

Annual Report for Calendar 2016**The Honorable Thad Cochran (Cochran, Thad)**

Filed 07/14/2017 @ 6:14 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of HonorariaDid any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No****Part 2. Earned and Non-Investment Income**Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Retirement	Raymond James IRA Distribution St. Petersburg, Florida	\$4,055.92

Part 3. AssetsDid you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	Trustmark National Bank (Jackson, MS) Type: Checking,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
2	Bank of New Albany (New Albany, MS) Type: Checking,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3	Raymond James - Hattiesburg	Brokerage/Managed Account	Self			
3.1	JP Morgan (New York, NY) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
3.2	<u>CVS</u> - CVS Health Corporation (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$5,001 - \$15,000
3.3	<u>DHR</u> - Danaher Corp. (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
3.4	<u>KBR</u> - KBR, Inc. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
3.5	<u>MDLZ</u> - Mondelez International, Inc. (NASDAQ)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
3.6	WBA - Walgreens Boots Alliance, Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
3.7	<u>VILLX</u> - Villere Balanced Inv (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
3.8	<u>BX</u> - The Blackstone Group L.P. (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
3.9	<u>ETE</u> - Energy Transfer Equity, L.P. (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3.10	<u>KKR</u> - Kohlberg Kravis Roberts & Co. L.P. (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
3.11	<u>MPW</u> - Medical Properties Trust Inc. (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
3.12	<u>TCAP</u> - Triangle Capital Corporation (NYSE)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
3.13	Bunge Limited Finance Corp. Notes <i>Rate/Coupon: 8.5</i> <i>Matures: 06/15/2019</i>	Corporate Securities Corporate Bond	Self	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
3.14	<u>NEE-PI</u> - NextEra Energy, Inc. Series I J (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
3.15	<u>RF-PA</u> - Regions Financial Corporation D (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
3.16	<u>ABDC</u> - Alcentra Capital Corp. (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
3.17	<u>HDS</u> - HD Supply Holdings, Inc. (NASDAQ)	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.18	<u>HCP</u> - HCP, Inc. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
3.19	<u>PDS</u> - Precision Drilling Corporation (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
3.20	<u>BURFX</u> - Burnham Financial Long/Short Fund Class A	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3.21	<u>I</u> - AT&T, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
3.22	<u>AMAT</u> - Applied Materials, Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
3.23	<u>FLS</u> - Flowserve Corp.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
3.24	<u>IP</u> - International Paper Company	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
3.25	<u>KHC</u> - The Kraft Heinz Company	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
3.26	<u>MSM</u> - MSC Industrial Direct Co. Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
3.27	<u>MRK</u> - Merck & Co. Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
3.28	<u>TEVA</u> - Teva Pharmaceutical Industries Limited	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
3.29	<u>EXXIQ</u>-Energy XXI Ltd.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)
3.30	<u>AHT</u> - Ashford Hospitality Trust, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
