Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)*	Reporting Status (Check Appropriate Boxes)	Incumbent	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate	Termination Filer	Termination Date *(If Applicable) (Month, Day, Year)*
01/20/2009		☐	2009	☐	☐	

Reporting Individual's Name	Last Name: Emanuel	First Name and Middle Initial: Rahm, I.
Position for Which Filing	Title of Position: White House Chief of Staff	Department or Agency *(If Applicable)*: Executive Office of the President
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State , and ZIP Code)*: 1600 Pennsylvania Avenue NW, Washington, D.C. 20006	Telephone No. *(Include Area Code)*: (202) 456-1414
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held: United States Congressman from the 5th District of Illinois (Jan. 2003 - Jan. 2009)	
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Not Applicable	Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No

Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: Rahm Emanuel	Date *(Month, Day, Year)*: 5/17/10
Other Review (If desired by agency)	Signature of Other Reviewer: Keith Labedy	Date *(Month, Day, Year)*: 6/10/10
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: Heather C Gotbey	Date *(Month, Day, Year)*: 6-16-10
Office of Government Ethics Use Only	Signature	Date *(Month, Day, Year)*

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days _____) ☐

(Check box if comments are continued on the reverse side) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

Agency Use Only
5/17/10
OGE Use Only

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Emanuel, Rahm, I.

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

		Valuation of Assets (BLOCK B)															Type (BLOCK C)				Amount (BLOCK C)												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
	Kempstone Equity Fund					x								x										x									
	IRA: Heartland 500 Index Fund							x						x											x								
1	Alaska Mun BD BK Auth series 4 DTD 08/28/2007 4.25% due 9/1/13					X													X						X								
2	Chattanooga Tenn Ref & Impt - Due 9/1/10					X													X						X								
3	Ashland Cnty Ohio DTD 6/1/1991 7.00% Due 12/1/11		X																X			X											
4	Cincinnati OH Wtr Sys Rev - Due 12/1/12					X													X					X									
5	Fox Chapel Penn Sch Dist - Due 4/1/15				X														X				X										
6	Calhoun Cnty Tex Indpt Sch Dist Sch Bldg DTD 8/15/2007 4.75% due 2/15/2012					X													X						X								

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		3 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B														BLOCK C															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Carroll Tex Indpt Sch Dist Ref DTD 11/15/1998 5.00% due 2/15/2020 Unrefunded balance	X																	X		X											
2 Columbus Ohio Ltd Tax-Ser 2 DTD 07/27/2004 5.50% due 07/01/2010					X													X					X								
3 Ohio St Ref Infras - Due 8/1/13					X													X				X									
4 Ashton Fds - TAMRO small cap FD - class 1		X											X							X											
5 Artio International Eq II			X										X			X						X									
6 Dodge & Cox Int'l Stock				X									X			X						X									
7 Fond du Lac WIS Prom NTS DTD 05/01/2001 4.50% due 05/01/2009	X																	X		X											
8 Eagle Mid Cap Stock I		X											X			X				X											
9 Hartford Capital Apprec Fd			X										X			X				X											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		4 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B														BLOCK C															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Ipath GS Crude Oil		X											X				X			X											
2 Ishares TR Msci Asian Ex Japan		X											X			X				X											
3 Grosse Pointe Mich DTD 06/01/2001 5.00% due 10/01/2018					X													X					X								
4 JPMorgan Market Expansion Index Fd		X											X			X				X											
5 Honolulu Hawaii City & Cnty Ref Ser E DTD 11/22/2005 4.25% due 07/01/2015					X													X						X							
6 JPMorgan Strat Inc Oppor Fd					X								X			X								X							
7 Ill HSG dev auth rev homeowner mtg A subser A-2 dtd 02/01/2001 4.50% due 08/01/2009	X																	X		X											
8 JP Morgan TR I US Large Cap Value Plus		X											X			X					X										
9 King Cnty Wash Pub Hosp Dist No 002 Ser A DTD 01/15/2001 5.00% due 12/01/2019					X													X					X								

