

## Annual Report for Calendar 2015

### The Honorable Rand Paul (Paul, Rand)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

#### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

#### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Royalties (Advance)	Center Street (Hachette Book Group) New York, NY	> \$1,000
2	Self	Royalties	CENTER STREET (Hachette Book Group) NEW YORK, NY	\$209,502.00

#### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	<b>First Security Bank</b> (Bowling Green, KY) Type: Checking, Savings,	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
2	<b><u>JNMXX</u> - Janus Money Market Fund D Share (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3	<b><u>JNMXX</u> - Janus Money Market Fund D Share (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4	<b><u>JGVXX</u> - Janus Government Money Market F (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
5	<b><u>JURDX</u> - Janus Real Return D (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
6	<b><u>JANDX</u> - Janus D (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
7	<b><u>CPEXX</u> - American Century Capital Preser (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
8	<b><u>BGEIX</u> - American Century Global Gold Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
9	<b><u>VBIAX</u> - Vanguard Balanced Index Adm (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10	<b><u>VAIPX</u> - Vanguard Inflation-Protected Secs Adm (NASDAQ)</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11	<b><u>VAIPX</u> - Vanguard Inflation-Protected Secs Adm (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,001 - \$2,500
12	<b><u>VFITX</u> - Vanguard Interm-Term Treasury Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
13	<b><u>VMMXX</u> - Vanguard Money Market Reserves (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
14	<b><u>VGELX</u> - Vanguard Energy Adm (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
15	<b><u>VMFXX</u> - Vanguard Money Market Reserves (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
16	<b><u>VTHRX</u> - Vanguard Target Retirement 2030 Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
17	<b><u>VBMFX</u> - Vanguard Total Bond Market Index Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
18	<b><u>VFIAX</u> - Vanguard 500 Index Admiral (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
19	<b><u>USAGX</u> - USAA Precious Metals and Minerals (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
20	<b>RRPIX - ProFunds Rising Rates Opp Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
21	<b>RYJUX - Rydex Inverse Gov Long Bond Strategy Inv (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
22	<b>GIFT College Investing Plan</b> <i>Institution: Upromise Investments, Inc.</i>	Education Savings Plans 529 College Savings Plan	Child			
22.1	<b>Income Portfolio</b> <i>Institution: Upromise Investments, Inc.</i>	Education Savings Plans 529 College Savings Plan	Child	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
23	<b>GIFT College Investing Plan</b> <i>Institution: Upromise Investments, Inc.</i>	Education Savings Plans 529 College Savings Plan	Child			
23.1	<b>Growth Portfolio</b>	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
23.2	<b>Moderate Growth Portfolio</b>	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
23.3	<b>Income Portfolio</b>	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
24	<b>Alchemy, LLC</b> <i>Company:</i> Alchemy, LLC (Bowling Green, KY) <i>Description:</i> real estate	Business Entity Limited Liability Company (LLC)	Self			
24.1	<b>Rental Property</b> <i>Description:</i> Rental Property (Bowling Green, KY)	Real Estate Residential	Self	\$50,001 - \$100,000	Rent/Royalties,	\$5,001 - \$15,000
24.2	<b>Rental Property</b> <i>Description:</i> Rental Property (Destin, FL)	Real Estate Residential	Self	\$100,001 - \$250,000	Rent/Royalties,	\$5,001 - \$15,000
25	<b>Carona Limited</b> <i>Company:</i> Carona Limited (Lake Jackson, TX) <i>Description:</i> Rental property.	Business Entity Limited Partnership (LP)	Self			
25.1	<b>Rental Property 1</b> <i>Description:</i> Rental Property (Lake Jackson, TX)	Real Estate Residential	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
25.2	<b>Rental Property 2</b> <i>Description:</i> Rental Property (Lake Jackson, TX)	Real Estate Residential	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
25.3	<b>Rental Property 3</b> <i>Description:</i> Rental Property (Lake Jackson, TX)	Real Estate Residential	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
25.4	<b>Rental Property 4</b> <i>Description:</i> Rental Property (Lake Jackson, TX)	Real Estate Residential	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
25.5	<b>Rental Property 5</b> <i>Description: Rental Property (Lake Jackson, TX)</i>	Real Estate Residential	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
25.6	<b>Silver Coins</b> <i>Description: Silver Coins</i>	Personal Property Precious Metals	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
26	<b><u>JACNX</u> - Janus Contrarian D (NASDAQ)</b>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None, Excepted Investment Fund,	\$201 - \$1,000
27	<b><u>VBIAX</u> - Vanguard Balanced Index Adm (NASDAQ)</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
28	<b>VMMXX - Vanguard Money Market Reserves</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Joint	--	VBIAX - Vanguard Balanced Index Adm	Sale (Partial)	02/23/2015	\$1,001 - \$15,000	--
2	Joint	<u>VMMXX</u>	Vanguard Money Market Reserves	Purchase	02/23/2015	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
3	Self	<u>JNMXX</u>	Janus Money Market Fund D Share	Purchase	02/23/2015	\$1,001 - \$15,000	--
4	Self	<u>JNMXX</u>	Janus Money Market Fund D Share	Sale (Partial)	04/15/2015	\$1,001 - \$15,000	--
5	Self	<u>JNMXX</u>	Janus Money Market Fund D Share	Sale (Partial)	05/28/2015	\$1,001 - \$15,000	--
6	Self	<u>JACNX</u>	Janus Contrarian D	Sale (Partial)	02/23/2015	\$1,001 - \$15,000	--
7	Self	<u>JGVXX</u>	Janus Government Money Market F	Purchase	02/23/2015	\$1,001 - \$15,000	--
8	Self	--	JANBX - Janus Balanced D	Sale (Full)	02/23/2015	\$1,001 - \$15,000	--
9	Self	--	VBIAX - Vanguard Balanced Index Adm	Sale (Partial)	02/23/2015	\$1,001 - \$15,000	--
10	Self	--	VAIPX - Vanguard Inflation-Protected Secs Adm	Sale (Partial)	02/23/2015	\$1,001 - \$15,000	--
11	Self	<u>VMMXX</u>	Vanguard Money Market Reserves	Purchase	02/23/2015	\$15,001 - \$50,000	--
12	Self	<u>VFIAX</u>	Vanguard 500 Index Admiral	Sale (Partial)	02/23/2015	\$1,001 - \$15,000	--
13	Child	--	UPROMISE - INCOME PORTFOLIO	Sale (Partial)	02/05/2015	\$1,001 - \$15,000	--
14	Child	--	UPROMISE - INCOME PORTFOLIO	Sale (Partial)	09/07/2015	\$1,001 - \$15,000	--
15	Joint	--	UPROMISE - INCOME PORTFOLIO	Purchase	08/01/2015	\$1,001 - \$15,000	--
16	Child	--	UPROMISE - INCOME PORTFOLIO	Purchase	08/01/2015	\$1,001 - \$15,000	--
17	Child	--	UPROMISE - GROWTH PORTFOLIO	Purchase	08/01/2015	\$1,001 - \$15,000	--
18	Child	--	UPROMISE - MODERATE GROWTH PORTFOLIO	Purchase	08/01/2015	\$1,001 - \$15,000	--

## Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

## Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

## Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate		Amount	Creditor	Comments
					(Term)				
1	2014	Joint	Mortgage	0	3.25%		\$100,001 -	Franklin American Mortgage	-
					(10		\$250,000	Company	
					years)			Franklin, TN	

## Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2005 to present	Other (Member)	Alchemy, LLC Bowling Green, KY	Company	
2	Jan 1996 to present	Other (Limited Partner)	Carona Limited Lake Jackson, TX	Other (Limited Partnership)	

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Nov 2010	Center Street (Hachette Book Group) New York, NY	Royalty Agreement	Contract with Center Street (Hachette Book Group) for book The Tea Party Goes to Washington; advance paid in 2010 and 2011, royalties based on usual and customary terms.
2	Feb 2012	Center Street (Hachette Book Group) New York, NY	Royalty Agreement	Contract with Center Street (Hachette Book Group) for book Government Bullies; advance paid in 2012, royalties based on usual and customary terms.



#	Date	Parties Involved	Type	Status and Terms
3	Mar 2013	Center Street (Hachette Book Group) New York, NY	Royalty Agreement	Contract with Center Street (Hachette Book Group) for book Taking a Stand; advance paid in 2013 and future years, royalties based on usual and customary terms.
4	May 2015	Center Street (Hachette Book Group) New York, NY	Royalty Agreement	Contract with Center Street (Hachette Book Group) for book Our Presidents & Their Prayers; advance and royalties based on usual and customary terms.

### **Part 10. Compensation**

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

### **Attachments & Comments**

*No attachments added.*

*No comments added.*