

# Financial Disclosures

## Annual Report for Calendar 2016

**The Honorable Pat Roberts (Roberts, Pat)**

Filed 06/13/2017 @ 9:57 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Commissions	Long and Foster Realtors Alexandria, VA	> \$1,000
2	Spouse	Retirement	tdameritrade omaha, ne	> \$1,000

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	<b>Condo</b> <i>Description:</i> Rental Property (National Harbor, Md)	Real Estate Residential	Joint	\$250,001 - \$500,000	Rent/Royalties,	\$15,001 - \$50,000
2	<b>Residence</b> <i>Description:</i> Rental Property (Dodge City, Ks)	Real Estate Residential	Joint	\$100,001 - \$250,000	Rent/Royalties,	\$5,001 - \$15,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3	<b>Old Town Condo</b> <i>Description:</i> Rental Property (Alexandria, VA)	Real Estate Residential	Joint	\$250,001 - \$500,000	Rent/Royalties,	\$15,001 - \$50,000
4	<b>1/2 interest in two lots</b> <i>Description:</i> unimproved lots in residential develop. (Columbia, SC)	Real Estate Unimproved Land	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
5	<b>1/3 interest in unimproved land</b> <i>Description:</i> unimproved land (Sumter, SC)	Real Estate Unimproved Land	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
6	<b><u>TORRX</u> - Torray Institutional Fund (NASDAQ)</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
7	<b>TD Ameritrade</b> (Alexandria, Va) <i>Type:</i> IRA Cash Accounts, Money Market Account,	Bank Deposit	Joint	\$100,001 - \$250,000	Dividends,	None (or less than \$201)
8	<b>Sep</b>	Brokerage/Managed Account	Spouse			
8.1	<b><u>ZNGA</u> - Zynga, Inc. (NASDAQ)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
8.2	<b><u>COST</u> - Costco Wholesale Corporation (NASDAQ)</b> <i>Filer comment:</i> sep	Corporate Securities Stock	Spouse	None (or less than \$1,001)	None, Dividends, Capital Gains,	\$1,001 - \$2,500
8.3	<b><u>CVX</u> - Chevron Corporation (NYSE)</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
8.4	<b><u>AAPL</u> - Apple Inc.</b> <i>Filer comment: sep</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
8.5	<b><u>TJX</u> - The TJX Companies, Inc.</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
8.6	<b><u>NFLX</u> - Netflix, Inc.</b> <i>Filer comment: sep</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
8.7	<b><u>GE</u> - General Electric Company</b> <i>Filer comment: sep</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
9	<b><u>TDAMERITRADE-R</u></b>	Retirement Plans IRA	Spouse			
9.1	<b><u>AAPL</u> - Apple Inc. (NASDAQ)</b> <i>Filer comment: r</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
9.2	<b><u>BIDU</u> - Baidu, Inc. (NASDAQ)</b> <i>Filer comment: R</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
9.3	<b><u>ETY</u> - Eaton Vance Tax-Managed Diversified Equity Income Fund (NYSE)</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9.4	<b><u>FB</u> - Facebook, Inc. (NASDAQ)</b> <i>Filer comment: R</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
9.5	<b><u>TCS</u> - The Container Store Group, Inc. (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
9.6	<b><u>TWTR</u> - Twitter, Inc. (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
9.7	<b><u>BA</u> - The Boeing Company (NYSE)</b> <i>Filer comment: R</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
9.8	<b><u>COST</u> - Costco Wholesale Corporation</b> <i>Filer comment: r</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
9.9	<b><u>BAC</u> - Bank of America Corporation</b> <i>Filer comment: r</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.10	<b><u>NOC</u> - Northrop Grumman Corporation</b> <i>Filer comment: r</i>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
10	<b>FR-555</b>	Brokerage/Managed Account	Spouse			
10.1	<b><u>TCS</u> - The Container Store Group, Inc. (NYSE)</b> <i>Filer comment: 555</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