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		5 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B														BLOCK C															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Legg Mason Partners Apprec						X							X			X								X							
2 Lake Cnty Ill. Cmnty High Sch Dist No 124 Grant DTD 2/1/2001 4.50% due 12/1/2011					X													X					X								
3 Lisle Ill. Pk Dist Alt Rev Source DTD 08/15/2002 4.50% due 12/30/2018					X													X					X								
4 Lyon Cnty Nev Sch Dist Ser A DTD 02/01/2001 5% due 6/1/2021 Prerefunded 6/1/11 @ 100.00					X													X					X								
5 Matthews Pacific Tiger Fd					X								X			X						X									
6 Nuveen NWQ Val Oppor Fd			X										X			X				X											
7 T Rowe Price International Fd					X								X			X					X										
8 Metropolitan Atlanta Rapid Tran Auth GA Sales Tax Rev Ref-Second Indenture-Ser A dtd	X																	X		X											
9 Metropol Washington DC Arpts Auth Gen ARPT Rev Ref Ser A dtd 4/15/1999 5% due 10/1/2027				X														X					X								

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		6 of 24

Assets and Income	Valuation of Assets at close of reporting period													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B													BLOCK C															
														Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Vanguard Fixed Income Secs F -- Vanguard Intermediate-Term Invst-Grade Fund					X								X					X						X								
2 New York N Y Ref Ser E dtd 6/3/2002	X																	X		X												
3 Northeast Neb Solid Waste Coal. Solid Waste Disp Facs Rev dtd 10/1/98 4.8% due 5/15/2017					X													X					X								
4 State of Kansas Dev Fin - Due 6/15/19				X														X					X								
5 Tulsa Ok Ref - Due 3/1/19				X														X					X								
6 Santa Fe Tex indpt Sch Dist Ref DTD 5/15/2005 4.25% Due 2/15/2014					X													X						X								
7 Tomball Tex Indpt Sch Dist ref ser A dtd 2/15/01 5% Due 2/15/23 Prerefund. 2/15/11 @ 100			X															X				X										
8 Tomball tex indpt sch dist ref ser A dtd 2/15/01 5% due 2/15/23 unrefunded bal			X															X			X											
9 Topeka Kans Sales Tax Rev DTD 6/15/2006 4.25% due 12/15/2015					X													X						X								

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		7 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B														BLOCK C															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 University Tex Perm Univ Fd Perm Univ Fd Bds DTD 12/1/97 4.75% due 7/1/2018			X															X				X									
2 Warren Mich Downtown Dev DTD 12/1/2005 4.20% due 10/1/2018				X														X				X									
3 West Fargo N Dref & Impt-Ser D DTD 12/15/2005 4.10% due 5/1/2017					X													X					X								
4 American Century Equity Income Fund					X								X			X			X					X								
5 Eagle Mid Cap Stock Fund Class A (HMCAX)	X												X			X			X	X												
6 Eaton Vance Mutual Funds Floating Rate Fund - Class I (EIBLX)		X											X			X		X	X	X												
7 Edgewood Growth Fund Institutional Class (EGFIX)	X												X			X			X	X												
8 Fidelity Advisor Series II High Income Advantage Fund (FAHCX)					X								X			X		X	X					X								
9 FMI Funds Inc Large Cap Fund (FMIHX)							X						X			X							X									

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		8 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.														
BLOCK A	BLOCK B														BLOCK C														
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Harbor Funds International Fund Institutional Class	X												X			X			X	X											
2 Ishares TR Barclays Tips BD FD		X											X					X		X											
3 Ishares TR Cohen & Steers Realty Major Index Fund	X												X			X				X											
4 Ishares TR Msci Eafe Index Fund (EFA)			X										X			X					X										
5 Ishares TR Russel 1000 Growth Index Fund (IWF)					X								X			X						X									
6 Ishares TR Russel 1000 Value Index Fund (IWD)			X										X			X					X										
7 Ishares TR Russel 2000 Index Fund (IWM)					X								X			X						X									
8 Ishares TR Russel Midcap Growth Index Fund (IWP)	X												X			X				X											
9 Ishares TR Russel Midcap Index Fund						X							X			X								X							

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		9 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.														
BLOCK A	BLOCK B														BLOCK C														
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Ishares TR Russel Midcap Value Index Fund	X												X			X				X											
2 Ishares TR S&P Midcap 400 Index Fund (IJH)				X									X			X						X									
3 Ishares TR S&P Smallcap 600 Index Fund (IJR)	X												X			X				X												
4 Powershares DB Commod Index		X											X							X												
5 JPMorgan 100% US Treasury Securities Money Market Reserve Share Class Sweep			X										X					X		X												
6 JPMorgan Asia Equity Fund (JPASX)						X							X			X			X			X										
7 JPMorgan High Yield Bond Fund Select Class (OHYFX)					X								X			X		X	X					X								
8 JPMorgan Intermediate Tax Free Bond Fund Select Class (VSITX)						X							X			X		X						X								
9 Goldman Sachs TR High Yield Fund Institutional Shares (GSHIX)			X										X			X		X	X				X									

