

Financial Disclosures

Annual Report for Calendar 2016

The Honorable Robert J Portman (Portman, Rob)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of HonorariaDid any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No****Part 2. Earned and Non-Investment Income**Did you or your spouse have reportable earned income or non-investment income? **No****Part 3. Assets**Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	VA Fairfax Cnty Econ Dev Auth Rev NC <i>Rate/Coupon: 5.00%</i> <i>Matures: 08/01/16</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
2	OH St G.O. NC <i>Rate/Coupon: 5.00%</i> <i>Matures: 11/01/16</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
3	OH Hamilton Cnty Sales Tax Rev <i>Rate/Coupon: 5.00%</i> <i>Matures: 12/01/17</i> <i>Filer comment: This bond was called by the issuer on 12/1/2016.</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
4	OH St Wtr Dev Auth Rev NC <i>Rate/Coupon: 5.25%</i> <i>Matures: 12/01/16</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$5,001 - \$15,000
5	OH Cincinnati City SD G.O. NC <i>Rate/Coupon: 5.00%</i> <i>Matures: 12/01/17</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
6	OH West Chester Twp G.O. <i>Rate/Coupon: 5.00%</i> <i>Matures: 12/01/19</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
7	TN Memphis G.O. <i>Rate/Coupon: 4.50%</i> <i>Matures: 04/01/27</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
8	WI St G.O. <i>Rate/Coupon: 5.00%</i> <i>Matures: 05/01/23</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
9	FL St Brd Ed G.O. <i>Rate/Coupon: 5.00%</i> <i>Matures: 06/01/20</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
10	NV Clark Cnty Wtr Reclamation Dist G.O. <i>Rate/Coupon: 5.25%</i> <i>Matures: 07/01/20</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
11	TX Midland G.O. <i>Rate/Coupon: 4.00%</i> <i>Matures: 03/01/23</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
12	OH Hilliard G.O. <i>Rate/Coupon: 5.00%</i> <i>Matures: 12/01/25</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13	VA Virginia Beach Dev Auth Pub Fac Rev <i>Rate/Coupon: 4.00%</i> <i>Matures: 12/01/23</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
14	Blackrock Treasury Trust Fund #62 <i>Filer comment: The account was transferred to PDIXX - PNC Treasury Money Market Class. There was no sale of this fund.</i>	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
15	PDIXX - PNC Treasury Money Market Class <i>Filer comment: Blackrock Treasury Trust Fund #62 was transferred into this account. There was no sale or purchase.</i>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
16	BTT - Blackrock Municipal 2030 Target Term Trust	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
17	VWIUX - Vanguard Interm-Term Tx-Ex Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
18	VWLUX - Vanguard Long-Term Tax-Exempt Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
19	VMLUX - Vanguard Ltd-Term Tx-Ex Adm	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$201 - \$1,000
20	VOHIX - Vanguard OH Long-Term Tax-Exempt (NASDAQ)	Mutual Funds Mutual Fund	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
21	PCGYX - Putnam Convertible Securities Y	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
22	<u>VWALX</u> - Vanguard High-Yield Tax-Exempt Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
23	<u>SPY</u> - SPDR S&P 500	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
24	<u>VOO</u> - Vanguard S&P 500 ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$2,501 - \$5,000
25	<u>IWB</u> - iShares Russell 1000	Mutual Funds Mutual Fund	Self	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
26	<u>IXP</u> - iShares Global Telecom	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
27	<u>SDY</u> - SPDR S&P Dividend ETF	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
