

# Financial Disclosures

## Annual Report for Calendar 2016

**The Honorable Susan M Collins (Collins, Susan M.)**

Filed 05/15/2017 @ 5:28 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Jefferson Consulting Group Washington, DC	> \$1,000
2	Spouse	Retirement	IRA Distribution from Account Held by R.M. Davis Portland, ME	> \$1,000
3	Spouse	Salary	University of Maine Orono, Maine	> \$1,000
4	Spouse	Other (Consulting Fee)	Wilson Center Washington, DC	> \$1,000

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
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	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	<b>TDBank</b> (Bangor, ME) <i>Type: Checking,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
2	<b>TDBank</b> (Bangor, ME) <i>Type: Savings,</i>	Bank Deposit	Spouse	\$500,001 - \$1,000,000	Interest,	\$1,001 - \$2,500
3	<b>Bangor Savings Bank</b> (Bangor, Maine) <i>Type: Checking,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
4	<b>US Senate Federal CU</b> (Alexandria, VA) <i>Type: Savings,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
5	<b>VOYA - Voya Financial, Inc.</b>	Deferred Compensation Deferred Compensation - Other	Self			
5.1	<b><u>INGIX</u> - Voya US Stock Index Port I</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
6	<b>SWGXX-Schwab Gov Securities Fd 808515209</b> <i>Filer comment: Trust</i>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
7	<b>SWGXX-Schwab Gov Securities Fd 808515209</b> <i>Filer comment: IRA</i>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
8	<b>FASMX - Fidelity Asset Manager</b> <i>Filer comment: IRA</i>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
9	<b>FEQTX - Fidelity Equity Dividend Income</b> <i>Filer comment: IRA</i>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
10	<b>TOLLX-Deutsche Global Infrastructure A</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
11	<b>VEU - Vanguard FTSE All-World ex-US ETF</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends, Excepted Investment Fund,	\$201 - \$1,000
12	<b>Stryker Corporation 863667AK7</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 03/08/2019</i>	Corporate Securities Corporate Bond	Spouse	\$50,001 - \$100,000	Interest,	None (or less than \$201)
13	<b>JPMorgan Chase &amp; Co 46625HQJ2</b> <i>Rate/Coupon: 2.55%</i> <i>Matures: 03/01/2021</i>	Corporate Securities Corporate Bond	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
14	<b>Federal Home Loan Bank 3130A8LS0</b> <i>Rate/Coupon: 1.5%</i> <i>Matures: 06/30/2021</i>	Corporate Securities Corporate Bond	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
15	<b>Fed Farm Credit BK 3133EFAS6</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/26/2019</i>	Corporate Securities Corporate Bond	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
16	<b>Fed Farm Credit BK 3133EFHP5</b> <i>Rate/Coupon: 1.7%</i> <i>Matures: 07/08/2020</i>	Corporate Securities Corporate Bond	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
17	<b>Fed Farm Credit BK 3133EFZT7</b> <i>Rate/Coupon: 1.4%</i> <i>Matures: 02/24/2020</i>	Corporate Securities Corporate Bond	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
18	<b>Fed Farm Credit BK 3133EGFP5</b> <i>Rate/Coupon: 1.83%</i> <i>Matures: 06/15/2022</i>	Corporate Securities Corporate Bond	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
19	<b>State of CA VAR GEN OBLI BN 13063BRP9</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 02/01/2016</i> <i>Filer comment: State security.</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
20	<b>SOUTH OGDEN UT 2%16 CUSIP 83907RAA8</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/01/2016</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
21	<b>CHARLES CNTY MD BE/R 1598077P5</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2016</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
22	<b>NEW YORK MUN WTR FIN AU SR EE 64972FZE9</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2016</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
23	<b>MARYLAND ST TRANS AUTH SR 1 574300JT7</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/2017</i> <i>Filer comment: State security.</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
24	<b>SOUDERTON PA AREA SCH BE/R 8363030MP8</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 11/01/2016</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
25	<b>SAN ANTONIO TX EL GAS SR A 796253Q54</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 02/01/2017</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
26	<b>OHIO ST WTR DEV AUTH WTR SR A 6766WRY0</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2017</i> <i>Filer comment: State security.</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
27	<b>Charleston Cnty SC SCH 160075WY9</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 02/01/2018</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
