



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Raúl M. Grijalva
Status: Member
State/District: AZ03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3426 9th Ave LOCATION: TUCSON, AZ, US	JT	\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
AMERICAN CAP WRLD GR & INC F2 (WGIFX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
ARIZONA STATE RETIREMENT SYSTEM		Undetermined	Tax-Deferred		<input type="checkbox"/>
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM	SP	Undetermined	Tax-Deferred		<input type="checkbox"/>
CLEARBRIDGE ALL CAP VALUE I (SFVYX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
CLEARBRIDGE APPRECIATION I (SAPYX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
CONGRESS MID CAP GROWTH INSTL (IMIDX)	SP	\$1,001 - \$15,000	Capital Gains,	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
			Dividends		
Eli Lilly and Company (LLY)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
FIRST TR VALU.LN DIV IDX (FVD)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Home Depot, Inc. (HD)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
INVESCO DIVERSIFIED DIVIDEND Y (LCEYX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
John Hancock Financial Opportunities Fund (BTO)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
JP Morgan Chase & Co. (JPM)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Mastercard Incorporated (MA)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
MFS MA INVESTORS GW STK I (MGTIX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Microchip Technology Incorporated (MCHP)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Microsoft Corporation (MSFT)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
MORGAN STANLEY BANK NA	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Nike, Inc. (NKE)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
OPPENHEIMER GLOBAL U (OGLYX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pepsico, Inc. (PEP)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
PIONEER BOND Y (PICYX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
PIONEER MLTI AST ULT SHT INC Y (MYFRX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM		Undetermined	Tax-Deferred		<input type="checkbox"/>
SPDR S&P 500 ETF TRUST (SPY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR S&P HOMEBUILDERS (XHB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
VANGUARD MIDCAP GROWTH ETF (VOT)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Visa Inc. (V)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO SPECIAL MDCP VL I (WFMIX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Weyerhaeuser Company (WY)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AMERICAN CAP WRLD GR & INC F2 (WGIFX)	SP	09/20/2016	P	\$1,001 - \$15,000	
AMERICAN CAP WRLD GR & INC F2 (WGIFX)	SP	12/9/2016	P	\$1,001 - \$15,000	
American Express Company (AXP)	SP	01/13/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Apple Inc. (AAPL)	SP	01/13/2016	P	\$1,001 - \$15,000	
Apple Inc. (AAPL)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Boeing Company (BA)	SP	02/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
CLEARBRIDGE ALL CAP VALUE I (SFVYX)	SP	09/20/2016	P	\$1,001 - \$15,000	
CLEARBRIDGE APPRECIATION I (SAPYX)	SP	09/20/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CLEARBRIDGE APPRECIATION I (SAPYX)	SP	09/22/2016	P	\$1,001 - \$15,000	
CONGRESS MID CAP GROWTH INSTL (IMIDX)	SP	09/20/2016	P	\$1,001 - \$15,000	
Eli Lilly and Company (LLY)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
FedEx Corporation (FDX)	SP	01/13/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
FIRST TR VALU.LN DIV IDX (FVD)	SP	09/20/2016	P	\$1,001 - \$15,000	
FIRST TR VALU.LN DIV IDX (FVD)	SP	09/27/2016	P	\$1,001 - \$15,000	
Gilead Sciences, Inc. (GILD)	SP	05/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Home Depot, Inc. (HD)	SP	04/6/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Home Depot, Inc. (HD)	SP	05/20/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Honeywell International Inc. (HON)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ingersoll-Rand plc (IR)	SP	04/6/2016	P	\$1,001 - \$15,000	
Ingersoll-Rand plc (IR)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
International Business Machines Corporation (IBM)	SP	05/20/2016	P	\$1,001 - \$15,000	
