



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Scott H. Peters
Status: Member
State/District: CA52

FILING INFORMATION

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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Angel Oak Multi Strategy (ANGIX)		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Angel Oak Multi Strategy (ANGIX)	SP	Spouse/DC Over \$1,000,000	Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
At Mid Cap Eqty Fd Inst		\$100,001 - \$250,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
At Mid Cap Eqty Fd Inst	SP	Spouse/DC Over \$1,000,000	Capital Gains	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
AT MLP Fund LLC	SP	None	None		<input checked="" type="checkbox"/>
LOCATION: Atlanta, GA, US DESCRIPTION: Pooled Investment Fund					
Atlantic Trust Disc Eqty	SP	Spouse/DC Over \$1,000,000	Capital Gains, Dividends, Distributions	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Atlantic Trust Disc Eqty		\$1,000,001 - \$5,000,000	Capital Gains, Dividends,	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
			Distributions		
Austin TX Water Bonds		\$250,001 - \$500,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Bank of America Cash Account		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Blue Water Pandero Investors LLC	SP	\$500,001 - \$1,000,000	Interest, Partnership Income	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Bowling Green, KY, US					
DESCRIPTION: Quarry Mining & Manufacturing					
Butte-Glenn Muni Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
CA State Dept. of Water Bonds		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Cameron Investment Corp		Spouse/DC Over \$1,000,000	Dividends, Interest, S-Corp. Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Family investment office used to hold other assets, securities and income that are separately itemized on this report					
Charles Schwab Cash Account		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
Charles Schwab Cash Account	SP	Spouse/DC Over \$1,000,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Delaware US Growth Fund	SP	None	None		<input checked="" type="checkbox"/>
Deutsch X Trks MSCI		None	None		<input checked="" type="checkbox"/>
Deutsch X Trks MSCI	SP	None	None		<input checked="" type="checkbox"/>
Harbor International (HAINX)		None	None		<input checked="" type="checkbox"/>
Harris County TX Flood Bonds		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Henderson Enterprises Inc.	SP	None	Capital Gains	Spouse/DC Over	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$1,000,000	
LOCATION: Manchester, IA, US					
DESCRIPTION: Manufacturing Company					
Hillsborough County Sch. Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
iShares Core S&P Mid-Cap ETF (IJH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
iShares Core S&P Small-Cap ETF (IJR)		\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
iShares Core S&P Small-Cap ETF (IJR)	SP	Spouse/DC Over \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Ishares MSCI Eafe ETF		None	None		<input checked="" type="checkbox"/>
Ishares MSCI Eafe ETF	SP	None	None		<input checked="" type="checkbox"/>
iShares Russell 1000 Value ETF (IWD)		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Value ETF (IWD)	SP	Spouse/DC Over \$1,000,000	Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Ishares Russell Growth (IWP)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Ishares Russell Growth (IWP)	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Ishares S&P 500 (IVV)		\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Ishares S&P 500 (IVV)	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Ishares TR Bond (CSJ)		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Ishares TR Bond (CSJ)	SP	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ishares TR Russell 1000 (IWF)		None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Ishares TR Russell 1000 (IWF)	SP	None	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Kenosha Wis. Unified Sch. Dist. Bonds		None	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Los Angeles Unified Sch Dist Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Madison Adams Investments, LLC	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Investments (Cash Only)					
Metropolitan Water District of Southern CA Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
MFS Muni High Income Fund		None	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
MFS Muni High Income Fund	SP	None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Multi-Pack Solutions LLC	SP	Spouse/DC Over \$1,000,000	None		<input type="checkbox"/>
LOCATION: Mt. Prospect, IL, US DESCRIPTION: Packaging Company					
