



Form No. OEC-2016
OHIO ETHICS COMMISSION
FINANCIAL DISCLOSURE STATEMENT

16

This statement is to be filed in 2017

Financial information for calendar year 2016

Please type or print clearly. See instructions for assistance with this page.

SECTION A. PERSONAL CONTACT INFORMATION

Last Name: First Name: MI:

SECTION B. STATUS (Check all that apply)

Candidate
 Write-in Candidate
 Elected to an office
 Appointed to an unexpired term in elective office
 Public Official
 Public Employee
 Voluntary Filer / Other

CANDIDATES: Please list the date of the first election (primary, special, or general) when your name will appear on the ballot.

Month	Day	Year
		2017

FOR OFFICIAL USE ONLY

FILED

MAY 12 2017

OHIO ETHICS COMMISSION

SECTION C. PUBLIC POSITION, OFFICE, OR JOB

Position/Title (Example: council member, sheriff, board member, or job title): Seeking Hold Held

Public Entity you serve in 2017, served in 2016, or will serve if elected:

Public Salary: Uncompensated Less than \$16,000 \$16,000 or more

Start Date:

Month	Day	Year
01	10	2011

 End Date:

Month	Day	Year
01	13	2019

SECTION D. ADDITIONAL PUBLIC POSITION, OFFICE, OR JOB

Position/Title (Example: council member, sheriff, board member, or job title): Seeking Hold Held

Public Entity you serve in 2017, served in 2016, or will serve if elected:

Public Salary: Uncompensated Less than \$16,000 \$16,000 or more

Start Date:

Month	Day	Year

 End Date:

Month	Day	Year

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Walk-in
 Inter Office
 No Check
 Rev'd by: KAC

Filer has answered every required question.
 Filer has not answered these questions:

Date incomplete form returned to filer: _____
 Date completed form returned to OEC: _____

RECEIVED
OHIO ETHICS COMMISSION
2011 MAY 12 P 12:02

1. SOURCES OF INCOME - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions page 4)

I have no sources of income that I am required to list.

Source of Income	Service Provided	Amount* (if required)
A Please see attached list.		
B		
C		
D		
E		

* Check instructions to see whether you are required to disclose amounts of income.

2. SOURCES OF GIFTS - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions page 5)

I have no sources of gifts that I am required to list.

Source of Gift	Source of Gift
A Please see attached list.	D
B	E
C	F

3. NAMES OF SPOUSE RESIDING IN HOUSEHOLD AND ANY DEPENDENT CHILDREN - ALL FILERS MUST ANSWER THIS QUESTION:

There are no immediate family members whose names I am required to list.

(For help, see instructions page 5)

Spouse Residing in Household	Dependent Children
Karen Kasich	
Dependent Children	
Emma Kasich	
Reese Kasich	

4. NAMES OF BUSINESSES - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions page 5)

If you or anyone you listed in Question 3 owns or operates a business, list the name of the business.

There are no business names that I am required to list.

Business Name	Business Name
A CrowdsMatter.com, LLC	C
B	D

5. LAND (REAL ESTATE) IN OHIO - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions page 6)

I have no real estate that I am required to list.

Land (Real Estate) in Ohio (List address or, if address is unavailable, plat number and county)
A
B
C

You are not required to disclose your personal residence or real property held primarily for personal recreation.

6. CREDITORS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions page 6)

I have no creditors that I am required to list.

Creditor	Creditor
A American Express card	D
B Merrill Lynch Visa card	E
C	F

7. DEBTORS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions page 6)

I have no debtors that I am required to list.

Debtor	Debtor
A	C
B	D

8. INVESTMENTS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions page 6 and 7)

I have no investments that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
A Please see attached list.	
B	
C	
D	
E	
F	
IF YOU NEED ADDITIONAL SPACE, PLEASE ATTACH A SEPARATE SHEET.	

9. OFFICES/FIDUCIARY RELATIONSHIPS - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions page 8)

I have no offices or fiduciary relationships that I am required to list.

Corporation, Trust, Business Trust, Partnership, or Association	Office or Nature of Relationship
A JRK Trust	Trustee
B	

SKIP QUESTIONS 10 AND 11 IF YOU ARE ONLY REQUIRED TO FILE AS A:

- College or university trustee
- Candidate for a city, township, school district, or ESC position that is paid less than \$16,000 a year
- City, township, school district, ESC, or sanitary district official or employee serving in a position that is paid less than \$16,000 a year

10. FOOD OR BEVERAGES - ALL FILERS EXCEPT THOSE LISTED IN THE BOX ABOVE MUST ANSWER THIS QUESTION:

I have no sources of meals, food, or beverages that I am required to list.

