

Annual Report for Calendar 2016

The Honorable Patrick J Toomey (Toomey, Pat)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who received payment?
1	02/27/2016	Appearance	\$1,999.98	Joseph Hughes New Brighton, PA	A Charity
2	03/12/2016	Appearance	\$200.00	Christian and Katie Arena Paoli, PA	A Charity
3	02/25/2016	Appearance	\$400.00	Jacquie and Wayne Faulkner Kennett Square, PA	A Charity
4	01/30/2016	Appearance	\$1,900.00	David Haron Lafayette Hill, PA	A Charity
5	04/30/2016	Appearance	\$500.00	St. Ann School Emmaus, PA	A Charity
6	04/09/2016	Appearance	\$1,500.00	Tom Aglira West Chester, PA	A Charity
7	04/13/2016	Appearance	\$1,000.00	Darin Backhaus Harrisburg, PA	A Charity
8	02/27/2016	Appearance	\$1,600.00	Amy and Bill Nyberg Allentown, PA	A Charity
9	04/09/2016	Appearance	\$860.00	Michael and Mary de Castro Bethlehem, PA	A Charity
10	01/21/2016	Appearance	\$800.00	Paul and Pam Adamczak Phoenixville, PA	A Charity

#	Date	Activity	Amount	Who Paid?	Who received payment?
11	01/09/2016	Appearance	\$900.00	Glen Yoder New Holland, PA	A Charity
12	04/22/2016	Appearance	\$500.00	Robert Simpson Media, PA	A Charity
13	04/09/2016	Appearance	\$1,750.00	Richard & Lynn Lipovich and Peter & Leslie Robinson Pittsburgh, PA	A Charity
14	03/19/2016	Appearance	\$275.00	Lisa and Joe Pagano West Chester, PA	A Charity
15	04/22/2016	Appearance	\$270.00	George McGinley Schwenksville, PA	A Charity
16	02/20/2016	Appearance	\$850.00	Susan Fleming Wayne, PA	A Charity
17	04/15/2016	Appearance	\$900.00	Veronica Chavez and Mark Cadungog Kennett Square, PA	A Charity
18	04/15/2016	Appearance	\$450.00	Jeremiah and Amy Kaplan Bryn Mawr, PA	A Charity
19	04/15/2016	Appearance	\$800.00	David Rosner Blue Bell, PA	A Charity
20	04/07/2016	Appearance	\$600.00	Alice Barr Blue Bell, PA	A Charity
21	04/30/2016	Appearance	\$400.00	Chuck and Kathy Dabundo West Chester, PA	A Charity
22	04/20/2016	Appearance	\$250.00	Debra Mantegna Aston, PA	A Charity
23	03/06/2016	Appearance	\$600.00	Mr. and Mrs. Joseph Zator Allentown, PA	A Charity
24	04/16/2016	Appearance	\$650.00	Andy Brown Allentown, PA	A Charity
25	04/23/2016	Appearance	\$150.00	Dr. Laun and Michelle Hallstrom DuBois, PA	A Charity
26	03/15/2016	Appearance	\$1,500.00	Lisa Ferri Devon, PA	A Charity
27	03/12/2016	Appearance	\$1,200.00	Jim and Sue Fallon Souderton, PA	A Charity
28	03/19/2016	Appearance	\$800.00	Dave and Jennifer DeAngelis Merion Station, PA	A Charity

#	Date	Activity	Amount	Who Paid?	Who received payment?
29	04/09/2016	Appearance	\$1,100.00	Bernard (Ben) Ciliberto Levittown, PA	A Charity
30	04/09/2016	Appearance	\$1,100.00	Bernard (Ben) Ciliberto Levittown, PA	A Charity
31	04/23/2016	Appearance	\$1,120.00	Anne Marie Sotire Yardley, PA	A Charity
32	04/09/2016	Appearance	\$425.00	Carolyn Vachari Dresher, PA	A Charity
33	04/24/2016	Appearance	\$1,715.00	Pamela Marsonек Manor, PA	A Charity
34	04/09/2016	Appearance	\$1,300.00	Anthony Celantano Malvern, PA	A Charity
35	04/01/2016	Appearance	\$100.00	HelpHOPELive Yardley, PA	A Charity