Nevada Irrigation District Bonds		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Plaza Logistica LLC	SP	None	Capital Gains, Interest, Partnership Income, Rent	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: Buenos Aires, AR DESCRIPTION: Commercial Real Estate					
Poway, CA Unified Sch. Dist. Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Prudential High Yield Fund		None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Prudential High Yield Fund	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Puerto Rico Commonwealth Bonds		None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Rancho Corte Madera, Inc.		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
LOCATION: Pine Valley, CA, US DESCRIPTION: Working Cattle Ranch					
Rand Capital Corporation - Common Stock (RAND)	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Retirement Advocate Moderate Fund		\$250,001 - \$500,000	None		<input type="checkbox"/>
Ridgeworth Seix Float (SAMBX)		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Ridgeworth Seix Float (SAMBX)	SP	Spouse/DC Over \$1,000,000	Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
San Diego County, CA Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
San Francisco CA Rev. Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
San Jose, CA Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
San Ramon Valley, CA Bonds		None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Santa Clara Valley Var Bonds		\$250,001 - \$500,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Scholarshare Passive Age-Based Portfolio 18 & Over		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: MA DESCRIPTION: Sponsor: Lynn Gorguze					
Schwab CA Muni Money Fund		\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: EIF					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Sinclair & Rush LOCATION: Arnold, MO, US DESCRIPTION: Manufacturing Company	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
T Rowe Price Inst. Float Rate Fd	SP	None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Tortoise Energy Infrastructure Corporation (TYG)	SP	Spouse/DC Over \$1,000,000	Dividends, Distributions	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Tweedy Browne Global Fund	SP	None	None		<input checked="" type="checkbox"/>
Tweedy Browne Global Fund		None	None		<input checked="" type="checkbox"/>
U.S. Treasury Bills		None	None		<input checked="" type="checkbox"/>
U.S. Treasury Bills	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
University of CA Rev. Bonds		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
University of Neb. Facilities Bonds		None	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard Life Strategy Growth Fund (VASGX)		\$250,001 - \$500,000	None		<input type="checkbox"/>
Vanguard Short Term Investment Grade Fund Admiral	SP	None	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Total Stock Market (VTI)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Total Stock Market (VTI)	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Virginia State Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Vulcan Value Partners Small Cap Fd		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vulcan Value Partners Small Cap Fd	SP	Spouse/DC Over \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Washington State Bonds		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Managed Income Fund		\$1,001 - \$15,000	None		<input type="checkbox"/>
Winward Academy, LLC		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
WLR Recovery IV Investors LLC	SP	\$100,001 - \$250,000	Capital Gains, Dividends, Interest, Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: EIF					

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Angel Oak Multi Strategy Incm Fund Inst (ANGIX)	SP	03/21/2016	P	\$250,001 - \$500,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	01/20/2016	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	07/8/2016	P	\$250,001 - \$500,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	11/28/2016	P	\$100,001 - \$250,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	06/1/2016	P	\$50,001 - \$100,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	03/15/2016	P	\$15,001 - \$50,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	01/20/2016	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Angel Oak Multi Strategy Income Fund Instl (ANGIX)		01/20/2016	S	\$500,001 -	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
			(partial)	\$1,000,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)		03/18/2016	P	\$100,001 - \$250,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)		11/28/2016	P	\$100,001 - \$250,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)		05/5/2016	P	\$50,001 - \$100,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	03/18/2016	P	Spouse/DC Over \$1,000,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	11/28/2016	P	Spouse/DC Over \$1,000,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	07/8/2016	P	Spouse/DC Over \$1,000,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	01/20/2016	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