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		10 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B														BLOCK C															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (*Mo., Day, Yr.*) Only if Honoraria
1 JPMorgan International Value Fund Select Class (JIESX)						X							X			X			X					X							
2 JPMorgan Intrepid America Fund Select Class (JPIAX)		X											X			X			X	X											
3 JPMorgan Intrepid Growth Fund Select Class (JPGSX)	X												X			X			X	X											
4 JPMorgan Intrepid International Fund Select Class (JISIX)			X										X			X			X		X										
5 JPMorgan Short Duration Bond Fund Select Class (HLLVX)				X									X			X		X		X											
6 JPMorgan Tax Aware US Equity Fund Select Class (JPTAX)					X								X			X			X				X								
7 JPMorgan tax Free Money Market Premier Class Sweep (VTMXX)						X							X					X		X											
8 JPMorgan TR I Growth Advantage Fund Select Class (JGASX)				X									X			X			X	X											
9 JPMorgan TR I Highbridge Statistical Market Neutral Select Class (HSKSX)				X									X			X		X	X	X											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		11 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B														BLOCK C															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 JPMorgan TR I Tax Aware Real Return Fund Select SH						X							X					X						X							
2 JPMorgan US Large Cap Core Plus Fund Select Class				X									X			X			X		X										
3 JPMorgan US Real Estate Fund Select Class (SUIEX)			X										X			X					X										
4 Old Mutual Analytic US Long/Short Fund Class Z					X								X			X			X			X										
5 SPDR Gold TR Gold Shares				X									X							X												
6 SPDR TR Unit Ser 1				X									X			X						X										
7 T. Rowe Price New Asia Fund	X												X			X			X	X												
8 T. Rowe Price Overseas Stock fund				X									X			X			X			X										
9 Third Avenue Value Fund (TAVFX)	X												X			X			X	X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		12 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B														BLOCK C															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Thornburg Value Fund Class I		X											X			X			X	X											
2 Vanguard Emerging Markets ETF (VWO)	X												X			X			X	X											
3 Apollo Investment Corp. (AINV)	X												X			X		X		X											
4 Barclays bk plc buffered ret enh note linked to perf basket asian eq ind dtd 12/2/08 due 5/29/09	X																		X	X											
5 Barclays BK PLC Buffered Return Enhanced Notes Linked to the S&P 500 Index DTD	X																			X											
6 barclays bk plc buff. ret enh note linked to perf basket asian eq ind dtd 12/2/08 due 12/16/09	X																			X											
7 Barclays BK PLC Notes Linked to Weighted Basket of 3 Buffered Ret Enhanced Component	X																		X	X											
8 Barclays BK PLC Return Enhanced Notes Linked to S&P 500 Index DTD	X																			X											
9 Chilton New Era Partners, LP (See Note 1)				X												X		X	X		X										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		13 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B														BLOCK C															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Good Hope Limited Partnership (See Note 2)				X												X		X	X		X										
2 HSBC USA Inc Buffered Enhanced Notes Linked to S&P 500 Ind DTD 12/2/08 due 5/29/09	X																		X	X											
3 JPMorgan Account for Spouse (Cash)		X																X		X											
4 JPMorgan Account for Rahm Emanuel (Cash)		X																X		X											
5 JPMorgan Account for Rahm Emanuel and Spouse Charitable Trust (Cash)	X																	X		X											
6 JPMorgan Deposit Sweep Institutional						X												X		X											
7 JP Morgan Rahm Emanuel Life Insurance Trust UAD 3/1/05		X																X		X											
8 Morgan Stanley Annual Review Notes Linked to S&P 500 Index DTD	X																			X											
9 Morgan Stanley Buffered Return Enhanced Notes Linked to S&P 500 Index DTD	X																			X											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		14 of 24

Assets and Income		Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.														
		BLOCK A	BLOCK B													BLOCK C														
																Type				Amount										
			None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Morgan Stanley Buffered Ret. Enhanced Notes Linked to the MSCI AC Far East Ex Japan Index	X																	X	X											
2	Schering-Plough Corp. Convertible	X												X			X				X										
3	Appleseed Fd			X										X			X				X										
4	Merck		X														X					X									
5	HSBC SARN SPX	X																	X	X			X								
6	MIL WI Area Tech	X																	X	X			X								
7	Dreyfus Treasury Prime Inv. Sh (Money Market)		X																X	X											
8	Gentex Corp.	X																	X												
9	Janklow & Nesbitt Associates. (See Note 4)																	X						X							