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	Morgan Stanley Traditional IRA 223	Retirement Plans IRA	Self			
1.1	<u>RSP</u> - Guggenheim S&P 500 Equal Weight	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
1.2	<u>IWF</u> - iShares Russell 1000 Growth	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
1.3	<u>IJK</u> - iShares S&P MidCap 400 Growth	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1.4	<u>IJJ</u> - iShares S&P Mid-Cap 400 Value	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
1.5	<u>IJT</u> - iShares S&P Small-Cap 600 Growth	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
1.6	<u>IJR</u> - iShares Core S&P Small-Cap	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
1.7	<u>QQQ</u> - PowerShares QQQ Trust, Series 1 (NASDAQ)	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
1.8	<u>SPY</u> - SPDR S&P 500	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
1.9	<u>IJH</u> - iShares Core S&P Mid-Cap	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
1.10	<u>IJS</u> - iShares S&P Small-Cap 600 Value	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
2	Morgan Stanley Traditional IRA 544	Retirement Plans IRA	Self			
2.1	<u>AIV</u> - Apartment Investment and Management Company (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
2.2	<u>AMLPL</u> - ALPS Alerian MLP ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
2.3	<u>XLV</u> - Health Care Select Sector SPDR	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2.4	<u>AIA</u> - iShares Asia 50	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
2.5	<u>ILF</u> - iShares Latin America 40	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
2.6	<u>IBB</u> - iShares Nasdaq Biotechnology	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.7	<u>DBP</u> - PowerShares DB Precious Metals	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.8	<u>PSP</u> - PowerShares Global Listed Private Eq	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
2.9	<u>GLD</u> - SPDR Gold Shares	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
2.10	<u>XES</u> - SPDR S&P Oil & Gas Equipment & Svcs ETF	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
2.11	<u>SPY</u> - SPDR S&P 500	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
2.12	<u>CAPL</u> - CrossAmerica Partners LP (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
2.13	United States Treasury Note - Inflation Indexed <i>Rate/Coupon:</i> <i>Matures:</i>	Government Securities US Treasury/Agency Security	Self	\$50,001 - \$100,000	Interest,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2.14	<u>ETP</u> - Energy Transfer Partners, L.P.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
2.15	<u>MPLX</u> - MPLX LP	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
3	Morgan Stanley Traditional IRA K Toomey	Retirement Plans IRA	Spouse			
3.1	<u>IWF</u> - iShares Russell 1000 Growth (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
3.2	<u>IJK</u> - iShares S&P Mid-Cap 400 Growth (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3.3	<u>QQQ</u> - PowerShares QQQ Trust, Ser 1 (NASDAQ)	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
3.4	<u>SPY</u> - SPDR S&P 500 ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
3.5	<u>MTUM</u> - iShares MSCI USA Momentum Factor	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
3.6	<u>EEM</u> - iShares MSCI Emerging Markets	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
3.7	<u>IJR</u> - iShares Core S&P Small-Cap	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4	N-35727, Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Rent/Royalties,	\$15,001 - \$50,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
5	Old Mill Partners <i>Description: Undeveloped land (Block Island, RI)</i>	Real Estate Unimproved Land	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
6	Revere Bank	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
7	Personal Loan to S. Toomey <i>Description: Steve Toomey (Allentown, PA)</i>	Other Securities	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
8	BPG Fund IX <i>Description: Closed end private equity real estate fund (Philadelphia, PA)</i>	Investment Fund Private Equity Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
9	BPG Properties, LTD (multi-family private security investment fund) <i>Description: Closed end private equity real estate fund (Philadelphia, PA)</i>	Investment Fund Private Equity Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
10	Portfolio Management Active Assets Account 389	Brokerage/Managed Account	Joint			
10.1	<u>VYM</u> - Vanguard High Dividend Yield ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
10.2	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
10.3	Nordea Bank AB	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
11	Scottrade Joint Account 965	Brokerage/Managed Account	Joint			
11.1	<u>CAPL</u> - CrossAmerica Partners LP (NYSE)	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
11.2	<u>PFS</u> - Provident Financial Services, Inc. (NYSE)	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.3	<u>PG</u> - The Procter & Gamble Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
11.4	<u>GLD</u> - SPDR Gold Shares	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
11.5	<u>PEY</u> - PowerShares High Yld Eq Div Achiev ETF	Mutual Funds Exchange Traded Funds/Notes	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
11.6	<u>TBT</u> - ProShares UltraShort 20+ Year Treasury	Mutual Funds Exchange Traded Funds/Notes	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
12	529 AGBSD 15-17 BRIGHT START COLLEGE SAVINGS PLAN <i>Institution: Illinois</i>	Education Savings Plans 529 College Savings Plan	Child			
13	BPG Fund X <i>Description: Closed end private equity real estate fund (Philadelphia , PA)</i>	Investment Fund Private Equity Fund	Self	\$15,001 - \$50,000	Interest,	\$2,501 - \$5,000
14	Old Florida Bank Shares	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15	Patriot Financial Partners II, LP <i>Description: Patriot Financial Partners II (Philadelphia, PA)</i>	Investment Fund Private Equity Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
16	529 AGBSD 15-17 BRIGHT START COLLEGE SAVINGS PLAN <i>Institution: Illinois</i>	Education Savings Plans 529 College Savings Plan	Child			