28	<b>ENERGY NORTHWEST WA EL SR A 29270CMG7</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i> <i>Filer comment: Called and pre-refunded on 7/1/16.</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest,	\$201 - \$1,000
29	<b>WASHINGTON CNTY MD WTR &amp; BE/R 937751U52</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2018</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
30	<b>MINNESOTA ST 5%18 CUSIP 6041296Y7</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2018</i> <i>Filer comment: State security.</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
31	<b>MINNESOTA ST SR A 604129K47</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2018</i> <i>Filer comment: State security.</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
32	<b>METROPOLITAN TRANS AUTH SR 7 59259YTP4</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2019</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
33	<b>New Hampshire MUND BD BK 64465PPP7</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 08/15/2020</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
34	<b>Winslow ME 975434FU0</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 10/01/2020</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
35	<b>NYC NY TRANSITION SR E 64971QCT8</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2020</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
36	<b>Portland ME WTR DIST REV 736606BR4</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 11/01/2020</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
37	<b>Rochester NH 771639TK7</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 03/01/2021</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
38	<b>Billings MT 0900957K0</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 07/01/2021</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
39	<b>UNIV ILL SR A 914353ZB6</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2022</i> <i>Filer comment: State security.</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
40	<b>Coppell TX 2%19 217482Y51</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/01/2019</i>	Government Securities Municipal Security	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
41	<b>Augusta ME 041411TN6</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 05/01/2021</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
42	<b>Washington State Federal Highway Grant 938823AZ4</b> <i>Rate/Coupon:</i> <i>Matures:</i> <i>Filer comment: Rate/Coupon: 5.0% Matures: 09/01/2019</i>	Government Securities US Treasury/Agency Security	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
43	<b><u>CVS</u> - CVS Health Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
44	<b><u>MTD</u> - Mettler-Toledo International Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
45	<b><u>WCN</u> - Waste Connections Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
46	<b>WCN-Waste Connections, Inc. (OLD)</b> <i>Filer comment: This entry represents the pre-merger Waste Connections stock and its associated capital gain. The post-merger company is listed in Part 3 as number 45.</i>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
47	<b>UL - Unilever PLC</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
48	<b>AAPL - Apple Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
49	<b>MMM - 3M Company</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
50	<b>AYI - Acuity Brands, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
51	<b>HSY - The Hershey Company</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
52	<b>GOOGL - Alphabet Inc. (A)</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
53	<b>GOOG - Alphabet Inc. (C)</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
54	<b>DNKN - Dunkin' Brands Group, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
55	<b><u>V</u> - Visa Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
56	<b><u>WFC</u> - Wells Fargo &amp; Company</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
57	<b><u>ACN</u> - Accenture plc</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
58	<b><u>AON</u> - Aon plc</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
59	<b><u>DHR</u> - Danaher Corp.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
60	<b><u>FTV</u> - Fortive Corporation</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
61	<b><u>NSRGY</u> - Nestlé S.A.</b> <i>Filer comment: (Trust)</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
62	<b><u>NSRGY</u> - Nestlé S.A.</b> <i>Filer comment: (IRA)</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
63	<b><u>PSX</u> - Phillips 66</b> <i>Filer comment: (Trust)</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
64	<b><u>PSX</u> - Phillips 66</b> <i>Filer comment: (IRA)</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000



