



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Susan A. Davis  
**Status:** Member  
**State/District:** CA53

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 05/8/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
4113-15 Arbor Vitae San Diego, CA  LOCATION: San Diego, CA, US	JT	\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
AC Alternatives Market Neutral (ACVVX)	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
AEGON N.V. (AEG)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
CAL-Amer INC Prop IV  DESCRIPTION: Private Investment	JT	\$1,001 - \$15,000	passive	\$5,001 - \$15,000	<input type="checkbox"/>
CalAtlantic Group, Inc. (CAA)	SP	None	None		<input checked="" type="checkbox"/>
Charles Schwab Corporation (SCHW)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Check Point Software Technologies Ltd. - Ordinary Shares (CHKP)	SP	None	None		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Cohen & Steers Realty Shares Fund	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Costco Wholesale Corporation (COST)	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
EmersonEquity Money Market		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
EmersonEquity Money Market	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
EmersonEquity Money Market	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity Contrafund	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Franklin K2 Alternative	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
General Electric Company (GE)	JT	None	None		<input checked="" type="checkbox"/>
Harding Loevner Emergina Markets Portfolio Advisor	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Icon Energy Fund	SP	None	None		<input checked="" type="checkbox"/>
Indexiq ETF TR IQ Hedge Multi-Strategy		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Intercontinental Hotels Group American Depositary Shares (IHG)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
IShares TR iShares Currency Hedged MSCI EAFE		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
iShares TR iShares Currency Hedged MSCI EAFE ETF	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
iShares TR Nasdaq Biotechnology Index	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
iShares TR US Broker-Dealers ETF		None	Capital Gains	\$201 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$1,000	
iShares TR US Oil Equip & SVCS ETF	JT	None	None		<input checked="" type="checkbox"/>
KB Home (KBH)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Loomis Sayles Bond Fund Retail	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Lord Abbett Floating Rate Fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Lord Abbett Short Duration Inc Fd		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Lord Abbett Short Duration Income Fd	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Lord Abbett Short Duration Income FD	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Nuveen High Yield Muni Bond Fund	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Oppenheimer Developing Markets		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Oppenheimer Developing Markets	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Pimco Low Duration Fd	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Powershares Exchange Traded FD	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Powershares Exchange- Traded Fd - TR Dynamic Bldg & Constr Portfolio	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Proshares TR RAFI Long/Short FD		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
QUALCOMM Incorporated (QCOM)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Royal Caribbean Cruises Ltd. (RCL)		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Schwab International Equity ETF (SCHF)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Schwab Strategic TR US Tips ETF	SP	None	None		<input checked="" type="checkbox"/>
Sharespost 100 Fund Class A	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Southwest Airlines Company (LUV)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SPDR Select Sector Fund - Financial (XLF)	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SPDR Select Sector Fund - Financial (XLF)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
T Rowe Price Health Sciences	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
T Rowe Price Mid Cap Growth	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
T Rowe Price Mid Cap Growth		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Templeton Global Bond Fund		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Templeton Global Bond Fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Valero Energy Corporation (VLO)	JT	None	None		<input checked="" type="checkbox"/>
Vanguard 500 Index Fd	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Energy Fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Short-Term Investment Grade	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard World FDS Vanguard Information (VGT)	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AC Alternatives Market Neutral Fund (ACVVX)	SP	01/20/2016	P	\$15,001 - \$50,000	
AC Alternatives Market Neutral Fund (ACVVX)	SP	04/29/2016	P	\$15,001 - \$50,000	
CalAtlantic Group, Inc. (CAA)	SP	04/29/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Check Point Software Technologies Ltd. - Ordinary Shares (CHKP)	SP	08/25/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Cohen & Steers Realty Shares Fund	SP	03/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Costco Wholesale Corporation (COST)		11/30/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
General Electric Company (GE)		11/30/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Harding Loevner Emerging Markets Portfolio Advisor	SP	01/20/2016	P	\$1,001 - \$15,000	
Icon Energy Fund	SP	04/29/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
iShares Nasdaq Biotechnology Index Fund (IBB)	SP	11/30/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
iShares TR iShares Currency Hedged MSCI EAFE ETF	SP	04/29/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
iShares TR US Oil Equip & Svcs ETF	JT	01/20/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
iShares U.S. Broker-Dealers & Securities Exchanges ETF		08/25/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(IAI)					
KB Home (KBH)	SP	04/29/2016	P	\$15,001 - \$50,000	
KB Home (KBH)	SP	11/30/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Loomis Sayles Bond Fund	SP	11/30/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Pimco Low Duration Fd	SP	04/29/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Pimco Low Duration Fd	SP	11/30/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
PowerShares Dynamic Build & Construction (PKB)	SP	11/30/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Powershares Exchange-Traded Fd - TR Dynamic Bldg & Constr Portfolio	SP	04/29/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
QUALCOMM Incorporated (QCOM)	SP	01/25/2016	P	\$1,001 - \$15,000	
Real Estate Select Sector SPDR Fund (The) (XLRE)		11/30/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Real Estate Select Sector SPDR Fund (The) (XLRE)	JT	11/30/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Real Estate Select Sector SPDR Fund (The) (XLRE)	JT	09/23/2016	P	\$1,001 - \$15,000	
Real Estate Select Sector SPDR Fund (The) (XLRE)		09/23/2016	P	\$1,001 - \$15,000	
Royal Caribbean Cruises Ltd. (RCL)		08/25/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Schwab International Equity ETF (SCHF)	SP	08/25/2016	P	\$15,001 - \$50,000	
Schwab U.S. TIPs ETF (SCHP)	SP	01/20/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
Southwest Airlines Company (LUV)		01/25/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SPDR Select Sector Fund - Financial (XLF)	JT	01/20/2016	P	\$1,001 - \$15,000	
SPDR Select Sector Fund - Financial (XLF)		08/25/2016	P	\$1,001 - \$15,000	
SPDR Select Sector Fund - Financial (XLF)	JT	08/25/2016	P	\$15,001 - \$50,000	
T Rowe Price Health Sciences	SP	01/20/2016	P	\$1,001 - \$15,000	
T Rowe Price Mid Cap Growth		08/25/2016	P	\$1,001 - \$15,000	
Valero Energy Corporation (VLO)	JT	08/25/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Energy Fund	SP	01/20/2016	P	\$15,001 - \$50,000	
Vanguard Information Tech ETF - DNQ (VGT)	SP	11/30/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Congressional Federal Credit Union	August 2015	Mortgage on San Diego Residence	\$250,001 - \$500,000
JT	Congressional Federal Credit Union	November 2016	Mortgage on Washington DC Residence	\$250,001 - \$500,000

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute, Inc. (Congressional Program)	08/10/2016	08/20/2016	Washington DC - London, England, UK - San Diego, CA	4	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Susan A. Davis , 05/8/2017