Angel Oak Multi Strategy Income Fund Instl (ANGIX)	SP	06/1/2016	P	\$50,001 - \$100,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)		11/28/2016	P	\$15,001 - \$50,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)		03/21/2016	P	\$1,001 - \$15,000	
Angel Oak Multi Strategy Income Fund Instl (ANGIX)		01/20/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Angel Oak Multi Strategy Income Fund Instl (ANGIX)		01/20/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Angel Oak Multi Strategy Income Fund Instl (ANGIX)		03/21/2016	P	\$1,001 - \$15,000	
At Mid Cap Equity Fd Inst	SP	01/8/2016	P	\$15,001 - \$50,000	
At Mid Cap Equity Fd Inst	SP	05/5/2016	P	\$250,001 - \$500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
At Mid Cap Equity Fd Inst		01/8/2016	P	\$15,001 - \$50,000	
At Mid Cap Equity Fd Inst	SP	01/19/2016	P	\$250,001 - \$500,000	
At Mid Cap Equity Fd Inst	SP	01/7/2016	P	\$250,001 - \$500,000	
At Mid Cap Equity Fd Inst		10/4/2016	P	\$15,001 - \$50,000	
AT MLP Fund LLC	SP	04/29/2016	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
LOCATION: Atlanta, GA, US DESCRIPTION: Pooled Investment Fund					
Atlantic Trust Disc Eqty	SP	01/8/2016	P	Spouse/DC Over \$1,000,000	
Atlantic Trust Disc Eqty		02/5/2016	P	\$500,001 - \$1,000,000	
Atlantic Trust Disc Eqty		01/8/2016	P	\$500,001 - \$1,000,000	
Atlantic Trust Disc Eqty		05/5/2016	P	\$250,001 - \$500,000	
Atlantic Trust Disc Eqty	SP	01/7/2016	P	Spouse/DC Over \$1,000,000	
Atlantic Trust Disc Eqty	SP	01/19/2016	P	Spouse/DC Over \$1,000,000	
Atlantic Trust Disc Eqty	SP	02/5/2016	P	Spouse/DC Over \$1,000,000	
Atlantic Trust Disc Eqty	SP	05/5/2016	P	Spouse/DC Over \$1,000,000	
Delaware US Growth Fund	SP	01/20/2016	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Delaware US Growth Fund	SP	01/8/2016	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
				\$100,000	
Delaware US Growth Fund		01/20/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
Delaware US Growth Fund		01/8/2016	P	\$50,001 - \$100,000	
Delaware US Growth Fund	SP	04/28/2016	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Delaware US Growth Fund	SP	01/7/2016	P	Spouse/DC Over \$1,000,000	
Deutsch X Trk MSCI	SP	01/7/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Deutsch X Trks MSCI	SP	01/8/2016	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Deutsch X Trks MSCI		01/8/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
Harbor International	SP	06/27/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Harbor International	SP	01/8/2016	P	\$500,001 - \$1,000,000	
Harbor International	SP	04/8/2016	P	\$250,001 - \$500,000	
Harbor International		06/27/2016	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Harbor International		01/8/2016	P	\$100,001 - \$250,000	
Harbor International		02/5/2016	P	\$100,001 - \$250,000	
Harbor International	SP	06/27/2016	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Harbor International	SP	01/8/2016	P	Spouse/DC Over \$1,000,000	
Harbor International	SP	01/7/2016	P	Spouse/DC Over \$1,000,000	
Harbor International	SP	05/5/2016	P	\$500,001 - \$1,000,000	
Harbor International	SP	01/19/2016	P	\$250,001 - \$500,000	
Harbor International	SP	02/5/2016	P	\$250,001 - \$500,000	
Harbor International		06/27/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Harbor International		04/8/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Harbor International		06/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
iShares 1-3 Year Credit Bond ETF (CSJ)	SP	11/28/2016	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
iShares 1-3 Year Credit Bond ETF (CSJ)		01/8/2016	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
iShares 1-3 Year Credit Bond ETF (CSJ)		05/5/2016	P	\$250,001 - \$500,000	
iShares Core S&P 500 ETF (IVV)	SP	01/8/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
iShares Core S&P 500 ETF (IVV)		11/28/2016	P	\$250,001 - \$500,000	
iShares Core S&P 500 ETF (IVV)		01/8/2016	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
iShares Core S&P 500 ETF (IVV)	SP	11/28/2016	P	Spouse/DC Over \$1,000,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Core S&P 500 ETF (IVV)	SP	05/5/2016	P	Spouse/DC Over \$1,000,000	
iShares Core S&P 500 ETF (IVV)	SP	01/7/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
iShares Core S&P 500 ETF (IVV)		11/28/2016	P	\$15,001 - \$50,000	
iShares Core S&P Mid-Cap ETF (IJH)		11/28/2016	P	\$1,001 - \$15,000	
iShares Core S&P Small-Cap ETF (IJR)	SP	11/28/2016	P	\$250,001 - \$500,000	
iShares Core S&P Small-Cap ETF (IJR)		11/28/2016	P	\$250,001 - \$500,000	
iShares Core S&P Small-Cap ETF (IJR)	SP	11/28/2016	P	\$500,001 - \$1,000,000	
iShares Core S&P Small-Cap ETF (IJR)		11/28/2016	P	\$1,001 - \$15,000	