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	11/28/2016	Joint	--	PFS-Provident Financial Services, Inc.	Sale (Partial)	\$15,001 - \$50,000	--
2	11/22/2016	Joint	PFS	Provident Financial Services, Inc.	Sale (Partial)	\$15,001 - \$50,000	--
3	09/13/2016	Joint	PEY	PowerShares High Yld Eq Div Achiev ETF	Sale (Partial)	\$15,001 - \$50,000	--
4	09/20/2016	Joint	BUNT	DB 3x German Bund Futures ETN	Purchase	\$1,001 - \$15,000	--
5	08/03/2016	Joint	CVX	Chevron Corporation	Sale (Partial)	\$15,001 - \$50,000	--
6	06/14/2016	Joint	PG	The Procter & Gamble Company	Sale (Partial)	\$15,001 - \$50,000	--
7	06/14/2016	Joint	--	Nordea Bank AB	Sale (Full)	\$15,001 - \$50,000	--
8	05/02/2016	Joint	MTUM	iShares Edge MSCI USA Momentum Factor	Sale (Partial)	\$15,001 - \$50,000	--
9	05/02/2016	Joint	SHY	iShares 1-3 Year Treasury Bond	Sale (Partial)	\$15,001 - \$50,000	--
10	06/09/2016	Joint	PG	The Procter & Gamble Company	Sale (Partial)	\$15,001 - \$50,000	--
11	02/11/2016	Joint	--	PUT Standard & Poors Dep Rec at 180	Sale (Partial)	\$1,001 - \$15,000	15 of the 30 sold. Other 15 expired on 2/29/16
12	02/11/2016	Joint	SPY	SPDR S&P 500 ETF	Sale (Partial)	\$1,001 - \$15,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
13	01/19/2016	Joint	SPY160219P00180000	SPY Feb 2016 put 180.000	Sale (Full)	\$1,001 - \$15,000	--
14	01/19/2016	Joint	SPY160219P00180000	SPY Feb 2016 put 180.000	Purchase	\$1,001 - \$15,000	--
15	01/19/2016	Joint	SPY160219P00180000	SPY Feb 2016 put 180.000	Purchase	\$1,001 - \$15,000	--
16	11/23/2016	Joint	--	ESV	Sale (Partial)	\$1,001 - \$15,000	--
17	01/04/2016	Joint	--	AMLP	Sale (Partial)	\$1,001 - \$15,000	--
18	01/05/2016	Joint	--	DBP	Sale (Partial)	\$15,001 - -\$50,000	--
19	01/05/2016	Joint	--	GLD	Purchase	\$1,001 - \$15,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Joint	<u>PFF</u>	iShares US Preferred Stock	Sale (Full)	11/29/2016	\$15,001 - \$50,000	--
2	Joint	<u>PEY</u>	PowerShares High Yld Eq Div Achiev ETF	Sale (Full)	09/16/2016	\$15,001 - \$50,000	--
3	Joint	<u>ICE</u>	iShares Cohen & Steers REIT	Sale (Full)	08/03/2016	\$15,001 - \$50,000	--
4	Joint	<u>SPY</u>	SPDR S&P 500 ETF	Sale (Full)	06/27/2016	\$15,001 - \$50,000	Final sale of SPY
5	Joint	<u>SPY</u>	SPDR S&P 500 ETF	Sale (Partial)	06/27/2016	\$15,001 - \$50,000	--
6	Joint	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	06/23/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction	Transaction	Amount	Comment
				Type	Date		
7	Joint	--	Nordea Bank AB	Sale (Partial)	06/14/2016	\$15,001 - \$50,000	--
8	Joint	<u>SPY</u>	SPDR S&P 500 ETF	Sale (Partial)	02/12/2016	\$1,001 - \$15,000	--
9	Joint	<u>SPY</u>	SPDR S&P 500 ETF	Sale (Partial)	01/20/2016	\$1,001 - \$15,000	--