28	<u>VYM</u> - Vanguard High Dividend Yield Indx ETF	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
29	<u>VOX</u> - Vanguard Telecommunication Services ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
30	<u>IJH</u> - iShares Core S&P Mid-Cap (NYSEArca)	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$2,501 - \$5,000
31	<u>BDFIX</u> - Baron Discovery Institutional	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
32	<u>BSFIX</u> - Baron Small Cap Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000
33	<u>DFSTX</u> - DFA US Small Cap I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
34	<u>EISMX</u> - Eaton Vance Atlanta Capital SMID-Cap I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
35	<u>LLSCX</u> - Longleaf Partners Small-Cap (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
36	<u>POPFX</u> - Prospector Opportunity (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
37	<u>VVPSX</u> - Vulcan Value Partners Small Cap	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
38	<u>AQMIX</u> - AQR Managed Futures Strategy I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
39	<u>GTEYX</u> - Gateway Y (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
40	<u>BPLSX</u> - Robeco Boston Partners LIS Equity Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
41	<u>MERFX</u> - Merger Investor	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
42	<u>XLE</u> - Energy Select Sector SPDR ETF	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
43	<u>IXC</u> - iShares Global Energy	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
44	<u>GPGIX</u> - Grandeur Peak Global Opportunities Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
45	<u>IVWIX</u> - IVA Worldwide I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
46	<u>KGGIX</u> - Kopernik Global All-Cap I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
47	<u>DFIEX</u> - DFA International Core Equity I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,001 - \$2,500
48	<u>DISRX</u> - Dreyfus International Stock I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
49	<u>HAINX</u> - Harbor International Institutional (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
50	<u>LLINX</u> - Longleaf Partners International (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
51	<u>OAKIX</u> - Oakmark International I (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$15,001 - \$50,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
52	<u>WCMIX</u> - WCM Focused International Growth Instl	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
53	<u>BAFOX</u> - Brown Advisory Somerset Emerg Mkts Inst	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
54	<u>TSEMIX</u> - Touchstone Sands Cptl Emerg Mkts Gr Y	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
55	<u>JOAIX</u> - JOHCM Asia Ex-Japan Equity I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
56	<u>SFGIX</u> - Seafarer Overseas Gr and Income Investor	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
57	<u>DFCEX</u> - DFA Emerging Markets Core Equity I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
58	Fidelity Institutional Money Market PT	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
59	US Treasury Inflation Indexed Note NC 1.375% <i>Rate/Coupon: Matures:</i>	Government Securities US Treasury/Agency Security	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
60	<u>VFIDX</u> - Vanguard Interm-Term Investment-Grde Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
61	<u>SPY</u> - SPDR S&P 500	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500

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62	<u>XLU</u> - Utilities Select Sector SPDR	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$2,501 - \$5,000
63	<u>IXP</u> - iShares Global Telecom	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
64	<u>SDY</u> - SPDR S&P Dividend ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