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		15 of 24

Assets and Income	Valuation of Assets at close of reporting period														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.															
BLOCK A	BLOCK B														BLOCK C															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Limited Brands, Inc.	X																X		X											
2 Unumprovident Corp. Senior Notes 7.625% 3/1/11 B/E DTD 3/9/01		X															X			X										
3 Personal Residence - Chicago, IL							X									X								X						
4 Compensation/Sal from Obama Transition Team																														6,590	
5																															
6																															
7																															
8																															
9																															

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B	Page Number
Emanuel, Rahm, I.		16 of 24

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
	Example: Central Airlines Common	x			2/1/99			x									
1	Ashland County Ohio 12/1/11		X		12/2/09	X											
2	Caroll Tex 2/15/20		X		08/24/09			X									
3	Chattanooga Tenn Ref & Impt	X			01/27/09				X								
4	Cincinnati OH Wtr Sys Rev	X			12/21/09				X								
5	Fond du Lac 5/1/09		X		05/01/09		X										

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260, and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

	Source (Name and Address)	Brief Description	Value
	Examples: Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1	Bruce Springsteen	Bruce Springsteen Concert - 5/18/09 - 10 tickets at $95 per 1 ticket	$950
2	Bruce Springsteen	Bruce Springsteen Concert - 11/2/09 - 15 tickets at $95 per 1 ticket	$1,425
3			
4			
5			

Brought to you by OpenSecrets.org

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		17 of 24

Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	Fox Chapel Penn Sch Dist	X			07/23/09			X									
2	HSBC Sarn SPX	X			01/27/09		X										
3	HSBC Sarn SPX		X		05/13/09			X									
4	IL. HSG 8/1/09		X		08/01/09		X										
5	Metropolitan Atlanta		X		07/01/09		X										
6	Mil Wi Area Tech Coll	X			02/17/09			X									
7	Mil Wi Area Tech Coll		X		12/1/09			X									
8	New York Serie		X		08/03/09		X										
9	Ohio St Ref Infras	X			02/02/09				X								
10	State of Kansas Dev Fin	X			12/15/09			X									
11	Tulsa Ok Ref	X			10/29/09			X									
12	Schering-Plough Corp. Convertible			X	11/04/09	X											
13	Ashton Fds	X			05/13/09	X											
14	Artio International Eq II	X			09/11/09		X										
15	Dodge & Cox Int'l Stock	X			02/06/09			X									
16	Eagle Mid Cap Stock I	X			05/13/09	X											

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		18 of 24

Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	Eagle Mid Cap Stock Fd Class A		X		05/13/09	X											
2	Edgewood Growth Fd Institutional		X		05/13/09		X										
3	Fidelity Advisor Series II		X		05/13/09	X											
4	FMI Funds Inc Large Cap Fd	X			05/12/09	X											
5	Harbor Funds International Fd		X		02/06/09				X								
6	Hartford Capital Apprec Fd	X			05/15/09		X										
7	Ipath GS Crude Oil	X			05/15/09	X											
8	Ishares TR Barclays Tips Bd Fd	X			05/15/09	X											
9	Ishares TR Cohen & Steers Realty		X		02/06/09	X											
10	Ishares TR Msci Eafe Index Fd	X			11/18/09	X											
11	Ishares TR Msci Asian Ex Japan	X			05/15/09	X											
12	Ishares TR Msci Asian Ex Japan		X		0918/09	X											
13	Ishares TR Russel 1000 Growth Index Fd	X			05/15/09	X											
14	Ishares TR Russel 2000 Index Fd	X			07/13/09			X									
15	Ishares TR Russel Midcap Growth Index Fd		X		05/15/09	X											
16	Ishares TR Russel Midcap Index Fd		X		02/24/09	X											

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		19 of 24

Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	Ishares TR Russel Midcap Value Index Fd		X		05/15/09	X											
2	Ishares TR S&P Midcap 400 Index Fd	X			09/17/09	X											
3	Ishares TR S&P Smallcap 600 Index Fund		X		05/15/09	X											
4	JPMorgan Asia Equity Fund		X		02/20/09		X										
5	JPMorgan Asia Equity Fund	X			05/13/09				X								
6	JPMorgan High Yield Bond Fd Select Class	X			05/13/09	X											
7	JPMorgan Intermediate Tax Free Bd Fd	X			09/22/09	X											
8	JPMorgan International Value Fund	X			05/13/09				X								
9	JPMorgan Intrepid America Fd Select Class		X		06/11/09		X										
10	JPMorgan Intrepid Growth Fd Select Class		X		01/12/09		X										
11	JPMorgan Market Expansion Index Fd	X			05/13/09	X											
12	JPMorgan Short Duration Bd Fd		X		11/13/09		X										
13	JPMorgan Strat Inc Oppor Fd	X			02/11/09				X								
14	JPMorgan Tax Aware US Eq Fd		X		03/09/09			X									
15	JPMorgan Tax Aware US Eq Fd	X			02/04/09		X										
16	JPMorgan TR I Growth Advantage Fd		X		02/20/09	X											

