



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Zoe Lofgren
Status: Member
State/District: CA19

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/15/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
California Public Employee Retirement System		\$250,001 - \$500,000	None		<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ California State General Obligation Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Cash / Bank Deposit Sweep	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Deckers Outdoor Corporation (DECK)	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase of asset.					
Collins/Lofgren Family Trust ⇒ SolarCity Corporation (SCTY)	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase of asset. Subsequent to the purchase of the asset, SolarCity Corporation (SCTY) was acquired by Tesla Motors, Inc. (TSLA). The member's existing shares of SCTY were exchanged for shares of TSLA.					
Collins/Lofgren Family Trust ⇒ Southern Company (SO)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Stockton Unified School District Municipal Bond	JT	None	Capital Gains, Interest	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Collins/Lofgren Family Trust ⇒ Tesla Motors, Inc. (TSLA)	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Tesla Motors, Inc. (TSLA) acquired SolarCity Corporation (SCTY). The member's existing shares of SCTY were exchanged for shares of TSLA.					
Collins/Lofgren Family Trust ⇒ Wells Fargo & Company (WFC)	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Shares of this stock were donated to charity.					
ICMARC ⇒ ASTON/Fairpointe Mid Cap Fund I		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
ICMARC ⇒ Fidelity Contrafund		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
ICMARC ⇒ Fidelity Diversified Int'l		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ICMARC ⇒ T Rowe Price Small-Cp Stk		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
ICMARC ⇒ T Rowe Price Small-Cp Value		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
ICMARC ⇒ Vanguard Extended Market Index		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase of asset.					
ICMARC ⇒ Vanguard Institutional Index		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ICMARC ⇒ VantageTrust PLUS Fund		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
ICMARC ⇒ Victory Established Value I		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins PC, 100% Interest	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: San Jose, CA, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Law firm.					
John Marshall Collins Retirement Account ⇒ Alger Spectra I Fund	SP	None	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Alger Spectra Z Fund	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Artisan Fund International Fund	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset					
John Marshall Collins Retirement Account ⇒ Cash / Bank Deposit Sweep	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Delaware Pooled Trust Diversified Income Fund Class A	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Dodge & Cox Funds International Stock Fund	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Partial sale of asset.					
John Marshall Collins Retirement Account ⇒ Dodge & Cox Income Fund	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Fleming Cap Mutual Fund Group JP Morgan Mid Cap Value Fund Class I	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Gateway Fund	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Goldman Sachs Trust Strategic Growth Opportunities Fund Institutional	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Harbor Fund Cap Appreciation Fund Institutional Class	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Hartford Floating Rate Fund	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Hartford Mutual Funds Cap Appreciation Fund Class A	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Marshall Collins Retirement Account ⇒ Janus Venture Fund Class I	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ John Hancock Funds International Growth Fund Class I	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ JPMorgan Equity Income Fund Class I	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ Morgan Stanley Institutional Fund, Inc. Global Opportunity Portfolio Class I	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Oppenheimer Developing Markets Fund Class Y	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ PIMCO Income Fund Class P	SP	None	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ PIMCO Income Fund Institutional Class	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ PIMCO Investment Grade Corporate Bond Fund Institutional Class	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Principal Funds High Yield Institutional Class	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ SPDR Index Shares Fund Dow Jones International Real Estate ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Templeton Global Investment Trust Global Bond Fund Advisor Class	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Vanguard REIT ETF	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Vanguard Short-Term Bond Index Fund	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Victory Portfolios RS Select Growth Fund Class Y	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Marshall Collins Retirement Account ⇒ Wells Fargo International Equity Fund - Class Inst	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Yacktman Fund Service Class A	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Residential Rental Property LOCATION: San Jose, CA, US	JT	\$500,001 - \$1,000,000	Rent	\$201 - \$1,000	<input checked="" type="checkbox"/>
Wells Fargo Accounts	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Zoe Lofgren Trad IRA ⇒ Delaware Diversified Income Fund Class C		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Collins/Lofgren Family Trust ⇒ Deckers Outdoor Corporation (DECK)	JT	02/18/2016	P	\$1,001 - \$15,000	
Collins/Lofgren Family Trust ⇒ SolarCity Corporation (SCTY)	JT	10/12/2016	P	\$1,001 - \$15,000	
Collins/Lofgren Family Trust ⇒ Tesla, Inc. (TSLA)	JT	11/22/2016	E	\$1,001 - \$15,000	
DESCRIPTION: Tesla Motors, Inc. (TSLA) acquired SolarCity Corporation (SCTY). The member's existing shares of SCTY were exchanged for shares of TSLA.					
Collins/Lofgren Family Trust ⇒ Wells Fargo & Company (WFC)	JT	04/28/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Donation of stock to 501(c)(3) charitable organization.					
ICMARC ⇒ ASTON/Fairpointe Mid Cap Fund I		12/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
ICMARC ⇒ T Rowe Price Small-Cp Stk		12/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Sale of asset.					
ICMARC ⇒ T Rowe Price Small-Cp Value		12/9/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
ICMARC ⇒ Vanguard Extended Market Index		12/9/2016	P	\$50,001 - \$100,000	
DESCRIPTION: Purchase of asset.					
ICMARC ⇒ Victory Established Value I		12/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins Retirement Account ⇒ Artisan Fund International Fund	SP	08/17/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins Retirement Account ⇒ Dodge & Cox Funds International Stock Fund	SP	08/18/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Partial sale of asset.					
John Marshall Collins Retirement Account ⇒ JPMorgan Equity Income Fund Class I	SP	08/17/2016	P	\$15,001 - \$50,000	
DESCRIPTION: Purchase of asset.					
Residential Rental Property	JT	08/26/2016	P	\$500,001 - \$1,000,000	
LOCATION: San Jose, CA, US					
DESCRIPTION: Purchase of real property.					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
John Marshall Collins PC	Spouse Salary	N/A
Santa Clara Law School	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	UBS AG	January 2012	Current mortgage on primary residence (not rented)	\$250,001 - \$500,000
JT	PHH Mortgage Corporation	January 2012	Current mortgage on secondary residence (not rented)	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Santa Clara University School of Law Board of Visitors
Board Member	Santa Clara University School of Law Dean's High Tech Advisory Council
Advisory Board Member	Santa Clara Computer & High Technology Law Journal, Santa Clara University School of Law
Advisory Board Member	Pacific Community Ventures
Advisory Board Member	Silicon Valley Education Foundation
Advisory Board Member	Campbell Veteran Memorial Foundation
Advisory Board Member	Santa Clara County Human Trafficking Commission

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
1981	California Public Employees Retirement System (through County of Santa Clara)	Continuing membership (vested) - no continuing contribution by employer or employee
1981	ICMARC (through County of Santa Clara)	Tax-deferred 457 savings plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute Congressional Program	03/28/2016	04/4/2016	San Francisco, CA - Beijing, China - Nanjing, China - San Francisco, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Collins/Lofgren Family Trust (Owner: JT)
- ICMARC
- John Marshall Collins Retirement Account (Owner: SP)
- Zoe Lofgren Trad IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Zoe Lofgren , 05/15/2017