10.2	<b><u>FB</u> - Facebook, Inc.</b> <i>Filer comment: 555</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
10.3	<b><u>HD</u> - The Home Depot, Inc.</b> <i>Filer comment: 555</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11	<b>Capitol One</b> (Alexandria, VA) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$250,001 - \$500,000	Dividends, Interest,	\$1,001 - \$2,500
12	<b>Wells Fargo</b> (Alexandria, VA) <i>Type: Savings,</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	\$201 - \$1,000
13	<b>American Express Savings</b> (Salt Lake City, Utah) <i>Type: Money Market Account,</i> <i>Filer comment: sav. online</i>	Bank Deposit	Spouse	\$250,001 - \$500,000	Interest,	\$1,001 - \$2,500
14	<b>MTT</b> (Alexandria, VA) <i>Type: Savings,</i>	Bank Deposit	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
15	<b>Senate Federal Credit Union</b> (Washington, DC) <i>Type: Checking,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
16	<b>Wells Fargo</b> (Alexandria, VA) <i>Type: Checking,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
17	<b>Capitol One</b> (Alexandria, VA) <i>Type: Savings,</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	\$2,501 - \$5,000
18	<b>DVN - Devon Energy Corporation</b> <i>Filer comment: jt</i>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
19	<b>Discover Bank</b> (Salt Lake City, UT) <i>Type: Savings,</i> <i>Filer comment: SAV. online</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
20	<b>Landmark National Bk</b> (Manhattan, Ks) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/20/2016	Spouse	GE	General Electric Company	Purchase	\$1,001 - \$15,000	sep
2	04/20/2016	Spouse	ZNGA	Zynga, Inc.	Sale (Partial)	\$1,001 - \$15,000	sep
3	04/28/2016	Spouse	FB	Facebook, Inc.	Purchase	\$1,001 - \$15,000	R
4	06/10/2016	Spouse	NFLX	Netflix, Inc.	Purchase	\$1,001 - \$15,000	Sep
5	06/10/2016	Spouse	HDS	HD Supply Holdings, Inc.	Purchase	\$1,001 - \$15,000	555
6	06/10/2016	Spouse	FB	Facebook, Inc.	Purchase	\$1,001 - \$15,000	555
7	06/29/2016	Spouse	FB	Facebook, Inc.	Purchase	\$1,001 - \$15,000	--
8	06/29/2016	Spouse	HD	The Home Depot, Inc.	Purchase	\$1,001 - \$15,000	555
9	07/08/2016	Spouse	COST	Costco Wholesale Corporation	Sale (Full)	\$15,001 - \$50,000	r
10	07/08/2016	Spouse	COST	Costco Wholesale Corporation	Sale (Full)	\$15,001 - \$50,000	sep
11	11/15/2016	Spouse	HDS	HD Supply Holdings, Inc.	Sale (Full)	\$1,001 - \$15,000	555
12	11/14/2016	Spouse	COST	Costco Wholesale Corporation	Purchase	\$15,001 - \$50,000	r
13	11/14/2016	Spouse	NOC	Northrop Grumman Corporation	Purchase	\$1,001 - \$15,000	r
14	12/15/2016	Spouse	TJX	The TJX Companies, Inc.	Sale (Full)	\$1,001 - \$15,000	sep
15	12/15/2016	Spouse	AAPL	Apple Inc.	Purchase	\$1,001 - \$15,000	sep
16	12/15/2016	Spouse	ZNGA	Zynga Inc.	Sale (Partial)	\$1,001 - \$15,000	sep

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
17	12/27/2016	Spouse	NFLX	Netflix, Inc.	Sale (Full)	\$15,001 - \$50,000	sep
18	12/27/2016	Spouse	CVX	Chevron Corporation	Sale (Full)	\$15,001 - \$50,000	sep
19	12/15/2016	Spouse	BAC	Bank of America Corporation	Purchase	\$1,001 - \$15,000	R
20	12/27/2016	Spouse	FB	Facebook, Inc.	Sale (Full)	\$1,001 - \$15,000	R
21	12/27/2016	Spouse	BA	The Boeing Company	Sale (Full)	\$15,001 - \$50,000	R
22	12/27/2016	Spouse	--	HD-The Home Depot, Inc.	Sale (Full)	\$1,001 - \$15,000	555

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **No**

#### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

#### Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

#### Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2003	Joint	Mortgage	0	3.87% (30 years)	\$100,001 - \$250,000	Wells Fargo Newark, NJ	-

#### Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

#### Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

## **Part 10. Compensation**

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

## **Attachments & Comments**

*No attachments added.*

*No comments added.*