3.31	<u>CG</u> - The Carlyle Group LP	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3.32	<u>GEL</u> - Genesis Energy LP	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
3.33	<u>LAZ</u> - Lazard Ltd.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
3.34	<u>OAKIX</u> - Oakmark International I	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
3.35	<u>WSHFX</u> - American Funds Washington Mutual F1	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
3.36	<u>RQI</u> - Cohen & Steers Quality Income Realty Fund, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
3.37	<u>GNMA REMIC TRUST</u> <i>Rate/Coupon:</i> <i>Matures:</i> <i>Filer comment: 2.500% due 10/20/2045</i>	Government Securities US Treasury/Agency Security	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
3.38	<u>LKQ</u> - LKQ Corp.	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
3.39	<u>ABT</u> - Abbott Laboratories	Corporate Securities Stock	Self	\$1,001 - \$15,000	Interest, Capital Gains,	\$201 - \$1,000
3.40	<u>APA</u> - Apache Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.41	<u>CF</u> - CF Industries Holdings, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3.42	<u>DG</u> - Dollar General Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
3.43	<u>FTV</u> - Fortive Corporation <i>Filer comment: July 2016 spin-off from Danaher Corp.</i>	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
3.44	<u>HIG</u> - The Hartford Financial Services Group, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
3.45	<u>MKC</u> - McCormick & Company, Incorporated	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.46	<u>MET</u> - MetLife, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
3.47	<u>MOS</u> - The Mosaic Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
3.48	<u>NUAN</u> - Nuance Communications, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.49	<u>SLM</u> - SLM Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Capital Gains,	\$5,001 - \$15,000
3.50	<u>SEE</u> - Sealed Air Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3.51	<u>TSCO</u> - Tractor Supply Company	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.52	<u>MDT</u> - Medtronic plc	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.53	<u>ETP</u> - Energy Transfer Partners, L.P.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.54	<u>MAA</u> - Mid-America Apartment Communities, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
3.55	<u>QCP</u> - Quality Care Properties, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.56	Sunoco Logistics Partners LP (SXL)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
3.57	<u>SUN</u> - Sunoco LP	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
3.58	<u>WY</u> - Weyerhaeuser Co.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.59	<u>SMGIX</u> - Columbia Contrarian Core Z	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
3.60	<u>CCIZX</u> - Columbia Seligman Comms & Info Z	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Capital Gains,	\$1,001 - \$2,500

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3.61	<u>MTCIX</u> - MFS Technology I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000
3.62	<u>WMFFX</u> - American Funds Washington Mutual F2	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
3.63	<u>FSD</u> - First Trust High Income Long/Short Fund	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
3.64	<u>NHE</u> - NexPoint Credit Strategies Fund	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
3.65	<u>ISD</u> - Prudential Short Duration High Yield Fund, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
