

# Financial Disclosures

## Annual Report for Calendar 2016

The Honorable Michael S Lee (Lee, Mike)

Filed 05/12/2017 @ 5:40 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Royalties	Sentinel Books / Penguin Group USA LLC New York, NY	\$41,250.00

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income	
					Type	Income
1	Utah Community Credit Union (Orem, UT) Type: Checking, Savings,	Bank Deposit	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
2	Fidelity Investments, Fidelity Freedom 2035	Retirement Plans Defined Contribution Pension Plan	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)

**eFD: Home**

URL: <https://efds.finance.senate.gov/search>

hide me

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3	<b>Utah Retirement Systems, Long Horizon</b>	Retirement Plans Defined Contribution Pension Plan	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
4	<b>Federal Employees Retirement Fund</b>	Retirement Plans Defined Contribution Pension Plan	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
5	<b>Utah Community Credit Union</b> (Orem, UT) Type: Checking, Savings,	Bank Deposit	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
6	<b>Utah Community Credit Union</b> (Provo, UT) Type: Savings,	Bank Deposit	Child	\$1,001 - \$15,000	None,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **No**

#### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

#### Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **Yes**

#	Date(s)	Traveler(s)	Travel Type	Itinerary	Reimbursed For	Who Paid	Comment
1	08/17/2017 to 08/24/2017	Self, Dependent Child	Privately- Sponsored	Salt Lake City to Tel Aviv, Tel Aviv to New York	Air and ground transportation, lodging, meals	Proclaiming Justice to the Nations Nashville, Tennessee	

hide me

## Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	1997	Self	Educational Loan	-	4.875% (20 years)	\$10,001 - \$15,000	UHEAA Salt Lake City, UT	-

## Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Feb 2011	Regnery Books / Eagle Publishing Washington, DC	Royalty Agreement	Book publishing agreement with Regnery Books / Eagle Publishing. Terms of agreement include royalties from sale of book published in July 2011.
2	Jan 2013	Simon & Schuster, Inc. New York, NY	Royalty Agreement	Book publishing agreement with Simon & Schuster, Inc, as entered into in January 2013 and modified in October 2013. Terms of agreement include royalties from sale of book published in June 2013.
3	Nov 2010	Howrey LLP Salt Lake City, UT	Continuation of payments by former employer	Pursuant to partnership and severance agreements, Howrey LLP to pay remaining partner salary due from 2010. (Note: no payments received to date).
4	Jul 2014	Sentinel Books / Penguin Group USA, LLC New York, NY	Royalty Agreement	Book publishing agreement with Sentinel Books / Penguin Group USA, LLC. Terms of agreement include royalties from sale of book published in April 2015.
5	Dec 2015	Sentinel Books / Penguin Group USA, LLC New York, NY	Royalty Agreement	Book publishing agreement with Sentinel Books / Penguin Group USA, LLC. Terms of agreement include royalties from sale of book published in April 2017.

hide me

Parties

#	Date	Involved	Type	Status and Terms
---	------	----------	------	------------------

**Part 10. Compensation**

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the two prior years? This is not my first report.

**Attachments & Comments**

No attachments added.

No comments added.

---

hide me