	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
65	<b><u>TJX</u> - The TJX Companies, Inc.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
66	<b><u>USB</u> - U.S. Bancorp</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
67	<b><u>CMCSA</u> - Comcast Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
68	<b><u>SNA</u> - Snap-on Incorporated</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
69	<b><u>SBUX</u> - Starbucks Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
70	<b><u>VFC</u> - V.F. Corporation</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
71	<b><u>DIS</u> - The Walt Disney Company</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
72	<b><u>PEP</u> - Pepsico, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
73	<b><u>ESRX</u> - Express Scripts Holding Company</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
74	<b><u>GILD</u> - Gilead Sciences Inc.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000

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75	<b><u>JNJ</u> - Johnson &amp; Johnson</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
76	<b><u>MRK</u> - Merck &amp; Co. Inc.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
77	<b><u>PFE</u> - Pfizer Inc.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
78	<b><u>RHHBY</u> - Roche Holding AG (OTC Markets)</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
79	<b><u>UNH</u> - UnitedHealth Group Incorporated</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
80	<b><u>AMT</u> - American Tower Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
81	<b><u>SCHW</u> - The Charles Schwab Corporation</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
82	<b><u>CME</u> - CME Group Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
83	<b><u>JPM</u> - JPMorgan Chase &amp; Co.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
84	<b><u>XOM</u> - Exxon Mobil Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

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85	<b><u>SLB</u> - Schlumberger Limited</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
86	<b><u>SE</u> - Spectra Energy Corp.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
87	<b><u>BA</u> - The Boeing Company</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
88	<b><u>DD</u> - E. I. du Pont de Nemours and Company</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
89	<b><u>ECL</u> - Ecolab Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
90	<b><u>HON</u> - Honeywell International Inc.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
91	<b><u>PX</u> - Praxair Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
92	<b><u>PCP</u> - Precision Castparts Corp</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
93	<b><u>UNP</u> - Union Pacific Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
94	<b><u>UTX</u> - United Technologies Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
95	<b><u>MSFT</u> - Microsoft Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

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96	<b><u>VZ</u> - Verizon Communications Inc.</b>	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
97	<b><u>APH</u> - Amphenol Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
98	<b><u>HSIC</u> - Henry Schein, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
99	<b><u>MA</u> - MasterCard Incorporated</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
100	<b><u>MCHP</u> - Microchip Technology Inc.</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
101	<b><u>ROP</u> - Roper Technologies, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
102	<b><u>TEF</u> - Teleflex Incorporated</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
103	<b><u>COP</u> - ConocoPhillips</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
104	<b><u>AWK</u> - American Water Works Company, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)