3.66	<u>IWM</u> - iShares Russell 2000 ETF	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.67	<u>GNMA Remic Trust 2016-21</u> <i>Rate/Coupon:</i> <i>Matures:</i> <i>Filer comment: 3.000%, due</i> <i>02/20/2046</i>	Government Securities US Treasury/Agency Security	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
3.68	<u>EPD</u> - Enterprise Products Partners L.P.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
3.69	<u>D</u> - Dominion Energy, Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
3.70	<u>AIV</u> - Apartment Investment and Management Company	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.71	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3.72	<u>PDCO</u> - Patterson Companies, Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
3.73	<u>PFG</u> - Principal Financial Group, Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
3.74	<u>RAD</u> - Rite Aid Corporation	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.75	<u>VOYA</u> - Voya Financial, Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
3.76	<u>ZION</u> - Zions Bancorporation	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
4	Raymond James-Jackson	Brokerage/Managed Account	Self			
4.1	Raymond James Bank NA (Washington, DC) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
4.2	<u>BAC</u> - Bank of America Corporation (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
4.3	<u>COP</u> - ConocoPhillips (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.4	<u>JNJ</u> - Johnson & Johnson (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
4.5	<u>PG</u> - The Procter & Gamble Company (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
4.6	<u>ACEIX</u> - Invesco Equity and Income A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
4.7	<u>VGRAX</u> - Invesco Mid Cap Growth A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
4.8	Mississippi DEV BK SPL OBLIG SWR BDS, WEST Rankin UTL Ser 2008 <i>Rate/Coupon: 4.5500</i> <i>Matures: 01/01/2026</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	None,	\$1,001 - \$2,500
4.9	<u>DFS</u> - Discover Financial Services (NYSE)	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
4.10	<u>GE</u> - General Electric Company	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.11	<u>TEX</u> - Terex Corporation	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)
4.12	<u>F</u> - Ford Motor Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
4.13	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.14	<u>V</u> - Visa Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
5	Morgan Stanley Ridgeland	Brokerage/Managed Account	Self			
5.1	Morgan Stanley Private Bank NA (Ridgeland, Mississippi) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
5.2	MPO - Midstates Petroleum Company, Inc. (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
5.3	NML - Neuberger Berman MLP Income Fun (AMEX)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
6	Rose Clayton Cochran Rev. Trust	Trust General (Trust)	Self			
6.1	50% 3/5 interest in 19.91 Acres <i>Description: 13/4/3 Parcel 200 (Ripley, Mississippi)</i>	Real Estate Unimproved Land	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.2	Renasant Bank (Tupelo, Mississippi) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	None (or less than \$201)
7	Clayton Family LLC <i>Company: Clayton Family LLC (Tupelo, Mississippi) Description: Holds Real Property</i>	Business Entity Limited Liability Company (LLC)	Self			
7.1	Renasant Trust MDA (Tupelo, Mississippi) <i>Type: Checking,</i>	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	None (or less than \$201)
7.2	2/5 Interest in 19.91 Acres <i>Description: 13/4/3 Parcel 200 (Ripley, Mississippi)</i>	Real Estate Unimproved Land	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