10	Joint	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	01/20/2016	\$1,001 - \$15,000	--
11	Joint	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	01/20/2016	\$1,001 - \$15,000	--
12	Joint	<u>RSP</u>	Guggenheim S&P 500 Equal Weight ETF	Sale (Partial)	04/06/2016	\$1,001 - \$15,000	--
13	Joint	<u>IWF</u>	iShares Russell 1000 Growth	Sale (Partial)	04/06/2016	\$1,001 - \$15,000	--
14	Joint	<u>IJR</u>	iShares Core S&P Small-Cap	Purchase	04/06/2016	\$1,001 - \$15,000	--
15	Joint	<u>QQQ</u>	PowerShares QQQ ETF	Purchase	04/06/2016	\$1,001 - \$15,000	--
16	Joint	<u>IJJ</u>	iShares S&P Mid- Cap 400 Value	Purchase	04/06/2016	\$1,001 - \$15,000	--
17	Joint	<u>IJT</u>	iShares S&P Small- Cap 600 Growth	Purchase	04/06/2016	\$1,001 - \$15,000	--
18	Joint	<u>IJS</u>	iShares S&P Small- Cap 600 Value	Purchase	04/06/2016	\$1,001 - \$15,000	--
19	Spouse	<u>SPY</u>	SPDR S&P 500 ETF	Sale (Partial)	11/04/2016	\$1,001 - \$15,000	--
20	Spouse	<u>QQQ</u>	PowerShares QQQ ETF	Purchase	11/04/2016	\$1,001 - \$15,000	--
21	Spouse	<u>FVD</u>	First Trust Value Line Dividend ETF	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	--
22	Spouse	<u>MTUM</u>	iShares Edge MSCI USA Momentum Factor	Purchase	10/06/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
23	Spouse	<u>IWF</u>	iShares Russell 1000 Growth	Purchase	10/06/2016	\$1,001 - \$15,000	--
24	Spouse	<u>SPY</u>	SPDR S&P 500 ETF	Sale (Partial)	10/06/2016	\$1,001 - \$15,000	--
25	Spouse	<u>QQQ</u>	PowerShares QQQ ETF	Purchase	10/06/2016	\$1,001 - \$15,000	--
26	Spouse	<u>MTUM</u>	iShares Edge MSCI USA Momentum Factor	Purchase	08/04/2016	\$1,001 - \$15,000	--
27	Spouse	<u>SPY</u>	SPDR S&P 500 ETF	Sale (Partial)	08/04/2016	\$1,001 - \$15,000	--
28	Spouse	<u>FVD</u>	First Trust Value Line Dividend ETF	Purchase	06/08/2016	\$1,001 - \$15,000	--
29	Spouse	<u>MTUM</u>	iShares Edge MSCI USA Momentum Factor	Purchase	06/08/2016	\$1,001 - \$15,000	--
30	Spouse	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Sale (Partial)	06/08/2016	\$1,001 - \$15,000	--
31	Spouse	<u>SHV</u>	iShares Short Treasury Bond	Sale (Partial)	05/05/2016	\$1,001 - \$15,000	--
32	Spouse	<u>MTUM</u>	iShares Edge MSCI USA Momentum Factor	Sale (Partial)	05/05/2016	\$15,001 - \$50,000	--
33	Spouse	<u>SHY</u>	iShares 1-3 Year Treasury Bond	Sale (Partial)	05/05/2016	\$15,001 - \$50,000	--
34	Spouse	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	05/05/2016	\$1,001 - \$15,000	--
35	Spouse	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	05/05/2016	\$1,001 - \$15,000	--
36	Spouse	<u>EEM</u>	iShares MSCI Emerging Markets	Purchase	05/05/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