65	<u>VYM</u> - Vanguard High Dividend Yield Indx ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
66	<u>VOX</u> - Vanguard Telecommunication Services ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
67	<u>XLE</u> - Energy Select Sector SPDR ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
68	<u>IXC</u> - iShares Global Energy	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
69	<u>BAFOX</u> - Brown Advisory Somerset Emerg Mkts Inst	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
70	<u>JOAIX</u> - JOHCM Asia Ex-Japan Equity I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
71	<u>SFGIX</u> - Seafarer Overseas Gr and Income Investor	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

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72	<u>TSEM</u>X - Touchstone Sands Cptl Emerg Mkts Gr Y	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
73	<u>MAPI</u>X - Matthews Asia Dividend Investor	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
74	<u>DFIE</u>X - DFA International Core Equity I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
75	<u>AMPC</u>X - American Funds AMCAP C	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
76	<u>CMU</u>AX - Columbia Mid Cap Value A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
77	<u>GVI</u> - iShares Intm Government/Credit Bond	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
78	<u>TEFT</u>X - Templeton Foreign C (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
79	Fifth Third Bank (Cincinnati, Ohio) <i>Type: Checking,</i> <i>Filer comment: Account inadvertently showed "Self" as the account owner on prior disclosures. It is a joint account.</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
80	Mason Street Advisors - Balanced Fund	Life Insurance Variable	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,001 - \$2,500
81	Mason Street Advisors - Index 500 Stock	Life Insurance Variable	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000

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82	US Bank (Cincinnati, Ohio) Type: Money Market Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
83	<u>VWIUX</u> - Vanguard Interm-Term Tx- Ex Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
84	<u>VMLUX</u> - Vanguard Ltd-Term Tx-Ex Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
85	<u>VOHIX</u> - Vanguard OH Long-Term Tax-Exempt (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
86	<u>PCGYX</u> - Putnam Convertible Securities Y (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
87	<u>VWALX</u> - Vanguard High-Yield Tax- Exempt Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
88	<u>VWEHX</u> - Vanguard High-Yield Corporate Inv (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
89	<u>SPY</u> - SPDR S&P 500	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
90	<u>IXP</u> - iShares Global Telecom	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
91	<u>SDY</u> - SPDR S&P Dividend ETF	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
92	<u>VYM</u> - Vanguard High Dividend Yield Indx ETF	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
93	<u>VOX</u> - Vanguard Telecommunication Services ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
94	<u>MDY</u> - SPDR S&P MidCap 400	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,001 - \$2,500
95	<u>BSFIX</u> - Baron Small Cap Instl (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$5,001 - \$15,000
96	<u>BDFIX</u> - Baron Discovery Institutional	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
97	<u>DFSTX</u> - DFA US Small Cap I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
98	<u>LLSCX</u> - Longleaf Partners Small-Cap (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$5,001 - \$15,000
99	<u>POPFX</u> - Prospector Opportunity (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$1,001 - \$2,500