8	Rose Clayton Cochran Custody <i>Description: Custody Account (Tupelo, Mississippi)</i>	Other Securities	Self			

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
8.1	Renasant Trust MDA (Tupelo, Mississippi) <i>Type: Checking,</i>	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
8.2	Bank of New Albany	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
9	Hugh Clayton Trust	Trust General (Trust)	Self			
9.1	Renasant Trust MDA (Tupelo, Mississippi) <i>Type: Checking,</i>	Bank Deposit	Self	\$100,001 - \$250,000	Interest,	\$201 - \$1,000
9.2	Bank of New Albany	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000
9.3	50% 3/5 interest in 19.91 Acres <i>Description: 13/4/3 Parcel 200</i> (Ripley, Mississippi)	Real Estate Unimproved Land	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
10	Cathryn Rose Clayton Family Trust <i>Filer comment: See comments at the end of the report.</i>	Trust General (Trust)	Self			
10.1	Renasant Trust MDA (Tupelo, Mississippi) <i>Type: Checking,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
10.2	International Paper Co <i>Rate/Coupon: 7.5%</i> <i>Matures: 8/15/2021</i> <i>Filer comment: Asset transferred to Trust FBO 2 family members.</i>	Corporate Securities Corporate Bond	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
10.3	Parking Lot E Jefferson/E Walnut <i>Description: Parking Lot (Ripley, Mississippi)</i>	Real Estate Commercial	Self	\$15,001 - \$50,000	Rent/Royalties,	\$1,001 - \$2,500

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
10.4	Clayton Family LLC 13.8% <i>Company:</i> Clayton Family LLC (Tupelo, Mississippi) <i>Description:</i> Holds Real Property <i>Filer comment:</i> 2014 Non-Cash Adjustment to Units resulted in decreased percentage of holdings.	Business Entity Limited Liability Company (LLC)	Self			
10.4.1	2/5 Interest in 19.91 Acres <i>Description:</i> 13/4/3 Parcel 200 (Ripley, Mississippi)	Real Estate Unimproved Land	Spouse	\$50,001 - \$100,000	None,	None (or less than \$201)
11	Raymond James Rollover IRA <i>Filer comment:</i> IRA Rollover from Watkins & Eager Retirement Trust, the Van Guard Group	Retirement Plans IRA	Self			
11.1	<u>QAI</u> - IQ Hedge Multi-Strategy Tracker ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
11.2	<u>AGG</u> - iShares Core US Aggregate Bond (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
11.3	<u>EEM</u> - iShares MSCI Emerging Markets (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.4	<u>IWD</u> - iShares Russell 1000 Value (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.5	<u>EFA</u> - iShares MSCI EAFE (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.6	<u>IWF</u> - iShares Russell 1000 Growth (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.7	<u>IWM</u> - iShares Russell 2000	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.8	<u>EMB</u> - iShares JPMorgan USD Emerg Markets Bond (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)
11.9	<u>EMLC</u> - Market Vectors EM Local Currency Bd ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)
11.10	<u>JNK</u> - SPDR Barclays High Yield Bond ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.11	<u>SDY</u> - SPDR S&P Dividend ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.12	<u>MDY</u> - SPDR S&P MidCap 400 ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.13	<u>FLRN</u> - SPDR Barclays Investment Grd FI Rt ETF (NYSEArca)	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
11.14	CIU - iShares Intermediate Credit Bond	Mutual Funds Exchange Traded Funds/Notes	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
12	US Senate Federal Credit Union (Washington, DC) <i>Type: Checking,</i>	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	None (or less than \$201)