International Business Machines Corporation (IBM)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
INVESCO DIVERSIFIED DIVIDEND Y (LCEYX)	SP	09/20/2016	P	\$1,001 - \$15,000	
John Hancock Financial Opportunities Fund (BTO)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JP Morgan Chase & Co. (JPM)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Kohl's Corporation (KSS)	SP	04/6/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LyondellBasell Industries NV Ordinary Shares Class A (LYB)	SP	04/6/2016	P	\$1,001 - \$15,000	
LyondellBasell Industries NV Ordinary Shares Class A (LYB)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Masonite International Corporation Ordinary Shares (DOOR)	SP	02/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mastercard Incorporated (MA)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MFS MA INVESTORS GW STK I (MGTIX)	SP	09/20/2016	P	\$1,001 - \$15,000	
Microchip Technology Incorporated (MCHP)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Microsoft Corporation (MSFT)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mylan N.V. (MYL)	SP	01/13/2016	P	\$1,001 - \$15,000	
Mylan N.V. (MYL)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Nike, Inc. (NKE)	SP	05/20/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Pepsico, Inc. (PEP)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
PIONEER BOND Y (PICYX)	SP	09/20/2016	P	\$1,001 - \$15,000	
PIONEER MLTI AST ULT SHT INC Y (MYFRX)	SP	09/20/2016	P	\$1,001 - \$15,000	
Skechers U.S.A., Inc. (SKX)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR S&P 500 ETF TRUST (SPY)	SP	09/20/2016	P	\$1,001 - \$15,000	
SPDR S&P HOMEBUILDERS (XHB)	SP	12/9/2016	P	\$1,001 - \$15,000	
Starbucks Corporation (SBUX)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TeklaLife Sciences Investors (HQL)	SP	01/13/2016	P	\$1,001 - \$15,000	
TeklaLife Sciences Investors (HQL)	SP	02/12/2016	P	\$1,001 - \$15,000	
TeklaLife Sciences Investors (HQL)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Textron Inc. (TXT)	SP	04/6/2016	P	\$1,001 - \$15,000	
Textron Inc. (TXT)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
The Gabelli Healthcare & Wellness Trust Common Shares of Beneficial Interest (GRX)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Unilever PLC (UL)	SP	02/12/2016	P	\$1,001 - \$15,000	
Unilever PLC (UL)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
United Technologies Corporation (UTX)	SP	05/20/2016	P	\$1,001 - \$15,000	
United Technologies Corporation (UTX)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
VANGUARD MIDCAP GROWTH ETF (VOT)	SP	09/20/2016	P	\$1,001 - \$15,000	
VANGUARD MIDCAP GROWTH ETF (VOT)	SP	09/27/2016	P	\$1,001 - \$15,000	
Visa Inc. (V)	SP	05/20/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Visa Inc. (V)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Walt Disney Company (DIS)	SP	02/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo & Company (WFC)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO SPECIAL MDCP VL I (WFMIX)	SP	11/8/2016	P	\$1,001 - \$15,000	
Weyerhaeuser Company (WY)	SP	02/12/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Weyerhaeuser Company (WY)	SP	09/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

SCHEDULE C: EARNED INCOME

Source	Type	Amount
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM	SPOUSE PENSION	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	WELLS FARGO BANK	2008	MORTGAGE ON 220 13TH ST SE #4, WASHINGTON DC 20003	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
ADVISORY COUNCIL MEMBER	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE
BOARD MEMBER	PROGRESSIVE CONGRESS

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 1998	RAUL GRIJALVA; ARIZONA STATE RETIREMENT SYSTEM	RETIREMENT PLAN (NOT SELF DIRECTED)
February 2002	RAUL GRIJALVA; PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM	ELECTED OFFICIALS RETIREMENT ACCOUNT (NOT SELF DIRECTED)

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
PROGRESSIVE CONGRESS	02/3/2016	02/5/2016	DC - BALTIMORE MD - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
CALIFORNIA LULAC FOUNDATION	04/30/2016	05/1/2016	DC - LOS ANGELES - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Council for Opportunity in Education (COE)	09/2/2016	09/3/2016	TUCSON AZ - SAN DIEGO CA - TUCSON AZ	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Raúl M. Grijalva , 08/4/2017