale (Full)	12/13/2016	\$15,001 - \$50,000	--
6	Spouse	<u>VFINX</u>	Vanguard 500 Index Investor	Sale (Full)	12/13/2016	\$100,001 - \$250,000	--
7	Child	--	Moderate Age-Based Option: Conservative Growth Portfolio	Purchase	01/07/2016	\$1,001 - \$15,000	--
8	Child	--	Conservative Age-Based Option: Income Portfolio	Purchase	01/07/2016	\$1,001 - \$15,000	--
9	Child	--	Moderate Age-Based Option: Conservative Growth Portfolio	Purchase	01/05/2016	\$1,001 - \$15,000	--
10	Child	--	Conservative Age-Based Option: Income Portfolio	Purchase	01/05/2016	\$1,001 - \$15,000	--
11	Child	--	Moderate Age-Based Option: Income Portfolio	Purchase	01/07/2016	\$1,001 - \$15,000	--
12	Child	--	Conservative Age-Based Option: Income Portfolio	Purchase	01/07/2016	\$1,001 - \$15,000	--
13	Child	--	Moderate Age-Based Option: Income Portfolio	Purchase	01/05/2016	\$1,001 - \$15,000	--
14	Child	--	Conservative Age-Based Option: Income Portfolio	Purchase	01/05/2016	\$1,001 - \$15,000	--
15	Child	--	Conservative Age-Based Option: Income Portfolio	Purchase	01/07/2016	\$1,001 - \$15,000	--
16	Child	--	Moderate Age-Based Option: Conservative Growth Portfolio	Purchase	01/07/2016	\$1,001 - \$15,000	--
17	Child	--	Conservative Age-Based Option: Income Portfolio	Purchase	01/05/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
18	Child	--	Moderate Age-Based Option: Conservative Growth Portfolio	Purchase	01/05/2016	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2011	Joint	Mortgage	0	4.75% (30 year fixed)	\$250,001 - \$500,000	Wells Fargo Washington, DC	Mortgage on personal residence
2	2016	Spouse	Revolving Charge	-	13.50% (NA)	\$10,001 - \$15,000	U.S. Bank St. Louis, MO	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Sep 1995	Wilmer Cutler Pickering Hale and Dorr LLP Denver, CO	Continuing participation in an employee benefit plan	Defined benefit retirement plan
2	Jul 2005	Colorado Public Employees' Retirement Association Denver, CO	Continuing participation in an employee benefit plan	Defined benefit retirement plan

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.