13	Reception Rental Space in DC Residence <i>Description: Reception Rental Space (Washington, DC) Filer comment: Asset value listed is for the entire residential property.</i>	Real Estate Residential	Spouse	\$1,000,001 - \$5,000,000	Rent/Royalties,	\$2,501 - \$5,000
14	Rental Property in Gulfport <i>Description: Rental Property (Gulfport, MS)</i>	Real Estate Residential	Spouse	\$250,001 - \$500,000	Rent/Royalties,	\$15,001 - \$50,000
15	ABICX - AB International Value C	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
16	Bed and Breakfast in DC Residence <i>Description: Bed and Breakfast (Washington, DC) Filer comment: Asset value is for the entire property.</i>	Real Estate Residential	Spouse	\$1,000,001 - \$5,000,000	Rent/Royalties,	\$2,501 - \$5,000

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/05/2016	Self	ETE	Energy Transfer Equity, L.P.	Sale (Full)	\$15,001 - \$50,000	--
2	01/06/2016	Self	GEL	Genesis Energy LP	Sale (Full)	\$1,001 - \$15,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
3	01/07/2016	Self	MDLZ	Mondelez International, Inc.	Sale (Full)	\$1,001 - \$15,000	--
4	01/07/2016	Self	MSM	MSC Industrial Direct Co. Inc.	Sale (Full)	\$15,001 - \$50,000	--
5	01/07/2016	Self	CVS	CVS Health Corporation	Sale (Partial)	\$15,001 - \$50,000	--
6	01/08/2016	Self	HDS	HD Supply Holdings, Inc.	Sale (Full)	\$1,001 - \$15,000	--
7	01/12/2016	Self	MPW	Medical Properties Trust Inc.	Sale (Full)	\$15,001 - \$50,000	--
8	01/20/2016	Self	CVS	CVS Health Corporation	Sale (Partial)	\$1,001 - \$15,000	--
9	01/20/2016	Self	KHC	The Kraft Heinz Company	Sale (Full)	\$1,001 - \$15,000	--
10	01/21/2016	Self	IR	Ingersoll-Rand Plc	Purchase	\$1,001 - \$15,000	--
11	01/22/2016	Self	KKR	KKR & Co. L.P.	Purchase	\$1,001 - \$15,000	--
12	01/25/2016	Self	IP	International Paper Company	Purchase	\$1,001 - \$15,000	--
13	01/27/2016	Self	ZION	Zions Bancorporation	Purchase	\$1,001 - \$15,000	--
14	01/29/2016	Self	AXE	Anixter International Inc.	Purchase	\$1,001 - \$15,000	--
15	02/01/2016	Self	D	Dominion Resources, Inc.	Purchase	\$15,001 - \$50,000	--
16	02/02/2016	Self	AXE	Anixter International Inc.	Sale (Full)	\$1,001 - \$15,000	--
17	02/02/2016	Self	AMAT	Applied Materials, Inc.	Sale (Full)	\$15,001 - \$50,000	--
18	02/02/2016	Self	TEVA	Teva Pharmaceutical Industries Limited	Sale (Full)	\$1,001 - \$15,000	--
19	02/03/2016	Self	VILLX	Villere Balanced Inv	Sale (Full)	\$15,001 - \$50,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
20	02/08/2016	Self	CVS	CVS Health Corporation	Sale (Full)	\$1,001 - \$15,000	--
21	02/12/2016	Self	IR	Ingersoll-Rand Plc	Sale (Full)	\$1,001 - \$15,000	--
22	02/18/2016	Self	CG	The Carlyle Group LP	Purchase	\$1,001 - \$15,000	--
23	02/24/2016	Self	--	GNMA <i>Rate/Coupon: 3.00</i> <i>Matures: 02/20/2046</i>	Purchase	\$15,001 - \$50,000	--
24	02/24/2016	Self	EMB	iShares JPMorgan USD Emerg Markets Bond	Sale (Full)	\$1,001 - \$15,000	--
25	02/24/2016	Self	AGG	iShares Core US Aggregate Bond	Purchase	\$1,001 - \$15,000	--
26	02/24/2016	Self	QAI	IQ Hedge Multi-Strategy Tracker ETF	Purchase	\$1,001 - \$15,000	--
27	02/25/2016	Self	PDCO	Patterson Companies, Inc.	Purchase	\$15,001 - \$50,000	--
28	03/01/2016	Self	MET	MetLife, Inc.	Purchase	\$15,001 - \$50,000	--
29	03/01/2016	Self	BGG	Briggs & Stratton Corporation	Purchase	\$15,001 - \$50,000	--
30	03/07/2016	Self	ZION	Zions Bancorporation	Sale (Full)	\$1,001 - \$15,000	--
31	03/14/2016	Self	NOK	Nokia Corporation	Purchase	\$15,001 - \$50,000	--
32	03/16/2016	Self	ABC	AmerisourceBergen Corporation	Purchase	\$15,001 - \$50,000	--
33	03/18/2016	Self	NOK	Nokia Corporation	Purchase	\$1,001 - \$15,000	--
34	03/17/2016	Self	GE	General Electric Company	Purchase	\$1,001 - \$15,000	--
