

## Financial Disclosures

## Annual Report for Calendar 2016

The Honorable Patty Murray (Murray, Patty)

Filed 05/04/2017 @ 9:25 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

**Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria**Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No****Part 2. Earned and Non-Investment Income**Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Tideworks Seattle, WA	> \$1,000
2	Spouse	Retirement	Fidelity Investments Boston, MA	> \$1,000

**Part 3. Assets**Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	<b>USSFCU</b> (Washington, DC) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2	<b>Whidbey Island Bank</b> (Freeland, WA) <i>Type: Checking,</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
3	<b>Spouse IRA</b>	Retirement Plans IRA	Spouse			
3.1	<b><u>PQIAX</u> - Principal Equity Income A (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
4	<b>Self IRA</b>	Retirement Plans IRA	Self			
4.1	<b><u>PQIAX</u> - Principal Equity Income A (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
5	<b>Spouse IRA</b>	Retirement Plans IRA	Spouse			
5.1	<b><u>VMRGX</u> - Vanguard Morgan Growth Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
5.2	<b><u>VPMCX</u> - Vanguard PRIMECAP Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
5.3	<b><u>VGENX</u> - Vanguard Energy Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6	<b>Variable Annuity</b> <i>Provider: Fidelity</i>	Annuity Variable Annuity	Spouse			
6.1	<b>FAVAM</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
7	<b>Spouse IRA</b>	Retirement Plans IRA	Spouse			
7.1	<b><u>FFTHX</u> - Fidelity Freedom 2035</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
7.2	<b><u>FTWX</u> - Fidelity Freedom 2025</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
7.3	<b><u>FFXSX</u> - Fidelity Limited Term Government</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.4	<b><u>FUSEX</u> - Fidelity 500 Index Investor</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.5	<b><u>FDRXX</u> - Fidelity Cash Reserves</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
7.6	<b><u>FFFEEX</u> - Fidelity Freedom 2030</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
7.7	<b><u>FMAGX</u> - Fidelity Magellan</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
7.8	<b><u>FBALX</u> - Fidelity Balanced</b>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)
7.9	<b><u>FGRIX</u> - Fidelity Growth &amp; Income</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
7.10	<b><u>FBGRX</u> - Fidelity Blue Chip Growth</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
8	<b>State of Washington Retirement Plan PERS1</b>	Retirement Plans Defined Benefit Pension Plan	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	<u>FKTWX</u>	Fidelity Freedom K 2025	Sale (Full)	02/19/2016	\$50,001 - \$100,000	Rollover from Employer 401k
2	Spouse	<u>FKTHX</u>	Fidelity Freedom K 2035	Sale (Full)	02/19/2016	\$1,001 - \$15,000	Rollover from Employer 401k
3	Spouse	<u>FFKDX</u>	Fidelity Freedom K 2020	Sale (Full)	02/19/2016	\$50,001 - \$100,000	Rollover from Employer 401k
4	Spouse	<u>FBAKX</u>	Fidelity Balanced K	Sale (Full)	02/19/2016	\$50,001 - \$100,000	Rollover from Employer 401k
5	Spouse	<u>FBGKX</u>	Fidelity Blue Chip Growth K	Sale (Full)	02/19/2016	\$50,001 - \$100,000	Rollover from Employer 401k
6	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Purchase	02/19/2016	\$100,001 - \$250,000	Rollover from Employer 401k
7	Spouse	<u>FBALX</u>	Fidelity Balanced	Purchase	02/22/2016	\$50,001 - \$100,000	Rollover from Employer 401k
8	Spouse	<u>FBGRX</u>	Fidelity Blue Chip Growth	Purchase	02/22/2016	\$50,001 - \$100,000	Rollover from Employer 401k