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		20 of 24

Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	JPMorgan TR I Highbridge Statistical Mkt	X			05/13/09	X											
2	JPMorgan TR I Tax Aware Real return Fd	X			05/15/09			X									
3	JPMorgan TR I US Large Cap Value Plus	X			03/09/09		X										
4	JPMorgan US Large Cap Core Plus Fd	X			03/06/09	X											
5	JPMorgan US Large Cap Core Plus Fd		X		06/15/09		X										
6	JPMorgan US Real Estate Fd	X			05/13/09	X											
7	Legg Mason Partners Apprec	X			04/02/09				X								
8	Matthews Pacific Tiger Fd	X			06/04/09				X								
9	Nuveen NWQ Val Oppor Fd	X			05/13/09		X										
10	Powershares DB Commod Index	X			07/13/09	X											
11	SPDR TR Unit Ser 1	X			11/18/08			X									
12	SPDR TR Unit Ser 1		X		06/05/09					X							
13	T Rowe Price International Fd	X			09/23/09			X									
14	T Rowe Price New Asia Fd		X		02/20/09		X										
15	T Rowe Price Overseas Stock Fd	X			02/04/09		X										
16	Third Avenue Value Fd		X		05/15/09		X										

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		21 of 24

Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	Thornburg Value Fund Class I		X		05/13/09	X											
2	Vanguard Emerging Markets ETF		X		02/13/09		X										
3	Vanguard Fixed Income Secs F	X			05/13/09				X								
4	Apollo Investment Corp.	X			02/06/09				X								
5	Apollo Investment Corp.		X		03/31/09		X										
6	Barclays Bk Plc Buffered 5/29/09		X		05/13/09	X											
7	Barclays Bk Plc Buffered		X		05/13/09			X									
8	Barclays Bk Plc Buffered 12/16/09		X		04/27/09		X										
9	Barclays Bk Plc Notes		X		05/13/09	X											
10	Barclays Bk Plc Return Enhanced Notes		X		05/13/09			X									
11	HSBC USA Inc Buffered 5/29/09		X		05/13/09			X									
12	Morgan Stanley Annual Review Notes		X		05/13/09		X										
13	Morgan Stanley Buffered		X		03/06/09		X										
14	Morgan Stanley Buffered Ret Enh Notes		X		03/06/09			X									
15	Apleseed Fd	X			06/19/09	X											
16	Gentex Corp		X		05/07/09	X											

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B continued (Use only if needed)	Page Number
Emanuel, Rahm, I.		22 of 24

Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	Limited Brands, Inc.		X		08/31/09	X											
2	Merck			X	11/4/09	X											
3	Merck		X		11/18/09	X											
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Brought to you by OpenSecrets.org

Reporting Individual's Name	SCHEDULE C	Page Number
Emanuel, Rahm, I.		23 of 24

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x) $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1	Debevoise Plimpton, LLP	Professional Services - see Note 3	2009	0%	on demand	X										
2																
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1			
2			
3			
4			
5			
6			

Brought to you by OpenSecrets.org

SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE D	Page Number
Emanuel, Rahm, I.		24 of 24

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Obama-Biden Transition	Presidential Transition	Chief-of-Staff Designate	01/2009	1/2009
2	Rahm Emanuel & Spouse Charitable Trust	Charitable Trust	Trustee	07/2002	PRESENT
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

ATTACHMENT TO SCHEDULE A

Note 1

Chilton New Era Partners, LP – As of December 31, 2009, the value of this asset represents a holdback payment that will be paid to the Appointee.

Note 2

Good Hope Limited Partnership – As of December 31, 2009, this partnership held investments in the following: a shopping center located in Milwaukee, Wisconsin.

Note 3

DeBevoise and Plimpton, LLP – Services rendered in connection with the Illinois Governor investigation by the U.S. Attorney's Office for the Northern District of Illinois 2009 – Liability paid by date of filing.

Note 4

Janklow & Nesbitt Associates – Book royalties from "The Plan: Big Ideas for America" all donated to charity (value not ascertainable).

Brought to you by OpenSecrets.org