35	03/17/2016	Self	DFS	Discover Financial Services	Sale (Full)	\$1,001 - \$15,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
36	03/17/2016	Self	F	Ford Motor Co.	Purchase	\$1,001 - \$15,000	--
37	03/29/2016	Self	MDLZ	Mondelez International, Inc.	Purchase	\$1,001 - \$15,000	--
38	03/31/2016	Self	GEL	Genesis Energy LP	Purchase	\$15,001 - \$50,000	--
39	04/05/2016	Self	D	Dominion Resources, Inc.	Sale (Full)	\$15,001 - \$50,000	--
40	04/06/2016	Self	GEL	Genesis Energy LP	Purchase	\$50,001 - \$100,000	--
41	04/07/2016	Self	LAZ	Lazard Ltd.	Sale (Full)	\$15,001 - \$50,000	--
42	04/19/2016	Self	WMFFX	American Funds Washington Mutual F2	Purchase	\$15,001 - \$50,000	--
43	04/20/2016	Self	KSS	Kohl's Corp.	Purchase	\$1,001 - \$15,000	--
44	04/22/2016	Self	BGG	Briggs & Stratton Corporation	Sale (Full)	\$250,001 -	--
45	04/25/2016	Self	NOK	Nokia Corporation	Sale (Full)	\$15,001 - \$50,000	--
46	04/28/2016	Self	ABT	Abbott Laboratories	Purchase	\$15,001 - \$50,000	--
47	04/28/2016	Self	ABC	AmerisourceBergen Corporation	Sale (Full)	\$15,001 - \$50,000	--
48	05/02/2016	Self	LNCE	Snyder's-Lance, Inc.	Purchase	\$15,001 - \$50,000	--
49	05/05/2016	Self	TCAP	Triangle Capital Corporation	Sale (Full)	\$15,001 - \$50,000	--
50	05/05/2016	Self	KSS	Kohl's Corp.	Sale (Full)	\$1,001 - \$15,000	--
51	05/10/2016	Self	KSU	Kansas City Southern	Purchase	\$15,001 - \$50,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
52	05/13/2016	Self	FSD	First Trust High Income Long/Short Fund	Purchase	\$15,001 - \$50,000	--
53	05/24/2016	Self	ETN	Eaton Corporation plc	Purchase	\$15,001 - \$50,000	--
54	05/31/2016	Self	SPLK	Splunk, Inc.	Purchase	\$1,001 - \$15,000	--
55	06/01/2016	Self	LKQ	LKQ Corp.	Sale (Full)	\$15,001 - \$50,000	--
56	06/01/2016	Self	TEVA	Teva Pharmaceutical Industries Limited	Purchase	\$15,001 - \$50,000	--
57	06/02/2016	Self	LLY	Eli Lilly and Company	Purchase	\$15,001 - \$50,000	--
58	06/03/2016	Self	SLM	SLM Corporation	Purchase	\$1,001 - \$15,000	--
59	06/03/2016	Self	SYRG	Synergy Resources Corporation	Purchase	\$1,001 - \$15,000	--
60	06/06/2016	Self	WFT	Weatherford International plc	Purchase	\$15,001 - \$50,000	--
61	06/14/2016	Self	LNCE	Snyder's-Lance, Inc.	Sale (Full)	\$15,001 - \$50,000	--
62	06/16/2016	Self	WFT	Weatherford International plc	Sale (Full)	\$1,001 - \$15,000	--
63	06/21/2016	Self	SLM	SLM Corporation	Purchase	\$1,001 - \$15,000	--
64	06/24/2016	Self	SUN	Sunoco LP	Purchase	\$15,001 - \$50,000	--
65	06/27/2016	Self	WBA	Walgreens Boots Alliance, Inc.	Sale (Full)	\$15,001 - \$50,000	--
66	06/27/2016	Self	MDLZ	Mondelez International, Inc.	Sale (Full)	\$1,001 - \$15,000	--
67	06/27/2016	Self	SPLK	Splunk, Inc.	Sale (Full)	\$1,001 - \$15,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
68	06/27/2016	Self	BURFX	Burnham Financial Long/Short A	Sale (Full)	\$15,001 - \$50,000	--
69	07/05/2016	Self	FTV	Fortive Corporation	Exchange	\$1,001 - \$15,000	--
70	07/08/2016	Self	SYRG	Synergy Resources Corporation	Sale (Full)	\$1,001 - \$15,000	--
71	07/08/2016	Self	PDCO	Patterson Companies, Inc.	Purchase	\$1,001 - \$15,000	--
72	07/11/2016	Self	VOYA	Voya Financial, Inc.	Purchase	\$1,001 - \$15,000	--
73	07/12/2016	Self	SXL	Sunoco Logistics Partners L.P.	Purchase	\$15,001 - \$50,000	--
74	07/11/2016	Self	PFG	Principal Financial Group Inc.	Purchase	\$1,001 - \$15,000	--
75	07/15/2016	Self	RAD	Rite Aid Corporation	Purchase	\$1,001 - \$15,000	--
76	07/18/2016	Self	CG	The Carlyle Group LP	Purchase	\$1,001 - \$15,000	--
77	08/02/2016	Self	CG	The Carlyle Group LP	Sale (Partial)	\$15,001 - \$50,000	--
78	08/02/2016	Self	KSU	Kansas City Southern	Sale (Full)	\$15,001 - \$50,000	--
79	08/05/2016	Self	BMJ	Bristol-Myers Squibb Company	Purchase	\$15,001 - \$50,000	--
80	08/05/2016	Self	MTCIX	MFS Technology I	Purchase	\$1,001 - \$15,000	--