100	<u>EISMX</u> - Eaton Vance Atlanta Capital SMID-Cap I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
101	<u>VVPSX</u> - Vulcan Value Partners Small Cap	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
102	<u>SFGIX</u> - Seafarer Overseas Gr and Income Investor	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
103	<u>TSEM</u> - Touchstone Sands Cptl Emerg Mkts Gr Y	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
104	<u>MERFX</u> - Merger (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
105	<u>GATEX</u> - Gateway A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
106	<u>BGLSX</u> - Boston Partners Global Long/Short Instl	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
107	<u>AQMIX</u> - AQR Managed Futures Strategy I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
108	<u>VDE</u> - Vanguard Energy ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
109	<u>IXC</u> - iShares Global Energy	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
110	<u>BIAQX</u> - Brown Advisory Somerset Emerg Mkts Inv	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
111	<u>EEM</u> - iShares MSCI Emerging Markets	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
112	<u>DISRX</u> - Dreyfus International Stock I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
113	<u>HAINX</u> - Harbor International Institutional (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
114	<u>LLINX</u> - Longleaf Partners International (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
115	<u>OAKIX</u> - Oakmark International I (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
116	<u>DFIEX</u> - DFA International Core Equity I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
117	<u>WCMIX</u> - WCM Focused International Growth Instl	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
118	<u>IEMG</u> - iShares Core MSCI Emerging Markets	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
119	<u>TIP</u> - iShares TIPS Bond	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
120	<u>GSG</u> - iShares S&P GSCI Commodity-Indexed Trust	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
121	<u>GLD</u> - SPDR Gold Shares	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
122	WIP - SPDR DB Intl Govt Infl- Protected Bond	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
123	TSMAX - Touchstone Dynamic Global Allocation A <i>Filer comment: TSMAX had a name change. There was no sale and no merger. The fund was called "Touchstone Moderate Growth Allc A" before the name change.</i>	Mutual Funds Mutual Fund	Child	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
124	TSDAX - Touchstone Ultra Short Dur FII A (NASDAQ)	Mutual Funds Mutual Fund	Child	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
125	Member Interest in Peavler, LLC <i>Company: Peavler, LLC (Erlanger, Kentucky) Description: Rental Property</i>	Business Entity Limited Liability Company (LLC)	Self			
125.1	Peavler, LLC Rental <i>Description: Land & Building Rental (Blue Ash, OH) Filer comment: Actual amount of rental income share for the property was \$117,912.</i>	Real Estate Commercial	Self	\$50,001 - \$100,000	Rent/Royalties,	\$100,001 - \$1,000,000
125.2	Peavler, LLC Rental <i>Description: Land & Building Rental (Erlanger, KY) Filer comment: Actual amount of rental income share for the property was \$68,674.</i>	Real Estate Commercial	Self	\$100,001 - \$250,000	Rent/Royalties,	\$50,001 - \$100,000
126	Lebanon House, Inc <i>Filer comment: Land and buildings in Lebanon, OH (including the Golden Lamb and Rock Hospitality, LLC), Cincinnati, OH, and Erlanger, KY. Actual share of rental income \$61,626</i>	Corporate Securities Stock	Self	\$1,000,001 - \$5,000,000	Rent/Royalties, Other, (Rock Hospitality, LLC)	\$100,001 - \$1,000,000 Other \$359,369.67