(For help, see instructions page 8)

Source of Food or Beverages	Source of Food or Beverages
A	C
B	D

11. TRAVEL EXPENSES - ALL FILERS EXCEPT THOSE LISTED IN THE BOX ON PAGE 3 MUST ANSWER THIS QUESTION:

I have no sources of travel expenses that I am required to list. (For help, see instructions page 9)

Source of Travel Expenses	Amount
A Please see attached list.	
B	
C	
D	
E	
F	

12. NON-DISPUTED INFORMATION - ALL state employees, state officials and state board and commission members (except college and university trustees) are REQUIRED to answer Question 12. All other filers should skip this question and go to question 13.

I have no information that I am required to list. (For help, see instructions page 9)

Non-Disputed Information
A
B

13. SIGNATURE - ALL FILERS MUST SIGN THE STATEMENT:

(For help, see instructions page 10)

By signing this statement:

- I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.
- I acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Sections 102.02(D) and 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both.
- I acknowledge and understand that filing a false statement may be grounds for removal from public office or dismissal from public employment pursuant to Sections 3.04 and 124.34 of the Revised Code.
- I acknowledge that, in 2016, I served in, or in 2017, I am serving in or a candidate for, the position indicated on page 1 of this statement.

If you have any questions before signing this form, please contact the Ohio Ethics Commission at (614) 466-7090.

Before signing this statement, please review to make sure that you have answered each question you are required to answer. If you have nothing to list in response to any question, check the box indicating that you have nothing to list. If the response to any required question is omitted, the Commission will return the statement to you as incomplete. **Any person who fails to file a complete statement by the appropriate filing deadline will be assessed a late filing fee and may be subject to criminal penalty.**

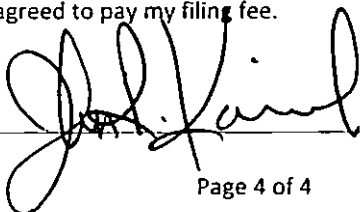
Deliver completed statement to: Ohio Ethics Commission, 30 W. Spring St., L3, Columbus, OH 43215

My filing fee is:

(For help, see instructions page 2)

- Enclosed (check or money order payable to "Ohio Ethics Commission")
- Submitted Online
- Included in my attorney registration fees (Judges, Magistrates, and Judicial Candidates Only)
- My public agency is required or has agreed to pay my filing fee.

YOUR SIGNATURE IS REQUIRED HERE:



Date: 5-10-17

Question 1 – Sources of Income

Source of Income

State of Ohio
State of Ohio
Delaware County Bank
Wesbanco Bank Inc.
Resilience Capital Partners Fund II
Goldfarb & Associates
Northwestern Mutual Wealth Management Company
Northwestern Mutual Investments Services, LLC
Congressional Pension
St. Martin's Press/Thomas Dunne Books

Service Provided

Salary
2015 State income tax refund
Savings, checking and CD interest
Time Deposits
Investments
Book Author (donated to charity)
Investments
Investments
Pension
Book Author

Question 2 -- Source of Gifts

Kasich For America Committee
New Day for America
Ohio Republican Party
Ohio Convention Committee 2016, LLC
California Republican Party
Republican Governors Association
National Republican Senatorial Committee
Illinois House Republican Organization
Rand Paul for Senate 2016
Kirk for Senate
Friends of Chris Sununu
Bergman for Congress
Dold for Congress
MacArthur for Congress
Villanova Student Government Association
Governor's Residence Support Foundation
The Business Council
The Texas Tribune
Radio and Television Correspondent's Association
NBC Universal
International Union of Operating Engineers, Cleveland, OH
IBW Air Services, LLC
Macy's
Merion Golf Club
Bud Martin
Carol Penfield
Jimmy Ballard
Larry Paul
Andrew Julien/The Hartford Courant
Terry Lundgren
Sir Evelyn and Lady de Rothschild
Fred Davis
George Will
Michael Bloomberg
John McConnell
Dr. Michael Ports
Dr. Thomas Barrett
Ronald Hartman
Fr. Kevin Maney
JobsOhio*

* Actual or in-kind expenditures for the travel, meals or lodging of the Governor pursuant to R.C. 187.03(B), provided by JobsOhio in connection with the Governor's performance of official duties related to JobsOhio and economic development.

