United States Senate
Financial Disclosures

Annual Report for Calendar 2016

The Honorable Richard C Shelby (Shelby, Richard C.)

Filed 05/15/2017 @ 11:09 AM

The following statements were checked before filing:

- [x] I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- [x] I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

- [ ] I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than $200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Retirement	TIAA/CREF - Professor, Emerita, Georgetown University Washington, DC	> $1,000
2	Spouse	Retirement	Vanguard Fiduciary Trust Valley Forge, PA	> $1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than $1000, have a deposit account with a balance over $5,000, or receive income of more than $200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	**Regions Bank** (Birmingham, AL) *Type:* Checking,	Bank Deposit	Spouse	$15,001 - $50,000	Interest,	None (or less than $201)
2	**Regions Bank** (Birmingham, AL) *Type:* Money Market Account,	Bank Deposit	Spouse	$250,001 - $500,000	Interest,	$201 - $1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
3	**Compass Bank** (Birmingham, AL) *Type:* Certificate of Deposit,	Bank Deposit	Spouse	$100,001 - $250,000	Interest,	$201 - $1,000
4	**Vanguard Trust-Prime Money Fund** (Valley Forge, PA) *Type:* IRA Cash Accounts, Money Market Account, *Filer comment:* 2016 Annual Required Distribution($26,244.92) paid out of this fund account on December 15, 2016. New funds will flow into new Federal Fund, not this fund.	Bank Deposit	Spouse	$1,001 - $15,000	Dividends,	None (or less than $201)
5	**Vanguard Trust-Federal Money Fund** (Valley Forge, PA) *Type:* IRA Cash Accounts, Money Market Account, *Filer comment:* Newly established July 26, 2016-funded with interest income earned by three CDs also held at Vanguard. Will replace Prime Money Fund as sweep acct for CDs.	Bank Deposit	Spouse	$1,001 - $15,000	Dividends,	None (or less than $201)
6	**American Express** (Salt Lake City, UT) *Type:* Certificate of Deposit, IRA Cash Accounts,	Bank Deposit	Spouse	$100,001 - $250,000	Interest,	$2,501 - $5,000
7	**CIT Bank** (Salt Lake City, UT) *Type:* Certificate of Deposit, IRA Cash Accounts,	Bank Deposit	Spouse	$100,001 - $250,000	Interest,	$2,501 - $5,000
8	**Goldman Sachs** (Salt Lake City, UT) *Type:* Certificate of Deposit, IRA Cash Accounts,	Bank Deposit	Spouse	$100,001 - $250,000	Interest, Capital Gains,	$2,501 - $5,000
9	**Centralite**	Corporate Securities Stock	Spouse	$15,001 - $50,000	None,	None (or less than $201)
10	**United States Senate Federal Credit Union** (Washington, DC) *Type:* Checking, Savings,	Bank Deposit	Self	$50,001 - $100,000	Dividends,	None (or less than $201)

	Asset	Asset Type	Owner	Value	Income Type	Income
11	**Regions Bank** (Birmingham, AL) *Type:* Checking, *Filer comment:* Non-interest bearing	Bank Deposit	Joint	$1,001 - $15,000	None,	None (or less than $201)
12	**Tuscaloosa Title Company, Inc.** *Filer comment:* Closely held, non-publically traded company	Corporate Securities Stock	Self	$1,000,001 - $5,000,000	Dividends,	$100,001 - $1,000,000
13	**Office Building** *Description:* 2210 8th Street (Tuscaloosa, AL)	Real Estate Commercial	Self	$500,001 - $1,000,000	Rent/Royalties,	$15,001 - $50,000
14	**Residence** *Description:* 1414 High Forest Drive N (Tuscaloosa, AL) *Filer comment:* Personal Residence	Real Estate Residential	Self	$1,000,001 - $5,000,000	None,	None (or less than $201)
15	**Townhouse** *Description:* 3025 Cambridge Place NW (Washington, DC) *Filer comment:* Personal Use Sold September 9, 2016	Real Estate Residential	Self	None (or less than $1,001)	None,	None (or less than $201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded $1,000? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than $10,000 at any time? **No**

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Nov 1974 to present	Director	Tuscaloosa Title Company, Inc. Tuscaloosa, AL	Corporation	Chairman of the Board

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than $5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

Comments

Sold personal residence (see Asset #15) in Georgetown, Washington, D.C. on September 9, 2016.