127	Member Interest in Shaker Properties, Ltd <i>Company: Shaker Properties, Ltd (Cincinnati, Ohio) Description: Rental Property</i>	Business Entity Limited Liability Company (LLC)	Self			

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
127.1	Shaker Properties, Ltd Rental <i>Description:</i> Land & Building Rental (Lebanon, OH) <i>Filer comment:</i> Actual amount of rental income share for the property was \$87,916.	Real Estate Commercial	Self	\$250,001 - \$500,000	Rent/Royalties,	\$50,001 - \$100,000
128	FIFTH THIRD BANK DEPOSIT PROGRAM	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
129	FIFTH THIRD BANK (Cincinnati, Ohio) <i>Type:</i> Checking,	Bank Deposit	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
130	FIFTH THIRD BANK (Cincinnati, Ohio) <i>Type:</i> Savings,	Bank Deposit	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
131	Mineral Interest <i>Description:</i> Mineral interest of 5.02 net mineral acres located in Gaines County, TX. (Gaines County, Texas)	Real Estate Mineral Rights	Spouse	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Self	<u>AOMIX</u>	AQR Managed Futures Strategy I	Purchase	05/04/2016	\$1,001 - \$15,000	--
2	Self	<u>BDFIX</u>	Baron Discovery Institutional	Purchase	12/09/2016	\$50,001 - \$100,000	--
3	Self	<u>BAFOX</u>	Brown Advisory Somerset Emerg Mkts Inst	Purchase	11/02/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
4	Self	<u>DFIEX</u>	DFA International Core Equity I	Purchase	12/09/2016	\$100,001 - \$250,000	--
5	Self	<u>DFSTX</u>	DFA US Small Cap I	Purchase	12/09/2016	\$100,001 - \$250,000	--
6	Self	<u>DFSTX</u>	DFA US Small Cap I	Purchase	12/13/2016	\$1,001 - \$15,000	--
7	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	05/27/2016	\$1,001 - \$15,000	--
8	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	05/31/2016	\$1,001 - \$15,000	--
9	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	06/01/2016	\$1,001 - \$15,000	--
10	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	06/02/2016	\$1,001 - \$15,000	--
11	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	06/13/2016	\$1,001 - \$15,000	--
12	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	06/15/2016	\$1,001 - \$15,000	--
13	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	06/16/2016	\$1,001 - \$15,000	--
14	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	06/17/2016	\$1,001 - \$15,000	--
15	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	06/20/2016	\$1,001 - \$15,000	--
16	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	06/24/2016	\$1,001 - \$15,000	--
17	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	07/12/2016	\$1,001 - \$15,000	--
18	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	07/13/2016	\$15,001 - \$50,000	--
19	Self	<u>BTT</u>	Blackrock Municipal 2030 Target Term Trust	Purchase	07/14/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
20	Self	<u>DISRX</u>	Dreyfus International Stock I	Purchase	12/09/2016	\$1,001 - \$15,000	--
21	Self	<u>HAINX</u>	Harbor International Institutional	Purchase	12/09/2016	\$1,001 - \$15,000	--
22	Self	<u>JOAIX</u>	JOHCM Asia Ex-Japan Equity I	Purchase	11/02/2016	\$1,001 - \$15,000	--
23	Self	<u>MERFX</u>	Merger Investor	Purchase	05/04/2016	\$1,001 - \$15,000	--
24	Self	<u>PCGYX</u>	Putnam Convertible Securities Y	Purchase	05/04/2016	\$1,001 - \$15,000	--
25	Self	<u>SFGIX</u>	Seafarer Overseas Gr and Income Investor	Purchase	11/02/2016	\$1,001 - \$15,000	--
26	Self	<u>TSEMXX</u>	Touchstone Sands Cptl Emerg Mkts Gr Y	Purchase	11/02/2016	\$1,001 - \$15,000	--
27	Self	<u>VWIUX</u>	Vanguard Interm-Term Tx-Ex Adm	Purchase	11/11/2016	\$50,001 - \$100,000	--
28	Self	<u>VMLUX</u>	Vanguard Ltd-Term Tx-Ex Adm	Purchase	12/07/2016	\$100,001 - \$250,000	--
29	Self	<u>VOHIX</u>	Vanguard OH Long-Term Tax-Exempt	Purchase	08/10/2016	\$100,001 - \$250,000	--
30	Self	<u>VOO</u>	Vanguard 500 ETF	Purchase	05/04/2016	\$100,001 - \$250,000	--
31	Self	<u>VOO</u>	Vanguard 500 ETF	Purchase	11/01/2016	\$15,001 - \$50,000	--
