

Annual Report for Calendar 2016

The Honorable Patrick J Leahy (Leahy, Patrick J.)

Filed 05/15/2017 @ 5:46 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	Sun Life Assurance Company of Canada <i>Provider: Sun Life Assurance Company of Canada</i>	Life Insurance Whole	Joint	\$1,001 - \$15,000	Dividends, Interest,	\$1,001 - \$2,500
2	Penn Mutual <i>Provider: Penn Mutual</i>	Life Insurance Whole	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
3	Penn Mutual <i>Provider: Penn Mutual</i>	Life Insurance Whole	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
4	Sun Trust Bank (Washington, DC) Type: Savings,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
5	Merrill Lynch Cash Management Account	Brokerage/Managed Account	Joint	\$50,001 - \$100,000	Interest, Capital Gains,	\$201 - \$1,000
6	SHAPX - ClearBridge Appreciation A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
7	SHAPX - ClearBridge Appreciation A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
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#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2012	Joint	Mortgage	0	3.62% (30)	\$500,001 - \$1,000,000	Wells Fargo Washington, DC	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 1978 to present	Other (Member, Board of Advisors)	World Hunger Year New York, New York	Nonprofit Organization	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.