37	Spouse	<u>IJR</u>	iShares Core S&P Small-Cap	Purchase	05/05/2016	\$1,001 - \$15,000	--
38	Spouse	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	05/05/2016	\$1,001 - \$15,000	--
39	Spouse	<u>SHV</u>	iShares Short Treasury Bond	Purchase	02/04/2016	\$1,001 - \$15,000	--
40	Spouse	<u>MTUM</u>	iShares Edge MSCI USA Momentum Factor	Purchase	02/04/2016	\$1,001 - \$15,000	--
41	Spouse	<u>SHY</u>	iShares 1-3 Year Treasury Bond	Purchase	02/04/2016	\$15,001 - \$50,000	--
42	Spouse	<u>IWF</u>	iShares Russell 1000 Growth	Sale (Partial)	02/04/2016	\$1,001 - \$15,000	--
43	Spouse	<u>IJH</u>	iShares Core S&P Mid-Cap	Sale (Full)	02/04/2016	\$1,001 - \$15,000	--
44	Spouse	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	02/04/2016	\$1,001 - \$15,000	--
45	Spouse	<u>QQQ</u>	PowerShares QQQ ETF	Sale (Partial)	02/04/2016	\$1,001 - \$15,000	--
46	Spouse	<u>IJT</u>	iShares S&P Small-Cap 600 Growth	Sale (Full)	02/04/2016	\$1,001 - \$15,000	--
47	Child	--	Oppenheimer 529 AGEBSD 15-17 G	Purchase	08/17/2016	\$100,001 - \$250,000	--
48	Child	--	Oppenheimer 529 AGEBSD 12-14 G	Sale (Full)	08/17/2016	\$100,001 - \$250,000	--
49	Joint	<u>DBP</u>	PowerShares DB Precious Metals ETF	Sale (Full)	01/05/2016	\$15,001 - \$50,000	--
50	Joint	<u>AMPLP</u>	Alerian MLP ETF	Sale (Full)	01/04/2016	\$15,001 - \$50,000	--
51	Joint	<u>PEY</u>	PowerShares High Yld Eq Div Achiev ETF	Purchase	12/15/2016	\$15,001 - \$50,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
52	Joint	<u>TBT</u>	ProShares UltraShort 20+ Year Treasury	Purchase	12/05/2016	\$15,001 - \$50,000	--
53	Joint	<u>PFS</u>	Provident Financial Services, Inc.	Sale (Partial)	11/22/2016	\$15,001 - \$50,000	--
54	Joint	<u>BUNT</u>	DB 3x German Bund Futures ETN	Purchase	09/20/2016	\$1,001 - \$15,000	This is a redemption/credit. Listed on PTR as "purchase". No other option for drop down
55	Joint	<u>CVX</u>	Chevron Corporation	Sale (Full)	08/03/2016	\$15,001 - \$50,000	--
56	Joint	<u>PG</u>	The Procter & Gamble Company	Sale (Partial)	06/14/2016	\$15,001 - \$50,000	--
57	Joint	<u>PG</u>	The Procter & Gamble Company	Sale (Partial)	06/09/2016	\$15,001 - \$50,000	--
58	Joint	<u>GLD</u>	SPDR Gold Shares	Purchase	01/05/2016	\$15,001 - \$50,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2011	Joint	Mortgage	0	3.375% (30 years)	\$250,001 - \$500,000	Cole Taylor Bank Ann Arbor, MI	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Jul 2009	John Wiley & Sons, Inc., Hoboken, NJ	Royalty Agreement	Contract with Book Publisher (Royalties to Be Paid Contingent Upon Book Sales)

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **No**

Attachments & Comments

No attachments added.

No comments added.