32	Self	<u>VVPSX</u>	Vulcan Value Partners Small Cap	Purchase	12/09/2016	\$50,001 - \$100,000	--
33	Self	<u>WCMIX</u>	WCM Focused International Growth Instl	Purchase	08/11/2016	\$100,001 - \$250,000	--
34	Self	<u>WCMIX</u>	WCM Focused International Growth Instl	Purchase	12/09/2016	\$15,001 - \$50,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
35	Self	<u>BSFIX</u>	Baron Small Cap Instl	Purchase	12/01/2016	\$15,001 - \$50,000	--
36	Self	<u>DFIEX</u>	DFA International Core Equity I	Purchase	12/15/2016	\$1,001 - \$15,000	--
37	Self	<u>DFSTX</u>	DFA US Small Cap I	Purchase	12/19/2016	\$1,001 - \$15,000	--
38	Self	<u>DIRSX</u>	Dreyfus International Stock I	Purchase	12/30/2016	\$1,001 - \$15,000	--
39	Self	<u>SSETX</u>	Dreyfus/The Boston Co Small Cap Gr I	Purchase	12/09/2016	\$1,001 - \$15,000	--
40	Self	<u>EISMX</u>	Eaton Vance Atlanta Capital SMID-Cap I	Purchase	12/09/2016	\$1,001 - \$15,000	--
41	Self	<u>HAINX</u>	Harbor International Institutional	Purchase	12/19/2016	\$1,001 - \$15,000	--
42	Self	<u>KGGIX</u>	Kopernik Global All-Cap I	Purchase	12/30/2016	\$1,001 - \$15,000	--
43	Self	<u>LLSCX</u>	Longleaf Partners Small-Cap	Purchase	11/17/2016	\$15,001 - \$50,000	--
44	Self	<u>POPEX</u>	Prospector Opportunity	Purchase	12/29/2016	\$1,001 - \$15,000	--
45	Self	<u>VWLUX</u>	Vanguard Long-Term Tax-Exempt Adm	Purchase	12/27/2016	\$1,001 - \$15,000	--
46	Self	<u>WCMIX</u>	WCM Focused International Growth Instl	Purchase	12/13/2016	\$1,001 - \$15,000	--
47	Self	<u>BSFIX</u>	Baron Small Cap Instl	Sale (Full)	12/09/2016	\$50,001 - \$100,000	--
48	Self	<u>SSETX</u>	Dreyfus/The Boston Co Small Cap Gr I	Sale (Full)	12/09/2016	\$50,001 - \$100,000	--
49	Self	<u>EISMX</u>	Eaton Vance Atlanta Capital SMID-Cap I	Sale (Partial)	12/09/2016	\$1,001 - \$15,000	--
50	Self	<u>LLINX</u>	Longleaf Partners International	Sale (Full)	12/09/2016	\$100,001 - \$250,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
51	Self	<u>LLSCX</u>	Longleaf Partners Small-Cap	Sale (Partial)	12/09/2016	\$15,001 - \$50,000	--
52	Self	<u>OAKIX</u>	Oakmark International Investor	Sale (Full)	08/11/2016	\$100,001 - \$250,000	--
53	Self	<u>OAKLX</u>	Oakmark Select Investor	Sale (Full)	05/04/2016	\$50,001 - \$100,000	--
54	Self	<u>POPFX</u>	Prospector Opportunity	Sale (Partial)	12/09/2016	\$50,001 - \$100,000	--
55	Self	<u>CISGX</u>	Touchstone Sands Capital Inst Gr	Sale (Full)	05/04/2016	\$50,001 - \$100,000	--
56	Self	<u>VWIUX</u>	Vanguard Interm-Term Tx-Ex Adm	Sale (Partial)	05/26/2016	\$50,001 - \$100,000	--
57	Self	<u>VWIUX</u>	Vanguard Interm-Term Tx-Ex Adm	Sale (Partial)	08/10/2016	\$15,001 - \$50,000	--
58	Self	<u>VOHIX</u>	Vanguard OH Long-Term Tax-Exempt	Sale (Partial)	12/07/2016	\$50,001 - \$100,000	--
59	Self	<u>DFIEX</u>	DFA International Core Equity I	Purchase	11/04/2016	\$1,001 - \$15,000	--
60	Self	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	04/26/2016	\$1,001 - \$15,000	--
61	Self	<u>XLU</u>	Utilities Select Sector SPDR ETF	Sale (Full)	11/01/2016	\$1,001 - \$15,000	--
62	Spouse	<u>AMPCX</u>	American Funds AMCAP C	Purchase	06/20/2016	\$1,001 - \$15,000	--
63	Spouse	<u>AMPCX</u>	American Funds AMCAP C	Purchase	12/20/2016	\$1,001 - \$15,000	--
64	Spouse	<u>CMUAX</u>	Columbia Mid Cap Value A	Purchase	06/20/2016	\$1,001 - \$15,000	--
65	Spouse	<u>CMUAX</u>	Columbia Mid Cap Value A	Purchase	12/12/2016	\$1,001 - \$15,000	--
66	Self	<u>AQMIX</u>	AQR Managed Futures Strategy I	Purchase	05/13/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
67	Self	<u>BDFIX</u>	Baron Discovery Institutional	Purchase	12/15/2016	\$1,001 - \$15,000	--
68	Self	<u>BGLSX</u>	Boston Partners Global Long/Short Instl	Purchase	05/13/2016	\$15,001 - \$50,000	--
69	Self	<u>BIAQX</u>	Brown Advisory Somerset Emerg Mkts Inv	Purchase	05/13/2016	\$1,001 - \$15,000	--
70	Self	<u>DFIEX</u>	DFA International Core Equity I	Purchase	12/09/2016	\$50,001 - \$100,000	--
