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5 C.F.R Part 2634

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved: OMB No. 3209-0001

U.S. Office of Government Ethics		AND DESCRIPTION OF THE PARTY OF				
Date of Appointment, Candidacy, Election or Nomination (<i>Month, Day, Year</i>)	Reporting Status (Check Incumbent	Calendar Year Covered by Report	New Entrant, Nominee,	Termination	Termination Date (If Appli- cable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to
of Hommation (Mannin, 22), 1241	appropriate boxes)	COVERED 57 REPOS.	X or Candidate	Filer		file this report and does so more than
	hamana d		Daniel Control			30 days after the date the report is
Reporting Individual's Name	Last Name .		First Name and Middle	, Initial .		required to be filed, or, if an extension
Reporting individuals traine	Rice		Susan E.			is granted, more than 30 days after the last day of the filing extension period
	Title of Position		Department or Agency	(If Applicable)		shall be subject to a \$200 fee.
Position for Which Filing	US Permanent Representative	e to the United Nation				
	Address (Number, Street, City, State.		**	Telephone No. (Inc.	aludo Aron Cado)	Reporting Periods Incumbents: The reporting period is
Location of Present Office			<u> </u>	202-540-3069	tude Area Code j	the preceding calendar year except
(or forwarding address)	451 6th Street NW, Washington	on, DC 20001		202-040-0009		Part II of Schedule C and Part I of
Position(s) Held with the Federal	Title of Position(s) and Date(s) Held	<u></u>		<u> </u>	****	Schedule D where you must also
Government During the Preceding						include the filing year up to the date you file. Part II of Schedule D is not
12 Months (If Not Same as Above)	Not applicable		•			applicable.
Presidential Nominees Subject to	Name of Congressional Committee C	onsidering Nomination	Do You Intend to Create	te a Qualified Diversif	ited Trust?	Termination Filers: The reporting period begins at the end of the period
Senate Confirmation	Senate Foreign Relations Con					covered by your previous filing and ends
	Settate : Oreign Telations Con	mintee	Yes	X _{No}		at the date of termination. Part II
Certification	[Si					of Schedule D is not applicable.
I CERTIFY that the statements I have	Signature of Reporting Individual			Date (Month, Day,	Year)	Name To Alama To
made on this form and all attached		0 -				Nominees, New Entrants and Candidates for President and Vice
schedules are true, complete and correct to the best of my knowledge.	freson &	. I Curio	!	12/24/0	o र्ड	President:
ny me bost of my anovious.					·	Schedule A-The reporting period for
	Signature of Other Reviewer			Date (Month, Day,	Year)	Income (BLOCK C) is the preceding
Other Review			•			calendar year and the current calendar year up to the date of filing. Value
(If desired by			. 1			assets as of any date you choose that is
agency)						within 31 days of the date of filing.
Agency Ethics Official's Opinion	Signature of Designated Agency Ethic	s Official/Reviewing Of	ficial	Date (Month. Day, 1	Year)	Schedule B-Not applicable.
On the basis of information contained in this report, I conclude that the filer is			1	1	1	
in compliance with applicable laws and	1		_	1-1-1-	1-0	Schedule C. Part I (Liabilities)— The reporting period is the preceding
regulations (subject to any comments in the box below).	Harris N	. The	- ·	12/28	100	calendar year and the current calendar
in the dox below).	Signature	1		Date (Month, Day,)	// ·	year up to any date you choose that is
Office of Government Ethics		7/		/	/	within 31 days of the date of filing.
Use Only	Na	TX		1 /2/3/	108	Schedule C. Part II (Agreements or
Comments of Reviewing Officials (If addition	onal space is required, use the reverse	side of this sheet)			, , , , , , , , , , , , , , , , , , , ,	Arrangements)— Show any agreements or arrangements as of the date of
		/CU J. L .			· · · · · · · · · · · · · · · · · · ·	filing.
	•	(Check box	x if filing extension granted & i	indicate number of da	rys)	
• •						Schedule DThe reporting period is the preceding two calendar years and
						the current calendar year up to the
						date of filing.
						Agency Use Only
			((1) - 1 1			OGE Use Only
			(Check box if commen	nis are continued on th	he reverse side)	



SF278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Re	orting In	dividual's Name			· ·			, o,								-	-			-	anti-minus	-	-						Page Number	
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-		na-Biden Transition Team (Sa	lary																										\$12,463.04	
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Reporting Individual's Name			A	Page Number
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Rice, Susan E.		(Use only	if needed)	
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	Valuation of Assets		T	:
Assets and Income	valuation of Assets at close of	ı	Income: type and amount. If "None (or less than \$201)"	is checked, no
	reporting period		other entry is needed in Block C for that item.	
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* This category applies only if the asset/incon	me is solely that of the filer's spouse or dependent c	hildren. If the as:	set/income is either that of the filer or jointly held by the filer with	the spouse or dependent children
mark the other higher categories of value, as ap	ppropriate.			