Question 8 -- Investments over \$1000

Corporation, Trust, Business Trust, Partnership, or Association	Nature of Investment
Delaware County Bank Checking	Cash-Type Account
Delaware County Bank CD	CD
Wesbanco	Checking Account
Ovation Florida Bank	Shareholder
General Money Market Fund Class B	Money Market
Deutsche Bank Insured MMF	Money Market Fund
General Municipal Money Market	Money Market Fund
Alliance Bernstein International Value	Mutual Fund
American Century Diversified Bond	Mutual Fund
Bond Fund of America (A)	Mutual Fund
Bond Fund of America (F1)	Mutual Fund
Deutsche Enhanced Commodity Strategy Fund	Mutual Fund
EuroPacific Growth Fund (A)	Mutual Fund
EuroPacific Growth Fund (F1)	Mutual Fund
Fidelity Advisors Midcap II Fund (Class A)	Mutual Fund
Franklin Natural Resources Fund	Mutual Fund
Franklin OH Tax Free Income Fund	Mutual Fund
Goldman Sachs Technology Tollkeeper	Mutual Fund
Investment Company of America (A)	Mutual Fund
New Economy Fund (F1)	Mutual Fund
Nuveen OH Municipal Bond	Mutual Fund
Putnam Global Health Care	Mutual Fund
Putnam US Government Income Trust	Mutual Fund
Putnam Voyager Fund	Mutual Fund
Putnam Growth Opportunities Fund	Mutual Fund
Russell Comodity Strategies	Mutual Fund
Russell Emerging Markets	Mutual Fund
Russell Global Equity Fund	Mutual Fund
Russell Global Real Estate Securities	Mutual Fund
Russell International Developed Markets	Mutual Fund
Russell Tax Exempt Bond Fund	Mutual Fund
Russell Tax Managed US Large Cap	Mutual Fund
Russell US Mid Cap Equity	Mutual Fund
Russell US Small Cap Equity	Mutual Fund
Small Cap World Fund (A)	Mutual Fund
Small Cap World Fund (F1)	Mutual Fund
Tax Exempt Bond Fund of Am (A)	Mutual Fund
Tax Exempt Bond Fund of Am (F1)	Mutual Fund
Washington Mutual Investors Fund	Mutual Fund
Lehman Brothers Holdings Inc. Retirement Plan (PBGC)	Pension
Northwestern Mutual - MM Series VA	Personal Annuity
Northwestern Mutual - RR Series VA	Personal Annuity
Northwestern Mutual - RS Series SPRA Gold	Personal Annuity

Resilience Capital Partners Fund II	Private Equity Fund
Ohio PERS	Pension Plan
Northwestern Mutual - RR Series VA	Roth IRA
Northwestern Mutual - MM Series VA	SEP IRA
Brown Capital Management Small Company Fund	Mutual Fund in IRA
Columbia Acorn International Fund	Mutual Fund in IRA
Dodge & Cox Income Fund	Mutual Fund in IRA
EuroPacific Growth Fund (F2)	Mutual Fund in IRA
Fidelity Advisors Midcap II Fund (Class I)	Mutual Fund in IRA
Fidelity Advisors New Insights Fund	Mutual Fund in IRA
Hartford World Bond Fund (Class I)	Mutual Fund in IRA
International Growth & Income Fund (Class F-2)	Mutual Fund in IRA
Invesco Balanced Risk Commodity Strategy Fund	Mutual Fund in IRA
John Hancock Disciplined Value Mid Cap Fund	Mutual Fund in IRA
Metropolitan West Total Return Bond Fund	Mutual Fund in IRA
MFS Value Fund (Class 1)	Mutual Fund in IRA
New World Fund	Mutual Fund in IRA
Northern Small Cap Value Fund	Mutual Fund in IRA
Oakmark International Fund (Class I)	Mutual Fund in IRA
Oakmark International Small Cap Fund	Mutual Fund in IRA
Parnassus Core Equity Fund	Mutual Fund in IRA
PIMCO Income Fund (Class P)	Mutual Fund in IRA
T Rowe Price Emerging Markets Stock	Mutual Fund in IRA
T Rowe Price Real Estate	Mutual Fund in IRA
Templeton Global Bond Fund Advisor Class	Mutual Fund in IRA

Question 11—Source of Travel Expenses

ODOT Aviation	\$95.96
ODOT Aviation	\$164.16
ODOT Aviation	\$155.71
ODOT Aviation	\$172.15
ODOT Aviation	\$150.75
ODOT Aviation	\$138.14
ODOT Aviation	\$136.00
ODOT Aviation	\$554.90
ODOT Aviation	\$95.87
ODOT Aviation	\$218.00
ODOT Aviation	\$157.40
ODOT Aviation	\$448.00
ODOT Aviation	\$175.57
ODOT Aviation	\$163.91
ODOT Aviation	\$172.00
ODOT Aviation	\$385.26
State of Ohio	\$434.00
State of Ohio	\$63.93
State of Ohio	\$34.50