iShares MSCI EAFE ETF (EFA)	SP	01/8/2016	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
iShares MSCI EAFE ETF (EFA)		01/8/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
iShares MSCI EAFE ETF (EFA)	SP	01/7/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
iShares Russell 1000 Growth ETF (IWF)	SP	01/8/2016	P	\$100,001 - \$250,000	
iShares Russell 1000 Growth ETF (IWF)	SP	04/19/2016	S	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Growth ETF (IWF)	SP	04/19/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Growth ETF (IWF)		01/8/2016	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Russell 1000 Growth ETF (IWF)		04/19/2016	S (partial)	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Growth ETF (IWF)		05/5/2016	P	\$250,001 - \$500,000	
iShares Russell 1000 Growth ETF (IWF)	SP	01/19/2016	P	\$250,001 - \$500,000	
iShares Russell 1000 Growth ETF (IWF)	SP	04/19/2016	S (partial)	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Growth ETF (IWF)	SP	02/5/2016	P	Spouse/DC Over \$1,000,000	
iShares Russell 1000 Growth ETF (IWF)		04/19/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Growth ETF (IWF)		01/21/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Growth ETF (IWF)		04/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Value ETF (IWD)	SP	04/19/2016	P	Spouse/DC Over \$1,000,000	
iShares Russell 1000 Value ETF (IWD)	SP	04/19/2016	P	\$15,001 - \$50,000	
iShares Russell 1000 Value ETF (IWD)		04/19/2016	P	\$500,001 - \$1,000,000	
iShares Russell 1000 Value ETF (IWD)	SP	04/19/2016	P	Spouse/DC Over \$1,000,000	
iShares Russell 1000 Value ETF (IWD)	SP	04/28/2016	P	Spouse/DC Over \$1,000,000	
iShares Russell 1000 Value ETF (IWD)		04/19/2016	P	\$1,001 - \$15,000	
iShares Russell 1000 Value ETF (IWD)		04/19/2016	P	\$1,001 - \$15,000	
iShares Russell Midcap Growth ETF (IWP)	SP	01/8/2016	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Russell Midcap Growth ETF (IWP)		11/28/2016	P	\$1,001 - \$15,000	
iShares Russell Midcap Growth ETF (IWP)	SP	11/28/2016	P	\$1,001 - \$15,000	
iShares Russell Midcap Growth ETF (IWP)		01/8/2016	P	\$15,001 - \$50,000	
iShares Russell Midcap Growth ETF (IWP)	SP	01/19/2016	P	\$100,001 - \$250,000	
Kenosha Wis.Unified Sch. Dist. Bonds		04/1/2016	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
MFS Muni High Income Fund	SP	11/28/2016	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
MFS Muni High Income Fund	SP	06/1/2016	P	\$50,001 - \$100,000	
MFS Muni High Income Fund	SP	11/28/2016	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
MFS Muni High Income Fund		11/28/2016	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
MFS Muni High Income Fund	SP	11/28/2016	S (partial)	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
MFS Muni High Income Fund	SP	07/8/2016	P	\$500,001 - \$1,000,000	
MFS Muni High Income Fund		11/28/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Plaza Logistica LLC	SP	11/2/2016	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
Prudential High Yield Fund	SP	10/4/2016	S (partial)	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
Prudential High Yield Fund	SP	07/8/2016	P	\$500,001 - \$1,000,000	
Prudential High Yield Fund	SP	11/28/2016	S	\$500,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
			(partial)	\$1,000,000	
Prudential High Yield Fund	SP	03/21/2016	P	\$250,001 - \$500,000	
Prudential High Yield Fund	SP	06/1/2016	P	\$50,001 - \$100,000	
Prudential High Yield Fund	SP	03/18/2016	P	\$15,001 - \$50,000	
Prudential High Yield Fund		11/28/2016	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
Prudential High Yield Fund		07/8/2016	P	\$250,001 - \$500,000	
Prudential High Yield Fund		03/18/2016	P	\$100,001 - \$250,000	
Prudential High Yield Fund		05/5/2016	P	\$50,001 - \$100,000	
Prudential High Yield Fund	SP	10/4/2016	S (partial)	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
Prudential High Yield Fund	SP	03/18/2016	P	Spouse/DC Over \$1,000,000	
Prudential High Yield Fund	SP	11/28/2016	S (partial)	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
Prudential High Yield Fund	SP	07/8/2016	P	Spouse/DC Over \$1,000,000	
Prudential High Yield Fund	SP	06/1/2016	P	Spouse/DC Over \$1,000,000	
Prudential High Yield Fund	SP	06/6/2016	P	\$500,001 - \$1,000,000	
Prudential High Yield Fund		11/28/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Prudential High Yield Fund		03/21/2016	P	\$1,001 - \$15,000	
Prudential High Yield Fund		11/28/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Prudential High Yield Fund		03/21/2016	P	\$1,001 - \$15,000	
Prudential High Yield Fund		10/4/2016	P	\$15,001 - \$50,000	
Prudential High Yield Fund		11/28/2016	P	\$15,001 - \$50,000	
Puerto Rico Commonwealth Bonds		07/1/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
Ridgeworth Seix Float (SAMBX)	SP	10/4/2016	P	\$500,001 - \$1,000,000	