U.S. Office of Government Ethics Page Number Reporting Individual's Name SCHEDULE A continued 5 Rice, Susan E. (Use only if needed) Income: type and amount. If "None (or less than \$201)" is checked, no Valuation of Assets Assets and Income at close of other entry is needed in Block C for that item. reporting period BLOCK B BLOCK C BLOCK A Type Amount Other Date Excepted Investment Fund \$25,000,001 - \$50,000,000 Income (Mo., Day, \$5,000,001 - \$25,000,000 Yr.) (Specify \$500,001 - \$1,000,000 Type & \$1,000,001 - \$ 5,000 \$250,001 - \$500,000 \$106,001 - \$1,000,00 \$100,001 - \$250,000 Rent and Royalties \$50,001 - \$100,000 \$50,001 - \$100,000 Actual Only if \$15,001 - \$50,000 Over \$50,000,000 Over \$1,000,000* Over \$1,000.000 \$1,001 - \$15,000 Excepted Trust Over \$5,000,000 Amount) Honoraria \$5,001 - \$15,000 None (or less th \$1,001 - \$2,500 Capital Gains Dividends None 1 NOVARTIS AG TAKEDA PHARMACEUTI х **GSK** GLAXOSMITHKLINE 2 KAO CORP х TU TELUS CORP UTX UNITED TECHNOLOGIES 3 ROGERS COMMUNICATION RCI.B х X CDN TIRE CORP CTC.A х х х WAL MART STORES INC WMT KO х 4 COCA-COLA CO х MUR х MURPHY OIL CORP х INTL BUSINESS MCHN IBM 5 NEWMONT MINING CORP NEM X × ROYAL TRUST CORP OF CDA - CASH A/C - US х x BA BOEING CO TRP TRANSCANADA CORP X BMO х BANK OF MONTREAL BROOKFIELD ASSET MGT BAM.A CDN IMP BANK COMMERC CM Х х BNS х х BANK OF NOVA SCOTIA JNJ NOSNHOL & NOSNHOL GLD SPDR GOLD TR GOLD х х GW0 GREAT-WEST LIFECO х х IMO IMPERIAL OIL LTD **ENB** 9 ENBRIDGE INC Х TAC х TRANSALTA CORP CDN PACIFIC RAILWAY CP This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.



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Rep	porting Individual's Name											C.	~ T	n na	K V.E.	ר זווי		Α _		4.2													Page	Number		
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11.S. Office of Government Ethics Page Number Reporting Individual's Name SCHEDULE A continued 7 Rice, Susan E. (Use only if needed) **Assets and Income** Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK B BLOCK A BLOCK C Type Amount Other Date Excepted Investment Pund \$25,000,001 - \$50,000,000 Income (Mo., Day, \$5,000,001 - \$25,000,000 \$1,600,001 - \$ 5,600,000 \$1,000,001 - \$5,000,000 Yr.) (Specify \$500,001 - \$1,000,000 Type & \$100,001 - \$250,000 \$250,001 - \$500,000 Rent and Royalfies Only if \$50,001 - \$100,000 \$15,001 - \$50,000 Actual Over \$1,000,000* Over \$1,000.000 Qualified Trust Over \$5,000,000 Amount) Honoraria None (or less tha Excepted Trust \$5,001 - \$15,000 \$2,501 - \$5,000 \$1,001 - \$2,500 Capital Gains 3301 - ST,000 Dividends 1 BROOKFIELD ASSET MGT BAM.A CDN IMP BANK COMMERC CM х **BNS** BANK OF NOVA SCOTIA х JNJ 2 JOHNSON & JOHNSON Х GWO GREAT-WEST LIFECO х IMPERIAL OIL LTD IMO X **ENB** 3 ENBRIDGE INC Х TRANSALTA CORP TAC Х CP CDN PACIFIC RAILWAY Х RY 4 ROYAL BANK CDA х ENCANA CORPORATION **ECA** Х TORONTO DOMINION BK 5 ROYAL TRUST CORP OF CDA - CASH - CA 6 ROYAL TRUST CORP OF CDA - Cash A χ ROYAL TRUST CORP OF CDA - Cash A X RBC Private CDN Mid Cap Eq Pool Х RBC Private Income Pool RBC Private US Mid Cap Eq Pool X RBC Private US Eq Pool × 8 S&P 500 Deposit Receipts iShares S&P/TSX 60 Index Fund × RBC Private Intl Eq Pool X This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ



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ce, Susan E.		SCHEDULE I	B							-		Mp.L.W T				<i>3</i>	
rt I: Transactions							None	;									
ort any purchase, sale, or exchar	ge by you, your spouse,	report a transaction involving property used solely as your		nsaction						Ar	nount	of Tran	saction	(x)			
pendent children during the re- erty, stocks, bonds, commodity rities when the amount of the tr 00. Include transactions that res	orting period of any real futures, and other insaction exceeded ulted in a loss. Do not	personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	Purchase	Sale x) add	Exchange	Date (Mo., Day, Yr.)	\$1,001 -	\$15,001 - \$50,000	\$50,001 - \$100,000	100,001 -	250,001 -	\$500,001 - \$1,000,000	ver 1,000,000*	\$1,000,001 -	\$5,000,001	\$25,000,001 - \$50,000,000	Over \$50,000,000
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Example: Central Airlines Comm	in .		1														
												 					
nis category applies only if the u	nderlying asset is solely that	of the filer's spouse or dependent children. If the underlying as ent children, use the other higher categories of value, as appropr	set is eit	her he	eld					•							
art II: Gifts, Reimb r you, your spouse and depen n, and the value of: (1) gifts (od, or entertainment) received travel-related cash reimburs an \$260. For conflicts analysi personal friend, agency appre thority, etc. For travel-related tes, and the nature of expense	dent children, report the s such as tangible items, tr from one source totaling ements received from one s, it is helpful to indicate total under 5 U.S.C. § 411 pifts and reimbursements	ravel Expenses source, a brief descrip- ansportation, lodging, more than \$260; and source totaling more a basis for receipt, such 1 or other statutory s, include travel itinerary,	the U recei inder the d	ved fi cende onor's value	rom intofice of the second sec	rnment; giv relatives; r î their relat idence. Als n one sour sions.	eceive ionsh	ed by ip to y	your : /ou: o oses (spouser prov	e or d rided regati	epend as per ing gi	ent c sonal Its to	hild to hospi deterr	otally itality mine the structi	at he	
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Part I:	Transactions	·																
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	and the state of t		Purchase	Sale	Exchange	Date (Mo. , Day, Yr.)	1,001 - 15,000	15,001 - 50,000	50,001 - 100,000	100,001 - 250,000	250,001 - 500,000	500,001 - 1,000,000)ver 1,000,000*	1,000,001 - 5,000,000	5,000,001 <u>-</u> 25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	ertificate of vestiture
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	ice of Government Ethics ng Individual's Name				***			ATTACK OF THE PARTY OF THE PART					Page N	lumber			-
Rice,	Susan E.		SCHEDU	LE C					-				Ĺ		11		
Part	I: Liabilities		·														
	liabilities over \$10,000 owed to an		personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and		No	ne X				Catego	ry of A	mount (or Vali	ue (x)			
In den	me during the reporting period by y endent children. Check the highest the reporting period. Exclude a m	amount owed	liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term it appli- cable	\$10,001 -	\$15,001 - \$50,000	,001 - 0,000	5,001 - 5,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 -	\$5,000,001 - \$25,000,000	\$25,000,001 -	000,000
<u>* - </u>	Creditors (Name and		Type of Liability					\$15		\$100	\$25	\$1,0	Over \$1,00	\$5,0	\$5,0	\$25,	\$50 \$50
Exam	oles: First District Bank, Washington, John Jones, 123 J St., Washington	DC on. DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10 %	25 yrs. on demai		+	- <u>×</u> -		- x						
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Par Repo	t II: Agreements or A rt your agreements or arrangements be benefit plan (e.g. 401k, detent by a former employer (inclu	rrangements ents for continuing ferred compensation	participation in an		ce; and (iations fo								ding th	he rep	oortin;	g	eminera Gelf
			y Agreement or Arrangement					0.0.11		Parties							ate
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1 1	IAA CREF Account upon term	ination of employme	ent, no further contributions by employer or employee			E	Brooking	gs Insti	tution						:	20	003
2 1	will receive additional standard o	lirector compensation	n pursuant to an established calculation method for cal	lendar year	2008.	E	Bureau (of Natio	onal A	ffairs						20	800
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Day	t I: Positions Held Outs	ide II S. Covernment			, , , , , , , , , , , , , , , , , , ,	
rai	TI E FUSITIONS LICIT OUTS	ide U.S. Government pplicable reporting period, whether but are not limited to those of an officer, ietor, representative, employee, or	consultant of any corporation firm	n, partnership, or other business enterprise or any	,	