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
9	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Sale (Partial)	02/23/2016	\$15,001 - \$50,000	--
10	Spouse	<u>FFTHX</u>	Fidelity Freedom 2035	Purchase	02/23/2016	\$15,001 - \$50,000	--
11	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Sale (Partial)	02/23/2016	\$15,001 - \$50,000	--
12	Spouse	<u>FTWX</u>	Fidelity Freedom 2025	Purchase	02/23/2016	\$15,001 - \$50,000	--
13	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Sale (Partial)	02/23/2016	\$15,001 - \$50,000	--
14	Spouse	<u>FFEX</u>	Fidelity Freedom 2030	Purchase	02/23/2016	\$15,001 - \$50,000	--
15	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Sale (Partial)	03/08/2016	\$15,001 - \$50,000	--
16	Spouse	<u>FKTHX</u>	Fidelity Freedom K 2035	Purchase	03/21/2016	\$1,001 - \$15,000	--
17	Spouse	<u>CLDBX</u>	BlackRock Low Duration Bond K	Purchase	04/21/2016	\$1,001 - \$15,000	--
18	Spouse	<u>FKTHX</u>	Fidelity Freedom K 2035	Sale (Full)	05/03/2016	\$1,001 - \$15,000	Rollover from Employer 401k
19	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Purchase	05/03/2016	\$1,001 - \$15,000	Rollover from Employer 401k
20	Spouse	<u>FKEX</u>	Fidelity Freedom K 2030	Sale (Full)	05/23/2016	\$50,001 - \$100,000	Rollover from Employer Retirement Plan
21	Spouse	<u>FKTWX</u>	Fidelity Freedom K 2025	Sale (Full)	05/23/2016	\$50,001 - \$100,000	Rollover from Employer Retirement Plan
22	Spouse	<u>FKDX</u>	Fidelity Freedom K 2020	Sale (Full)	05/23/2016	\$100,001 - \$250,000	Rollover from Employer Retirement Plan
23	Spouse	<u>FBGKX</u>	Fidelity Blue Chip Growth K	Sale (Full)	05/23/2016	\$100,001 - \$250,000	Rollover from Employer Retirement Plan
24	Spouse	<u>FBAKX</u>	Fidelity Balanced K	Sale (Full)	05/23/2016	\$100,001 - \$250,000	Rollover from Employer Retirement Plan
25	Spouse	<u>CLDBX</u>	BlackRock Low Duration Bond K	Sale (Full)	05/23/2016	\$1,001 - \$15,000	Rollover from Employer Retirement Plan

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
26	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Purchase	05/23/2016	\$1,001 - \$15,000	Rollover from Employer Retirement Plan
27	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Purchase	05/23/2016	\$100,001 - \$250,000	Rollover from Employer Retirement Plan
28	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Purchase	05/23/2016	\$50,001 - \$100,000	Rollover from Employer Retirement Plan
29	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Purchase	05/23/2016	\$50,001 - \$100,000	Rollover from Employer Retirement Plan
30	Spouse	<u>FBALX</u>	Fidelity Balanced	Purchase	05/23/2016	\$100,001 - \$250,000	Rollover from Employer Retirement Plan
31	Spouse	<u>FBGRX</u>	Fidelity Blue Chip Growth	Purchase	05/23/2016	\$100,001 - \$250,000	Rollover from Employer Retirement Plan
32	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Sale (Partial)	05/25/2016	\$100,001 - \$250,000	--
33	Spouse	<u>FFTHX</u>	Fidelity Freedom 2035	Purchase	05/25/2016	\$100,001 - \$250,000	--
34	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Sale (Partial)	05/25/2016	\$50,001 - \$100,000	--
35	Spouse	<u>FETWX</u>	Fidelity Freedom 2025	Purchase	05/25/2016	\$50,001 - \$100,000	--
36	Spouse	--	FDRXX - Fidelity Cash Reserves	Sale (Partial)	05/25/2016	\$100,001 - \$250,000	--
37	Spouse	<u>FFEXX</u>	Fidelity Freedom 2030	Purchase	05/25/2016	\$100,001 - \$250,000	--
38	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Sale (Partial)	07/11/2016	\$1,001 - \$15,000	--
39	Spouse	<u>FDRXX</u>	Fidelity Cash Reserves	Sale (Partial)	11/16/2016	\$1,001 - \$15,000	--

### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

### Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

## Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2013	Joint	Mortgage	0	3.0% (10)	\$50,001 - \$100,000	CHASE Seattle, WA	-
2	2005	Joint	Home Equity Line of Credit	-	WSJ Prime% (-)	\$15,001 - \$50,000	CHASE Seattle, WA	-

## Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

## Part 10. Compensation

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

## Attachments & Comments

*No attachments added.*

*No comments added.*