Ridgeworth Seix Float (SAMBX)	SP	11/28/2016	P	\$100,001 - \$250,000	
Ridgeworth Seix Float (SAMBX)	SP	11/28/2016	P	\$50,001 - \$100,000	
Ridgeworth Seix Float (SAMBX)		11/28/2016	P	\$250,001 - \$500,000	
Ridgeworth Seix Float (SAMBX)	SP	10/4/2016	P	Spouse/DC Over \$1,000,000	
Ridgeworth Seix Float (SAMBX)	SP	11/28/2016	P	Spouse/DC Over \$1,000,000	
Ridgeworth Seix Float (SAMBX)		01/20/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ridgeworth Seix Float (SAMBX)		01/20/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
San Francisco CA Rev. Bonds		05/1/2016	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
San Ramon Valley, CA Bonds		08/1/2016	S	\$250,001 - \$500,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
T Rowe Price Inst Float Rate Fd	SP	02/11/2016	S	\$250,001 - \$500,000	<input type="checkbox"/>
Tortoise Energy Infrastructure Corporation (TYG)	SP	03/31/2016	P	Spouse/DC Over \$1,000,000	
Tortoise Energy Infrastructure Corporation (TYG)	SP	04/1/2016	P	Spouse/DC Over \$1,000,000	
Tortoise Energy Infrastructure Corporation (TYG)	SP	04/4/2016	P	\$500,001 - \$1,000,000	
Tweedy Browne Global Fund	SP	01/8/2016	P	\$500,001 - \$1,000,000	
Tweedy Browne Global Fund	SP	06/27/2016	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Tweedy Browne Global Fund	SP	04/8/2016	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Tweedy Browne Global Fund		06/27/2016	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Tweedy Browne Global Fund		01/8/2016	P	\$100,001 - \$250,000	
Tweedy Browne Global Fund		02/5/2016	P	\$15,001 - \$50,000	
Tweedy Browne Global Fund	SP	06/27/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Tweedy Browne Global Fund	SP	01/7/2016	P	Spouse/DC Over \$1,000,000	
Tweedy Browne Global Fund	SP	04/8/2016	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Tweedy Browne Global Fund	SP	05/5/2016	P	\$250,001 - \$500,000	
Tweedy Browne Global Fund	SP	02/5/2016	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Tweedy Browne Global Fund	SP	01/19/2016	P	\$100,001 - \$250,000	
Tweedy Browne Global Fund		06/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Tweedy Browne Global Fund		04/8/2016	P	\$1,001 - \$15,000	
Tweedy Browne Global Fund		06/27/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
U.S. Treasury Bills	SP	01/7/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills	SP	01/14/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills		01/7/2016	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills		01/14/2016	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills	SP	01/21/2016	P	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills	SP	02/18/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills	SP	05/6/2016	P	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills	SP	06/30/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills	SP	07/11/2016	P	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills	SP	08/1/2016	P	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills	SP	10/6/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
U.S. Treasury Bills	SP	12/2/2016	P	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills	SP	12/29/2016	P	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills	SP	12/29/2016	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
University of Neb. Facilities Bonds		07/15/2016	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Vanguard Short Term Investment Grade Fund Admiral	SP	11/28/2016	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Vanguard Total Stock Market ETF (VTI)	SP	11/28/2016	P	\$500,001 - \$1,000,000	
Vanguard Total Stock Market ETF (VTI)		10/4/2016	P	\$15,001 - \$50,000	
Vulcan Value Partners Small Cap Fd	SP	05/5/2016	P	\$500,001 - \$1,000,000	
Vulcan Value Partners Small Cap Fd	SP	04/28/2016	P	Spouse/DC Over \$1,000,000	
Vulcan Value Partners Small Cap Fd		10/4/2016	P	\$15,001 - \$50,000	
Winward Academy, LLC		12/7/2016	P	\$15,001 - \$50,000	
LOCATION: San Diego/San Diego, CA, US					
DESCRIPTION: Educational Tutoring					

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cameron Holdings Corporation	Spouse Salary	N/A
Sinclair & Rush, Inc.	Spouse Salary	N/A
San Diego City Retirement Employees Retirement System	Retirement Payments	\$21,658.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Citibank	Dec. 2016	Revolving Credit Debt	\$15,001 - \$50,000
	Bank of America	Dec. 2016	Revolving Credit Debt	\$15,001 - \$50,000
	American Express	Dec. 2016	Revolving Credit Debt	\$15,001 - \$50,000
	Wells Fargo Credit Card	Dec. 2016	Revolving Credit Card	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2008	Myself and San Diego City Employees Retirement System	Continued participation in City pension plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the

best of my knowledge and belief.

Digitally Signed: Hon. Scott H. Peters , 05/12/2017