71	Self	<u>EISMX</u>	Eaton Vance Atlanta Capital SMID-Cap I	Purchase	12/09/2016	\$1,001 - \$15,000	--
72	Self	<u>GATEX</u>	Gateway A	Purchase	05/13/2016	\$1,001 - \$15,000	--
73	Self	<u>IEMG</u>	iShares Core MSCI Emerging Markets	Purchase	11/29/2016	\$15,001 - \$50,000	--
74	Self	<u>SPY</u>	SPDR S&P 500 ETF	Purchase	05/13/2016	\$50,001 - \$100,000	--
75	Self	<u>SFGIX</u>	Seafarer Overseas Gr and Income Investor	Purchase	05/13/2016	\$1,001 - \$15,000	--
76	Self	<u>TSEMXX</u>	Touchstone Sands Cptl Emerg Mkts Gr Y	Purchase	05/13/2016	\$1,001 - \$15,000	--
77	Self	<u>VVPSX</u>	Vulcan Value Partners Small Cap	Purchase	12/09/2016	\$1,001 - \$15,000	--
78	Self	<u>WCMIX</u>	WCM Focused International Growth Instl	Purchase	05/13/2016	\$50,001 - \$100,000	--
79	Self	<u>WCMIX</u>	WCM Focused International Growth Instl	Purchase	12/09/2016	\$1,001 - \$15,000	--
80	Self	<u>BSFIX</u>	Baron Small Cap Instl	Purchase	12/01/2016	\$1,001 - \$15,000	--
81	Self	<u>DFSTX</u>	DFA US Small Cap I	Purchase	12/19/2016	\$1,001 - \$15,000	--
82	Self	<u>LLSCX</u>	Longleaf Partners Small-Cap	Purchase	11/17/2016	\$1,001 - \$15,000	--
83	Self	<u>WCMIX</u>	WCM Focused International Growth Instl	Purchase	12/12/2016	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
84	Self	<u>BSFIX</u>	Baron Small Cap Instl	Sale (Full)	12/09/2016	\$15,001 - \$50,000	--
85	Self	<u>DISRX</u>	Dreyfus International Stock I	Sale (Partial)	12/09/2016	\$1,001 - \$15,000	--
86	Self	<u>JPMNX</u>	JPMorgan Research Market Neutral L	Sale (Full)	05/13/2016	\$15,001 - \$50,000	--
87	Self	<u>LLINX</u>	Longleaf Partners International	Sale (Full)	12/09/2016	\$50,001 - \$100,000	--
88	Self	<u>LLSCX</u>	Longleaf Partners Small-Cap	Sale (Partial)	12/09/2016	\$1,001 - \$15,000	--
89	Self	<u>MERFX</u>	Merger Investor	Sale (Partial)	05/13/2016	\$1,001 - \$15,000	--
90	Self	<u>OAKIX</u>	Oakmark International Investor	Sale (Full)	05/13/2016	\$50,001 - \$100,000	--
91	Self	<u>OAKLX</u>	Oakmark Select Investor	Sale (Full)	05/13/2016	\$15,001 - \$50,000	--
92	Self	<u>POPFX</u>	Prospector Opportunity	Sale (Partial)	12/09/2016	\$1,001 - \$15,000	--
93	Self	<u>PCGYX</u>	Putnam Convertible Securities Y	Sale (Partial)	05/13/2016	\$1,001 - \$15,000	--
94	Self	<u>CISGX</u>	Touchstone Sands Capital Inst Gr	Sale (Full)	05/13/2016	\$15,001 - \$50,000	--
95	Self	<u>VWIUX</u>	Vanguard Interm-Term Tx-Ex Adm	Sale (Partial)	11/18/2016	\$15,001 - \$50,000	--
96	Self	<u>VMLUX</u>	Vanguard Ltd-Term Tx-Ex Adm	Sale (Partial)	05/13/2016	\$1,001 - \$15,000	--
97	Self	<u>EEM</u>	iShares MSCI Emerging Markets	Sale (Full)	11/29/2016	\$15,001 - \$50,000	--
98	Child	<u>TSMAX</u>	Touchstone Dynamic Global Allocation A	Purchase	12/13/2016	\$1,001 - \$15,000	--
99	Child	<u>TSMAX</u>	Touchstone Dynamic Global Allocation A	Purchase	12/30/2016	\$1,001 - \$15,000	--
100	Spouse	--	Mineral interest of 5.02 net mineral acres located in Gaines County, TX.	Sale (Full)	12/06/2016	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **No**

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Dates	Position Held	Entity	Entity Type	Comments
1	Nov 1993 to present	Trustee	Irrevocable Trust Under Agreement dated 11/15/1993 between William C. Portman III, Grantor and Robert J. Portman, Trustee (No beneficial interest) Cincinnati, OH	Other (Irrevocable Life Insurance Trust)	
2	Feb 2008 to present	Other (Member of Board of Advisors)	John Glenn School of Public Affairs at The Ohio State University Columbus, OH	Other (The Ohio State University)	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **No**

Attachments & Comments

No attachments added.

Comments

On previous filings I have included a line item in assets for accrued interest relating to municipal bonds. After discussions with the Ethics Committee, I have removed this line item because all realized, interest income is reported with each individual municipal bond reported on Part 3.