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105	<b><u>HOLX</u> - Hologic, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
106	<b><u>RHT</u> - Red Hat, Inc.</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
107	<b><u>TMK</u> - Torchmark Corporation</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
108	<b><u>TSCO</u> - Tractor Supply Company</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	03/30/2016	Spouse	--	Augusta ME Bond <i>Rate/Coupon: 2%</i> <i>Matures: 05/01/2021</i>	Purchase	\$50,001 - \$100,000	--
2	03/09/2016	Spouse	RHT	Red Hat, Inc.	Purchase	\$15,001 - \$50,000	--
3	03/09/2016	Spouse	--	JPMorgan Chase & Co <i>Rate/Coupon: 2.55%</i> <i>Matures: 03/01/2021</i>	Purchase	\$15,001 - \$50,000	--
4	03/09/2016	Spouse	SRCL	Stericycle, Inc.	Purchase	\$15,001 - \$50,000	--
5	04/11/2016	Spouse	PX	Praxair Inc.	Purchase	\$1,001 - \$15,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
6	04/11/2016	Spouse	DD	E. I. du Pont de Nemours and Company	Sale (Full)	\$1,001 - \$15,000	--
7	02/09/2016	Spouse	EMC	EMC Corporation	Sale (Full)	\$1,001 - \$15,000	--
8	02/01/2016	Spouse	--	Precision Castparts Corp	Sale (Partial)	\$1,001 - \$15,000	--
9	02/01/2016	Spouse	--	Precision Castparts Corp	Sale (Partial)	\$1,001 - \$15,000	--
10	02/01/2016	Spouse	--	Precision Castparts Corp	Sale (Partial)	\$1,001 - \$15,000	--
11	02/01/2016	Spouse	--	Precision Castparts Corp	Sale (Partial)	\$15,001 - \$50,000	--
12	07/27/2016	Spouse	--	Coppell TX 2%19 217482Y51 <i>Rate/Coupon: 2.0%</i> <i>Matures: 02/01/2019</i>	Purchase	\$1,001 - \$15,000	--
13	07/14/2016	Spouse	HSY	The Hershey Company	Sale (Full)	\$15,001 - \$50,000	--
14	07/12/2016	Spouse	FTV	Fortive Corporation	Sale (Full)	\$1,001 - \$15,000	Fortive received in Danaher spinoff.
15	08/01/2016	Spouse	RHT	Red Hat, Inc.	Purchase	\$15,001 - \$50,000	--
16	08/01/2016	Spouse	TMK	Torchmark Corporation	Purchase	\$15,001 - \$50,000	--
17	08/26/2016	Spouse	--	Federal Farm Credit Bank 3133EFAS6 <i>Rate/Coupon: 2.0%</i> <i>Matures: 08/26/2019</i>	Sale (Full)	\$50,001 - \$100,000	--
18	09/23/2016	Spouse	USB	U.S. Bancorp	Purchase	\$15,001 - \$50,000	--
19	09/23/2016	Spouse	WFC	Wells Fargo & Company	Sale (Full)	\$15,001 - \$50,000	--
20	09/08/2016	Spouse	SYK	Stryker Corporation <i>Rate/Coupon: 2.0%</i> <i>Matures: 03/08/2019</i>	Purchase	\$50,001 - \$100,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
21	09/16/2016	Spouse	--	Federal Farm Credit Bank 3133EGFP5 <i>Rate/Coupon: 1.83%</i> <i>Matures: 06/12/2022</i>	Purchase	\$50,001 - \$100,000	--
22	06/30/2016	Spouse	--	Federal Home Loan Bank 3130A8LS0 <i>Rate/Coupon: 1.5%</i> <i>Matures: 06/30/2021</i>	Purchase	\$15,001 - \$50,000	--
23	06/30/2016	Spouse	--	Federal Farm Credit Bank 3133EFZT7 <i>Rate/Coupon: 1.4%</i> <i>Matures: 02/24/2020</i>	Purchase	\$50,001 - \$100,000	--
24	06/28/2016	Spouse	--	Federal Farm Credit Bank 3133EFHP5 <i>Rate/Coupon: 1.7%</i> <i>Matures: 07/08/2020</i>	Sale (Full)	\$15,001 - \$50,000	--
25	12/01/2016	Spouse	AWK	American Water Works Company, Inc.	Purchase	\$15,001 - \$50,000	--
26	12/01/2016	Spouse	HOLX	Hologic, Inc.	Purchase	\$15,001 - \$50,000	--
27	12/01/2016	Spouse	MTD	Mettler-Toledo International Inc.	Purchase	\$1,001 - \$15,000	--
28	12/01/2016	Spouse	TMK	Torchmark Corporation	Purchase	\$1,001 - \$15,000	--
29	12/01/2016	Spouse	TSCO	Tractor Supply Company	Purchase	\$15,001 - \$50,000	--
30	12/20/2016	Spouse	--	Washington State Federal Highway Grant <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2019</i>	Purchase	\$15,001 - \$50,000	Federal Government grant.
31	12/30/2016	Spouse	COP	ConocoPhillips	Purchase	\$15,001 - \$50,000	--
32	12/01/2016	Spouse	GOOG	Alphabet Inc.	Sale (Partial)	\$1,001 - \$15,000	--
33	12/01/2016	Spouse	SRCL	Stericycle, Inc.	Sale (Full)	\$15,001 - \$50,000	--
34	12/01/2016	Spouse	VZ	Verizon Communications Inc.	Sale (Full)	\$1,001 - \$15,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
35	12/16/2016	Spouse	ESRX	Express Scripts Holding Company	Sale (Full)	\$1,001 - \$15,000	--
36	12/30/2016	Spouse	--	PRGO-Perrigo Company plc	Sale (Full)	\$15,001 - \$50,000	--

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	<u>VEU</u>	Vanguard FTSE All-Wld ex-US ETF	Purchase	08/01/2016	\$15,001 - \$50,000	--

#### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

#### Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

#### Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2012	Self	Mortgage	0	3.125% (7/1 ARM)	\$250,001 - \$500,000	Wells Fargo San Francisco, CA	-

#### Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

#### Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**



## **Part 10. Compensation**

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

## **Attachments & Comments**

*No attachments added.*

### **Comments**

On 7/8/2016 I reported an exchange transaction of WCN to BIN in connection with the WCN and BIN merger. In reviewing my year-end documents, however, I realized that my spouse did not own BIN stock. The merger merely re-issued my spouse's existing WCN stock under the same ticker symbol.