81	08/17/2016	Self	MDLZ	Mondelez International, Inc.	Purchase	\$1,001 - \$15,000	--
82	08/24/2016	Self	LLY	Eli Lilly and Company	Sale (Full)	\$15,001 - \$50,000	--
83	08/23/2016	Self	VGRAX	Invesco Mid Cap Growth A	Sale (Full)	\$1,001 - \$15,000	--
84	08/23/2016	Self	TEX	Terex Corporation	Sale (Full)	\$15,001 - \$50,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
85	08/23/2016	Self	PFE	Pfizer Inc.	Purchase	\$15,001 - \$50,000	--
86	08/19/2016	Self	--	FLRN-SPDR Barclays Investment Grd FI Rt ETF	Sale (Full)	\$1,001 - \$15,000	amt of sale was under \$1000. included bc year end holdings will be overstated if not included
87	08/30/2016	Self	SYRG	Synergy Resources Corporation	Purchase	\$1,001 - \$15,000	--
88	09/09/2016	Self	PDCO	Patterson Companies, Inc.	Sale (Full)	\$15,001 - \$50,000	--
89	09/09/2016	Self	ABT	Abbott Laboratories	Sale (Partial)	\$1,001 - \$15,000	--
90	09/16/2016	Self	EGO	Eldorado Gold Corporation	Purchase	\$1,001 - \$15,000	--
91	09/16/2016	Self	--	GNMA Remic Trust 2015-144KQ 2.5% due 10/20/245 <i>Company:</i> GNMA (Washington, DC) <i>Description:</i> Agency paper	Sale (Partial)	\$1,001 - \$15,000	This is NOT a sale but return of principal. It is however over \$1000.00
92	09/20/2016	Self	SYRG	Synergy Resources Corporation	Sale (Full)	\$1,001 - \$15,000	--
93	09/20/2016	Self	CCIZX	Columbia Seligman Comms & Info Z	Purchase	\$15,001 - \$50,000	--
94	09/22/2016	Self	GPOR	Gulfport Energy Corp.	Purchase	\$15,001 - \$50,000	--
95	09/22/2016	Self	ISD	Prudential Short Duration High Yield Fund, Inc.	Purchase	\$15,001 - \$50,000	--
96	09/26/2016	Self	EPD	Enterprise Products Partners L.P.	Purchase	\$1,001 - \$15,000	--
97	09/26/2016	Self	MAA	Mid-America Apartment Communities Inc.	Purchase	\$15,001 - \$50,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
98	09/27/2016	Self	SXL	Sunoco Logistics Partners L.P.	Purchase	\$1,001 - \$15,000	--
99	09/27/2016	Self	BX	The Blackstone Group L.P.	Purchase	\$1,001 - \$15,000	--
100	09/07/2016	Self	DFS	Discover Financial Services	Sale (Full)	\$15,001 - \$50,000	--
101	09/28/2016	Self	SYRG	Synergy Resources Corporation	Purchase	\$15,001 - \$50,000	--
102	09/28/2016	Self	RAD	Rite Aid Corporation	Sale (Full)	\$1,001 - \$15,000	--
103	09/28/2016	Self	SMGIX	Columbia Contrarian Core Z	Purchase	\$15,001 - \$50,000	--
104	09/29/2016	Self	TEVA	Teva Pharmaceutical Industries Limited	Sale (Full)	\$1,001 - \$15,000	--
105	10/03/2016	Self	MRK	Merck & Co., Inc.	Sale (Partial)	\$1,001 - \$15,000	--
106	10/04/2016	Self	EGO	Eldorado Gold Corporation	Sale (Full)	\$1,001 - \$15,000	--
107	10/10/2016	Self	PFG	Principal Financial Group Inc.	Sale (Full)	\$15,001 - \$50,000	--
108	10/10/2016	Self	HIG	The Hartford Financial Services Group, Inc.	Purchase	\$15,001 - \$50,000	--
109	10/10/2016	Self	BMY	Bristol-Myers Squibb Company	Sale (Full)	\$15,001 - \$50,000	--
110	10/13/2016	Self	EPD	Enterprise Products Partners L.P.	Purchase	\$1,001 - \$15,000	--
111	10/13/2016	Self	MAA	Mid-America Apartment Communities Inc.	Purchase	\$15,001 - \$50,000	--
112	10/19/2016	Self	APA	Apache Corp.	Purchase	\$1,001 - \$15,000	--
113	10/19/2016	Self	ISD	Prudential Short Duration High Yield Fund, Inc.	Purchase	\$15,001 - \$50,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
114	10/19/2016	Self	IWM	iShares Russell 2000	Purchase	\$15,001 - \$50,000	--
115	10/26/2016	Self	GPOR	Gulfport Energy Corp.	Sale (Full)	\$1,001 - \$15,000	--
116	10/27/2016	Self	QCP	Quality Care Properties, Inc.	Purchase	\$1,001 - \$15,000	--
117	10/28/2016	Self	EPD	Enterprise Products Partners L.P.	Sale (Full)	\$15,001 - \$50,000	--
118	11/01/2016	Self	SYRG	Synergy Resources Corporation	Sale (Full)	\$15,001 - \$50,000	--