com	neneated or not Positions include	but are not limited to those of an officer.	non-profit organization or education	onal institution. Exclude positions with religious		
dire	ctor, trustee, general partner, propr	ietor, representative, employee, or	social, fraternal, or political entitie	es and those solely of an honorary nature.	Mana	
					None	
	Organ	ization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Y
	Nat'l Assn. of Rock Collectors	, NY, NY	Non-profit education	. President	6/92	Present
	Doe Jones & Smith, Hometow		Law firm	Partner	7/85	1/00
1	Bureau of National Affairs		private company	member, Board of Directors	4/03	present
	The Beauvoir School		non-profit school	member, Board of Directors	9/05	6/08
-	US Fund for UNICEF		non-profit	member, Board of Directors	6/01	6/08
4	American Security Project		non-profit	member, Board of Directors	6/05	present
5	National Democratic Institute		non-profit	member, Board of Directors	6/01	present
	The Trilateral Commission		non-profit	member, North American Executive	6/06	present
+	Partnership for Public Service		non-profit	member, Board of Directors	12/03	present
	Brookings Institution		non-profit	Senior Fellow	9/02	ent (on leave
읙	The Atlantic Council		non-profit	member, Board of Directors	4 /05	present
	MacArthur Foundation		non-profit Foundation	consultant	9/06	5/08
10	Olympia Maintenantia Company	Spogli Institute for International Studies.	Non-profit	member, Advisory Board	11/03	přesent
11	Rice Cameron Family Foundati	ion	Charitable Foundation	trustee	12/06	present
				Illustee	12/00	present
Pa	rt II: Compensation In F	Excess Of \$5,000 Paid by One Sou	arce		Do not com	plete this pa
		ompensation received by you or your	corporation, firm, partnership, or o	other business enterprise, or any other non-profit	if you are a	n Incumbent
hus	ness affiliation for services provid	ed directly by you during any one year of	organization when you directly pro	ovided the services generating a fee or payment	Termination	
the	reporting period. This includes the	names of clients and customers of any	of more than \$5,000. You need no	ot report the U.S. Government as a source.	Vice Presid	
			•		or Presider	ntial Candida
					None	
-	Source (Name and Address)			Brief Description of Duties		
_	. Doe Jones & Smith, Hometow	n, State	Legal services			
	Metro University (client of Do	e Jones & Smith), Moneytown, State	Legal services in connection with un	niversity construction		
1	The Brookings Institution		Salary as Senior Fellow			
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2	MacArthur Foundation		Consultant			
3	Bureau of National Affairs		Director			
				<u> </u>		
4	Obama-Biden Presidential Trai	nsition	Salary as Member of Advisory	Board and Leader of National Security Police	y Team	
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SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics Page Number Reporting Individual's Name 13 SCHEDULE D Rice, Susan E. Part I: Positions Held Outside U.S. Government Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an of director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any compensated or not. Positions include but are not limited to those of an officer, non-profit organization or educational institution. Exclude positions with religious. social, fraternal, or political entities and those solely of an honorary nature. None From (Mo., Yr.) To (Mo., Yr.) Type of Organization Position Held Organization (Name and Address) 6/92 Present Nat'l Assn. of Rock Collectors, NY, NY Non-profit education President 7/85 1/00 Partner Law firm Doe Jones & Smith, Hometown, State National Security Leadership Truman National Security Project 2005 Present Institute Senior Fellow Washington, DC Non-profit organization Member, Advisory Board America Abroad Media 2002 Present Washington, DC Part II: Compensation In Excess Of \$5,000 Paid by One Source Do not complete this part if you are an Incumbent, Report sources of more than \$5,000 compensation received by you or your corporation, firm, partnership, or other business enterprise, or any other non-profit business affiliation for services provided directly by you during any one year of Termination Filer, or organization when you directly provided the services generating a fee or payment Vice Presidential of more than \$5,000. You need not report the U.S. Government as a source. the reporting period. This includes the names of clients and customers of any or Presidential Candidate None Brief Description of Duties Source (Name and Address) Legal services Doe Jones & Smith, Hometown, State Examples: Legal services in connection with university construction Metro University (client of Doe Jones & Smith), Moneytown, State