119	11/02/2016	Self	ETN	Eaton Corporation plc	Sale (Full)	\$15,001 - \$50,000	--
120	11/04/2016	Self	GEL	Genesis Energy, L.P.	Sale (Full)	\$15,001 - \$50,000	--
121	11/09/2016	Self	ISD	Prudential Short Duration High Yield Fund, Inc.	Purchase	\$15,001 - \$50,000	--
122	11/09/2016	Self	WY	Weyerhaeuser Co.	Purchase	\$1,001 - \$15,000	--
123	11/09/2016	Self	NHF	NexPoint Credit Strategies Fund	Purchase	\$1,001 - \$15,000	--
124	11/10/2016	Self	MDLZ	Mondelez International, Inc.	Sale (Full)	\$1,001 - \$15,000	--
125	11/10/2016	Self	MKC	McCormick & Company, Incorporated	Purchase	\$15,001 - \$50,000	--
126	11/10/2016	Self	DG	Dollar General Corporation	Purchase	\$15,001 - \$50,000	--
127	11/15/2016	Self	VOYA	Voya Financial, Inc.	Sale (Full)	\$15,001 - \$50,000	--
128	11/16/2016	Self	CCIZX	Columbia Seligman Comms & Info Z	Purchase	\$1,001 - \$15,000	--
129	11/16/2016	Self	SMGIX	Columbia Contrarian Core Z	Purchase	\$1,001 - \$15,000	--

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
130	11/17/2016	Self	ETP	Energy Transfer Partners, L.P.	Purchase	\$15,001 - \$50,000	--
131	11/18/2016	Self	NUAN	Nuance Communications, Inc.	Purchase	\$1,001 - \$15,000	--
132	11/18/2016	Self	MOS	The Mosaic Company	Purchase	\$1,001 - \$15,000	--
133	11/22/2016	Self	CF	CF Industries Holdings, Inc.	Purchase	\$1,001 - \$15,000	--
134	11/23/2016	Self	MDT	Medtronic plc	Purchase	\$15,001 - \$50,000	--
135	11/29/2016	Self	AIV	Apartment Investment and Management Company	Purchase	\$1,001 - \$15,000	--
136	11/30/2016	Self	TSCO	Tractor Supply Company	Purchase	\$1,001 - \$15,000	--
137	11/30/2016	Self	NEE-PRI	NEE-PRI	Sale (Full)	\$1,001 - \$15,000	--
138	12/12/2016	Self	SLM	SLM Corporation	Sale (Partial)	\$1,001 - \$15,000	--
139	12/13/2016	Self	SEE	Sealed Air Corporation	Purchase	\$1,001 - \$15,000	--
140	12/14/2016	Self	SLM	SLM Corporation	Sale (Partial)	\$1,001 - \$15,000	--
141	12/14/2016	Self	SEE	Sealed Air Corporation	Purchase	\$1,001 - \$15,000	--
142	12/20/2016	Self	AIV	Apartment Investment and Management Company	Sale (Full)	\$1,001 - \$15,000	--
143	11/30/2016	Self	IWD	iShares Russell 1000 Value	Sale (Full)	\$1,001 - \$15,000	--
144	12/30/2016	Self	BABY	Natus Medical Incorporated	Purchase	\$1,001 - \$15,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Self	<u>AGG</u>	iShares Core US Aggregate Bond	Purchase	08/19/2016	\$1,001 - \$15,000	--
2	Self	<u>CIU</u>	iShares Intermediate Credit Bond	Purchase	08/17/2016	\$1,001 - \$15,000	--
3	Self	<u>V</u>	Visa Inc.	Purchase	12/07/2016	\$15,001 - \$50,000	--
4	Self	--	Interest in Parcel 200, Ripley, Mississippi	Sale (Partial)	09/22/2016	\$100,001 - \$250,000	Sale of 1.15 acres

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2012	Spouse	Mortgage	0	4% (30 years)	\$500,001 - \$1,000,000	US Bank Washington, DC	-
2	2006	Spouse	Mortgage	0	4.375% (30 Years)	\$250,001 - \$500,000	Nationstar Mortgage Dallas, TX	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
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#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2005 to present	Other (Ex Officio Member of Board of Trustees)	John F. Kennedy Center for the Performing Arts Washington, DC	Other (Federal Trust)	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

Comments

In March and April 2016, assets were transferred from the Cathryn Rose Clayton Family Trust to a new Trust FBO two family members. The following assets were transferred in full and did not generate reportable income: AAPL, CELG, CIT, COP, DOW, EMC, HD, JPM, KMB, KRFT, MRK, Ripley Bank, PG, QCOM, ROST, TJX.MX, MMM, UPS, V, FNMA Coupon, Ford Motor Credit LLC bond, JP Morgan Chase bond, ABBV, ETN, AXP, AMGN, BBT, Bank of New Albany, CTSH, CMI, GE, JNJ, KSU, SYK, VZ, Kroger bond, USTN 1.25, USTN 1.875, USTN 3.875